

Written materials relating to an item on this agenda that are distributed to the legislative bodies within 72 hours before the item is to be considered at its regularly scheduled meeting will be made available for public inspection at the City Clerk's Office, 300 West Third Street 4th Floor during customary business hours. Agenda reports are also on the City of Oxnard web site at www.oxnard.org.



AMENDED AGENDA

Closed Session - Item C.2 Added

Consent – Item L.9 Added

Reports - Item N.1 Staff Report & Presentation Revised

AGENDA

OXNARD CITY COUNCIL

OXNARD COMMUNITY DEVELOPMENT COMMISSION SUCCESSOR

AGENCY OXNARD FINANCING AUTHORITY

OXNARD HOUSING AUTHORITY

Meeting Location: Council Chambers, 305 West Third Street

March 19, 2024

Closed Session - 5:00 PM

Appointment Items - 5:30 PM

Regular Meeting - 6:00 PM

Zoom details to call-in for public comment during a meeting:

1. Dial Phone Number: (888) 475-4499
2. Enter Meeting ID: 893 4541 2394
3. Passcode: 914647

If you wish to speak during public comments or a particular item on the agenda, please sign-on by following the zoom call-in steps listed above. Once the presiding officer calls for public speakers, press *9 to raise your hand to inform the City Clerk you would like to speak during the public speaking section for that particular item on the agenda, while in the zoom waiting room. Press *6 when asked to unmute. Listen to the instructions provided virtually on the phone while on hold in the zoom waiting room. Please note that there is a slight time delay when viewing the meeting via television.

IN ACCORDANCE WITH ASSEMBLY BILL 2449, MEMBERS OF THE LEGISLATIVE BODY MAY MEET IN-PERSON OR REMOTELY. TO PARTICIPATE REMOTELY VISIT WWW.OXNARD.ORG.

To find out how you may provide public comment, please refer to the instructions below or at [www.https://www.oxnard.org/city-meetings/](https://www.oxnard.org/city-meetings/).

The public may view the meeting from home on Spectrum channel 10, Frontier channel 35, or YouTube at [Youtube.com/oxnardnews](https://www.youtube.com/oxnardnews). Video recordings of the meeting are typically available online following the meeting at the City's website at www.oxnard.org/city-meetings.

*Please see the link for the Measure M pre-recorded presentation video for each item listed on this agenda.

YOU MAY PARTICIPATE IN THE MEETING IN THE FOLLOWING WAYS:

1. ATTEND THE MEETING AT THE LOCATION LISTED ABOVE: Submit a speaker card to the City Clerk.
2. EMAIL COMMENTS OR SIGN UP TO SPEAK REMOTELY BEFORE THE MEETING
 - a. Submit a request to speak remotely by 3 p.m. on the day of the meeting by using the form available at www.oxnard.org/citymeetings.
 - b. Submit an email to cityclerk@oxnard.org by 3 p.m. on the day of the meeting (indicate the agenda item number in the subject line). All email correspondence will be forwarded to the legislative body prior to the start of the meeting and made part of the legislative record.

In compliance with the Americans with Disabilities Act, if you require special assistance to participate in a meeting, please contact the City Clerk's Office at 385-7803. Notice at least 72 hours prior to the meeting will enable the City to reasonably arrange for your accessibility to the meeting.

Agenda Item Time Estimates include: (Minutes for Presentation + Council Discussion + Public Comment)

- c. Contact the City Clerk's Office at (805) 385-7803 to submit your request.

3. PROVIDE PUBLIC COMMENT REMOTELY DURING THE MEETING

- a. Follow Zoom details listed above.
- b. Public comments on agenda items will be taken following the announcement of the item. After the item is announced, members of the public may register or otherwise be recognized for the purpose of providing public comment.

Please review the Zoom instructions on the registration page to help ensure there are no technical difficulties during your comments and help you understand public comment procedures using Zoom. Detailed participation instructions can be found at www.oxnard.org/city-meetings.

In the event of a disruption which prevents a legislative body of the City of Oxnard from broadcasting a meeting using a call-in option or internet-based service option, or in the event of a disruption within the City's control which prevents members of the public from offering public comment using the call-in option or internet-based service option, the legislative body shall take no further action on items appearing on a meeting agenda until public access to the meeting via the call-in option or internet-based service option is restored. However, if any of the broadcast options are disrupted, but any of the other broadcast options is still available to the public, the legislative body may take further action on items appearing on a meeting agenda without waiting for the disrupted broadcast option(s) to be restored.

A. ROLL CALL, POSTING OF AGENDA

THE FOLLOWING LEGISLATIVE BODIES ARE MEETING: City Council and Oxnard Housing Authority.

CONSIDERATION OF TELECONFERENCE PARTICIPATION PURSUANT TO ASSEMBLY BILL 2449.

During this meeting, Councilmember Teran will be participating remotely from the following location: Embassy Suites by Hilton Sacramento Riverfront Promenade, Business Center, 100 Capitol Mall, Sacramento, CA 95814.

B. PUBLIC COMMENTS ON CLOSED SESSION ITEMS

At this time, a person may address the legislative body only on matters appearing on the closed session agenda. The presiding officer shall limit public comments to three minutes.

C. CLOSED SESSION (5:00 PM)

1. CONFERENCE WITH LEGAL COUNSEL — EXISTING LITIGATION (Government Code Section 54956.9(d)(1))
Name of case: *Crystal Culapan v. City of Oxnard; et al.*
Ventura County Superior Court Case No. 56-2021-00549982-CU-PA-VTA
Legislative Body: City Council
2. CONFERENCE WITH LEGAL COUNSEL — EXISTING LITIGATION (Government Code Section 54956.9(d)(1))
Name of case: *Manuel Guzman v. City of Oxnard; et al.*
Ventura County Superior Court Case No. 56-2022-00569906-CU-PA-VTA
Legislative Body: City Council

D. APPOINTMENT ITEMS (5:30 PM)

1. SUBJECT: 2023 Update of Fire Department Statistics, Accomplishments, Ongoing Initiatives, and Priorities for 2024.

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E. OPENING CEREMONIES (6:00 PM)

Pledge of allegiance to the flag of the United States.

F. CEREMONIAL ITEMS

1. SUBJECT: Presentation of a Proclamation Designating March 31, 2024 as "Cesar E. Chavez Day."
2. SUBJECT: Presentation of a Proclamation Designating the Month of March 2024 as "American Red Cross."

G. PUBLIC COMMENTS ON ITEMS NOT ON THE AGENDA

A person may address the legislative body only on matters not appearing on the agenda and within the subject matter jurisdiction of the legislative body. Speaker requests shall be submitted as set forth on the first page of this agenda. Speakers are limited to three minutes. After 30 minutes, if all speakers have not had the opportunity to speak, the remaining speakers will be given an opportunity to speak prior to adjournment of the meeting. The legislative body cannot enter into a detailed discussion or take action on any items presented during public comments at this time. Such items may only be referred to the City Manager/Executive Director/Secretary for administrative action or scheduled on a subsequent agenda for discussion. Persons wishing to speak on public hearing items should do so at the time of the hearing.

H. REPORT OF CITY MANAGER/EXECUTIVE DIRECTOR/SECRETARY

The City Manager/Executive Director/Secretary shall report on items of interest to the legislative body occurring since the last meeting. The legislative body cannot enter into detailed discussion or take action on any item presented during this report. Such items may only be referred to the City Manager/Executive Director/Secretary for administrative action or scheduled on a subsequent agenda for discussion.

1. City Manager Department

SUBJECT: Severe Storms Emergency Actions.

RECOMMENDATION: That the City Council ratify Director Orders regarding the Severe Storms Local Emergency proclaimed by the Director of Emergency Services on December 27, 2023, and related actions taken pursuant to the Severe Storms Local Emergency.

Legislative Body: City Council

Contact: Alexander Nguyen, (805) 385-7430

I. CITY COUNCIL/HOUSING AUTHORITY/SUCCESSOR AGENCY/FINANCING AUTHORITY
BUSINESS/COMMITTEE REPORTS

At this time, a member of the legislative body may make a brief announcement, or make a brief report on his or her activities. Further, members of the legislative body may request to schedule consideration of whether to place an item on a future agenda. The legislative body cannot enter into detailed discussion or take action on any item presented during this report. The member's report shall not exceed three minutes, unless additional time is granted by the presiding officer.

1. City Manager Department

SUBJECT: Appointment of Voting Delegate and Alternate for Southern California Association of Governments General Assembly. (5 minutes)

RECOMMENDATION: That the City Council appoint Councilmember Arthur Valenzuela Zavala as the City's voting delegate to attend the Southern California Association of Governments (SCAGs) 59th Annual Regional Conference and General Assembly Business Meeting scheduled for May 2 through 3, 2024 in Palm Desert, California and appoint an alternate delegate.

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(This item did not originate in Committee.)

Legislative Body: City Council

Contact: Alexander Nguyen, (805) 385-7430

J. REVIEW OF INFORMATION/CONSENT AGENDA

The members of the legislative body will consider whether to remove Information/Consent Agenda items for discussion later during the meeting.

K. PUBLIC COMMENTS ON INFORMATION/CONSENT AGENDA

At this time, a person may address the legislative body only on matters appearing on the information/consent agenda. The presiding officer shall limit public comments to three minutes.

L. INFORMATION/CONSENT AGENDA

1. City Clerk Department

SUBJECT: Approval of Minutes.

RECOMMENDATION: That the City Council approve the minutes of the February 20, 2024 regular meeting as presented.

Legislative Body: City Council

Contact: Rose Chaparro, (805) 385-7805

2. Fire Department

SUBJECT: Adopt a Resolution Acknowledging receipt of a report submitted by the Fire Chief of the Oxnard Fire Department regarding the inspection of certain occupancies.

RECOMMENDATION: That the City Council of the City of Oxnard adopt a resolution acknowledging receipt of a report prepared by the Oxnard Fire Department's Fire Chief concerning the inspection of specific occupancies, as mandated by Sections 13146.2 and 13146.3 of the California Health and Safety Code.

(The Community Services, Public Safety, Housing & Development Committee voted 3-0 on February 27, 2024, to approve the staff recommendation and to forward the item for Council approval.)

Legislative Body: City Council

Contact: Alexander Hamilton, (805) 385-7700

3. Human Resources Department

SUBJECT: Oxnard Peace Officers Association Side Letter Agreements.

RECOMMENDATION: That the City Council of the City of Oxnard adopt a Resolution approving the attached side letter agreements establishing a hiring incentive program for experienced (lateral) police officers, in the amount of \$20,000 payable over three years; and a \$3,000 recruitment incentive for Oxnard Peace Officer Association members who successfully recruit police officers and public safety dispatchers.

(This item did not originate in Committee.)

Legislative Body: City Council

Contact: Steve Naveau, (805) 385-7947

4. Public Works Department

SUBJECT: Fourth Amendment to Professional Services Agreement 9200-21-PW with Guidepost Solutions LLC for Water Campus: Security Improvements Project PW 21-29.

RECOMMENDATION: That the City Council approve and authorize the execution of a Fourth

Amendment to a Professional Services Agreement with Guidepost Solutions LLC to increase the not to exceed amount from \$179,670 to \$235,601 for additional engineering design work required for the Water Campus: Security Improvements Project (No. C2027).

(This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.)

Legislative Body: City Council

Contact: Michael Wolfe, (805) 385-8055

5. Police Department

SUBJECT: State of California Peace Officer Standards and Training (P.O.S.T.) Reimbursement Program.

RECOMMENDATION: That City Council:

1. Recognize \$90,625 in training reimbursement revenue from the State of California Peace Officer Standards and Training (P.O.S.T.) Reimbursement Program;
2. Approve a budget appropriation in the amount of \$90,625 from the Peace Officer Standards and Training (P.O.S.T.) reimbursement to P.O.S.T. related training; and
3. Authorize the City Manager or designee to approve additional budget appropriations if additional funding is received.

(This item did not originate in Committee.)

Legislative Body: City Council

Contact: Jason Benites, (805) 385-7624

6. Housing Department

SUBJECT: Amendments to City Loan Agreements with Mercy House Living Centers for the Development of a New Navigation Center at Casa de Carmen located at 241 West Second Street.

RECOMMENDATION: That the City Council:

1. Approve and authorize the City Manager or designee to execute the First Amendment to Permanent Local Housing Allocation Affordable Housing Loan Agreement A-8461 ("PLHA Loan Agreement") between the City and Mercy House Living Centers ("Mercy House") contingent on funding approval by the California Department of Housing and Community Development (HCD);
2. Approve and authorize the City Manager or designee to execute the First Amendment to Measure O Loan Agreement 32300146 between the City and Mercy House;
3. Approve a budget appropriation of \$537,567 in PLHA grant funds (Fund 220) to increase the loan amount for PLHA Loan Agreement A-8461 between the City and Mercy House from \$347,528 to \$885,095;
4. Approve and authorize the City Manager or designee to execute the First Amendment to Affordable Housing Loan Agreement A-8292 between the City and 2nd and B LP;
5. Approve and authorize the City Manager or designee to execute the Second Amendment to Disposition and Development Agreement A-8310 between the City, CDP Developers LLC, and the Housing Trust Fund Ventura County;

6. Approve and authorize the City Manager to execute the Second Amendment to the Agreement to Provide Financial Support for the Construction and Operation of a Permanent, Year-Round Shelter Serving Homeless Individuals between the City and the County of Ventura, Agreement A-8462.

(The Community Services, Public Safety, Housing & Development Committee approved 3-0 on February 27, 2024.)

Legislative Body: City Council

Contact: Emilio Ramirez, (805) 385-8094

7. Housing Department

SUBJECT: Second Amendment to Emergency Shelter and Navigation Center Operating Agreement 32400019.

RECOMMENDATION: That the City Council:

1. Approve and authorize the Mayor to execute the Second Amendment to Shelter and Navigation Center Operating Agreement 32400019 with Mercy House for the provision of shelter and navigation center operations to increase the Agreement from \$3,228,297 to \$3,243,102.16.

(The Community Services, Public Safety, Housing & Development Committee approved 3-0 on February 27, 2024.)

Legislative Body: City Council

Contact: Emilio Ramirez, (805) 385-8094

8. Human Resources Department

SUBJECT: Pay Equity Policy.

RECOMMENDATION: That the City Council adopt a Resolution approving a pay equity policy.

(This item did not originate in Committee.)

Legislative Body: City Council

Contact: Steve Naveau, (805) 385-7947

9. City Attorney Department

SUBJECT: Sunkist Fire Legislative Subpoenas.

RECOMMENDATION: That the City Council:

1. Direct City staff to investigate the cause of the fire that occurred on March 5, 2024 at the former Sunkist packaging plant located at 245 E. Colonia Rd.; and

2. Adopt a resolution authorizing the Mayor to issue legislative subpoenas related to the City's investigation into the Sunkist Fire.

Legislative Body: City Council

Contact: Stephen Fischer, (805) 385-7483

M. PUBLIC HEARINGS

1. Public Works Department

SUBJECT: Public Hearing on Proposed Solid Waste (Refuse) Rates and Introduction of an Ordinance Establishing New Solid Waste (Refuse) Rates and Fees. (120 minutes)

RECOMMENDATION: That the City Council:

1. Hold a public hearing to receive public testimony and consider all protests concerning the adoption of an ordinance establishing new solid waste (refuse) user rates and fees;
2. Approve the first reading by title only and waive any further reading of an ordinance titled:

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD TO ESTABLISH NEW SOLID WASTE RATES AND FEES FOR CUSTOMER USE AND SERVICE FROM THE CITY'S ENVIRONMENTAL RESOURCES DIVISION; and

3. Find that the California Environmental Quality Act (CEQA) does not apply to the ordinance that modifies the City's solid waste (refuse) rates, according to Public Resource Code sections 21080(b)(8) and 21083 in conjunction with the exemption listed in Title 14 California Code of Regulations section 15273.

(This is a continuation of an item brought to the Public Works and Transportation Committee on November 14, 2023, and to the City Council on December 5, 2023.)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/Rji7c-Umhgw>

Legislative Body: City Council

Contact: Michael Wolfe, (805) 385-8055

2. Housing Department

SUBJECT: 5-Year Public Housing Agency Plan (2020-2025), Annual Agency Plan and Capital Fund Program Five-Year Action Plan for Low Rent Public Housing. (10 Minutes)

RECOMMENDATION: That the Board of Commissioners for the Housing Authority of the City of Oxnard:

1. Hold a United States Department of Housing and Urban Development (HUD) public hearing to receive comments concerning the fifth year of the 5-Year Public Housing Agency Plan, the 2024 Capital Fund Budget, and the Capital Fund Five-Year Action Plan; and
2. Adopt a resolution:
 - a. Approving the 2024 Annual Agency Plan, 5-Year Public Housing Agency (PHA) Plan for the Housing Authority of the City of Oxnard;
 - b. Authorizing and directing the Chairman to execute the Department of Housing and Urban Development Public Housing Agency Certification Compliance Form certifying the Housing Authority's compliance with federal policies and procedures;
 - c. Authorizing the Housing Director to execute and submit all reports, documents and all other certifications required to comply with policies and procedures of the Department of Housing and Urban Development regarding the Annual Agency Plan and the 5-Year PHA Plan;
 - d. Approving the 2024 Capital Fund Budget and Capital Fund Five-Year Action Plan; and

e. Authorizing the Housing Director to accept and expend the funds as indicated in the 2024 Capital Fund Budget and Capital Fund Five-Year Action Plan.

(The Community Services, Public Safety, Housing & Development Committee approved 3-0 on February 27, 2024.)

Please click the following link to view the required Measure M pre-recorded presentation video: https://youtu.be/Wq_JlFdhjy8

Legislative Body: City Council and Oxnard Housing Authority

Contact: Emilio Ramirez, (805) 385-8094

N. REPORTS

1. Finance Department

SUBJECT: FY22-23 Annual Comprehensive Financial Report and Summary Presentation. (10 Minutes)

RECOMMENDATION: That the City Council receive the FY 22-23 Annual Comprehensive Financial Report (ACFR) and summary presentation from the City's external financial auditors, Eadie + Payne, LLP.

(The Finance and Governance Committee approved 3-0 on March 12, 2024.)

(Presentation will be live upon consultant's request.)

Legislative Body: City Council

Contact: Javier Chagoyen-Lazaro, (805) 200-5400

2. Public Works Department

SUBJECT: General Fund Reimbursement of Service Charges to Special Districts. (10 minutes)

RECOMMENDATION: That the City Council approve and authorize the City Manager to approve a transfer in the amount of \$168,247.67 for reimbursement of sidewalk service charges, including interest, to 21 Landscape Maintenance Districts (Fund 120) from the General Fund (101), with the corresponding budget appropriation adjustments.

(Public Works and Transportation Committee approved 3-0 on February 27, 2024.)

Please click the following link to view the required Measure M pre-recorded presentation

video: https://youtu.be/Ne_CcN3B1IU

Legislative Body: City Council

Contact: Michael Wolfe, (805) 385-8055

3. Public Works Department

SUBJECT: Purchase Order to McLaughlin Waste Equipment, Inc. for Metal Refuse Containers for the Environmental Resources Division. (10 minutes)

RECOMMENDATION: That the City Council authorize the Mayor to execute a Purchase Order with McLaughlin Waste Equipment, Inc. for an amount not to exceed \$2,500,000 for a three-year term ending June 30, 2027, for metal refuse containers.

(Public Works and Transportation Committee approved 3-0 on February 27, 2024)

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Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/8vzd7gs0j7Y>

Legislative Body: City Council

Contact: Michael Wolfe, (805) 385-8055

4. Public Works Department

SUBJECT: Authorization to Accept a State Grant for \$9.5M to fund Electrical Improvements for the Oxnard Wastewater Treatment Plant Reliability Improvement Project PW 20-102. (10 minutes)

RECOMMENDATION: That the City Council:

1. Accept a grant from the California State Water Resource Control Board in the amount of \$9.5 million for the Oxnard Wastewater Treatment Plant Reliability Project; and
2. Authorize the City Manager to execute grant agreements; the Finance Director or designee to submit financial reports and grant claims and approve budget appropriations for the use of grant funds; and the Director of Public Works or designee to submit non-financial reports.

(This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/FMowJ4MAF-g>

Legislative Body: City Council

Contact: Michael Wolfe, (805) 385-8055

5. Public Works Department

SUBJECT: Emergency On-Call Services Performed by Toro Enterprises, Inc. to Protect Properties and City Infrastructure Located Along the Coastal Area of Oxnard Beach. (10 minutes)

RECOMMENDATION: That the City Council approve and authorize the Mayor to execute a one-time payment with Toro Enterprises, Inc. in the amount of \$23,478.39 for emergency on-call services performed on December 29, 2023, and December 30, 2023.

(This item did not originate in Committee.)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/PEGkGK4zUR4>

Legislative Body: City Council

Contact: Michael Wolfe, (805) 385-8055

6. Community Development Department

SUBJECT: City of Oxnard 2023 General Plan Annual Progress Report. (10 minutes)

RECOMMENDATION: That the Oxnard City Council receive and file the City of Oxnard 2023 General Plan and Housing Element Annual Progress Report.

(This item did not originate in Committee)

Please click the following link to view the required Measure M pre-recorded presentation

video: <https://youtu.be/s4ls4Uarphg>

Legislative Body: City Council

Contact: Jeff Pengilley, (805) 385-8208

7. Community Development Department

SUBJECT: Consider an Ordinance Amending Provisions of Chapter 11 of the Oxnard City Code Pertaining to Cannabis Regulations. (15 minutes)

RECOMMENDATION: That the City Council:

Introduce an ordinance (by title only, waiving further reading) titled:

"AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD AMENDING PROVISIONS OF CHAPTER 11, ARTICLE XVII OF THE OXNARD CITY CODE PERTAINING TO CANNABIS REGULATIONS REGARDING CANNABIS EMPLOYEE PERMITS, CANNABIS AUDIT PROCESSES, HOURS OF OPERATIONS, TRANSFER OF OWNERSHIP, AND A DEFINITION CLARIFICATION."

(This item did not originate in Committee).

Please click the following link to view the required Measure M pre-recorded presentation

video: https://youtu.be/ckwlxuicU_c

Legislative Body: City Council

Contact: Jeff Pengilley, (805) 385-8208

8. Housing Department

SUBJECT: Acquisition of Real Property 3941 and 3951 Saviers Road improved with an eight-unit multi-family development. (10 minutes)

RECOMMENDATION: That the City Council:

1. Approve a grant to the Housing Authority of the City of Oxnard in amount not to exceed \$2,260,000 from the Community Development Block Grant fund for the acquisition of improved real property located at 3941 and 3951 Saviers Road plus transaction fees and related costs, subject to review and approval by the City Attorney;
2. Find that the recommended actions do not constitute a "project" subject to the California Environmental Quality Act pursuant to Section 21065 and Sections 15004(b)(4) and 15378 of the State California Environmental Quality Act Guidelines and, as such, no environmental document pursuant to California Environmental Quality Act is required;
3. Authorize the City Manager, and his designees, to appropriate \$2,260,000 of CDBG funds for the acquisition and related costs associated with the 3941 and 3951 Saviers Road transaction.

That the Board of Commissioners:

4. Accept a grant from the City of Oxnard in amount not to exceed \$2,260,000 to finance the acquisition, and related costs, of improved real property located at 3941 and 3951 Saviers Road and authorize the Executive Director to execute any and all documents necessary to complete the loan, subject to review and approval by the Housing Authority general counsel; and
5. Authorize the Housing Authority of the City of Oxnard to enter into a purchase and sale agreement for the acquisition of improved real property located at 3941 and 3951 Saviers Road in an amount not to exceed \$2,160,000, subject to an appraisal, and authorize the Executive Director or

designee to execute any and all documents necessary to complete the acquisition, subject to review and approval by the Housing Authority general counsel.

(This item did not originate in Committee)

Please click the following link to view the required Measure M pre-recorded presentation video: https://youtu.be/Ql_HV8QeZyU

Legislative Body: City Council and Oxnard Housing Authority

Contact: Emilio Ramirez, (805) 385-8094

9. Housing Department

SUBJECT: Acquisition of Vacant Real Property Designated as Assessor Parcel Number 201-0-213-150 located on Meta Street in Downtown Oxnard. (10 minutes)

RECOMMENDATION: That the City Council:

1. Approve a purchase and sale agreement for the acquisition of unimproved real property designated as Assessor Parcel Number 201-0-213-150 in the amount of \$90,000 and authorize the City Manager or designee to execute any and all documents necessary to complete the acquisition;
2. Find that the recommended actions do not constitute a “project” subject to the California Environmental Quality Act pursuant to Section 21065 and Sections 15004(b)(4) and 15378 of the State California Environmental Quality Act Guidelines and, as such, no environmental document pursuant to California Environmental Quality Act is required;
3. Approve and authorize a budget appropriation of \$90,000 from the Community Development Block Grant Fund to pay for the acquisition of real property designated as Assessor Parcel Number 201-0-213-150; and
4. Approve and authorize a budget appropriation of \$18,000 from the Community Development Block Grant available fund balance to pay for transaction fees and related costs necessary to complete the purchase of real property designated as Assessor Parcel Number 201-0-213-150;.

(This item did not originate in Committee)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/1ROGA3uSFNY>

Legislative Body: City Council

Contact: Emilio Ramirez, (805) 385-8094

O. ADJOURNMENT



CITY COUNCIL AGENDA REPORT

APPOINTMENT ITEMS (5:30 PM) AGENDA ITEM NO. D.1

DATE: March 19, 2024

TO:

FROM: Alexander Hamilton, Fire Chief, (805) 385-7700, alexander.hamilton@oxnard.org

SUBJECT: 2023 Update of Fire Department Statistics, Accomplishments, Ongoing Initiatives, and Priorities for 2024.

RECOMMENDATION

BACKGROUND

STRATEGIC PRIORITIES

This agenda item supports the Public Safety strategy. The purpose of the Public Safety strategy is to restore and modernize the delivery of public safety services to provide for the safety of our neighborhoods and the health of our community.

FINANCIAL IMPACT

There is no financial impact.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Alexander Hamilton, Fire Chief, Fara Ravan, Administrative Services Support Supervisor

ATTACHMENTS

1. PowerPoint Presentation



2023 Oxnard Fire Department Update to City Council

March 19, 2024

2023 By the Numbers

2023 INCIDENTS / RESPONSES:



21,605
CALLS FOR SERVICE

INCREASE OF 1,152 CALLS FROM 2022



754 FIRES

WHICH INCLUDES 126 STRUCTURE FIRES



33,191
UNIT RESPONSES
FOR ALL CALL TYPES

INCREASE OF 2,968 FROM 2022



16,617
MEDICAL INCIDENTS

INCREASE OF 3,351 FROM 2022

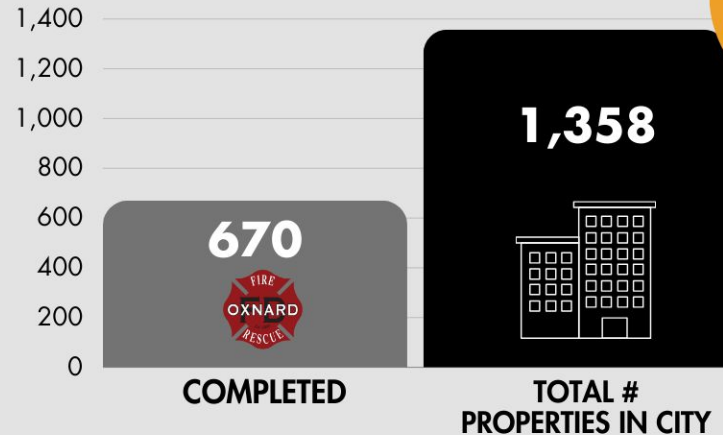
Senate Bill 1205

2023 STATE MANDATED INSPECTIONS

RESIDENTIAL GROUP R

Occupancies encompass those containing sleeping units such as hotels, motels, lodging homes, and apartments (three units or more), including residential care facilities.

R1/R2 INSPECTIONS

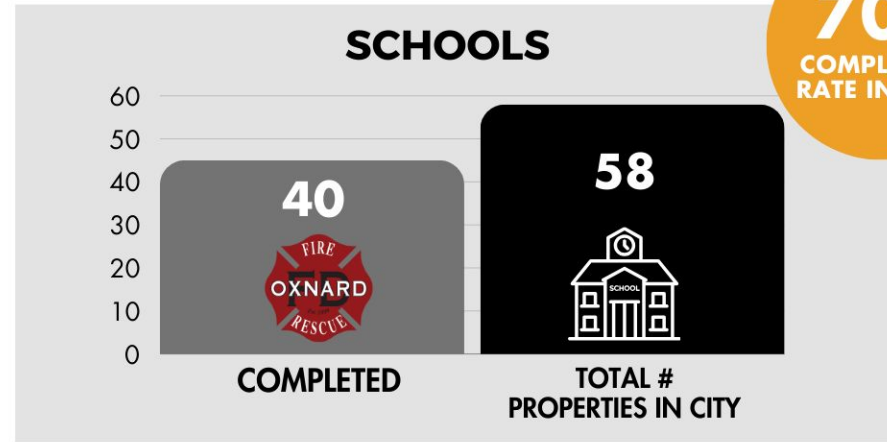


Senate Bill 1205

2023 STATE MANDATED INSPECTIONS

EDUCATIONAL GROUP E

Occupancies consist of public and private schools used by more than six persons at one time for educational purposes through the 12th grade



Fire Prevention - 2023 Highlights



Enforcement of Fire and Life Safety Codes

6,358
IDENTIFIED
OCCUPANCIES



1,162
INSPECTED IN 2023

.....

3,418
WITH NO RECORD
OF INSPECTION

Fire Prevention - 2023 Highlights



Hazardous Materials Regulation (CUPA)



878

BUSINESS IDENTIFIED

BIENNIAL INSPECTIONS REQUIRED

239

**TOTAL
INSPECTIONS
COMPLETED**



Fire Prevention - 2023 Highlights



Construction Review and Inspections

Conducted

710 ANNUAL FIRE
INSPECTIONS

to meet state
requirements



Completed over:

700 CONSTRUCTION
PLAN CHECKS



500 CONSTRUCTION
PERMIT INSPECTIONS



Fire Prevention - 2023 Highlights



Arson and Fire Investigation Services

 **616**
TOTAL FIRES IN 2023

 **116**
RESIDENTIAL

 **214**
WITHIN A
STRUCTURE

101 FIRES
INVESTIGATED 

 **28**
DETERMINED
INCENDIARY

Community Education



SMOKE DETECTOR INSTALLATION PROGRAM



1,951 smoke
detectors installed
between Nov. 2023
and Feb. 2024

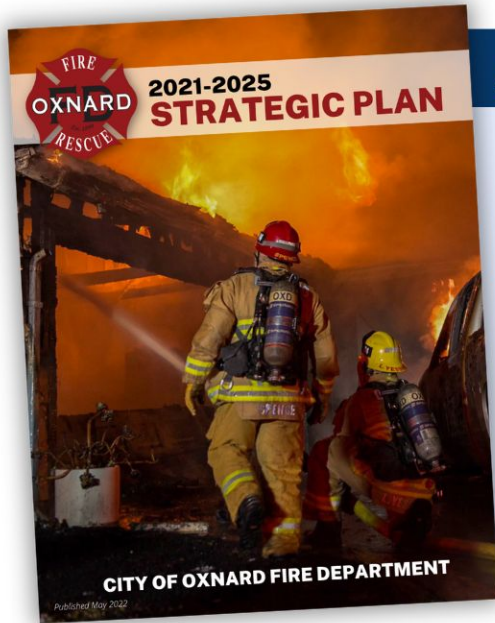


1,577 hours
donated by
250 volunteers



2,468 individuals
and **689** homes
made safer

2021 - 2025 Strategic Plan



ALIGNING WITH COUNCIL PRIORITIES

- Maintain 911 response times
- Maintain fire protection services
- Restore and possibly increase paramedic squads
- Create a modern disaster preparedness program to prepare the City for response and recovery from earthquakes, flooding and other disasters



2021 - 2025 Strategic Plan

PROGRESS HIGHLIGHTS

GOAL 1

PEOPLE

Maintain and recruit a highly-skilled, accountable, diverse and resilient workforce



Wellness/cancer prevention;
Training, recruitment

GOAL 2

SERVICE DELIVERY

Maintain and improve exceptional service delivery to the Oxnard Community

GOAL 3

INFRASTRUCTURE & RESOURCES

Modernize the department's aging facilities, apparatus and technology

Fire Station Construction projects; arrival of 3 replacement vehicles in 2024

GOAL 4

DISASTER PREPAREDNESS

Create a modern disaster preparedness program to prepare the community for response and recovery

Launched Disaster Preparedness survey

GOAL 5

PUBLIC ENGAGEMENT

Increase engagement of fire safety and prevention programs

Partnered with the Red Cross to install smoke detectors and provide home fire education to community members in need

GOAL 6

ADMINISTRATIONAL PERFORMANCE

Strengthen & modernize the department's administrative functions to increase efficiencies and improve service delivery

EMERGENCY MEDICAL SERVICES

EMERGENCY MEDICAL SERVICES



In 2018, the City had 10 firefighter/paramedics. By 2023, the City increased its paramedic training to now host **19 firefighter/paramedics**.



In 2023, the City also received a grant to place a **second paramedic squad** at Station 6. This has helped the City **improve its ALS Response times**.



YEAR	SQUAD(S)	RESPONSE TIME
2021	68	5 minutes, 43 seconds
2022	68	5 minutes, 15 seconds
2023	68 & 66	4 minutes, 40 seconds

EMS Updates and Accomplishments



EMERGENCY MEDICAL SERVICES



10 CPR saves



3 pediatric saves

OTHER INITIATIVES AND INNOVATIONS:



Bridge Program:
Buprenorphine
30% success rate



Cyanokit:
Reduces impacts of
Cyanide poisoning



**DEC.
2020**

Oxnard City Council votes to **leave the Joint Powers Authority (JPA)**

NEWS

VC Star.

Board extends ambulance pacts despite Oxnard's plan to drop out of county system

County has not sought competitive bids for services in 40 years

Kathleen Wilson Ventura County Star

Published 9:25 a.m. PT Mar. 24, 2021 | Updated 12:15 p.m. PT Mar. 24, 2021

**MAR.
2021**

Ventura County supervisors extended ambulance agreements

The County's contract would not address Oxnard's response issues for potentially another five years



**JUL.
2021**

Oxnard city leaders move forward with plan to **provide ambulance services starting July 1**

A court will determine the difference in legal opinion between the City and the County



2022

Court ruled that Oxnard could not leave the County system

Started working and building coalition:

- Senator Monique Limón
- Assemblymember Steve Bennett
- California Professional Firefighters (CPF)
- League of California Cities
- California Fire Chiefs Association (CFCA)



2024

Working on getting legislation passed

New Challenges



**CALL VOLUME
INCREASES**



**LITHIUM ION
BATTERIES**

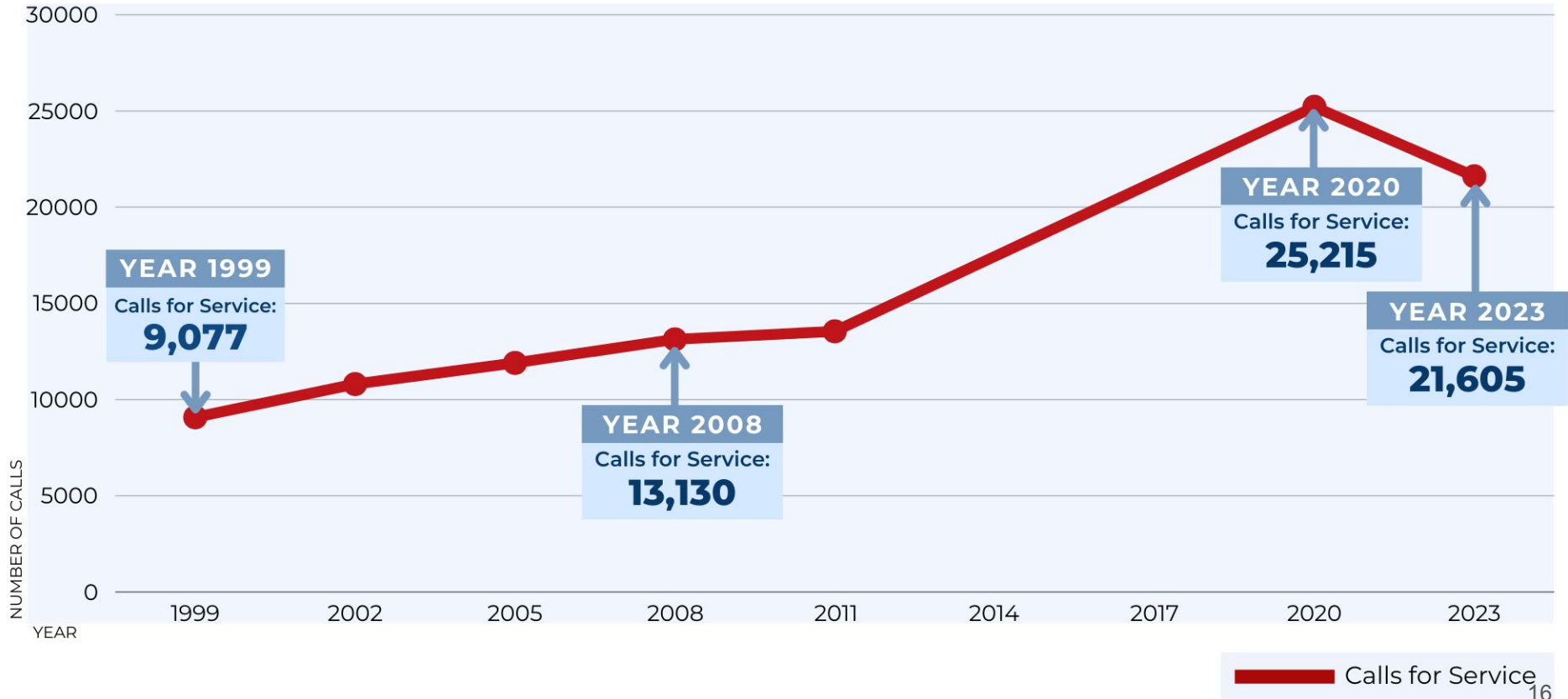


CLIMATE ADAPTION



SUPPLY CHAIN ISSUES

Calls for Service



Looking Ahead Into 2024



AB 700: California Firefighter Cancer Prevention and Research Program



Fire Station Construction:
Construction of 3 fire stations to meet the current and future needs of the community



Listos Program: Trained 7 Spanish-speaking volunteers to engage community with one-day disaster preparedness events



Workforce Development Opportunities:

- Fostering local talent
- Professional Development Program



Engine Replacement:
3 new engines arriving this year to replace aging apparatus



Smoke Detector Initiatives:
Continue partnerships to install smoke detectors for community members in need



Questions?



CITY COUNCIL AGENDA REPORT

REPORT OF CITY MANAGER/EXECUTIVE DIRECTOR/SECRETARY AGENDA ITEM NO. H.1

DATE: March 19, 2024

TO: City Council

FROM: Alexander Nguyen, City Manager, (805) 385-7430, alexander.nguyen@oxnard.org

SUBJECT: Severe Storms Emergency Actions.

RECOMMENDATION

That the City Council ratify Director Orders regarding the Severe Storms Local Emergency proclaimed by the Director of Emergency Services on December 27, 2023, and related actions taken pursuant to the Severe Storms Local Emergency.

BACKGROUND

STRATEGIC PRIORITIES

FINANCIAL IMPACT

COMMITTEE OUTCOME

Prepared by: Stephen Fischer, City Attorney

ATTACHMENTS

None



CITY COUNCIL AGENDA REPORT
CITY COUNCIL/HOUSING AUTHORITY/SUCCESSOR
AGENCY/FINANCING AUTHORITY
BUSINESS/COMMITTEE REPORTS
AGENDA ITEM NO. I.1

DATE: March 19, 2024

TO: City Council

FROM: Alexander Nguyen, City Manager, (805) 385-7430, alexander.nguyen@oxnard.org

SUBJECT: Appointment of Voting Delegate and Alternate for Southern California Association of Governments General Assembly. (5 minutes)

RECOMMENDATION

That the City Council appoint Councilmember Arthur Valenzuela Zavala as the City's voting delegate to attend the Southern California Association of Governments (SCAGs) 59th Annual Regional Conference and General Assembly Business Meeting scheduled for May 2 through 3, 2024 in Palm Desert, California and appoint an alternate delegate.

(This item did not originate in Committee.)

BACKGROUND

SCAG is a Joint Powers Authority under California state law, established as an association of local governments and agencies in six counties (Imperial, Los Angeles, Orange, Riverside, San Bernardino and Ventura) and 191 cities that voluntarily convene as a forum to address regional issues. SCAG develops long-range regional transportation plans, regional housing needs allocation, and a portion of the South Coast Air Quality management plans, providing broad-based representation of Southern California's cities and counties.

With its expanded membership structure, SCAG created regional districts to provide for more diverse representation with the intent to serve equal populations and communities of interest. Currently, the Regional Council consists of 86 members. Councilmember Laura D. Hernandez from the City of Port Hueneme was elected by the Ventura Council of Governments (VCOG) and serves as the Regional Councilmember representing District 45 (cities of Oxnard, Camarillo and Port Hueneme).

In addition, each member city appoints its own delegate and alternate representative to vote on the City's behalf at the Annual General Assembly, scheduled for May 3, 2024. All proposed resolutions and/or bylaws revisions will first be reviewed by the SCAG Bylaws and Resolutions Committee and Regional Council before being available for consideration at the General Assembly meeting scheduled for May 3, 2024.

Staff is recommending that the City Council appoint Councilmember Arthur Valenzuela Zavala as the City's voting delegate to attend the Southern California Association of Governments (SCAGs) 59th Annual Regional Conference and General Assembly Business Meeting scheduled for May 2 through 3, 2024 in Palm Desert, California and appoint an alternate delegate.

STRATEGIC PRIORITIES

This agenda item is a routine operational item or does not relate to the five strategic priorities adopted by City Council on March 16, 2021.

FINANCIAL IMPACT

The estimated cost to attend this conference is \$400.00. There are sufficient funds to cover this in account number 1011101-52310 budgeted in Fiscal Year 2023-24.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Luly Lopez, Executive Assistant I

ATTACHMENTS

None



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.1

DATE: March 19, 2024
TO: City Council
FROM: Rose Chaparro, City Clerk, (805) 385-7805, rose.chaparro@oxnard.org
SUBJECT: Approval of Minutes.

RECOMMENDATION

That the City Council approve the minutes of the February 20, 2024 regular meeting as presented.

BACKGROUND

Approval of Minutes.

STRATEGIC PRIORITIES

This agenda item is a routine operational item or does not relate to the five strategic priorities adopted by City Council on March 16, 2021.

FINANCIAL IMPACT

There is no financial impact.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Rose Chaparro, City Clerk

ATTACHMENTS

1. MINUTES 02 20 2024 CC Regular Meeting

MINUTES
OXNARD CITY COUNCIL
Regular Meeting
February 20, 2024

A. **ROLL CALL, POSTING OF AGENDA**

At 6:30 p.m., Mayor John C. Zaragoza called to order the regular meeting of the Oxnard City Council, in the City Hall Council Chambers at 305 W. Third Street, Oxnard, California. The City Clerk called the roll and announced the posting of the agenda. Councilmembers Gabriela Basua, Oscar Madrigal, Bert E. Perello, Gabriel (Gabe) Teran, Arthur Valenzuela, Mayor Pro Tem Bryan A. MacDonald, and Mayor John C. Zaragoza were present.

THE FOLLOWING LEGISLATIVE BODIES ARE MEETING: City Council.

CONSIDERATION OF TELECONFERENCE PARTICIPATION PURSUANT TO ASSEMBLY BILL 2449.

B. **OPENING CEREMONIES**

Mayor Zaragoza led the pledge of allegiance.

C. **PUBLIC COMMENTS ON ITEMS NOT ON THE AGENDA** (None received.)

D. **REPORT OF CITY MANAGER/EXECUTIVE DIRECTOR/SECRETARY**

1. **City Manager Department**

SUBJECT: Local Government Fun Fact: Comparative Cities Demographics.

RECOMMENDATION: That the Public and City Council receive a City Manager discussion about Oxnard's statistics as compared with other cities in the region.

City Manager Nguyen provided community updates.

2. **City Manager Department**

SUBJECT: Severe Storms Emergency Actions.

RECOMMENDATION: That the City Council:

1. Ratify Director Orders regarding the Severe Storms Local Emergency ("Local Emergency") proclaimed by the Director of Emergency Services on December 27, 2023 (ratified by the City Council on January 2, 2024);
2. Reconsider the existence of the Local Emergency; and
3. Find that there is a need to continue the Local Emergency.

Stephen M. Fischer, City Attorney presented and answered questions. Public comments were received from Ignacio Morales Mendez, Nereida Lopez Rodriguez, Edwin Balam, Leticia Cosino, and Martha Dolanco. Discussion ensued among the council and staff.

The recommended action was amended to adopt **Resolution No. 15,761**. Council voted to adopt **Resolution No. 15,761** ratifying Director Order 24-04 issued by the Director of Emergency Services suspending street sweeping citations for vehicles rendered inoperable due to severe flooding until March 11, 2024.

It was moved by Councilmember Perello, seconded by Councilmember Teran, to approve the recommended action as amended. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

E. CITY COUNCIL/HOUSING AUTHORITY/SUCCESSOR AGENCY/FINANCING AUTHORITY
BUSINESS/COMMITTEE REPORTS

The members of the Council provided brief announcements regarding their various activities.

1. City Clerk Department

SUBJECT: Appointment of Member to Serve on the City's Mobilehome Park Rent Review Board.

RECOMMENDATION: That the Mayor, with the approval of the City Council, appoint Yukio Okano as an at large member to the Mobilehome Park Rent Review Board.

No public comments were received.

It was moved by Mayor Pro Tem MacDonald, seconded by Councilmember Teran, to approve the recommended action as presented. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; the motion passed 7-0.

F. REVIEW OF INFORMATION/CONSENT AGENDA

Items H-1 through H.7 were reviewed and discussed among the Council and staff.

G. PUBLIC COMMENTS ON INFORMATION/CONSENT AGENDA (None received.)

H. INFORMATION/CONSENT AGENDA

1. City Clerk Department

SUBJECT: Approval of Minutes.

RECOMMENDATION: That the City Council approve the minutes of the January 16, 2024 regular meeting and the January 25, 2024 special meeting as presented.

2. Public Works Department

SUBJECT: Public Project Agreement 32400281 with Quinn Company dba. Quinn Power Systems for On-Call Stationary Generators Maintenance and Minor Repair Services (Rebid), Specification No. PW 24-15R.

RECOMMENDATION: That the City Council approve and authorize the Mayor to Execute an Agreement with Quinn Company dba Quinn Power Systems (Quinn) in the amount not to exceed \$420,000 for a three-year term ending from the effective date of the agreement for on-call stationary generators maintenance and minor repair services.

(Public Works and Transportation Committee approved 3-0 on January 23, 2024.)

3. Public Works Department

SUBJECT: Agreement 32400297 with Petroleum Telcom, Inc. dba Telcom, Inc. for Mobile Radio Equipment, Parts and Accessories; and Installation, Repair and Maintenance Services.

RECOMMENDATION: That the City Council approve and authorize an Agreement with Petroleum Telcom, Inc. dba Telcom, Inc. for a one-year term with the option to extend for four consecutive one-year periods for a maximum contract term of five years, and a total contract not-to-exceed amount over the entire possible contract term of \$900,000 for Mobile Radio Equipment, Parts and Accessories; and Installation, Repair and Maintenance Services on an on-call/as-needed basis.

(Public Works and Transportation Committee approved 3-0 on January 23, 2024.)

4. Public Works Department

SUBJECT: Department-Wide Blanket Purchase Order (#32400318) for a Not to Exceed Amount of \$455,000 with Consolidated Electrical Distributors dba Royal Industrial Solutions, Specification No. PW 23-39.

RECOMMENDATION: That the City Council approve and authorize the Purchasing Agent to execute a Blanket Purchase Order with Consolidated Electrical Distributors, dba Royal Industrial Solutions, for a not-to-exceed amount of \$455,000 through June 30, 2024, with an option to extend through June 30, 2025.

(Public Works and Transportation Committee approved 3-0 on January 23, 2024.)

5. City Manager Department

SUBJECT: Update on Status and Accomplishments of Current Measure O Projects.

RECOMMENDATION: That the City Council receive and file this report.

(The Measure O Citizen Oversight Committee heard this update at its January 25, 2024, meeting and recommended the item be forwarded to City Council.)

6. Billing and Licensing Department

SUBJECT: Impose actual cost recovery fees for use of credit cards, debit cards and electronic checks.

RECOMMENDATION: That the City Council adopt **Resolution No. 15,762** imposing fees for the use of credit cards, debit cards and electronic checks.

7. Community Development Department

SUBJECT: Award of Agreement for the Preparation of a Comprehensive Update to the Local Coastal Program (LCP).

RECOMMENDATION: That the City Council approve and authorize the Mayor to execute a three (3) year agreement with the option for (1) one-year extension (Agreement No. 32400306) with Dudek, in an amount not to exceed \$881,875 for the preparation of a comprehensive update to the Local Coastal Program (LCP), which is reflective of updates to the Coastal Land Use Plan (LUP) and Local Implementation Plan (LIP), Chapter 17 of the Oxnard City Code (Coastal Zoning Ordinance).

(The Community Services, Public Safety, Housing, & Development Committee approved 3-0 on January 23, 2024.)

Corrections were made to the January 16, 2024 draft meeting minutes, as follows: Item H.1 vote 5-0-1, Councilmember Teran recused and Councilmember Madrigal was absent. Item K.4 vote 5-0-1, Mayor Pro Tem MacDonald recused and Councilmember Madrigal was absent.

It was moved by Councilmember Teran, seconded by Councilmember Valenzuela, to approve the recommended action as presented with the corrections made to the draft minutes. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; the motion passed 7-0.

I. REPORTS

1. City Manager Department

SUBJECT: Citywide Wayfinding Program Approval.

RECOMMENDATION: That City Council:

1. Approve the Citywide Wayfinding Program and authorize a prioritized implementation plan;
2. Approve an agreement 32400391 with Found Design, LLC for an amount not to exceed \$65,000 for design development, engineering and construction administration for the Wayfinding Program; and
3. Approve an agreement 32400388 with Ardurra Group, Inc. for an amount not to exceed \$119,000 for Project/Construction Management services for the Wayfinding Program.

The Community Services, Public Safety, Housing & Development Committee approved 3-0 on January 23, 2024 to approve the staff recommendation and to forward the item for Council

approval.

Samantha Shapiro, Project Manager introduced Julie Buffo, President & CEO of Oxnard Convention and Visitors Bureau and John Bosio, Design Consultant with Found Design, LLC (participated virtually). Julie Buffo and John Bosio presented and answered questions. No public comments were received.

It was moved by Mayor Pro Tem MacDonald, seconded by Councilmember Basua, to approve the recommended action. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

2. City Manager Department

SUBJECT: Oxnard Convention & Visitors Bureau Agreement (July 1, 2024 - June 30, 2030).

RECOMMENDATION: That the City Council approve Agreement No. 32400300, a 5-year agreement totaling \$1,691,000, between the City of Oxnard and the Oxnard Convention and Visitors Bureau.

The Community Services, Public Safety, Housing & Development Committee approved 3-0 on January 23, 2024.

No public comments were received.

It was moved by Councilmember Madrigal, seconded by Councilmember Perello, to approve the recommended action. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

3. Information Technology Department

SUBJECT: Oxnard 311 Performance Report.

RECOMMENDATION: That the City Council receive an update on the year 7 (and first half of year 8) performance of the Oxnard 311 Constituent Relationship Management System.

(The Community Services, Public Safety, and Housing & Development Committee approved 3-0 on January 23, 2024.)

No public comments were received. The report was received and filed, no formal action was required.

4. Public Works Department

SUBJECT: First Amendment to Agreement A-8281 with Kennedy Jenks Inc. for Construction Management Services for the Oxnard Wastewater Treatment Plant Reliability Improvement Project PW 20-102.

RECOMMENDATION: That the City Council approve and authorize the Mayor to execute a First Amendment to Professional Services Agreement A-8281 with Kennedy Jenks Inc. to increase the not to exceed amount from \$3,279,740 to \$4,514,536 and extend the term date to March 31, 2026, for additional construction management services for the Oxnard Wastewater

Treatment Plant (OWTP) Reliability Improvement Project (No. C2201).

(This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.)

Timothy Beaman, Supervising Civil Engineer presented and answered questions. No public comments were received.

It was moved by Councilmember Teran, seconded by Councilmember Valenzuela, to approve the recommended action. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

5. Public Works Department

SUBJECT: Agreement 32400334 with J I Gandara Transport for Supplemental Waste Transfer Hauling Services for the Del Norte Regional Recycling and Transfer Station.

RECOMMENDATION: That the City Council approve and authorize the Mayor to execute an Agreement with J I Gandara Transport for an amount not to exceed \$5,000,000 for an initial one-year term, with an option to extend for two additional one-year terms; ending March 1, 2027, to provide supplemental waste transfer hauling services for the Del Norte Regional Recycling and Transfer Station.

(Public Works and Transportation Committee approved 3-0 on January 23, 2024.)

Eric Zetz, Environmental Resources Manager presented and answered questions. No public comments were received.

It was moved by Councilmember Perello, seconded by Councilmember Basua, to approve the recommended action. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

6. Public Works Department

SUBJECT: Ratification of a Grant Application to the Outdoor Recreation Legacy Partnership Grant Program for the Campus Park Project.

RECOMMENDATION: That the City Council approve the:

1. Ratification of the submission of a grant application to the Outdoor Recreation Legacy Partnership Grant Program for the Campus Park Activation Support project in an amount not to exceed \$7,000,000 with a 1:1 match required coming from state grant funds in the Campus Park Activation Project (Phase 1, Project C2104);
2. City Manager or designee to execute grant agreements;
3. Finance Director or designee to submit financial reports and grant claims and approve budget appropriations for the use of grant funds; and
4. Public Works Director or designee to submit non-financial reports.

(This item did not originate in Committee as it is for a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.)

Michael Wolfe, Public Works Director presented and answered questions. No public comments were received.

It was moved by Councilmember Teran, seconded by Councilmember Perello, to approve the recommended action. VOTE: Basua, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 6-0-1. Mayor Pro Tem MacDonald recused himself due to owning property near the project location.

7. Public Works Department

SUBJECT: **Resolution No. 15,763** for Ratification of Grant Application for California Extended Water and Wastewater Arrearage Program.

RECOMMENDATION: That the City Council:

1. Adopt **Resolution No. 15,763** that ratifies the submittal of a grant application and acceptance of a grant award from the California Water and Wastewater Arrearage Payment Program in the amount of \$199,850.61 for delinquent water charges and \$197,163.63 for delinquent wastewater charges related to COVID-19, which includes 3% administrative costs; and

2. Authorize the City Manager to execute grant agreements; the Finance Director or designee to submit financial reports and grant claims and approve budget appropriations for the use of grant funds; the Director of Public Works or designee to submit non-financial reports.

(This item did not originate in Committee as this is an extension of a grant previously approved by City Council.)

Michael Wolfe, Public Works Director and Luis Ortega, Wastewater Management Analyst presented and answered questions. No public comments were received.

It was moved by Mayor Pro Tem MacDonald, seconded by Councilmember Perello, to approve the recommended action. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

8. City Manager Department

SUBJECT: Approval of One New Ongoing Measure O Project; One New Measure O One-Time Project; and a Scope Expansion (without Funding Change) to One Existing Measure O Project.

RECOMMENDATION: That the City Council:

1. Approve the following new Measure O project with the associated ongoing funding: Measure O Annual Report Printing - \$28,000 annually;
2. Approve the following new Measure O project with associated one-time funding: Radio Infrastructure - \$952,720;
3. Authorize a budget appropriation in Fiscal Year 2023-24 in the total amount of \$980,720, for the two projects identified above, from the available Measure O (104) fund balance (as well as the corresponding Capital Outlay Fund (301) transfer in and appropriation related to the Radio Infrastructure project); and
4. Approve a scope expansion for the existing Measure O Safe Homes Safe Families project, for which no additional funding is being requested.

(The Measure O Committee approved 8-0 on January 25, 2024 to approve the staff recommendation and to forward the item for Council approval)

Jason Benites, Police Chief and Annie Jensen, Project Manager presented and answered questions. No public comments were received.

It was moved by Mayor Pro Tem MacDonald, seconded by Councilmember Teran, to approve the recommended action. VOTE: Basua, MacDonald, Madrigal, Perello, Teran, Valenzuela, and Zaragoza voted in favor; motion passed 7-0.

J. ADJOURNMENT

There being no further business on the agenda, and without objection, Mayor Zaragoza adjourned the meeting at 8:01 p.m.

ROSE CHAPARRO

City Clerk

JOHN C. ZARAGOZA

Mayor



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.2

DATE: March 19, 2024

TO: City Council

FROM: Alexander Hamilton, Fire Chief, (805) 385-7700, alexander.hamilton@oxnard.org

SUBJECT: Adopt a Resolution Acknowledging receipt of a report submitted by the Fire Chief of the Oxnard Fire Department regarding the inspection of certain occupancies.

RECOMMENDATION

That the City Council of the City of Oxnard adopt a resolution acknowledging receipt of a report prepared by the Oxnard Fire Department's Fire Chief concerning the inspection of specific occupancies, as mandated by Sections 13146.2 and 13146.3 of the California Health and Safety Code.

(The Community Services, Public Safety, Housing & Development Committee voted 3-0 on February 27, 2024, to approve the staff recommendation and to forward the item for Council approval.)

BACKGROUND

On September 27, 2018, the Governor approved California Senate Bill 1205 (SB 1205), which added Section 13146.4 to the California Health and Safety Code, impacting every fire department or fire district in the state. Alongside the existing state regulation mandating annual building inspections for fire safety, the new bill stipulates that each fire department or district's administering authority (such as a City Council) must formally acknowledge receipt of the compliance report through a resolution or a similar formal document. To adhere to this requirement, it is recommended that the City Council adopt the attached resolution acknowledging receipt of a report submitted by the Fire Chief of the Oxnard Fire Department regarding the inspection of specific occupancies as required under Sections 13146.2 and 13146.3 of the California Health and Safety Code. The subject occupancies encompass public and private schools, hotels, motels, lodging houses, apartment houses, and residential care facilities.

The California State Fire Marshal, through California's Health and Safety Code, mandates annual inspections of certain occupancies. In December 2016, a deadly fire occurred at an Oakland warehouse (known as the "Ghost Ship"), resulting in the deaths of 36 people, marking the highest death toll for a structural fire in the United States in over 10 years. Investigations into this tragic incident revealed that although the State required annual inspections of various occupancies, the inspection reports were not required to be reported to any governing body. This lack of reporting hindered accountability and enforcement in ensuring that structural facilities complied with building safety standards. Consequently, on September 27, 2018, the Governor approved SB 1205, authored by Senator Jerry Hill, legislating the reporting of annual inspections to the administering authority (such as a City Council) through a resolution or a similar formal document.

DISCUSSION

The attached resolution and its adoption will serve as a formal document to fulfill SB 1205's annual fire inspection reporting requirements pursuant to Sections 13146.2 and 13146.3 of the California Health and Safety Code. According to this law, annual building inspections and reporting must be conducted on two groups of occupancies: Educational Group E and Residential Group R. Educational Group E occupancies consist of public and private schools used by more than six persons at one time for educational purposes through the 12th grade. Furthermore, Residential Group R occupancies

encompass those containing sleeping units such as hotels, motels, lodging homes, and apartments (three units or more), including residential care facilities. In compliance with the calendar year 2023 reporting period, Group E (Educational Occupancies) had 40 buildings, structures, and/or facilities inspected. In Group R (Residential Occupancies), 670 occupancies were inspected. The educational occupancy group achieved a compliance rate of 70%, and the residential occupancy group achieved a compliance rate of 49%. Copies of the individual inspection reports can be made available to the public upon request.

It is commendable to see the City of Oxnard's dedication to fire prevention and safety measures. Achievements include: Conducting 710 annual fire inspections to meet state requirements, engaging with neighborhood councils for fire safety messaging, installing over 5,000 smoke alarms in residences, investigating 101 fires, completing over 700 construction plan checks and 500 construction permit inspections, providing 45 State Fire Marshal classes to nine personnel, integrating technological solutions to streamline inspections, and reorganizing staffing assignments to optimize resources. These efforts reflect a proactive approach to improving fire prevention and safety in Oxnard. Continued emphasis on training, staffing, and technological advancements will likely lead to further progress in meeting state mandates and enhancing community safety.

ENVIRONMENTAL IMPACT

The proposed action does not constitute a project under the California Environmental Quality Act ("CEQA"), and it can be seen with certainty that it will have no impact on the environment. Thus, this matter is exempt under the CEQA.

STRATEGIC PRIORITIES

This agenda item supports the Public Safety strategy. The purpose of the Public Safety strategy is to restore and modernize the delivery of public safety services to provide for the safety of our neighborhoods and the health of our community.

FINANCIAL IMPACT

Adoption of the resolution has no immediate fiscal impact on the City.

COMMITTEE OUTCOME

The Community Services, Public Safety, Housing & Development Committee voted 3-0 on February 27, 2024, to approve the staff recommendation and to forward the item for Council approval.

Prepared by: Alexander Hamilton, Fire Chief, Fara Ravan, Administrative Services Support Supervisor

ATTACHMENTS

1. SB 1205 Resolution

CITY COUNCIL OF THE CITY OF OXNARD

RESOLUTION NO. _____

**A RESOLUTION ACKNOWLEDGING RECEIPT OF THE OXNARD FIRE
DEPARTMENT'S INSPECTION REPORT UNDER CALIFORNIA HEALTH AND
SAFETY CODE SECTIONS 13146.2 AND 13146.3**

WHEREAS, on September 27, 2018, the Governor approved Senate Bill 1205 (hereinafter referred to as the "Bill"), which added Section 13146.4 to the California Health and Safety Code; and

WHEREAS, California Health & Safety Code Sections 13146.2 and 13146.3 require all fire departments, including the Oxnard Fire Department, that provide fire protection services to perform annual inspections in every building used as a public or private school, hotel, motel, lodging house, apartment house, or certain residential care facility for compliance with building standards; and

WHEREAS, California Health & Safety Code Section 13146.2 requires all fire departments, including the Oxnard Fire Department, that provide fire protection services to report annually to its administering authority on compliance with Sections 13146.2 and 13146.3; and

WHEREAS, the City Council of the City of Oxnard intends by adoption of this Resolution to fulfill the requirements of the California Health & Safety Code regarding acknowledgment of the Oxnard Fire Department's non-compliance with California Health & Safety Code Sections 13146.2 and 13146.3; and

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF OXNARD DOES HEREBY FIND, DETERMINE, AND RESOLVE AS FOLLOWS:

SECTION 1. The City Council of the City of Oxnard expressly acknowledges the non-compliance of the Oxnard Fire Department with California Health & Safety Code Sections 13146.2 and 13146.3 within the jurisdiction of the City, as follows:

A. EDUCATIONAL GROUP E OCCUPANCIES:

Educational Group E occupancies are generally those public and private schools used by more than six persons at any one time for educational purposes through the 12th grade. Within the City are 58 Group E occupancies, buildings, structures, and/or facilities. During the calendar year 2023, the Oxnard Fire Department completed the annual inspection of 40 Group E occupancies, buildings, structures, and/or facilities. This is a compliance rate of 70% for this reporting period.

B. RESIDENTIAL GROUP R OCCUPANCIES:

Residential Group R occupancies, for the purposes of this Resolution, are generally those occupancies containing sleeping units and include hotels, motels, apartments (three units or more), etc., as well as other residential occupancies (including a number of residential care facilities). These residential care facilities have a number of different sub-classifications, and they may contain residents or clients that have a range of needs, including those related to custodial care, mobility impairments, cognitive disabilities, etc. The residents may also be non-ambulatory or bedridden. Within the City, there are 1358 Group R (and their associate sub-categories) occupancies of this nature. During the calendar year of 2023, the Oxnard Fire Department completed the annual inspections of 670 Group R occupancies, buildings, structures, and/or facilities. This is a compliance rate of 49% for this reporting period.

SECTION 2. The City Clerk shall certify the adoption of this Resolution.

PASSED AND ADOPTED this 19th day of March, 2024 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

John C. Zaragoza
Mayor

ATTEST:

APPROVED AS TO FORM:

Rose Chaparro
City Clerk

Stephen M. Fischer
City Attorney



CITY COUNCIL AGENDA REPORT

INFORMATION/CONSENT AGENDA

AGENDA ITEM NO. L.3

DATE: March 19, 2024

TO: City Council

FROM: Steve Naveau, Human Resources Director, (805) 385-7947, steve.naveau@oxnard.org

SUBJECT: Oxnard Peace Officers Association Side Letter Agreements.

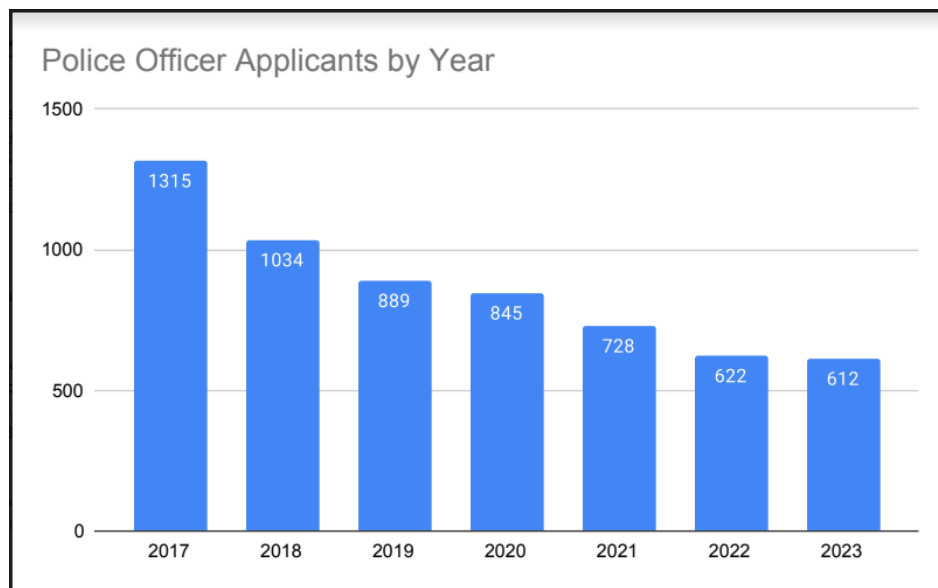
RECOMMENDATION

That the City Council of the City of Oxnard adopt a Resolution approving the attached side letter agreements establishing a hiring incentive program for experienced (lateral) police officers, in the amount of \$20,000 payable over three years; and a \$3,000 recruitment incentive for Oxnard Peace Officer Association members who successfully recruit police officers and public safety dispatchers.

(This item did not originate in Committee.)

BACKGROUND

Recruitment and retention of sworn officers has been an ongoing challenge for decades. In recent years, law enforcement agencies across the nation have been experiencing significant increases in retirements and resignations. A survey released in 2023 by the Police Executive Research Forum (PERF) showed of the 182 law enforcement agencies surveyed there were 47% more resignations and 19% more retirements in 2022 compared to 2019. At the same time, there has been a steady decline in the number of applicants for law enforcement related careers. In calendar year 2017, the City had 1,315 applications for police officer; as of the end of the 2023 calendar year, the City had 612 such applications.



To combat the increasing attrition rate in 2022, the City doubled its number of recruitment periods from two to four, which has resulted in more candidates that the City can send to the Police Academy. Even with this increase in the number of academies held each year, we have been unable to gain ground on the number of vacancies.

For an Oxnard police officer candidate to work independently, he or she must complete a 6-month academy and then follow that up with a 12-month probationary and training period. This means that a candidate recruited today will not be a police officer capable of working independently for 18 months. Given this, public law enforcement agencies also try to recruit experienced police officers from other jurisdictions. When an officer moves from one jurisdiction to another they are commonly referred to as a police officer lateral candidate. The advantages of a police officer lateral candidate is that, in general, they take approximately six months to train after which they are released from the training program and work as a fully trained police officer. With this significant reduction in training, there is also a cost savings as the average cost of sending one police officer candidate to an academy (only tuition and expenses) is approximately \$21,000.

Given the above circumstances, law enforcement agencies have been trending towards offering hiring incentives, such as cash payments, for lateral hires. In this region, the Ventura County Sheriff's Office (VCSO) offers a \$15,000 signing bonus for lateral hires. Other agencies in California have offered up to \$75,000. Statewide examples of California agencies offering lateral hiring incentives include:

- Alameda Police Department: \$75,000
- El Segundo Police Department: up to \$70,000
- Hemet Police Department: \$60,000
- Arcata Police Department: \$50,000
- Palm Springs Police Department: \$30,000
- Napa Police Department: \$15,000
- Colton Police Department: \$15,000

DISCUSSION

Lateral Hiring Incentive

Staff is recommending that the City offer a hiring incentive as a tool that may be used to entice lateral police officer candidates to join the Oxnard team. The proposal that staff developed and negotiated with the Oxnard Peace Officers Association (OPOA) would provide for a \$20,000 signing bonus paid over a 3-year period for anyone who has been a police officer for at least 2 years. As the officer completes each 12 months of service, he or she would be paid approximately one-third of the \$20,000. The program would expire after 12 months (meaning it would not be available after that time for new lateral hires) and could only be extended by staff returning to City Council for its approval. The 12-month period starts as of the date that the first lateral officer is hired under the program.

Recruitment Incentive

Staff believes that having its own employees working to recruit qualified police officers and dispatchers is a potential force multiplier in attracting applicants. The Police Department used to provide a \$2,000 recruitment incentive, but this was discontinued approximately eight to ten years ago as a result of the City's fiscal challenges.

Staff is recommending offering OPOA employees \$3,000 for every officer or dispatcher (whether entry-level or lateral) that they recruit who successfully passes the probationary period. This would be payable in one installment, contingent upon the recruited officer or dispatcher's successful completion of his or her probationary period. The recruited person must identify the person who recruited them at the time of hire. Members of the OPD's collateral assignment Recruitment Team, as well as supervisors who are directly assigned to the Recruiting Sergeant position, would not be eligible to receive this payment. Employees may not receive a bonus for referring family members. This program also would expire after 12 months.

STRATEGIC PRIORITIES

This agenda item supports the Public Safety strategy. The purpose of the Public Safety strategy is to restore and modernize the delivery of public safety services to provide for the safety of our neighborhoods and health of our community.

FINANCIAL IMPACT

The total cost of the lateral hiring incentive would be determined by the number of vacant positions that are filled with lateral hires while the program is active. Assuming the City hires six lateral officers under this program, the total cost over three years would be \$120,000, or \$40,000 per fiscal year. Staff does not anticipate a high total cost of the recruitment incentive; at most, this program would cost \$30,000.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Steve Naveau, Human Resources Director

ATTACHMENTS

1. Recruitment Incentives Resolution
2. Lateral Hiring Incentive Side Letter Exhibit A
3. Referral Program Side Letter Exhibit B

CITY COUNCIL OF THE CITY OF OXNARD

RESOLUTION NO. _____

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF OXNARD APPROVING THE
SIDE LETTER AGREEMENTS BETWEEN THE CITY OF OXNARD AND THE OXNARD
PEACE OFFICERS ASSOCIATION REGARDING RECRUITMENT INCENTIVES

WHEREAS, the Oxnard Peace Officers Association is the recognized representative for Police Officer and Public Safety Dispatcher classifications within the City of Oxnard; and

WHEREAS, the City wishes to enter into the side letter agreement attached as Exhibit A hereto and incorporated herein creating a Lateral Signing Bonus Program for experienced Police Officer candidates who wish to transfer from other public safety agencies to the City of Oxnard; and

WHEREAS, the City wishes to enter into the side letter agreement attached as Exhibit B hereto and incorporated herein creating a Referral Program for employees in the Oxnard Peace Officers Association who successfully refer a Police Officer or Public Safety Dispatcher candidate to the City for employment; and

WHEREAS, the representatives for the Oxnard Peace Officers Association and the City met and conferred as required and are in agreement with the side letters, which are attached as exhibits to this Resolution.

NOW, THEREFORE, the City Council of the City of Oxnard resolves that the side letter agreements attached hereto as Exhibits A and B are adopted and the City Manager and/or his designee is directed to perform all acts necessary to implement their terms and conditions on behalf of the City.

PASSED AND ADOPTED THIS 19th day of March, 2024, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

John C. Zaragoza, Mayor

APPROVED AS TO FORM:

Rose Chaparro, City Clerk

Stephen M. Fischer, City Attorney

Police Officer Lateral Hiring Incentive Program

Upon approval of the Chief of Police and the Human Resources Director, the City will pay a lateral hiring incentive to experienced police officers from other California law enforcement agencies who are hired to work for the City of Oxnard as a lateral police officer.

Conditions Needed Upon Hire to Qualify

An officer must meet the following conditions upon hire for the bonus to be offered:

1. The officer must have worked at another California agency as a police officer as defined under Penal Code section 832 for a minimum of two (2) years.
2. The officer must have completed their probationary period.
3. The officer must be in good standing with their former agency. Good standing means that an officer has not received formal disciplinary action to include a suspension, demotion or termination within the 12 month period served prior to applying for work with the City of Oxnard.
4. The officer must not have previously been employed with the City of Oxnard as a police officer.

Payment Amount

The lateral hiring incentive shall be \$20,000 and will be paid over three installments.

1. The first installment of \$6,500 will be paid upon completion of the officer's probationary period.
2. The second installment of \$6,500 shall be paid upon completion of 24 months of full time active service.
3. The third installment of \$7,000 shall be paid upon completion of 36 months of full time active service.

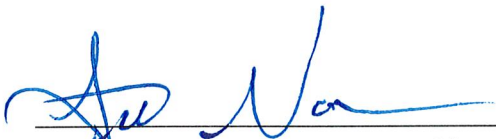
Active service is defined as time spent actively working in the department in the capacity of a police officer. Time that does not qualify as active service includes any injury leave whether personal or work related, or an extended absence beyond one week.

Ongoing Conditions of Employment

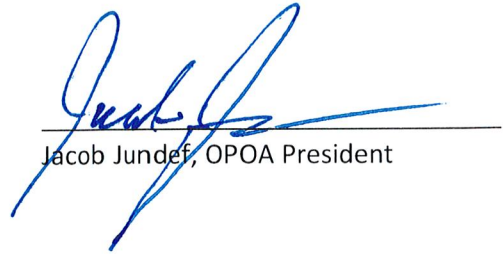
An officer must remain in good standing with the Oxnard Police Department to qualify for each successive payment. Good standing shall mean the officer has not received any formal disciplinary action above a letter of reprimand. For the purposes of this program disciplinary action includes suspension, demotion, and termination. Should an officer receive formal disciplinary action above a letter of reprimand, then all successive remaining payments under the agreement are voided. If the disciplinary action is overturned through the disciplinary appeal process then the remaining payments will resume.

Program Expiration

This program shall expire 12 months after the date of hire of the first lateral officer candidate. Any candidate who has been hired under the program and continues to qualify shall be paid the full amount even after program expiration. No new candidate will be hired under this program upon expiration of the program.



Steve Naveau, Human Resources Director



Jacob Jundef, OPOA President

Referral Program

Members of the Oxnard Peace Officers Association ("OPOA") may receive a referral bonus for referring a police officer or dispatcher candidate to the City who is successfully recruited and passes their probationary period.

Amount of Bonus

The amount of the bonus shall be \$3,000 per candidate referred, payable upon the recruited person's successful completion of their probationary period.

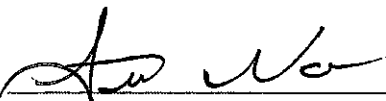
Conditions for Receiving Bonus

The following conditions must be met for the bonus to be paid:

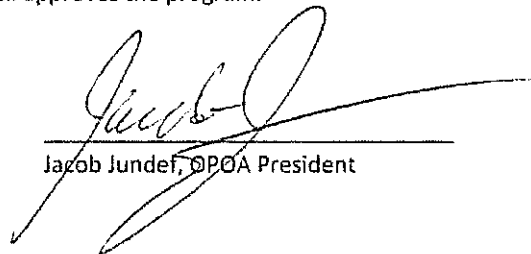
1. The recruited employee successfully completes their probationary period.
2. The employee who recruits the police officer or dispatcher is employed by the City of Oxnard at the time that they are eligible for payment.
3. Employees may not receive a bonus for referring family members. Family members include an employee's spouse, domestic partner, parent, stepparent, brother, sister, child, stepchild, grandchild, step-grandchild, mother-in-law, father-in-law, daughter-in-law, son-in-law, aunt, uncle, nephew, niece, or any person with whom the employee has a relationship in loco parentis.
4. The Police Sergeant who oversees recruiting and hiring may not receive a referral bonus.
5. Members of the Police Department's collateral assignment "Recruitment Team" are not eligible to receive the bonus for anyone referred or recruited through Recruitment Team events, and/or referrals obtained from under the purview of the Recruitment Team.

Program Expiration

The program will expire 12 months from the date that Council approves the program.



Steve Naveau, Human Resources Director



Jacob Junder, OPOA President



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.4

DATE: March 19, 2024

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: Fourth Amendment to Professional Services Agreement 9200-21-PW with Guidepost Solutions LLC for Water Campus: Security Improvements Project PW 21-29.

RECOMMENDATION

That the City Council approve and authorize the execution of a Fourth Amendment to a Professional Services Agreement with Guidepost Solutions LLC to increase the not to exceed amount from \$179,670 to \$235,601 for additional engineering design work required for the Water Campus: Security Improvements Project (No. C2027).

(This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.)

BACKGROUND

On May 21, 2021, the City Manager approved Agreement 9200-21-PW with Guidepost Solutions LLC, in the amount of \$153,675, for design services for the Water Campus: Security Improvement Project. The initial scope of work included:

- Develop a Technical Memorandum consisting of a thorough analytical write-up and provide recommendations based on Guidelines for Physical Security of Water Utilities (ASCE 56-10).
- Provide a complete set of design drawings for surveillance cameras, recording equipment, and intrusion alarms for Water Division Headquarters and Blending Stations 2, 3, 4, & 5. The design shall comply with Oxnard Video Surveillance and Access Control System Guidelines.
- Provide a complete set of design drawings for Barrier and Access improvements for the Water Division Headquarters and Blending Stations 2 and 4. The initial scope did not include Blending Station 5. It was added as security issues arose.
- Provide a bid item list with a cost estimate to be used for budgetary purposes by the City.
- Provide bid and construction phase services and support.
- Develop record drawings representing the final installation.

On October 25, 2021, the Purchasing Division approved the First Amendment to Agreement 9200-21-PW with Guidepost Solutions LLC, to provide additional time, extending the contract to December 31, 2022.

On May 10, 2022, the City Manager approved the Second Amendment to this same Agreement in the amount of \$25,995, for additional design services for the Water Division Security Improvement Project. The amended scope of work included:

- Provide Topographic Survey Services for the Water Department Headquarters (adding to the scope of work plans for Blending Stations 1 & 6) as well as Blending Stations 2, 4, and 5. These services were required to generate

accurate, dimensioned site layouts of the site perimeter boundaries and fence lines for KPFF to execute their work on the Barrier and Access portions of the project.

On August 24, 2023, the purchasing division approved the Third Amendment to Agreement 9200-21-PW with Guidepost Solutions LLC, to provide additional time, extending the contract to December 31, 2024.

Capital Improvement Program (CIP) Reference:

Project No. **C2027** (206510) – Water Campus: Security Improvements Project - CIP 2022-2027 - Adopted May 3, 2022 – Pages 119.

DISCUSSION

As titled, this project is for additional physical security measures at the Water Campus, located at 251 South Hayes Avenue. Unfortunately, for many years, the Water Campus has seen numerous security issues such as trespassing, stolen parts/equipment, vandalism of vehicles and buildings, break-ins, etc. The Water Campus uses security guards, which provides a level of protection for the property. However, additional physical security improvements are needed to ensure the integrity of the City's potable water system and ultimately the water served to our customers.

Continued security issues (e.g trespassing, vandalism of City property, etc) at Water Division Facilities resulted in staff revisiting the completed design submission and providing comments and solutions to newly identified security concerns. This amendment for an additional \$55,931 addresses the following:

- Extension of CMU walls in multiple locations, including design calculations and details for each type of extension anchorage
- Change the type of fencing to further inhibit the climbing and cutting of fences.
- Additional coordination with the City, design consultant, and fence manufacturers.
- Revise plans and specifications per additional City Comments

STRATEGIC PRIORITIES

This agenda item supports the Infrastructure and Natural Resources strategy. The purpose of the Infrastructure and Natural Resources strategy is to preserve and improve our roads, utilities, parks, trees, water supply and natural resources through effective planning, prioritization, and an equitable and efficient use of available funding.

FINANCIAL IMPACT

The current not-to-exceed amount for Agreement 9200-21-PW Guidepost Solutions LLC with the three processed amendments shall not exceed \$179,670. With the proposed Fourth Amendment the new not-to-exceed contract amount will be \$235,601 for additional professional engineering design services.

The Water Campus: Security Improvements Project No. C2027 has sufficient appropriations in the Water Security and Prevention Fund (608) and corresponding Water Capital Fund (602) to fund this amendment.

For Finance Use Only: Water Security and Prevention Fund (Org. 6081001 Obj. 59800), Water Capital Fund (Org. 6023607 Obj. 49300 & 53200) Project C2027

COMMITTEE OUTCOME

This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.

Prepared by: Timothy Beaman, Supervising Civil Engineer

ATTACHMENTS

1. Guidepost 9200-21-PW 4th Amendment
2. Guidepost 9200-21 PW Original - 3rd Amendment

FOURTH AMENDMENT TO PROFESSIONAL SERVICE AGREEMENT

This Fourth Amendment (“Fourth Amendment”) to the Professional Services (“Agreement”) is made and entered into in the County of Ventura, State of California, this _____ day of March, 2024 by and between the City of Oxnard, a municipal corporation (“City”), and Guidepost Solutions LLC (“Consultant”). This Fourth Amendment amends the Agreement entered into on May 6, 2021, by City and “Consultant”_. The Agreement previously has been amended on October 25, 2021, by a First Amendment, on May 1, 2022, by a Second Amendment, and on August 24, 2023 by a Third Amendment.

City and Consultant agree as follows:

1. The reference to the Scope of Services Exhibit everywhere is listed both on the Cover Page and in the Agreement is hereby amended to add also the Scope of Services A-2 Exhibit. The Scope of Services A-2 Exhibit is attached hereto and incorporated herein by this reference.
2. The reference to the Rates and Cost Exhibit everywhere it is listed both on the Cover Page and in the Agreement is hereby amended to add also the Rates and Cost A-2 Exhibit. The Rates and Cost A-2 Exhibit is attached hereto and incorporated herein by this reference.
3. As so amended, the Agreement remains in full force and effect.

[Signatures on next page]

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD

GUIDEPOST SOLUTIONS LLC

☒ John C. Zaragoza, Mayor¹ Date
☐ Jennifer Yates, Purchasing Agent²

Julie Myers-Wood, CEO Date

Anthony Collura, CFO/CLO³ Date

ATTEST:

Rose Chaparro, City Clerk Date
(only if Mayor signs)

APPROVED AS TO FORM:

Stephen M. Fischer, City Date
Attorney (always required)

¹ The City Council must authorize and the Mayor must execute any agreement over \$200,000.

² The Purchasing Agent may execute any authorized agreement up to \$200,000.

³ The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC (company directors, not lower-level managers); or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

January 30, 2024

Taylor Gambino
Project Manager
Public Works Department, Engineering
251 S Hayes Ave
Oxnard, California 93030
M: 805.393.4035
E: taylor.gambino@oxnard.org

**Project: Water Division Security Project PW21-29
Updated Scope – Original, CO1 and CO3**

Dear Mr. Gambino,

We are submitting this ammended scope definition for the fencing consulting and design services with schedule extension related to the Water Division Security Project PW21-29 project. This document is based on our conference calls, emails, current project documentation, and the adjusted scope from the overall project.

SCOPE OF SERVICES

This amendment addresses the following scope of services that need to be provided for this project to cover the current project scope as it has evolved since it's inception. Current and proposed scope services include the following:

- Conduct a comprehensive condition assessment of existing building infrastructure and their locations, including security systems and Crime Prevention Through Environmental Design (CPTED) elements of the existing Campus site(s) within the project scope. This includes all aspects of Access Control, video surveillance, and other Electronic Security Elements.
- Perform site security surveys of Project sites for the proposed security system upgrades.
- Review existing City of Oxnard technology infrastructure to validate security upgrade potential or lack thereof.
- Produce a consolidated report of the survey findings, including recommendations regarding the security system and infrastructure.
- Engage the services of Rosell Surveying and Mapping, Inc. ("RSM") located at 20951 Brookhurst St, Huntington Beach, CA 92646 for professional topographic surveying services of the City of Oxnard HQ Campus (Blending Station 1 & 6) as well as Blending Stations 2, 4, and 5. These services

are required to generate accurate, dimensioned site layouts of the site perimeter boundaries and fence lines for KPFF to execute their work. The output of the RSM topographic surveys become an input to the existing Task 7: Barrier and Access 50% Design and Task 8: Barrier and Access Final Design phases.

- Expand the scope of services of KPFF to include Blending Station 5 in the project. Blending Station 5 was originally excluded from the project however the added scope of work will include fence enhancements at this site.
- Based on actual conditions and the selected approach by the Oxnard Water Department KPFF is to prepare design calculations and details for 6 types of CMU Wall Extension fencing anchorage which were not part of the original scope. This was also caused by the lack of proper As-Built Drawings.
- Selection of custom fencing manufacturer has significantly increased the coordination requirements that were not assumed in the original proposal.
- Overall project schedule has been a significantly longer than anticipated, requiring additional coordination with prime consultant, City and fence manufacturers.
- Revise plans and specifications per additional City Comments received after 100% Submittal (comments were received on 11/3/2023).

COMPENSATION AND REIMBURSABLES

The proposed services will be provided for a not to exceed lump sum amount of \$ \$235,601. These services will be billed monthly on a percent complete basis.

RATES AND COSTS A-2 EXHIBIT

City Of Oxnard Water Division Barrier and Access (approved)									
	Technical PM	Telecom Designer	Professional EE	Technical Analyst (Aanko)	PE Civil (KPFF)	Security Designer	Production/CAD	Total Hours	Fee
	\$ 185.00	\$ 185.00	\$ 185.00	\$ 185.00	\$ 185.00	\$ 160.00	\$ 125.00		
Project Tasks									
Mobilization	3	0	0	0	0	0	0	3	\$ 555
Task 1 - Project Management	85	0	6	0	0	0	0	91	\$ 16,835
Task 2 - Assessment	35	3	0	38	27	14	0	117	\$ 21,295
Task 3 - Site Surveillance 50% Design	40	16	14	0	0	24	38	132	\$ 21,540
Task 4 - Site Surveillance Final Design	30	8	8	0	0	37	32	115	\$ 18,430
Task 5 - Bid Phase Services	10	3	4	0	0	0	6	23	\$ 3,895
Task 6 - Construction Phase Services	32	2	8	0	0	20	0	62	\$ 10,970
Task 7 - Barrier and Access 50% Design	24	12	9	0	46	16	40	147	\$ 24,395
Task 8 - Barrier and Access Final Design	17	4	7	0	26	16	22	92	\$ 15,300
Task 9 - Bid Phase Services	7	0	0	0	7	6	6	26	\$ 4,300
Task 10 - Construction Phase Services	30	0	6	0	18	12	10	76	\$ 13,160
Total Fees									\$ 150,675
Estimated Expenses									\$ 3,000
Total Fees + Expenses									\$ 153,675

Water Division Security Project PW21-29 Add Service 1 (approved)									
	RSM	KPFF	GPS Sub Markup	Sr. PM	Production/CAD			Total Hours	Fee
	\$ 12,000.00	\$ 6,300.00	15%	\$ 185.00	\$ 185.00				
Project Tasks									
RSM Topographic Surveys	1								\$ 12,000
KPFF Consulting and Design		1							\$ 6,300
Subconsultant Markup (RSM)			1						\$ 1,800
Subconsultant Markup (KPFF)			1						\$ 945
Guidepost Consulting and Design				20	10				\$ 4,950
Total Fees									\$ 25,995

City of Oxnard Water Division Fencing Add Service 3									
	Principal	Prj Mngr	Sr. Eng.	Prof. Eng.	Design Eng.	CAD Tech	Admin	Total Hours	Fee
	\$ 338.00	\$ 323.00	\$ 266.00	\$ 240.00	\$ 200.00	\$ 185.00	\$ 119.00		
Task 7: Barrier & Access 50% Design									
Coordination with Custom Fencing Manufacturer	1	2		4				7	\$ 1,944.00
Structural Calculation Package and Drawings	2	4	7	24	30	30		97	\$ 21,140.00
Subtotal - Task 7 Civil Portion	3	6	7	28	30	30	0	104	\$ 23,084.00
Task 8: Barrier & Access Final Design									
Coordination with Custom Fencing Manufacturer		2	2	6				10	\$ 2,618.00
Structural Drawings and Calculations	1	2	5	16	27	25		76	\$ 16,179.00
Additional Coordination due to extended schedule		12		6	8		2	28	\$ 7,154.00
Revise plans and Specs per comments after 100% submittal	1	4	1	8	8	8		30	\$ 6,896.00
Subtotal - Task 8 Civil Portion	2	20	8	36	43	33	2	144	\$ 32,847.00
Total - Tasks 7 & 8									\$ 55,931.00

RATES AND COSTS A-2 EXHIBIT

Design Rates	
Principal	\$225/hr
Senior Consultant	\$200/hr
Senior Project Manager	\$200/hr
Senior Designer	\$200/hr
Consultant	\$185/hr
Project Manager	\$185/hr
Technology Designer	\$185/hr
Associate	\$170/hr
BIM/REVIT Coordinator	\$130/hr
Subject Matter Expert/Advisory	\$225/hr

Investigations	
Lead Investigator	\$650/hr
Investigator	\$500/hr
Analyst/Research	\$300/hr
Senior Managing Director	\$650/hr
Managing Director	\$500/hr

Physical Security Consulting	
Principal	\$275/hr
Senior Consultant	\$250/hr
Senior Project Manager	\$250/hr
Consultant	\$200/hr
Associate	\$175/hr
Subject Matter Expert/Advisory	\$250/hr

Specialty Rates	
Social Media Monitoring/Analyst	\$200/hr
Law Enforcement Liaison	\$300/hr
Subject Matter Expert - Analyst	\$300/hr

Cyber Security Consulting	
Principal/Project Lead	\$400/hr
Senior Consultant	\$350/hr
Senior Project Manager	\$350/hr
Consultant	\$300/hr
Associate	\$250/hr
Subject Matter Expert – Advisory	\$350/hr
Subject Matter Expert – Incident Response	\$450/hr

Managed Services	
Level 1 GPMS Technical Support	\$125/hr
Level 2 GPMS Technical Support	\$150/hr
Level 3 GPMS Technical Support	\$175/hr

Risk & Emergency Management	
Principal	\$300/hr
Senior Consultant	\$250/hr
Senior Project Manager	\$250/hr
Consultant	\$225/hr
Associate	\$200/hr
Subject Matter Expert – Advisory	\$250/hr
Subject Matter Expert – Incident Response	\$350/hr
Subject Matter Expert – Threat/Behavioral	\$900/hr

**AGREEMENT FOR PROFESSIONAL SERVICES
COVER PAGE**

- (1) Agreement Start Date: May 6, 2021 (Purchasing Manager to fill in date when signed).
- (2) Consultant: Guidepost **Solutions LLC**
- (3) Services: Prepare Design Drawings, Specifications and Bid Documents for Security Improvements at all Water Division Properties.
- (4) Schedule of Services: The services must be completed within 365 calendar days of the Agreement Start Date listed above.
- (5) Agreement Ending Date: April 30, 2022
- (6) Total Agreement Amount: \$153,675
- (7) City's Project Manager: Timothy Beaman, Senior Civil Engineer
- (8) Consultant's Project Manager: David Rickerson, Senior Project Manager
- (9) Insurance Coverage: INS-A
- (10) Addresses for Notice:

FOR CONSULTANT:

707 Wilshire Boulevard, Suite 3850
Los Angeles, CA 90017
Attn: David Rickerson,
Senior Project Manager

FOR CITY:

Timothy Beaman, Senior Civil Engineer
305 West Third Street, East Wing, Second Floor
Oxnard, CA 93030
Attn: Timothy Beaman, Senior Civil Engineer

- (11) Contact Emails:

*CONSULTANT'S PROJECT
MANAGER:*

drickerson@guidepostsolutions.com

CITY'S PROJECT MANAGER:

timothy.beaman@oxnard.org

The Agreement for Professional Services is attached hereto and incorporated herein by this reference. The following exhibits are also attached hereto and incorporated herein by this reference into the Agreement:

- ☒ Scope of Services Exhibit
- ☒ Rates and Costs Exhibit
- ☒ Insurance Exhibit (INS-A)

AGREEMENT FOR PROFESSIONAL SERVICES

THIS AGREEMENT FOR PROFESSIONAL SERVICES ("Agreement") is entered into in Ventura County, California, on the date that is written as "(1) Agreement Start Date" on the Cover Page, which is attached hereto and incorporated herein by this reference. This Agreement is entered by and between the City of Oxnard ("City") and the person or entity listed as "(2) Consultant" on the Cover Page, subject to the following terms and conditions:

1. Scope of Services. Consultant shall provide to City the services listed as "(3) Services" on the Cover Page (the "Services"). Consultant shall provide the Services during the term of this Agreement, as set forth below, according to the schedule written as "(4) Schedule of Services" on the Cover Page, and as further explained in the Scope of Services Exhibit, which is attached hereto and incorporated herein by this reference. In the event of any conflict between the terms of this Agreement and any incorporated document(s), the terms of this Agreement shall control.
2. Standard of Performance. Consultant shall undertake and complete the Services to conclusion using the standard of care, skill and diligence normally provided by a professional person in the performance of similar consulting services.
3. Correction of Errors. Consultant shall correct, at its expense, all errors which may be disclosed during review of the Services. Should Consultant fail to make such correction in a reasonably timely manner, such correction shall be made by City, and the cost thereof shall be paid by Consultant.
4. Term. This Agreement shall begin on the date that is written as "(1) Agreement Start Date" on the Cover Page and shall end on the date that is written as "(5) Agreement Ending Date" on the Cover Page. Time is of the essence in this Agreement.
5. Compensation. For the Services performed during the term of this Agreement, City shall pay Consultant an amount not to exceed the amount that is listed as "(6) Total Agreement Amount" on the Cover Page, at the rates listed in Rates and Costs Exhibit, attached hereto and incorporated herein by this reference. The rates in Rates and Costs Exhibit shall be in effect through the end of this Agreement. Except as otherwise expressly provided in this Agreement, City shall not be responsible for expenses incurred by Consultant in performing the Services.
6. Invoices. Consultant shall submit a payment request to City by the end of each calendar month listing the Services provided, costs of those Services, and total amount due for the month. Each invoice must also list the current balance on the Agreement, including that invoice, as well as the months remaining on the term of the Agreement. Invoices may be emailed to: invoices@oxnard.org.
7. Acceptance of Payment. Consultant's acceptance of final payment made pursuant to this Agreement shall constitute a release of City from all claims and liabilities for compensation to Consultant for anything completed, finished or relating to the Services. City's payment shall not constitute nor be deemed a release of the responsibility and liability of Consultant for the accuracy and competency of the information provided and/or the Services performed hereunder, nor shall such payment be deemed to be an assumption of responsibility or liability by City for any defect or error in the Services performed by Consultant and its employees, agents and subcontractors. Consultant shall provide City with a completed Request for Taxpayer Identification Number and Certification, as issued by the Internal Revenue Service. If any sales tax is due for the Services performed by Consultant or materials or products provided to City by Consultant, Consultant shall pay the sales tax. City shall not reimburse Consultant for sales taxes paid by Consultant.
8. Non-Appropriation of Funds. Payments to be made to Consultant by City for the Services performed within the current fiscal year are within the current fiscal budget and within an available, unexhausted and unencumbered appropriation of City. In the event City does not appropriate sufficient funds for payment of the Services beyond the current fiscal year, this Agreement shall cover payment for the Services only up to the

conclusion of the last fiscal year in which City appropriated sufficient funds and shall automatically terminate at that fiscal year's conclusion.

9. Coordination of Services. The Services shall be coordinated with the person in the position listed in "(7) City's Project Manager" on the Cover Page, subject to the direction of the City Manager and Department Director. Consultant hereby designates the person in the position listed in "(8) Consultant's Project Manager" on the Cover Page as the person responsible for the Services who shall coordinate with City's Project Manager in making binding decisions in line with this Agreement on behalf of Consultant.

10. Personnel. Consultant represents that it has, or shall secure at its own expense, all personnel required to perform the Services. Any person who performs engineering services pursuant to this Agreement shall be licensed as a Civil Engineer by the State of California and in good standing. Consultant shall make reasonable efforts to maintain the continuity of Consultant's staff who are assigned to perform the Services. Consultant may associate with or employ associates or subcontractors in the performance of the Services, but at all times shall Vendor be responsible for its associates and subcontractors' labor, advice or materials provided in furtherance of providing the Services. Should any of Consultant's employees, assigns or subcontractors not conduct him- or herself appropriately, as determined by the City's Project Manager, in the process of providing the Services or any portion thereof, the City's Project Manager may notify the Consultant's Project Manager, who shall immediately handle the problem, as determined appropriate by him or her, such that the problem does not persist.

11. Additional Work. City may request additional specified work under this Agreement. The City's Project Manager must authorize all such work in writing before commencement. Consultant shall perform such work, and City shall pay for such additional work, in accordance with Rates and Costs Exhibit. Should the work not fall under any such listed rate or cost, Consultant shall submit a quote for all additional work, which the City's Project Manager must approve in writing by before any such work may commence. The City shall compensate Consultant for any work that does not fall under a rate or cost listed in the Rates and Costs Exhibit, and for which Consultant did not obtain the City's Project Manager's written approval before work commenced, as determined by the City's Project Manager in his or her sole discretion.

12. Audit. City shall have the option of inspecting, auditing and/or reproducing all records and other written materials used by Consultant in preparing its billings to City as a condition precedent to any payment to Consultant. Consultant will promptly furnish all documents requested by City. Additionally, if this Agreement is in excess of \$10,000, the State Auditor may examine and audit Consultant for a period of 3 years after final payment under the Agreement. Regardless of whether a State audit is permitted, Consultant shall maintain and preserve all such records for a period of at least 3 years after final payment under the Agreement. Consultant shall include a copy of this Section 12 in all contracts with its subcontractors, and Consultant shall be responsible for immediately obtaining those records or other written material from its subcontractors upon a request by the State Auditor and/or City.

13. Termination. City may terminate this Agreement at any time, with or without cause and without penalty, upon 15 days prior written notice. Such termination shall be effective on the date specified in the notice, or if no date is specified, then 10 calendar days from the date of delivery or mailing of such notice. Consultant may terminate this Agreement at any time, with or without cause and without penalty, upon 30 days prior written notice. Such termination shall be effective on the date specified in the notice, or if no date is specified, then 10 calendar days from the date of delivery or mailing of such notice and only if all assignments accepted by Consultant have been completed before the date of termination. In the event of termination of this Agreement by either party due to no fault or failure of performance by Consultant, City shall pay Consultant compensation for all Services satisfactorily completed in accordance with all of the terms and provisions of this Agreement, as determined by the City, before the effective date of termination; provided, in no event shall the Consultant receive an amount exceeding that which would have been paid to Consultant for the full performance of the Services.

14. Hold Harmless, Defense and Indemnity.

If Consultant provides any architectural, landscape architectural, engineering or land surveying ("design professional") services, to the maximum extent permitted by law, Consultant shall hold harmless, defend, and

indemnify City, its legislative and advisory bodies, and the City's officials, directors, officers, employees, and agents (collectively, "Indemnitees") from and against any and all claims, demands, causes of action, damages, injuries, liabilities, losses, penalties, fines, judgments, costs or expenses, including reimbursement of attorneys' fees, court costs and costs of alternative dispute resolution, including but not limited to those relating to death or injury to any person and injury to any property (collectively, "Claims"), to the extent that the Claims arise out of, pertain to, or relate to the negligence, recklessness, or willful misconduct of Consultant or of any of its officers, employees, subcontractors or agents in the performance of the Agreement or in the failure to comply with any of the obligations contained in this Agreement. Consultant's obligation to defend is a separate and distinct obligation from Consultant's duty to indemnify and applies through final judgment, including exhaustion of any appeals. Consultant shall be obligated to defend Indemnitees in all legal, equitable, administrative, or special proceedings, with counsel approved by the City Attorney, to the extent required herein immediately upon tender to Consultant of the Claim in any form or at any stage of an action or proceeding. The defense obligation includes the obligation to provide independent defense counsel if Consultant asserts that the liability is caused in whole or in part by the negligence or willful misconduct of any of the Indemnitees.

If Consultant provides no design professional services, to the maximum extent permitted by law, Consultant shall hold harmless, defend and indemnify the Indemnitees from and against any and all Claims, which arise out of, pertain to, or relate to the performance of this Agreement, or the failure to comply with any of the obligations contained in this Agreement, by Consultant or of any of its officials, directors, officers, employees, subcontractors, or agents. Consultant's obligation to defend is a separate and distinct obligation from Consultant's duty to indemnify and applies through final judgment, including exhaustion of any appeals. Consultant shall be obligated to defend Indemnitees in all legal, equitable, administrative, or special proceedings, with counsel approved by the City Attorney, immediately upon tender to Consultant of the Claim in any form or at any stage of an action or proceeding. The defense obligation includes the obligation to provide independent defense counsel if Consultant asserts that the liability is caused in whole or in part by the negligence or willful misconduct of any of the Indemnitees.

a. The review, acceptance or approval of Consultant's work or work product by any of the Indemnitees shall not affect, relieve or reduce Consultant's indemnification or defense obligations. This Section 14 shall survive completion of the Services or termination of this Agreement. The provisions of this Section 14 shall not be restricted by and does not affect the provisions of this Agreement relating to insurance.

15. Insurance. Consultant shall obtain and maintain during the performance of any services under this Agreement the insurance coverages listed within the insurance document stated in "(9) Insurance Coverage" on the Cover Page and in the Insurance Exhibit, which is attached hereto and incorporated herein by this reference, unless the City's Risk Manager waives, in writing, the requirement that Consultant obtain and maintain such insurance coverages. Consultant shall, before performance of any Services, file with the City's Risk Manager evidence of insurance coverage as specified in "(9) Insurance Coverage" on the Cover Page and in the Insurance Exhibit. Maintenance of insurance coverages by Consultant is a material element of this Agreement. Consultant's failure to maintain or renew insurance coverages or to provide renewal evidence, and any lapse in insurance coverage, may be considered a material breach of this Agreement.

16. Documents and Materials.

a. All final computations, exhibits, files, plans, correspondence, reports, drawings, designs, data, photographs, specifications, information, images, video files, media, or other deliverables prepared, created, drawn, calculated, photographed or developed by Consultant pursuant to this Agreement ("Documents and Materials") shall be the City's property without restriction or limitation upon its use, duplication or dissemination. All Documents and Materials shall be considered "works made for hire," and all Documents and Materials and any and all intellectual property rights arising from their creation, including, but not limited to, all copyrights and other proprietary rights, shall be and remain the property of the City without restriction or limitation upon their use, duplication or dissemination by the City. Consultant shall not obtain or attempt to obtain copyright protection as to any Documents and Materials. Consultant hereby assigns to the City all ownership and any and all intellectual property rights to the Documents and Materials that are not otherwise vested in the City pursuant to this Section 16.

b. Consultant shall deliver all Documents and Materials to City's Project Manager upon completion of the Services or termination of this Agreement without additional cost or expense to the City. Additionally, anytime at City's request, City shall be entitled to possession of, and Consultant shall furnish to City's Project Manager within 10 calendar days, any or all of the Documents and Materials without additional cost or expense to the City. In both situations, if Consultant prepares Documents and Materials on a computer, Consultant shall provide City with said Documents and Materials both in a printed format and in an electronic format that is acceptable to the City. Consultant may retain copies of these Documents and Materials but must request permission from the City before use, duplication or dissemination of these Documents and Materials for any purpose other than for the Services provided to the City pursuant to this Agreement.

c. Any substantive modification of the Documents and Materials by City staff or any use of the completed Documents and Materials for other City projects, or any use of incomplete Documents and Materials, without the written consent of Consultant, shall be at City's sole risk and without liability or legal exposure to Consultant.

d. Consultant warrants and represents that it has secured all necessary licenses, consents or approvals to use any instrumentality, thing or component as to which any intellectual property right exists, including computer software, used in the rendering of the Services and the production of all Documents and Materials, and that the City has full legal title to and the right to use, duplicate or disseminate the Documents and Materials. Consultant shall defend, indemnify and hold Indemnitees harmless from any loss, claim or liability in any way related to a claim that City's use of any of the Documents and Materials is violating federal, state or local laws, any contractual provisions, or any laws relating to trade names, licenses, franchises, copyrights, patents or other means of protecting intellectual property rights and/or interests in products or inventions. Consultant shall bear all costs arising from the use of patented, copyrighted, trade secret or trademarked documents, materials, equipment, devices or processes in connection with its provision of the services and Documents and Materials. In the event the use of any of the Documents and Materials by the City is held to constitute an infringement and the use of any of the same is enjoined, Consultant, at its own expense, shall: secure for City the right to continue using the Documents and Materials by suspension of any injunction, or by procuring a license or licenses for City; or modify the Documents and Materials so that they become non-infringing while remaining in compliance with the requirements of this Agreement.

e. This Section 16 shall survive the termination of this Agreement.

17. Confidentiality of Information.

a. For the purposes of this Agreement, "Confidential Information" means all information, in whatever form transmitted, relating to the past, present or future business affairs of the City, including without limitation, (i) technical information, including patent, copyright, trade secret, and other proprietary information, techniques, sketches, drawings, models, inventions, processes, apparatus, equipment, algorithms, software programs, software source documents, and formulas; or (ii) non-technical information, including without limitation finances, financial and accounting data and information, suppliers, customers, customer lists, purchasing data and any other information belonging to the City or to a third party whose information is in the City's possession or control under obligations of confidentiality, and which is disclosed to Consultant or is developed by Consultant in whole or in part at the City's expense.

b. All Documents and Materials shall be considered Confidential Information and shall not be reproduced, transmitted, disclosed or used by the Consultant without the written consent of the City, except as may be necessary for Consultant to fulfill its obligations to the City.

c. Notwithstanding the above, these limitations shall not apply to information that (i) is already known to Consultant at the time of that information's disclosure or becomes publicly known through no wrongful act or omission of Consultant, (ii) is communicated to a third party with the express written consent of City and is not subject to restrictions on further use or disclosure, (iii) is independently developed by Consultant and has no relation to this Agreement, or (iv) is required by law, court order, court-issued subpoena or other legal process to be disclosed; provided, however, that before making such disclosure, Consultant shall immediately provide City with

written notice and a reasonable opportunity for City to object to the disclosure or to take action to maintain the confidentiality of the information, unless such prior disclosure is legally impermissible.

d. Consultant shall use reasonable care to protect the Confidential Information. In the event of a breach or threatened breach of this Agreement, City shall be entitled to obtain an injunction prohibiting any such breach, the costs of which shall be paid by Consultant. Any relief granted shall be in addition to and not in lieu of any other legal or equitable relief, including money damages. The parties acknowledge that Confidential Information is valuable and unique and that disclosure of the Confidential Information in breach of this Agreement may result in irreparable injury to the City.

e. Other than an obligation upon the City to deal in good faith, the City makes no warranties and shall bear no liability or responsibility for errors or omissions in any Confidential Information disclosed under this Agreement or for any business decisions made by Consultant in reliance on any Confidential Information disclosed under this Agreement.

f. This Section 17 shall survive the termination of this Agreement.

18. Independent Contractor. Consultant is and shall at all times remain, as to City, a wholly independent contractor. Neither City nor any of its employees or agents shall have control over the conduct of Consultant or any of its employees, except as stated in this Agreement. Consultant has and shall retain the right to exercise full control over the employment, direction, means of performance, location, compensation and discharge of all persons assisting Consultant, and it is free to dispose of all portions of its time which it is not obligated to devote to City in such a manner and to such persons, firms, or corporations as Consultant wishes except as expressly provided in this Agreement. This Agreement shall not be interpreted to prevent or preclude Consultant from rendering any services for Consultant's own account or to any other person or entity as Consultant in its sole discretion shall determine; provided, however, that performing such services shall not materially interfere with the Services Consultant shall perform for the City. Except as City's Project Manager specifies in writing, Consultant and its employees and agents have no authority, express or implied, to act on behalf of City in any capacity, to incur any debt, obligation or liability on behalf of City, bind City in any manner, or otherwise act on behalf of City as an agent. Consultant and its employees are not employees of City. Consultant and its employees are not entitled to receive from City any of the benefits or rights afforded employees of City, including but not limited to reserve leave, sick leave, vacation leave, holiday leave, compensatory leave, Public Employees Retirement System benefits, and health, life, dental, long-term disability and workers' compensation insurance benefits. Consultant shall not, at any time or in any manner, represent that it or any of its agents or employees are in any manner agents or employees of City. Consultant agrees to pay all required taxes on amounts paid to Consultant under this Agreement, and to indemnify and hold City harmless from any and all taxes, assessments, penalties, and interest asserted against City by reason of the independent contractor relationship created by this Agreement. Consultant shall be solely responsible for, and shall save City harmless from, all matters relating to the payment of Consultant's subcontractors, material suppliers, directors, officers, employees, agents and representatives, including compliance with social security requirements, federal and State income tax withholding, and all other regulations governing employer-employee relations, as applicable. City shall have the right to offset against the amount of any compensation due to Consultant under this Agreement any amount due to City from Consultant as a result of its failure to promptly pay to City any reimbursement or indemnification arising under this Section 18.

19. Nondiscriminatory Employment. Consultant shall not unlawfully discriminate against any individual based on race, color, religion or religious creed, national origin, ancestry, ethnic group identification, primary language, physical disability, mental disability, medical condition, genetic information, marital status, gender, gender identity, gender expression, sex, sexual orientation, age, immigration status, citizenship or military and veteran status. Consultant understands and agrees that it is bound by and will comply with all legal nondiscrimination mandates. For every subcontractor who will perform Services, Consultant shall be responsible for such subcontractor's compliance with this Section 19.

20. Consultant's Representations. Consultant represents, covenants and guarantees that: a) Consultant is licensed, qualified, and capable of furnishing the labor, materials, and expertise necessary to perform the Services in accordance with the terms and conditions set forth in this Agreement; b) there are no obligations, commitments, or

impediments of any kind that will limit or prevent Consultant's full performance under this Agreement; c) to the extent required by the standard of practice, Consultant has investigated and considered the scope of Services performed, has carefully considered how the Services should be performed, and understands the facilities, difficulties and restrictions attending performance of the Services under this Agreement.

21. Compliance with Laws. In performing the Services under this Agreement, Consultant shall comply with all applicable laws, ordinances and regulations. Before providing any Services under this Agreement, Consultant shall, at its own expense, obtain and maintain all required certificates, licenses and permits, including a City business tax certificate.

22. Conflict of Interest. If, in performing the Services set forth in this Agreement, Consultant makes, or participates in, a "governmental decision" as described in Title 2, Section 18701(a)(2) of the California Code of Regulations, or performs the same or substantially all the same duties for City that would otherwise be performed by a City employee holding a position specified in City's conflict of interest code, Consultant shall be subject to City's conflict of interest code, the requirements of which include the filing of one or more statements of economic interests disclosing the relevant financial interests of Consultant's personnel providing the Services set forth in this Agreement. Furthermore, Consultant shall not to accept any employment or representation during the term of this Agreement or within 12 months after completion of the Services which is or may likely make Consultant "financially interested," as provided in California Government Code Sections 1090 and 87100, in any decisions made by City on any matter in connection with which Consultant has been retained pursuant to this Agreement.

23. Fictitious Name. If Consultant has a fictitious name, Consultant shall submit to City a new Fictitious Business Name Statement approved by any California county before Consultant's prior Fictitious Business Name Statement expires if such expiration may occur during the term of this Agreement, including any term amendment.

24. Non-Assignability. This Agreement contemplates personal performance by Consultant and is based upon a determination of Consultant's personnel's unique competence, experience and specialized personal knowledge. Consultant shall not assign or transfer any interest in this Agreement or any part thereof, whether by assignment or novation, without City's prior written consent, which may be withheld for any reason or for no reason at all. Any purported assignment without written consent shall be null, void, and of no effect, and Consultant shall hold harmless, defend and indemnify Indemnites from and against all Claims arising from or relating to any unauthorized assignment.

25. Successors and Assigns. This Agreement shall be binding upon and inure to the benefit of the heirs, executors, administrators, successors and assigns of Consultant.

26. Applicable Law; Venue. The validity, interpretation, and performance of this Agreement shall be controlled by and construed under the laws of the State of California, excluding California's choice of law rules. Venue for any such action relating to the Agreement shall be in the Ventura County Superior Court.

27. Titles. The titles used in this Agreement are for convenience only and shall in no way define, limit or describe the scope or intent of this Agreement or any part of it.

28. Force Majeure. Neither City nor Consultant shall be responsible for delays or failures in performance resulting from acts beyond the control of either party. Such acts shall include but are not be limited to acts of God, riots, acts of war, epidemics, fire, earthquakes, or other disasters.

29. Authority. Any person executing this Agreement on behalf of Consultant warrants and represents that s/he has the authority to execute this Agreement on behalf of Consultant and to bind it to the performance of these obligations.

30. Binding Agreement. The parties do not intend this Agreement to be binding upon them and shall not be held liable to its terms until it is fully executed by all required signers.

31. Integration; Amendment. This Agreement, including any other documents incorporated herein by specific reference, constitutes the entire and integrated agreement of City and Consultant regarding the subject matter described herein. This Agreement supersedes all prior oral or written communications, negotiations, representations, agreements and promises. This Agreement may not be modified or amended, nor any provision or breach waived, except in a writing, signed by both parties, that expressly refers to this Agreement.

32. Construction. In the event of any asserted ambiguity in or dispute regarding the interpretation of any matter herein, the interpretation of this Agreement shall not be resolved by any rules of construction providing for interpretation against the party who causes the uncertainty to exist or who drafted the Agreement in whole or in part.

33. No Waiver. Waiver by either party of any one or more of the conditions of performance under this Agreement shall not be a waiver of any other condition of performance under this Agreement. In no event shall the making by City of any payment to Consultant constitute or be construed as a waiver by City of any breach of covenant, or any default that may then exist on the part of Consultant, and the making of any such payment by City shall in no way impair or prejudice any right or remedy available to City with regard to such breach or default.

34. Attorneys' Fees. The prevailing party shall be entitled to recover reasonable costs, attorneys' fees (including the reasonable value of the services rendered by the City Attorney's Office) and expenses, including investigation fees and expert witness fees, in addition to any other relieve to which that party may be entitled, in any legal action or other proceeding, including an action for declaratory relief, for the enforcement of this Agreement or because of an alleged dispute, breach, default or misrepresentation in connection with this Agreement.

35. Notice. Except as otherwise required by law, a notice or communication authorized or required by this Agreement shall be in writing and shall be deemed received—on (a) the day of delivery if delivered by hand or overnight courier service during City's regular business hours or (b) the third business day following deposit in the United States mail, postage prepaid—to the addresses listed as "(10) Addresses for Notice" on the Cover Page or at such other address as one party may notify the other in writing.

36. Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed to be an original and all of which, when taken together, shall be deemed to be one and the same agreement. A signed copy of this Agreement transmitted by email to Project Managers' emails listed in "(11) Contact Emails" on the Cover Page or by other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original executed copy of this Agreement for all purposes.

37. Severability. If any term or portion of this Agreement is held to be invalid, illegal, or otherwise unenforceable by a court of competent jurisdiction, the remaining provisions of this Agreement shall continue in full force and effect.

[Signatures on next page]

Agreement No. 9200-21-PW

IN WITNESS WHEREOF, the parties hereto have executed the Agreement on the date that is written as "(1) Agreement Start Date" on the Cover Page.

CITY OF OXNARD**GUIDEPOST SOLUTIONS LLC**

 5/06/2021

- ☐ John C. Zaragoza, Mayor¹ Date
- ☒ Alexander Nguyen, City Manager
- ☐ Daniel Willhite, Purchasing Agent
- ☐ Buyer

DocuSigned by:
Julie Myers Wood

4/26/2021 | 12:51 PM PDT

Julie Wood Myers, CEO²

Date

DocuSigned by:
Anthony Collura

4/28/2021 | 4:07 AM PDT

Anthony Collura, CFO/CLO

Date

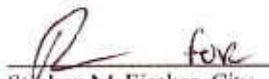
ATTEST:

N/A

Rose Chaparro, City
Clerk (only if Mayor signs)

Date

APPROVED AS TO FORM:

 for 4-22-21

Stephen M. Fischer, City
Attorney (always required)

Date

¹ The City Council must authorize and the Mayor must sign any agreement over \$200,000 annually. The City Manager may authorize and sign any agreement over \$100,000 but up to \$200,000 annually. The Purchasing Manager may authorize and sign any agreement up to \$100,000 annually. A Buyer may authorize and sign any agreement up to \$25,000 annually.

² The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC; or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

IN WITNESS WHEREOF, the parties hereto have executed the Agreement on the date that is written as “(1) Agreement Start Date” on the Cover Page.

CITY OF OXNARD

GUIDEPOST SOLUTIONS LLC

☐ John C. Zaragoza, Mayor¹ _____ Date
☒ Alexander Nguyen, City Manager
☐ Daniel Willhite, Purchasing Agent
☐ Buyer

Julie Wood Myers, CEO² _____ Date

Anthony Collura, CFO/CLO _____ Date

ATTEST:

N/A
Rose Chaparro, City Clerk (only if Mayor signs) _____ Date

APPROVED AS TO FORM:

 for 4-22-21
Stephen M. Fischer, City Attorney (always required) _____ Date

¹ The City Council must authorize and the Mayor must sign any agreement over \$200,000 annually. The City Manager may authorize and sign any agreement over \$100,000 but up to \$200,000 annually. The Purchasing Manager may authorize and sign any agreement up to \$100,000 annually. A Buyer may authorize and sign any agreement up to \$25,000 annually.

² The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC; or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

SCOPE OF SERVICES EXHIBIT

This work includes the design, specifications, and bid documents for the Oxnard Water Division Security Improvement Project (Project).

Task 1: Program Management

1. Project Management. Consultant shall coordinate all efforts of the Project Team including representatives from the City of Oxnard Public Works (City). The Consultant shall facilitate regular Project progress meetings and provide monthly written updates on the Project status and budget.
2. Project Kick-off Meeting. At the commencement of the Project, the Consultant shall facilitate a “kick-off” meeting with the City’s engineering and operations staff to discuss the scope and parameters of the Project. The Consultant shall prepare and distribute a draft agenda in advance of the meeting for review and comment by the City. The Consultant shall prepare and distribute meeting minutes within three (3) business days of the meeting. The Consultant shall assume three (3) hours for the kick-off meeting with staff members.
3. Progress Meetings. Consultant shall attend monthly meetings at the City for the duration of the project. As part of the effort, the Consultant is responsible for developing meeting information and agenda prior to the meeting and meeting minutes within three (3) business days following the meeting with complete action tracking log. The Consultant shall assume two (2) hours for each meeting.
4. Project Schedule. Consultant shall develop a project schedule at the beginning of the project that covers preliminary design, recommendations, design drawings, specifications, construction cost estimate, bid documents, and bid/award support services. Project schedule shall be submitted with the proposal and shall be updated within two (2) weeks of the kickoff meeting.
5. Project Communication. Consultant shall develop a communications protocol to be followed by all team members to be submitted within one (1) week of starting the project. Communication protocol will include standard project communication as well as emergency communication protocols. All communication through bid and construction phase services shall be conducted through the City and not directly with the bidders/contractor.
6. Project Coordination. Consultant shall coordinate all of the services of sub-consultants including site visits, site inspections, and shall ensure coordination with the City operations and engineering staff and other interested parties as outlined by the City.
7. Quality Assurance and Quality Control (QA/QC). The Consultant shall develop a QA/QC plan, to be followed for all submittals, within two (2) weeks of starting the project. The plan shall include the staff whom are responsible for reviewing design and documentation as well as the means for integrating review comments from the City.
8. Monthly Invoicing. The Consultant shall submit invoices on a monthly basis with all supporting documentation in a format acceptable to the City. Invoices requiring amendment shall be dated according to when the revised invoice is delivered to the City. Invoices shall be delivered electronically to the Project Manager and to PWInvoices@Oxnard.org.

The Consultant shall plan for meetings to be in person for budgeting purposes with the understanding that remote meetings might occur, as dictated by the Health Department.

Task 2: Assessment

The Consultant shall visit the Water Division Main Campus and Blending Stations 2, 3, 4, & 5 to collect information required to make a recommendation for security improvements. The site visit shall include, but not be limited to, interviewing staff and summarizing historical security issues, intended use, accessibility of personnel, and existing shortfalls. The evaluation shall consider the following:

- Perimeter fencing and walls
- Pros and cons of chain-link, wrought iron, cinderblock, etc.
- Recommended height in addition to barbed wire, razor wire, wrought iron, and other climbing deterrents
- Foundation enhancements
- Bollards and vehicle barriers
- Areas to be under surveillance (exterior and interior)
- Signage
- Door and access control, including keypads and card reader systems
- Perimeter lighting and motion sensor lighting
- Landscaping; with a focus on eliminating hiding/camping spots and deterring storage

Deliverable

Deliverable from Task 2 shall be provided in the form of a Technical Memorandum (TM-1) consisting of a thorough analytical write-up of the aforementioned security elements. The Consultant shall provide recommendations based Guidelines for the Physical Security of Water Utilities (ASCE 56-10). The recommendations shall include a matrix of pros and cons including, but not limited to: durability, cost, effectiveness, susceptibility to vandalism, and useful life.

Task 3: Site Surveillance

Site Surveillance shall be completed as a separate bid package. Using the data gathered in Task 2, the Consultant shall create a design for surveillance cameras, recording equipment, and intrusion alarms for the Water Division Headquarters and Blending Stations 2, 3, 4, & 5, equivalent to the 50% design level. The Design shall comply with the Oxnard Video Surveillance and Access Control System Guidelines provide with this RFP. The Consultant shall produce the following 50% design deliverables:

1. Title Sheet
 - Project name, date, engineer of record, and City approval
 - Vicinity and location maps with contacts
 - Drawing index
2. General Conditions
 - General notes, symbols, abbreviations, and legends
 - Standard Details
3. Site Plan
 - Building outlines
 - Site ingress/egress

- Property line
- General survey
- 4. Site Surveillance
 - Exterior map showing locations of cameras and intended targets
 - Interior map within specific buildings and intended targets
- 5. Electrical
 - Power source location
 - Preliminary electrical layout plans
 - Electrical single line diagram
 - Preliminary cable and conduit schedule
 - Conduit plans and elevations
- 6. Communication

The City of Oxnard IT Department shall provide connectivity between the Security System and the City/Water Division network. The design shall incorporate all cabling, conduit, and cabinets required. The end product shall be fully functional once IT establishes the necessary Ethernet connection.

- Communication single line diagram
- Preliminary cable and conduit schedule
- Conduit plans and elevations

7. Specifications

Preliminary technical specification with draft text in all sections

8. Preliminary Cost Estimate

Develop initial bid item list with descriptions, quantity takeoffs, and unit cost. This initial cost estimate will be updated throughout the final design process and be used for budgetary purposes.

Deliverable

The Consultant shall provide the 50% design package including three hardcopies of 22' x 34" plans and specifications along with the pdf files.

Task 4: Site Surveillance Final Design

1. The Consultant shall provide 95% design services that include full preparation of contract documents and other deliverables (i.e., Bid item list, specifications, general and special provisions, etc.). The Consultant shall incorporate the review comments from the preliminary design phase into the 95% design package. For all intents and purposes the 95% design package shall be suitable for public bid, under final review by the City.

Deliverable

The Consultant shall provide the 95% design package including three (3) hardcopies of 22" x 34" plans and specifications along with the pdf files.

2. During the final design phase, final comments from the 95% design submittal shall be incorporated into the drawings and specifications. This final submittal will be considered the bid set. Tasks include:

- Production of final engineering drawings
- Production of final engineering specifications
- Production of final bid item list

- The Consultant shall prepare a final probable construction cost to be transmitted along with the final 100% design package

Deliverable

Final drawings shall be signed and sealed by a professional civil engineer registered by the State of California. The Consultant shall provide three (3) sets of 11" x 17" drawings, three (3) sets of 22" x 34" (D Size) drawings, four (4) sets of specifications, and one (1) electronic copy in PDF format of the final design package for bid purposes. All drawings shall be prepared using a version of AutoCAD 2018 or later and .dwg files be delivered to the City as part of the final submittal.

Task 5: Bid Phase Services

The Consultant shall provide assistance to the City during the project advertisement and award period. Bid phase assistance shall include, at a minimum, the following:

1. Response to requests for information (RFIs) and requests for clarification (RFCs) received during the bid period. Consultant shall provide a written response to questions within two (2) business days of questions received during the bidding period. The responses shall be provided in short memorandum format with the question and response shown. All communication shall be conducted through the City.
2. Attendance and participation in the mandatory pre-bid meeting.
3. The Consultant shall incorporate all addenda issued during the Bid Period into a conformed set of contract documents.

Task 6: Construction Phase Services

Engineering services during construction (ESDC) shall include, at a minimum, the following:

1. Preconstruction Meeting: Attendance and participation in the Pre-Construction Meeting.
2. Project Progress Meetings: Attendance and participation in the Monthly Project Progress Meetings with the City and the Construction Manager to discuss the status of the Project and potential Project issues. The Consultant shall budget two (2) hours for each Project Progress Meeting, and a limit of one (1) hour travel time for a total of three (3) hours. The Consultant shall limit attendance to progress meetings to one (1) person and budget for a three (3) month duration for the construction phase.
3. Submittal Review: Consultant shall review all shop drawing and material submittals transmitted by the construction contractor.
4. Change Order Review: Consultant shall review all change order requests submitted by the construction contractor. The Consultant shall review each request and make a formal statement regarding entitlement and the merit of the contractor's request. All communication shall be conducted through the City.
5. Project Close-out: The Consultant shall assist in the development of Project punch lists and coordinate the delivery of all Project-related documentation.

Record Drawings: The Consultant shall prepare a record drawing set based on the red-line drawings submitted by the construction contractor. The record drawings shall be prepared using a version of AutoCAD 2018 or later and shall be transmitted to the City within 30 calendar days of receipt of the contractor's red-line drawings. Electronic copies of the record drawings shall be submitted to the City in both AutoCAD and PDF formats. In addition, the Consultant shall submit three (3) hard copies of the record drawings on 22" x 34" bond paper.

Task 7: Barrier and Access 50% Design

Barrier and Access shall be completed as a separate bid package. Using the data gathered in Task 2, the Consultant shall create a design for barriers and access improvements for the Water Division Headquarters and Blending Stations 2 and 4 equivalent to the 50% design level. The Consultant shall produce the following 50% design deliverables:

1. Title Sheet
 - Project name, date, engineer of record, and City approval
 - Vicinity and location maps with contacts
 - Drawing index
2. General Conditions
 - General notes, symbols, abbreviations, and legends
 - Standard Details
3. Site Plan
 - Site constraints
 - Site ingress/egress
 - Utilities within 10-feet of work area
 - Property line
 - General survey
4. Demolition
 - Removal plans
 - Protection requirements
 - Maintaining security during construction
5. Site Security – Barrier
 - Material, height, spacing, and supports
 - Details including footing and climbing deterrents
 - Signage
6. Site Security – Access
 - Driveway access gate
 - Pedestrian access gate
 - Key pads, call access, and remote control
 - Emergency Knox box
 - Signage
7. Lighting and Electrical Design
 - Lighting plan and motion sensor lighting
 - Power source location
 - Preliminary electrical layout plans
 - Electrical single line diagram
 - Preliminary cable and conduit schedule
 - Conduit plans and elevations
 - Panel schedule
8. Landscaping

- Planting diagram for existing landscape areas
- Plan for eliminating landscaped areas
- 9. Specifications
 - Preliminary technical specification with draft text in all sections
- 10. Preliminary Cost Estimate
 - Develop initial bid item list with descriptions, quantity takeoffs, and unit cost. This initial cost estimate will be updated throughout the final design process and be used for budgetary purposes

Deliverable

The Consultant shall provide the 50% design package including three hardcopies of 22' x 34" plans and specifications along with the pdf files.

Task 8: Barrier and Access Final Design

1. The Consultant shall provide 95% design services that include full preparation of contract documents and other deliverables (i.e., Bid item list, specifications, general and special provisions, etc.). The Consultant shall incorporate the review comments from the preliminary design phase into the 95% design package. For all intents and purposes the 95% design package shall be suitable for public bid, under final review by the City.

Deliverable

The Consultant shall provide the 95% design package including three (3) hardcopies of 22" x 34" plans and specifications along with the pdf files.

2. During the final design phase, final comments from the 95% design submittal shall be incorporated into the drawings and specifications. This final submittal will be considered the bid set. Tasks include:

- Production of final engineering drawings
- Production of final engineering specifications
- Production of final bid item list
- The Consultant shall prepare a final probable construction cost to be transmitted along with the final 100% design package

Deliverable

Final drawings shall be signed and sealed by a professional civil engineer registered by the State of California. The Consultant shall provide three (3) sets of 11" x 17" drawings, three (3) sets of 22" x 34" (D Size) drawings, four (4) sets of specifications, and one (1) electronic copy in PDF format of the final design package for bid purposes. All drawings shall be prepared using a version of AutoCAD 2018 or later and .dwg files be delivered to the City as part of the final submittal.

Task 9: Bid Phase Services

The Consultant shall provide assistance to the City during the project advertisement and award period. Bid phase assistance shall include, at a minimum, the following:

1. Response to requests for information (RFIs) and requests for clarification (RFCs) received during the bid period. Consultant shall provide a written response to questions within two (2) business days of questions received during the bidding period. The responses shall be provided in short memorandum format with the question and response shown. All communication shall be conducted through the City.
2. Attendance and participation in the mandatory pre-bid meeting.

The Consultant shall incorporate all addenda issued during the Bid Period into a conformed set of contract documents.

Task 10: Construction Phase Services

Engineering services during construction (ESDC) shall include, at a minimum, the following:

1. Preconstruction Meeting: Attendance and participation in the Pre-Construction Meeting.
2. Project Progress Meetings: Attendance and participation in the Monthly Project Progress Meetings with the City and the Construction Manager to discuss the status of the Project and potential Project issues. The Consultant shall budget two (2) hours for each Project Progress Meeting, and a limit of one (1) hour travel time for a total of three (3) hours. The Consultant shall limit attendance to progress meetings to one (1) person and budget for a four (4) month duration for the construction phase.
3. Submittal Review: Consultant shall review all shop drawing and material submittals transmitted by the construction contractor.
4. Change Order Review: Consultant shall review all change order requests submitted by the construction contractor. The Consultant shall review each request and make a formal statement regarding entitlement and the merit of the contractor's request. All communication shall be conducted through the City. All communication shall be conducted through the City.
5. Project Close-out: The Consultant shall assist in the development of Project punch lists and coordinate the delivery of all Project-related documentation.

Record Drawings: The Consultant shall prepare a record drawing set based on the red-line drawings submitted by the construction contractor. The record drawings shall be prepared using a version of AutoCAD 2018 or later and shall be transmitted to the City within 30 calendar days of receipt of the contractor's red-line drawings. Electronic copies of the record drawings shall be submitted to the City in both AutoCAD and PDF formats. In addition, the Consultant shall submit three (3) hard copies of the record drawings on 22" x 34" bond paper.

PHASE OF WORK	DURATION TECHNICAL PROJECT MANAGER	RATES AND COSTS EXHIBIT							Amended No. 9200-11-JW	
		TELECOMMUNICATIONS (TELECOM)	PROFESSIONAL ENGR- NEER ELECTRICAL	TECHNICAL ANALYST (AANKO)	PROFESSIONAL ENGR- NEER (KPEF)	SECURITY DESIGNER	PRODUCTION /CAD	TOTAL FEES BY TASK		
Project Tasks										
Mobilization	3	0	0	0	0	0	0	\$555		
Notice-to-proceed										
Contract processing and onboarding	3							\$555		
Task 1 - Project Management	85	0	0	0	0	0	0			
Project Management and Coordination	35							\$16,835		
Kick-off Meeting	2							\$6,475		
Progress Monthly Meetings (Project status, budget, commitments, and meeting minutes)	14							\$370		
Review and Update the Project Schedule With the City Stakeholders	3							\$2,590		
Project Communication	14							\$555		
Task 2 - Assessment	35	3	0	38	27	14	0	\$2,590		
Stakeholders Interview	8			8	8			\$21,295		
Site Survey (Main Campus and Blending Stations 2,3,4,8,5)	8			8	8	8		\$4,440		
Complete Data Review	3	3		8	3	6		\$5,720		
Technical Memorandum (TM-1) Preparation	18			18	8			\$3,735		
Task 3 - Site Surveillance 50% Design	40	16	14	0	0	24	38	\$7,400		
Project Setup			4					\$21,540		
Prepare 50% Construction Documents Drawings	24		6			24	16	\$2,740		
Preliminary Technical Specification (CSI Format)	8							\$14,360		
Coordinate Electrical and Telecom requirement		4	2					\$1,460		
Preliminary Cost Estimate	6							\$1,110		
50% Design Package QA/QC	2		2					\$740		
Task 4 - Site Surveillance Final Design	30	8	8	0	0	37	32	\$18,430		
Prepare 85% Construction Documents Drawings and Pickup the City Markups	16	8	4			32	24	\$13,300		
Final Technical Specification (CSI Format)	4							\$740		
95% Design Package QA/QC	4		2					\$1,110		
Incorporate the City's Markups	2		1			5	8	\$2,355		
Final Bid Documents (100% Design Package) QA/QC	1		1					\$370		
Final Bid Documents (100% Design Package) Page Turn	3							\$555		
Task 5 - Bid Phase Services	10	3	4	0	0	0	6	\$3,895		
Responses to Requests For Information (RFIs) and Request For Clarifications	3	3	3					\$1,665		
Attend Mandatory Pre-Bid Meeting	3							\$555		
Issue Required Addenda Based on RFIs	4		1				6	\$1,675		

PHASE OF WORK		DURATION		Agreement No. 9200-21-PW						
		TECHNICAL PROJECT MANAGER	TELECOMMUNICATIONS DESIGNER	PROFESSIONAL ENG-NEER ELECTRICAL	TECHNICAL ANALYST (AANKQ)	PROFESSIONAL ENG-NEER (KPFF)	SECURITY DESIGNER	PRODUCTION/CAD	TOTAL FEES BY TASK	
Project Tasks										
Task 6 - Construction Phase Services		32	2	8	0	0	20	0	\$10,870	
Preconstruction Meeting		3							\$555	
Project Progress Meetings		15							\$2,775	
Submittal Review			4	2	2		2		\$1,800	
Change Order Review			4		4		12		\$740	
Project Close-out and Final Punch List									\$2,660	
Record Drawings		4			1		6		\$1,885	
Record Drawings QA/QC		2			1				\$555	
Task 7 - Barrier and Access 50% Design		24	12	12	9	0	46	16	\$24,395	
Project Setup					2			18	\$2,620	
Site Security - Barrier and Access Coordination							15		\$2,775	
Site Lighting Level Recommendation		4							\$740	
Security Landscaping Considerations and Recommendations							8		\$1,480	
Prepare 50% Construction Documents Drawings		12	8		4		16	22	\$12,710	
Preliminary Technical Specification (CSI Format)		3					3		\$1,110	
Coordinate Electrical and Telecom requirement			4	2					\$1,110	
Preliminary Cost Estimate		3					3		\$1,110	
50% Design Package QA/QC		2		1			1		\$740	
Task 8 - Barrier and Access Final Design		17	4	7	7	0	26	16	\$15,300	
Prepare 95% Construction Documents Drawings and Pickup the City Markups		6	4	4			16	16	\$10,480	
Final Technical Specification (CSI Format)		2					2		\$740	
95% Design Package QA/QC		2		2			2		\$1,110	
Incorporate the City's Markups		2					2	6	\$1,490	
Final Bid Documents (100% Design Package) QA/QC		1			1		2		\$740	
Final Bid Documents (100% Design Package) Page Turn		2					2		\$740	
Task 9 - Bid Phase Services		7	0	0	0	0	7	6	\$4,300	
Response to Requests For Information (RFIs) and Request For Clarifications		3					3		\$1,110	
Attend Mandatory Pre-Bid Meeting		2					2		\$740	
Issue Required Addenda Based on RFIs		2					2	6	\$2,450	

PHASE OF WORK	DURATION		Agreement No. 9200-21-PW						
	MANAGER	TECHNICAL PROJECT	TELECOMMUNICATIONS DESIGNER	PROFESSIONAL ENGR-NEER ELECTRICAL	TECHNICAL ANALYST (AANKO)	PROFESSIONAL ENGR-NEER (KPFE)	SECURITY DESIGNER	PRODUCTION/CAO	TOTAL FEES BY TASK
Project Tasks									
Task 10 - Construction Phase Services	30		0	8	0	18	12	10	\$13,180
Preconstruction Meeting	2					2			\$740
Project Progress Meetings	20								\$3,700
Submittal Review		4			4		4		\$2,220
Change Order Review		2					2		\$740
Project Close-out and Final Punch List							6		\$2,390
Record Drawings							2	4	\$2,260
Record Drawings OA/OC		2			2				\$1,110
Total Hours	313	48	48	52	38	124	145	154	
Rate per Hour	\$185	\$185	\$185	\$185	\$185	\$185	\$185	\$125	
Total Fees									\$150,675
Estimated Expenses									\$3,000
Total Fees + Expenses									\$153,675

**INSURANCE REQUIREMENTS FOR CONSULTANTS
(WITH ERRORS AND OMISSIONS REQUIREMENT)**

1. Consultant shall obtain and maintain during the performance of any services under this Agreement the following insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of services hereunder by Consultant, its agents, representatives, employees or subconsultants.

a. Commercial General Liability Insurance, including Contractual Liability, in an amount not less than \$1,000,000 combined single limit for bodily injury and property damage for each claimant for general liability with coverage equivalent to Insurance Services Office Commercial General Liability Coverage (Occurrence Form CG 0001). If a general aggregate limit is used, that limit shall apply separately to the project or shall be twice the occurrence amount;

b. Business automobile liability insurance in an amount not less than \$1,000,000 combined single limit for bodily injury and property damage for each claimant for automobile liability with coverage equivalent to Insurance Services Office automobile liability coverage (Occurrence Form CA0001) covering Code No. 1, "any auto;"

c. Professional liability/errors and omissions insurance appropriate to Consultant's profession to a minimum coverage of \$1,000,000, with neither Consultant nor listed subconsultants having less than \$500,000 individually. The professional liability/errors and omissions insurance must be project specific with at least a one year extended reporting period, or longer upon request.

d. Workers' compensation insurance in compliance with the laws of the State of California, and employer's liability insurance in an amount not less than \$1,000,000 per claimant. Additionally, the workers' compensation policy shall include a waiver of all rights of subrogation which the insurer may have against the City.

2. Consultant shall, prior to performance of any services, file with the Risk Manager certificates of insurance with original endorsements effecting coverage required by this Exhibit INS-A. The certificates and endorsements for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The certificates and endorsements are to be on the attached forms or on other forms approved by the Risk Manager. All certificates and endorsements are to be received and approved by the Risk Manager before commencement of services. City reserves the right to require complete certified copies of all required insurance policies at any time. The certificates of insurance and endorsements shall be sent via email (or fax if necessary) to the Risk Manager, addressed as follows (do not send hard copies):

City of Oxnard
Insurance Compliance
Reference No. _____
P.O. Box 100085 – OX
Duluth, GA 30096
Via Email: cityofoxnard@ebix.com
Via Fax: 678-259-1007

3. Consultant agrees that all insurance coverages shall be provided by a California admitted insurance carrier with an A.M. Best rating of A:VII or better and shall be endorsed to state that coverage may not be suspended, voided, canceled, or reduced in coverage or limits without 30 days' prior written notice to the Risk Manager. The Risk Manager shall not approve or accept any endorsement if the endorsement contains "best effort" modifiers or if the insurer is relieved from the responsibility to give such notice.

4. Consultant agrees that the commercial general liability and business automobile liability insurance policies shall be endorsed to name City, its City Council, officers, employees, agents and volunteers as additional insureds as respects: liability arising out of activities performed by or on behalf of Consultant; products and completed operations of Consultant; premises owned, occupied or used by Consultant; or automobiles owned, leased, hired or borrowed by Consultant. The coverage shall contain no special limitations on the scope of protection afforded to City, its City Council, officers, employees, agents and volunteers. **The General Liability Special Endorsement Form and Automobile Liability Special Endorsement Form attached to this Exhibit INS-A or substitute forms containing the same information and acceptable to the Risk Manager shall be used to provide the endorsements (ISO form CG 2010 11/85 or if not available, CG 2010 with an edition date prior to 01/04 and CG 2037).**

5. The coverages provided to City shall be primary and not contributing to or in excess of any existing City insurance or self-insurance coverages (**this must be endorsed**). Additionally, the workers' compensation policy shall include a waiver of all rights of subrogation which the insurer may have against the City. Any failure to comply with reporting provisions of the policies shall not affect coverage provided to City, its City Council, officers, employees and volunteers. The insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.

6. The insurer shall declare any deductibles or self-insured retentions to and be approved by the Risk Manager. At the option of the Risk Manager, either the insurer shall reduce or eliminate such deductibles or self-insured retentions as respects City, its City Council, officers, employees and volunteers, or the Consultant shall procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses.

7. All insurance standards applicable to Consultant shall also be applicable to Consultant's subconsultants. Consultant agrees to maintain appropriate agreements with subconsultants and to provide proper evidence of coverage upon receipt of a written request from the Risk Manager.

INSTRUCTION FOR SUBMITTING INSURANCE CERTIFICATES AND ENDORSEMENT FORMS

Certificates of Insurance

The sample accord form on the following page is provided to facilitate your preparation and submission of certificates of insurance. You may use this or any industry form that shows coverage as broad as that shown on the attached sample. **Please note the certificate holder address must be as shown on the attached sample accord form with the contract number and insurance exhibit identification information completed.** Improperly addressed certificates may delay the contract start-up date because the City's practice is to return unidentifiable insurance certificates to the insured for clarification as to the contract number. **Cancellation provisions must be endorsed to the policy. Modifying the certificate does not change coverage or obligate the carrier to provide notice of cancellation.**

Endorsement Forms

Original endorsements are required for commercial general liability and business automobile liability insurance policies and must be attached to the applicable certificate of insurance. City preference is that the Consultant/insurer use the endorsement forms which are attached. Substitute forms will be accepted, however, as long as they include provisions comparable to the sample accord form.

INS-A.doc

ACORD CERTIFICATE OF INSURANCE

ISSUE DATE (MM/DD/YY)

PRODUCER	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.
CODE SUB-CODE	COMPANIES AFFORDING INSURANCE COVERAGE
INSURED	COMPANY LETTER A SPECIFY COMPANY NAMES IN THIS SPACE
	COMPANY LETTER B

COVERAGES

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED, NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
A	GENERAL LIABILITY [x] COMMERCIAL GENERAL LIABILITY [] CLAIMS MADE [x] OCCUR. [x] OWNER'S & CONTRACTOR'S PROT.				GENERAL AGGREGATE \$1,000,000 PRODUCTS COMP/OP AGG. \$1,000,000 PERSONAL & ADV. INJURY \$1,000,000 EACH OCCURRENCE \$1,000,000 FIRE DAMAGE (Any one fire) \$ MED. EXPENSE (Any one person) \$
A	AUTOMOBILE LIABILITY [x] ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS HIRED AUTOS NON-OWNED AUTOS GARAGE LIABILITY				COMBINED SINGLE LIMIT \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE \$
A	EXCESS LIABILITY UMBRELLA FORM OTHER THAN UMBRELLA FORM				EACH OCCURRENCE \$ AGGREGATE \$
A	WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY				STATUTORY LIMITS EACH ACCIDENT \$1,000,000 DISEASE-POLICY LIMIT \$1,000,000 DISEASE-EACH EMPLOYEE \$1,000,000
A	OTHER Errors and omissions insurance or malpractice insurance available for the insured's profession				Minimum coverage \$1,000,000 Each consultant/ & listed sub-consultant \$500,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/RESTRICTIONS/SPECIAL ITEMS

CERTIFICATE HOLDER

CITY OF OXNARD

Attn: Insurance Compliance

Reference No. _____

P.O. Box 100085 – OX

Duluth, GA 30096

Via Email: cityofoxnard@ebix.com

Via Fax: 678-259-1007

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING COMPANY WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO MAIL SUCH NOTICE SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE COMPANY, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

AUTOMOBILE LIABILITY SPECIAL ENDORSEMENT FOR THE CITY OF OXNARD (the "City")		SUBMIT IN DUPLICATE	
		ENDORSEMENT NO.	ISSUE DATE (MM/DD/YY)
PRODUCER		POLICY INFORMATION: Insurance Company: Policy No.: Policy Period: (from) _____ (to) LOSS ADJUSTMENT EXPENSE ☐ Included in Limits ☐ In Addition to Limits	
Telephone:		☐ Deductible ☐ Self-Insured Retention (check which) of \$ _____ with an Aggregate of \$ _____ applies to .	
NAMED INSURED		coverage: ☐ Per Occurrence ☐ Per Claim (which) APPLICABILITY. This insurance pertains to the operations, products and/or tenancy of the named insured under all written agreements and permits in force with the City unless checked here ☐ in which case only the following specific agreements and permits with the City are covered: CITY AGREEMENTS/PERMITS	
TYPE OF INSURANCE		OTHER PROVISIONS	
☐ COMMERCIAL AUTO POLICY ☐ BUSINESS AUTO POLICY ☐ OTHER			
LIMIT OF LIABILITY		CLAIMS: Underwriter's representative for claims pursuant to this insurance. Name: _____ Address: _____ Telephone: () _____	
\$ _____ per accident, for bodily injury and property damage.			
In consideration of the premium charged and notwithstanding any inconsistent statement in the policy to which this endorsement is attached or any endorsement now or hereafter attached thereto, insurance company agrees as follows: 1. INSURED. The City, its officers, agents, volunteers and employees are included as insureds with regard to liability and defense of suits arising from the operations, products and activities performed by or on behalf of the named insured. 2. CONTRIBUTION NOT REQUIRED. As respects: (a) work performed by the named insured for or on behalf of the City; or (b) products sold by the named insured to the City; or (c) premises leased by the named insured from the City, the insurance afforded by this policy shall be primary insurance as respects the City, its officers, agents, employees or volunteers; or stand in an unbroken chain of coverage excess of the named insured's scheduled underlying primary coverage. In either event, any other insurance maintained by the City, its officers, agents, employees or volunteers shall be in excess of this insurance and shall not contribute with it. 3. SEVERABILITY OF INTEREST. This insurance applies separately to each insured against whom claim is made or suit is brought except with respect to the company's limits of liability. The inclusion of any person or organization as an insured shall not affect any right which such person or organization would have as a claimant if not so included. 4. CANCELLATION NOTICE. With respect to the interests of the City, this insurance shall not be canceled, or materially reduced in coverage or limits except after thirty (30) days prior written notice by receipted delivery has been given to the City. 5. PROVISIONS REGARDING THE INSURED'S DUTIES. Any failure to comply with reporting provisions of the policy or breaches or violations of warranties shall not affect coverage provided to the City, its officers, agents, employees or volunteers. 6. SCOPE OF COVERAGE. This policy, if primary, affords coverage at least as broad as; a. Insurance Services Office Automobile Liability Coverage, "occurrence" form CA0001, code ("any auto"); or b. If excess, affords coverage which is at least as broad as the primary insurance form referenced in the preceding section (1). Except as stated above nothing herein shall be held to waive, alter or extend any of the limits, conditions, agreements or exclusions of the policy to which this endorsement is attached.			
ENDORSEMENT HOLDER			
CITY OF OXNARD Attn: Insurance Compliance Reference No. _____ P.O. Box 100085 – OX Duluth, GA 30096 Via Email: cityofoxnard@ebix.com Via Fax: 678-259-1007		AUTHORIZED REPRESENTATIVE ☐ Broker/Agent ☐ Underwriter ☐ _____ I _____ (print/type name), warrant that I have authority to bind the above-mentioned insurance company and by my signature hereon do so bind this company to this endorsement. Signature _____ (original signature required) Telephone: () _____ Date Signed _____	

Agreement No. 9200-21-PW

FIRST AMENDMENT TO AGREEMENT FOR PROFESSIONAL SERVICES

This First Amendment ("First Amendment") to the Agreement for Professional Services ("Agreement") is made and entered into in the County of Ventura, State of California, this 25 day of October, 2021, by and between the City of Oxnard, a municipal corporation ("City"), and Guidepost Solutions LLC ("Consultant"). This First Amendment amends the Agreement entered into on May 6, 2021, by City and Consultant.

City and Consultant agree as follows:

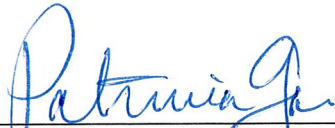
1. The date in Section 5 of the Cover Page of the Agreement is hereby amended to "December 31, 2022."
2. As so amended, the Agreement remains in full force and effect.

[Signatures on next page]

Agreement No. 9200-21-PW

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD**GUIDEPOST SOLUTIONS LLC**

 10/25/21
☒ John C. Zaragoza, Mayor¹ _____ Date
☐ Alexander Nguyen, City Manager
☐ Daniel Willhite, Purchasing Manager
☒ Patricia Garcia, Buyer

 Julie Wood Myers, CEO² _____ Date

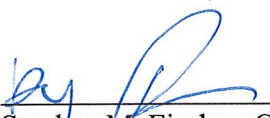
 Anthony Collura, CFO/CLO _____ Date

ATTEST:

N/A

 Rose Chaparro, City Clerk _____ Date
 (only if Mayor signs)

APPROVED AS TO FORM:

 10/12/21

 Stephen M. Fischer, City _____ Date
 Attorney (always required)

¹ The City Council must authorize and the Mayor must sign the amendment if the original contract and all amendments collectively total over \$200,000 annually. The City Manager may authorize and sign the amendment if the original contract and all amendments collectively total over \$100,000 but up to \$200,000 annually. The Purchasing Manager may authorize and sign the amendment if the original contract and all amendments collectively total up to \$100,000 annually. A Buyer may authorize and sign the amendment if the original contract and all amendments collectively total up to \$25,000 annually.

² The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC (company directors, not lower-level managers); or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

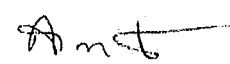
If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD

GUIDEPOST SOLUTIONS LLC

☐ John C. Zaragoza, Mayor¹ _____ Date
☐ Alexander Nguyen, City Manager
☐ Daniel Willhite, Purchasing Manager
☒ _____, Buyer

 _____ 10/25/21
 Julie Wood Myers, CEO² _____ Date
 _____ 10/25/21
 Anthony Collura, CFO/CLO _____ Date

ATTEST:

 Rose Chaparro, City Clerk _____ Date
 (only if Mayor signs)

APPROVED AS TO FORM:

 Stephen M. Fischer, City _____ Date
 Attorney (always required)

¹ The City Council must authorize and the Mayor must sign the amendment if the original contract and all amendments collectively total over \$200,000 annually. The City Manager may authorize and sign the amendment if the original contract and all amendments collectively total over \$100,000 but up to \$200,000 annually. The Purchasing Manager may authorize and sign the amendment if the original contract and all amendments collectively total up to \$100,000 annually. A Buyer may authorize and sign the amendment if the original contract and all amendments collectively total up to \$25,000 annually.

² The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC (company directors, not lower-level managers); or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

Agreement No. 9200-21-PW

SECOND AMENDMENT TO AGREEMENT FOR PROFESSIONAL SERVICES

This Second Amendment ("Second Amendment") to the Agreement for Professional Services ("Agreement") is made and entered into in the County of Ventura, State of California, this 10 day of May, 2022, by and between the City of Oxnard, a municipal corporation ("City"), and Guidepost Solutions LLC ("Consultant"). This Second Amendment amends the Agreement entered into on May 6, 2021, by City and Consultant. The Agreement previously has been amended on October 25, 2021, by a First Amendment.

City and Consultant agree as follows:

1. The date in Section 5 of the Cover Page of the Agreement is hereby amended to "June 30, 2023."
2. The reference to the Scope of Services Exhibit everywhere it is listed both on the Cover Page and in the Agreement is hereby amended to add also the Scope of Services A-1 Exhibit. The Scope of Services A-1 Exhibit is attached hereto and incorporated herein by this reference.
3. The amount in Section 6 of the Cover Page of the Agreement is hereby amended to "179,670.00."
4. The reference to the Rates and Costs Exhibit everywhere it is listed both on the Cover Page and in the Agreement is hereby amended to add also the Rates and Costs A-1 Exhibit. The Rates and Costs A-1 Exhibit is attached hereto and incorporated herein by this reference.
5. The following is attached hereto and incorporated herein by this reference into the Agreement: Prevailing Wage Exhibit.
6. As so amended, the Agreement remains in full force and effect.

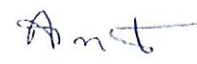
[Signatures on next page]

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD

GUIDEPOST SOLUTIONS LLC

 5/10/2022
☐ John C. Zaragoza, Mayor¹ Date
☒ Alexander Nguyen, City Manager
☐ Daniel Willhite, Purchasing Manager
☐ _____, Buyer

 4/27/22
 Julie Myers-Wood, CEO² Date
 4/27/22
 Anthony Collura, CFO/CLO Date

ATTEST:

 Rose Chaparro, City Clerk Date
 (only if Mayor signs)

APPROVED AS TO FORM:

 Stephen M. Fischer, City Attorney (always required) Date

¹ The City Council must authorize and the Mayor must sign the amendment if the original contract and all amendments collectively total over \$200,000 annually. The City Manager may authorize and sign the amendment if the original contract and all amendments collectively total over \$100,000 but up to \$200,000 annually. The Purchasing Manager may authorize and sign the amendment if the original contract and all amendments collectively total up to \$100,000 annually. A Buyer may authorize and sign the amendment if the original contract and all amendments collectively total up to \$25,000 annually.

² The City requires the following for any contract:

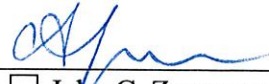
- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC (company directors, not lower-level managers); or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

Agreement No. 9200-21-PW

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD**GUIDEPOST SOLUTIONS LLC**

 5/10/2022
☐ John C. Zaragoza, Mayor¹ Date
☒ Alexander Nguyen, City Manager
☐ Daniel Willhite, Purchasing Manager
☐ _____, Buyer

 Julie Myers-Wood, CEO² Date

 Anthony Collura, CFO/CLO Date

ATTEST:

N/A

 Rose Chaparro, City Clerk Date
 (only if Mayor signs)

APPROVED AS TO FORM:

 4-5-22

 Stephen M. Fischer, City Attorney (always required) Date

¹ The City Council must authorize and the Mayor must sign the amendment if the original contract and all amendments collectively total over \$200,000 annually. The City Manager may authorize and sign the amendment if the original contract and all amendments collectively total over \$100,000 but up to \$200,000 annually. The Purchasing Manager may authorize and sign the amendment if the original contract and all amendments collectively total up to \$100,000 annually. A Buyer may authorize and sign the amendment if the original contract and all amendments collectively total up to \$25,000 annually.

² The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC (company directors, not lower-level managers); or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.

SCOPE OF SERVICES A-1 EXHIBIT

Task 11: Professional Topographic Surveying Services

Rosell Surveying and Mapping, Inc. ("RSM") located at 20951 Brookhurst St, Huntington Beach, CA 92646 for professional topographic surveying services of the City of Oxnard HQ Campus (Blending Station 1 & 6) as well as Blending Stations 2, 4, and 5. These services are required to generate accurate, dimensioned site layouts of the site perimeter boundaries and fence lines for KPFF to execute their work. The output of the RSM topographic surveys become an input to the existing Task 7: Barrier and Access 50% Design and Task 8: Barrier and Access Final Design phases.

Surveying Services for the following sites:

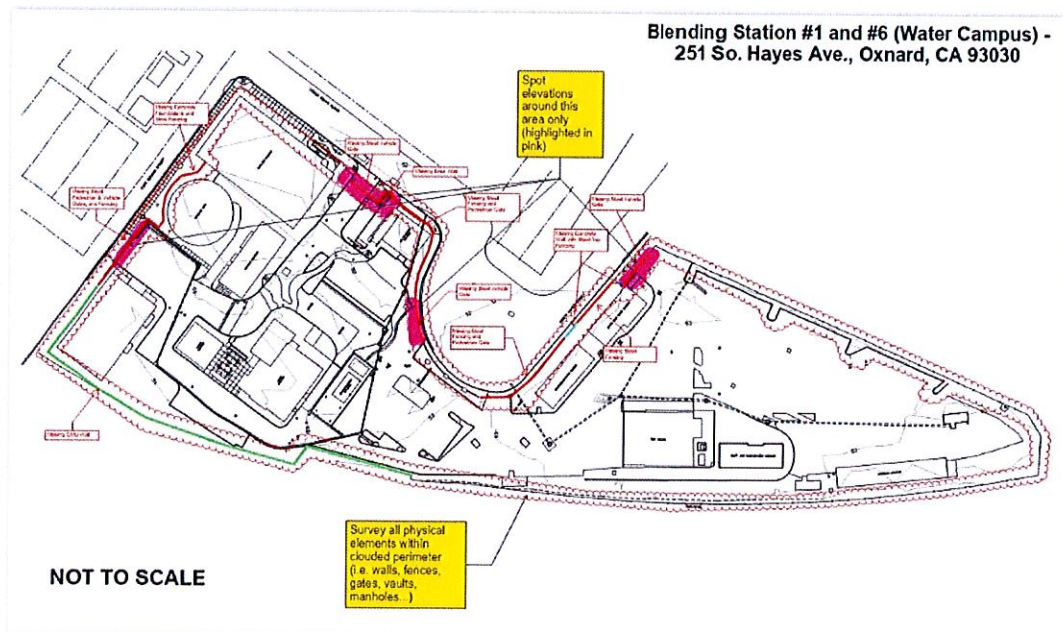
Blending Station 1 & 6 – 251 S Hayes Ave

- Limits of the Survey are the +/-15 around the exterior property line of the subject property.
- Perform topographic survey showing spot elevations in areas shown in pink on the image below.



Rosell Surveying and Mapping, Inc.

Exhibit for Blending Station 1 & 6



- Locate all visible site improvements, utilities, and trees 4" or larger within survey limits.
- Horizontal and Vertical values will be based on existing survey drawing as provided by the client.

- No additional boundary surveying will be performed.

Blending Station 2 – 1061 Richmond Ave

Blending Station 4 – 3657 N Rose Ave

Blending Station 5 – 980 E Pleasant Valley Rd

- Limits of the Survey are the +/-15 around the exterior property line of the subject property.
- Perform topographic survey showing spot elevations in areas shown in pink on the image below.



Rosell Surveying and Mapping, Inc.

Exhibit For Blending Station 5

**Blending Station #5 (Pleasant Valley Station)
980 E. Pleasant Valley Rd., Oxnard, CA 93033**



- Locate all visible site improvements, utilities, and trees 4" or larger within survey limits.

- Horizontal Values will be based on state plane coordinates and Vertical values will be based on a local published benchmark.
- No boundary surveying will be performed.

Task 12: Civil Barriers and Fencing Design Services for Blending Station 5

Expand the scope of services of KPFF to include Blending Station 5 in the project. Blending Station 5 was originally excluded from the project however the added scope of work will include fence enhancements at this site.

KPFF will coordinate with the surveying subconsultant Rossell Surveying and Mapping, Inc and review final survey files for the Main Campus (BS1 & BS6) and Blending Stations 2, 4, and 5 (BS2, BS4, BS5). In addition to the existing scope, KPFF will include fencing security upgrades at BS5. The effort for BS5 is assumed to increase Tasks 7, 8, and 10 which include engineering design, drawing production, specification production, and construction support services specifically for this site. KPFF understands that the City of Oxnard would like this scope added in order to prevent unauthorized access to BS5 which is currently being breached the existing CMU wall. Based on discussions, KPFF the design concept will include a new dedicated fence inside the property line of BS5 to avoid utilizing the neighbors existing CMU wall.

Additional Project Management Services provided by Guidepost:

- Coordinate all efforts of the Project Team including representatives from the City of Oxnard Public Works Water Division.
- Facilitate regular project progress meetings and provide monthly written updates on the Project status.
- Coordinate the services of subconsultants including site visits, site inspections, and shall ensure coordination with the City operations and engineering staff and other interested parties as outlined by the City.
- Conduct design coordination and Quality Assurance and Quality Control (QA/QC) for all deliverables.
- Ensure the RSM and KPFF deliverables are in alignment with the overall security recommendations approved in the City of Oxnard Public Works Department Water Division Security Project PW21-29 Technical Memorandum (TM-1) Report dated October 6, 2021.

RATES AND COSTS A-1 EXHIBIT**ESTIMATED BREAKDOWN OF COMPENSATION BY PHASE**

**Water Division Security Project PW21-29
Add Services Proposal**

Phase of Work	Sr Project Manager	Design Drafting	Fee by Phase
<u>Consulting and Design Services</u>			
RSM Topographic Surveys	Attachment A		\$12,000
KPFF Consulting and Design	Attachment B		\$6,300
15% Subconsultant Markup (RSM)			\$1,800
15% Subconsultant Markup (KPFF)			\$945
Guidepost Consulting and Design	20	10	\$4,950
Compensation			\$25,995
Estimated Expenses			\$0
<u>Total Compensation + Expenses</u>			<u>\$25,995</u>

The add services fees of \$25,995 will be added to the existing contract amount of \$153,675 for a new project contact total of **\$179,670**.

ROSELL SURVEYING AND MAPPING, INC. FEE BREAKDOWN

➤ Blending Station 1 & 6 – 251 S Hayes Ave

- Limits of the Survey are the +/-15 around the exterior property line of the subject property.
- Perform topographic survey showing spot elevations in areas shown in pink on the attached exhibit.
- Locate all visible site improvements, utilities, and trees 4" or larger within survey limits.
- Horizontal and Vertical values will be based on existing survey drawing as provided by the client.
- No additional boundary surveying will be performed.

➤ **Estimated Cost: 4,500.00**

➤ Blending Station 2 – 1061 Richmond Ave

➤ Blending Station 4 – 3637 N Rose Ave

➤ Blending Station 5 – 980 E Pleasant Valley Rd

- Limits of the Survey are the +/-15 around the exterior property line of the subject property.
- Perform topographic survey showing spot elevations in areas shown in pink on the attached exhibit.
- Locate all visible site improvements, utilities, and trees 4" or larger within survey limits.
- Horizontal Values will be based on state plane coordinates and Vertical values will be based a local published benchmark.
- No boundary surveying will be performed.

➤ **Estimated Cost: 7,500.00**

KPFF FEE BREAKDOWN

Amendment BS5 & Survey Coordination	KPFF (2020)										Total Hours	
	Principal	Snr Project Mgr	Proj Mgr	Snr Tech Specialist	Senior Engineer	Prof. Engineer	Design Engineer	Designer	CAD Tech	Admin		
	\$260	\$254	\$249	\$235	\$205	\$185	\$154	\$149	\$143	\$92		
Task 7: Barrier & Access 50% Design w/ BS5												
Survey Coordination w/ Rosell Survey & Mapping						4	2			0.5	6.5	\$1,084
Provide Civil Input on Site Plan & Survey CAD File prepared by others (BS5)						1	1				2	\$339
Technical Specifications (Civil Sections)						1	1				2	\$339
Review Civil portions of Cost Est prepared by others (1 review)						1	1				2	\$339
Civil Demolition Plan (fencing & bollards)						1	1				2	\$339
Structural Calculation Package						1	1				2	\$339
Structural Drawings						1	1				2	\$339
QA/QC						0.5	0.5				1	\$170
Subtotal - Task 7 Civil Portion	0	0	0	0	0	10.5	8.5	0	0	0	13	\$3,298
Task 8: Barrier & Access Final Design w/ BS5												
Provide Civil Input on Site Plan & Survey CAD File prepared by others (BS5)						1	1				2	\$339
Technical Specifications (Civil Sections)						1	1				2	\$339
Review Civil portions of Cost Est prepared by others (1 review)						0.5	0.5				1	\$170
Civil Demolition Plan (fencing & bollards)						0.5	0.5				1	\$170
Structural Drawings						1	1				2	\$339
Respond to City 50% Plan Check comments (assume 1 iteration)						0.5	0.5				1	\$170
Respond to City 95% Plan Check comments (assume 1 iteration)						0.5	0.5				1	\$170
QA/QC						0.5	0.5				1	\$170
Subtotal - Task 8 Civil Portion	0	0	0	0	0	5.5	5.5	0	0	0	11	\$1,865
Task 10 - Construction Phases Services												
Field Observations						1					1	\$185
Review of submittals, and shop drawings (sub tasks below)						0.5					0.5	\$93
Civil for Fencing						0.5	0.5				1	\$170
Structural for Fencing						0.5	0.5				1	\$170
RFI responses and resolution of unforeseen conditions						0.5	0.5				1	\$170
Punchlist						1					1	\$185
Record Drawings							0.5			0.5	1	\$123
Subtotal - Task 10 Civil Portion	0	0	0	0	0	4	2	0	0	0.5	6.5	\$1,084
Total - Tasks 7, 8, 10												\$6,256

PREVAILING WAGE EXHIBIT

1. The Project defined in the Agreement between City and Contractor is a "public work" as defined in Division 2, Part 7, Chapter 1 of the California Labor Code ("Chapter 1"), and this Agreement is subject to Chapter 1 and the rules and regulations established by the Director of Industrial Relations ("DIR") implementing such statutes. Contractor shall perform the Project as a public work. Contractor shall comply with and be bound by all the terms, rules and regulations described in Chapter 1 and the DIR's rules and regulations as though set forth in full herein.
2. Pursuant to Labor Code Section 1773.2, copies of the prevailing rate of per diem wages for each craft, classification, or type of worker needed to perform the Agreement are on file with the Project Coordinator at City Hall and will be made available to any interested party on request. They are also available at <http://www.dir.ca.gov/Public-Works/Prevailing-Wage.html>. Contractor shall post such rates at each job site covered by this Agreement.
3. Contractor is required to post job site notices, as prescribed by regulation. *See* Labor Code Section 1771.4(a)(2).
4. Contractor shall comply with and be bound by the provisions of Labor Code Sections 1774 and 1775 concerning the payment of prevailing rates of wages to workers and the penalties for failure to pay prevailing wages. Contractor shall, as a penalty to City, forfeit not more than \$200 for each calendar day or portion thereof for each worker paid less than the DIR's determined prevailing rates for the work or craft in which the worker is employed pursuant to this Agreement by Contractor or any subcontractor. The Labor Commissioner shall determine the amount of the penalty as described in Section 1775.
5. Contractor shall comply with Labor Code Section 1776, which requires Contractor and each subcontractor to (1) keep accurate payroll records and verify such records in writing under penalty of perjury, (2) certify and make such payroll records available for inspection, and (3) inform City of the location of the records.
6. Contractor shall comply with Labor Code Sections 1777.5, 1777.6 and 1777.7 and California Administrative Code Title 8, Section 200 *et seq.* concerning the employment of apprentices on public works projects. Contractor shall be responsible for compliance with these aforementioned Sections for all apprenticeable occupations. Before commencing work under this Agreement, Contractor shall provide City with a copy of the information submitted to any applicable apprenticeship program. Within 60 days of the Agreement Ending Date listed in (6) of the Cover Page or the conclusion of the Project, whichever happens later, Contractor and each of its subcontractors shall submit to City a verified statement of the journeyman and apprentice hours performed under this Agreement.
7. Contractor shall not be debarred or suspended throughout the duration of this Agreement pursuant to Labor Code Section 1777.1 or 1777.7. If Contractor becomes debarred or suspended throughout the duration of the Agreement, Contractor shall immediately notify City.
8. Contractor is not qualified to bid on, be listed in a Bid, or engage in the performance of any contract for public work, as defined in LC Sections 1720 through 1861, unless currently registered and qualified to perform public work pursuant to LC Section 1725.5. Contractor shall continue without interruption to stay registered and qualified to perform public work pursuant to Section 1725.5 for the duration of the Agreement's term. This provision does not apply to construction, alteration, demolition, installation or repair work of \$25,000 or less or to maintenance work of \$15,000 or less.
9. Contractor acknowledges that 8 hours labor constitutes a legal day's work. Contractor shall comply with and be bound by Labor Code Section 1810.
10. Contractor shall comply with and be bound by Labor Code Section 1813 concerning penalties for workers who work excess hours. Contractor shall, as a penalty to City, forfeit \$25 for each worker employed in the performance of this Agreement by Contractor or by any subcontractor for each calendar day during which such worker is required or permitted to work more than 8 hours in any calendar day and 40 hours in any one calendar week in violation of the provisions of Division 2, Part 7, Chapter 1, Article 3 of the Labor Code. Pursuant to Labor Code Section 1815, work performed by Contractor's employees in excess of 8 hours per day and 40 hours per week shall be permitted upon public work upon compensation for all hours worked in excess of 8 hours per day at not less than 1½ times the basic rate of pay.
11. The Project listed in the Agreement is subject to compliance monitoring and enforcement by the DIR.

12. Contractor shall be responsible for each and every one of its subcontractors' compliance with Chapter 1, the DIR's rules and regulations, and Labor Code Sections 1860 and 3700. Contractor shall include in the written contract between it and each subcontractor a copy of, and a requirement that each subcontractor shall comply with, those statutory provisions. Contractor shall be required to take all actions necessary to enforce such contractual provisions and ensure subcontractors' compliance, including without limitation, conducting a periodic review of the certified payroll records of each subcontractor, and upon becoming aware of the failure of the subcontractor to pay its workers the specified prevailing rate of wages, Contractor shall diligently take corrective action to halt or rectify any failure.
13. To the maximum extent, Contractor shall hold harmless, defend (with counsel approved by the City Attorney) and indemnify City, its legislative bodies, and its officials, officers, employees, agents, successors and assigns from any demand or claim for damages, compensation, fines, penalties or other amounts arising out of or incidental to any acts or omissions listed above by any person or entity (including Contractor, its subcontractors, and each of their officials, officers, employees and agents) in connection with any work undertaken or in connection with the Agreement, including without limitation the payment of all attorneys' fees and other related costs. All duties of Contractor under this Section shall survive Agreement termination.

THIRD AMENDMENT TO AGREEMENT FOR PROFESSIONAL SERVICES

This Third Amendment ("Third Amendment") to the Agreement for Professional Services ("Agreement") is made and entered into in the County of Ventura, State of California, this 24th day of August, 2023, by and between the City of Oxnard, a municipal corporation ("City"), and Guidepost Solutions LLC ("Consultant"). This Third Amendment amends the Agreement entered into on May 6, 2021, by City and Consultant. The Agreement previously has been amended on October 25, 2021, by a First Amendment, and on May 1, 2022, by a Second Amendment.

City and Consultant agree as follows:

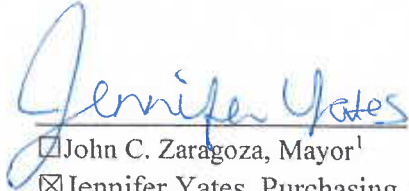
1. The date in Section 5 of the Cover Page of the Agreement is hereby amended to "December 31, 2024."
2. As so amended, the Agreement remains in full force and effect.

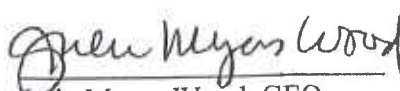
[Signatures on next page]

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD

GUIDEPOST SOLUTIONS LLC

 8/24/2023
☐ John C. Zaragoza, Mayor¹ Date
☒ Jennifer Yates, Purchasing Agent²

 8/1/2023
Julie Myers-Wood, CEO Date

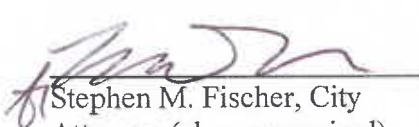
Signed in counter-part, see previous page

Anthony Collura, CFO/CLO,³ Date

ATTEST:

Rose Chaparro, City Clerk Date
(only if Mayor signs)

APPROVED AS TO FORM:

 8/23/2023
Stephen M. Fischer, City Date
Attorney (always required)

¹ The City Council must authorize and the Mayor must execute any agreement over \$200,000.

² The Purchasing Agent may execute any authorized agreement up to \$200,000.

³ The City requires the following for any contract:

- For a corporation, the signatures of the Board President, CEO or Vice President and of the Board Secretary, Assistant Secretary, CFO or Assistant Treasurer;
- For an LLC, the signatures of at least two managers of the LLC (company directors, not lower-level managers); or
- For a partnership, the signature of a partner. If the partnership is a limited partnership, the signer must be a general partner.

If the company has a different structure, or if the above-listed persons are not the appropriate signers, please submit to the City Attorney legally-binding documentation stating who can sign and bind your company.



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.5

DATE: March 19, 2024
TO: City Council
FROM: Jason Benites, Police Chief, (805) 385-7624, jason.benites@oxnardpd.org
SUBJECT: State of California Peace Officer Standards and Training (P.O.S.T.) Reimbursement Program.

RECOMMENDATION

That City Council:

1. Recognize \$90,625 in training reimbursement revenue from the State of California Peace Officer Standards and Training (P.O.S.T.) Reimbursement Program;
2. Approve a budget appropriation in the amount of \$90,625 from the Peace Officer Standards and Training (P.O.S.T.) reimbursement to P.O.S.T. related training; and
3. Authorize the City Manager or designee to approve additional budget appropriations if additional funding is received.

(This item did not originate in Committee.)

BACKGROUND

The State of California Commission of Peace Officer Standards and Training (POST) was established by the Legislature in 1959 to set minimum selection and training standards for California law enforcement. The increasingly diverse challenges and changing service demands confronting law enforcement require that the content and instructional methodologies of peace officer training be regularly evaluated and updated. Training is crucial to officers and professional staff acquiring the critical knowledge, skills and abilities necessary to provide services. Training is continuously updated to ensure accuracy and relevance to contemporary issues, and is certified by POST. Every peace officer, public safety dispatcher, and public safety dispatcher supervisor must satisfactorily meet a Continuing Professional Training (CPT) requirement, which consists of a minimum of twenty-four (24) hours of POST approved training during every two-year CPT cycle.

Reimbursements to cities, counties, and districts shall be granted by the Commission in accordance with Penal Code section 13523. Reimbursement is based upon fund availability as approved by the Commission. POST reimbursements are based on schedules known as "POST plans." Differing POST plans may reimburse for subsistence (lodging and meals), travel (mileage), tuition, and/or backfill salary. POST funding comes from the State General Fund and State Penalty Fund, which receives money from penalty assessments on criminal and traffic fines. When an agency receives funding from POST, the State Controller's Office (SCO) conducts periodic audits to confirm the funds were used appropriately.

In August 1999, the Police Department received authorization to establish a training fund (Fund 103 - General Fund OPD Training) to capture POST reimbursement monies for expenditures incurred while attending POST certified training courses. With the implementation of the new financial system, Tyler Munis, the POST reimbursement monies and expenditures are tracked in a project in General Fund (#B2302 - Police P.O.S.T.).

STRATEGIC PRIORITIES

This agenda item supports Public Safety strategy. The purpose of the Public Safety strategy is to restore and modernize the delivery of public safety services to provide for the safety of our neighborhoods and health of our community.

FINANCIAL IMPACT

Approval of the recommended action will authorize recognition of the revenue and appropriation of \$90,625 in the General Fund project #B2302 (Police P.O.S.T.).

For Finance Use Only: General Fund (Org. 1012111 Obj. 42420, 52310 & Org. 1012112 Obj. 52310) Project B2302

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Delia Campbell, Police Finance Manager

ATTACHMENTS

None



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.6

DATE: March 19, 2024

TO: City Council

FROM: Emilio Ramirez, Housing Director, (805) 385-8094, emilio.ramirez@oxnard.org

SUBJECT: Amendments to City Loan Agreements with Mercy House Living Centers for the Development of a New Navigation Center at Casa de Carmen located at 241 West Second Street.

RECOMMENDATION

That the City Council:

1. Approve and authorize the City Manager or designee to execute the First Amendment to Permanent Local Housing Allocation Affordable Housing Loan Agreement A-8461 ("PLHA Loan Agreement") between the City and Mercy House Living Centers ("Mercy House") contingent on funding approval by the California Department of Housing and Community Development (HCD);
2. Approve and authorize the City Manager or designee to execute the First Amendment to Measure O Loan Agreement 32300146 between the City and Mercy House;
3. Approve a budget appropriation of \$537,567 in PLHA grant funds (Fund 220) to increase the loan amount for PLHA Loan Agreement A-8461 between the City and Mercy House from \$347,528 to \$885,095;
4. Approve and authorize the City Manager or designee to execute the First Amendment to Affordable Housing Loan Agreement A-8292 between the City and 2nd and B LP;
5. Approve and authorize the City Manager or designee to execute the Second Amendment to Disposition and Development Agreement A-8310 between the City, CDP Developers LLC, and the Housing Trust Fund Ventura County;
6. Approve and authorize the City Manager to execute the Second Amendment to the Agreement to Provide Financial Support for the Construction and Operation of a Permanent, Year-Round Shelter Serving Homeless Individuals between the City and the County of Ventura, Agreement A-8462.

(The Community Services, Public Safety, Housing & Development Committee approved 3-0 on February 27, 2024.)

BACKGROUND

On March 30, 2021, the City Council approved a Disposition and Development Agreement (DDA) between the City, CDP Developers LLC, and the Housing Trust Fund Ventura County to develop a mixed-use development known as Casa De Carmen including fifty-six permanent supportive housing units and a 110-bed overnight homeless shelter and navigation center ("Project"). City Council also approved an Affordable Housing Loan Agreement and related documents between the City and 2nd and B LP, to provide a \$1,500,000 loan for the construction of the residential units in the Project. On May 19, 2022, the City Council approved the Second Amendment to the DDA to make changes including applying the rights and obligations of the DDA to future amendments, allowing for future interests of mortgages including after foreclosures, allowing material changes, with prior City of Oxnard approval, to the development and use, and an update to the Schedule of Performance.

The original budget to build the navigation center was \$4,746,741, which was funded in partnership with the County of Ventura. The City contributed \$347,528 from the City's Permanent Local Housing Allocation ("PLHA") plus \$1,463,948 from the City's Measure O fund. The County of Ventura contributed \$2,935,265. Funding for construction of the navigation center was provided to Mercy House with two loan agreements between the City and Mercy House, approved by the City Council on May 17, 2022, including a PLHA Loan Agreement in the amount of \$347,528 and a Measure O Loan Agreement in the amount of \$4,399,213. A subsequent amendment was also executed on May 17, 2022, to amend the required insurance requirements between the parties.

The City of Oxnard and the County of Ventura entered an Agreement to Provide Financial Support for the Construction and Operation of a Permanent, Year-Round Shelter Serving Homeless Individuals, which memorialized the contributions from the City and the County for the construction of the navigation center. If approved, HCD approval will be required to increase PLHA funding.

DISCUSSION

Casa de Carmen began construction in June 2022 and was originally estimated to be completed along with the navigation center on the ground floor in the Spring of 2024. Casa de Carmen has experienced various delays from asbestos, rain, and a lengthy approval process from Southern California Edison (SCE) for approval of the Project's underground utility plan. SCE approval of the underground utility plan necessitated granting SCE a utility easement on City owned property adjacent to the City Library in Downtown. This action was approved by the City Council on July 27, 2023. As of the writing of this report, CDP was still awaiting final approval from SCE of their underground utility plan. Casa de Carmen is now expected to be completed in early 2025.

The subsequent build out of the navigation center is thus also delayed.

Budget Increase and Agreement Amendments

Mercy House updated the original cost estimates for the navigation center to include current costs plus amend the scope of construction by adding the installation of a grease interceptor, underground plumbing, signage and directories, permits and related fees, and legal fees. The increased budget requires amending:

1. PLHA Loan Agreement Documents

Staff proposes to amend the PLHA Loan Agreement and related documents increasing the principal loan amount from \$347,528 to \$885,095. This increases the overall navigation center construction budget from \$4,746,741 to \$5,284,308. The proposed restructure will also allow for an initial disbursement of \$500,000 for start-up development costs associated with the construction of the navigation center.

2. Measure O Loan Agreement

Recommended changes to the terms of the Measure O Loan Agreement does not increase the principal amount but the PLHA Loan Agreements does increase the navigation center budget by \$537,567. Additional proposed amendments to the Measure O Loan Agreement the PLHA Loan Agreement add time to disburse funds.

3. Affordable Housing Loan Agreement

The proposed amendments to the Affordable Housing Loan agreement between the City and 2nd & B LP will allow for the additional time needed to complete the Project and authorize the Housing Trust Fund Ventura County to assign its interest to the Housing Land Trust Ventura County.

4. Disposition and Development Agreement

The proposed amendments to the Disposition and Development Agreement between the City, CDP Developers LLC and the Housing Trust Fund Ventura County allow for the additional time needed to complete the Project and authorize the Housing Trust Fund Ventura County to assign its interest to the Housing Land Trust Ventura County.

5. Agreement to Provide Financial Support for the Construction and Operation of a Permanent, YearRound Shelter Serving Homeless Individuals

This Agreement calls for the equal sharing of the cost to build and operate the new navigation center between the City

and the County. Mercy House expects an increase in the annual cost to operate the new navigation center. Staff proposes to amend this agreement with the County relative to the construction of the navigation center and memorializing the budget increase of that navigation center plus allowing for the delay in construction of Casa de Carmen. The current estimate for the construction of the new navigation center increases the construction budget from \$4,746,741 to \$5,284,308, a difference of the \$537,567, which is consistent with the above-mentioned increase to the principal if the PLHA loan agreement.

Additionally, Mercy House staff projects an increase to the cost to operate the navigation center, which will require additional contributions from the County and the City. Staff will return to Council to further amend the agreement with the County to share operation cost prior to the opening of the new navigation center for such amendment. The County will also return to the Board of Supervisors for such an amendment.

STRATEGIC PRIORITIES

This agenda item supports the Quality of Life strategy. The purpose of the Quality of Life strategy is to restore and increase quality services and programs that enrich Oxnard's diverse community, promotes safe neighborhoods, encourages community engagement, and supports our residents in their efforts to improve their quality of life.

FINANCIAL IMPACT

If the proposed amended PLHA funding and First Amendment to the PLHA Loan Agreement between the City and Mercy House is approved by the City Council and HCD, a budget appropriation of \$537,567 from the City's Year 2 PLHA grant funds (Fund 220) will be required. Funding for this appropriation will be attained by reducing funds that are available, but not yet appropriated, for the Affordable Housing Gap Financing activity authorized under the grant. There are not pending, or already approved, development projects that would be affected by this appropriation.

For Finance use only: State Housing Grant Fund (Org. 2208101 Obj. 53600) Project M2102.

COMMITTEE OUTCOME

The Community Services, Public Safety, Housing & Development Committee approved the recommended action 3-0 on February 27, 2024.

Prepared by: Emilio Ramirez, Housing Director

ATTACHMENTS

1. First Amendment to PLHA Loan Agreement
2. Amended and Restated Promissory Note
3. Amended and Restated Regulatory Agreement
4. Amended and Restated Deed of Trust, Assignment of Rents and Security Agreement
5. First Amendment to Measure O Loan Agreement
6. First Amendment to Affordable Housing Loan Agreement
7. Second Amendment to Disposition and Development Agreement
8. Second Amendment to Agreement to Provide Financial Support for Construction Year-Round Shelter

**FIRST AMENDMENT TO PLHA LOAN AGREEMENT
(HOMELESS SHELTER PROJECT)**

This First Amendment ("First Amendment") to the PLHA Loan Agreement ("Agreement") is made and entered into in the County of Ventura, State of California, this _____ day of _____, 20____, by and between the City of Oxnard, a municipal corporation ("Lender"), and Mercy House Living Centers ("Borrower"). This First Amendment amends the Agreement entered into on May 19, 2022, by Lender and Borrower.

Lender and Borrower agree as follows:

1. Lender and Borrower wish to increase the principal amount of the PLHA Loan Agreement from Three Hundred and Forty-Seven Thousand Five Hundred and Twenty-Eight Dollars (\$347,528) to Eight Hundred and Eighty-Five Thousand Ninety-Five dollars (\$885,095). Therefore, throughout the entire agreement, the amount "Three Hundred and Forty-Seven Thousand Five Hundred and Twenty-Eight Dollars (\$347,528)" is hereby deleted and replaced with "Eight Hundred and Eighty-Five Thousand Ninety-Five dollars (\$885,095)."
2. The reference to "Exhibit B" everywhere it is listed in the Agreement is hereby amended to the new Exhibit B-1. "Exhibit B" is hereby disincorporated; instead, the Exhibit B-1 is attached hereto and incorporated herein by this reference.
3. In Section 1.5 the following language "All funds must be disbursed under this Agreement on or before April 30, 2024. No funds shall be disbursed after April 30, 2024" is hereby deleted and replaced with "Disbursement of funds is for the construction of tenant improvements as described in Section 2.6 of this Loan Agreement, Borrower must diligently complete construction in pursuit of a Certificate of Occupancy."
4. In Section 3.2, paragraph two, the reference to "no more frequently than one time per month" is hereby deleted. In Section 3.2 the following language is hereby added as the final paragraph, "Notwithstanding anything in this Section 3.2 to the contrary, Lender may, in its sole and absolute discretion, disburse up to "Five Hundred Thousand Dollars (\$500,000) of the total Loan proceeds to Borrower prior to Borrower incurring any Project costs."
5. The reference to "Exhibit C" everywhere it is listed in the Agreement is hereby amended to the new Exhibit C-1. "Exhibit C" is hereby disincorporated; instead, the Exhibit C-1 is attached hereto and incorporated herein by this reference.
6. The reference to "Exhibit D" everywhere it is listed in the Agreement is hereby amended to the new Exhibit D-1. "Exhibit D" is hereby disincorporated; instead, the Exhibit D-1 is attached hereto and incorporated herein by this reference.
7. The reference to "Exhibit E" everywhere it is listed in the Agreement is hereby amended to the new Exhibit E-1. "Exhibit E" is hereby disincorporated; instead, the Exhibit E-1 is attached hereto and incorporated herein by this reference.
8. As so amended, the Agreement remains in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above

LENDER

The City of Oxnard, a municipal corporation

BORROWER

MERCY HOUSE LIVING CENTERS, a California nonprofit public benefit corporation

Alexander Nguyen, City Manager

By: Larry Haynes

Its: Chief Executive Officer

APPROVED AS TO FORM:

Stephen Fisher, City Attorney

EXHIBIT B-1**BUDGET**

Loan proceeds shall be used only for the costs attributed to the development of the Shelter, as shown below, in an amount not to exceed \$885,095.

Description	Total Budget
Shelter Shell	\$844,691
Rooftop Deck	\$41,612
Shelter Tenant Improvements	\$3,580,488
Design Costs	\$232,750
Construction Oversight	\$50,000
Insurance	\$88,000
Contingency	\$446,767
Total Cost	\$5,284,308 ¹

¹ The balance of this budget will be paid with loan proceeds from a separate Loan Agreement executed between the Borrower and the Lender, in which Lender agreed to loan Borrower \$4,399,213 from Local City Measure O funds and funds provided by the County of Ventura for development of the Shelter.

EXHIBIT C-1
AMENDED AND RESTATED DEED OF TRUST

EXHIBIT D-1
AMENDED AND RESTATED PROMISSORY NOTE

EXHIBIT E-1
AMENDED AND RESTATED REGULATORY AGREEMENT

AMENDED AND RESTATED PROMISSORY NOTE**PLHA Loan Agreement****(Secured by Deed of Trust)**

\$885,095

Oxnard, California

_____, 2024

FOR VALUE RECEIVED, the undersigned, Mercy House Living Centers, a California nonprofit public benefit corporation (“Borrower”), hereby promises to pay to the order of the City of Oxnard, a municipal corporation (“Lender”), the principal sum of Eight Hundred and Eighty-Five Thousand Ninety-Five Dollars (\$885,095) or so much thereof as may be advanced by Lender pursuant to Borrower as set forth below. The term Loan as used herein, means the Loan evidenced by this Note. The Loan is made pursuant to the terms of a PLHA Loan Agreement dated as of May 19, 2022 and amended on _____, 2024 (collectively the “Loan Agreement”) and the Amended and Restated PLHA Regulatory Agreement executed concurrently herewith between Borrower and Lender on _____, 2024.

All of the provisions of this Amended and Restated Promissory Note are agreements which are secured by the Amended and Restated Deed of Trust securing this Note. A default in observance of any of the provisions is a default with consequences under the Amended and Restated Deed of Trust.

- I. WHEREAS, Borrower signed a Promissory Note on May 19, 2022 (the “Original Note”) promising to pay Lender the amount of Three Hundred Forty-Seven Thousand Five Hundred Twenty-Eight Dollars (\$347,528) (the “Original Loan”); and
- II. WHEREAS, the Original Note secured the Original Loan made pursuant to the terms of a PLHA Loan Agreement and a PLHA Regulatory Agreement (“Original Regulatory Agreement”), which were executed concurrently between Borrower and Lender; and
- III. WHEREAS, on _____, 2024 the Lender and Borrower amended the Loan Agreement increasing the Loan Agreement amount to Eight Hundred and Eighty-Five Thousand Ninety-Five Dollars (\$885,095) (the “Loan”) and executed an Amended and Restated Regulatory Agreement to replace the Original Regulatory Agreement and reflect the updated loan amount

Now therefore the Borrower agrees as follows:

1. This Amended and Restated Promissory Note completely amends and replaces that certain Original Note dated May 19, 2022. The Original Note will have no further force or effect whatsoever.

2. DEFINITIONS. Any term not defined herein shall have the meanings set forth in the Loan Agreement.
3. AMOUNT AND TIME OF PAYMENT. This Note shall bear no interest. The principal of the Loan shall be due and payable on the earlier of: (a) fifteen (15) years after the date of Project completion as evidenced by a written notice of completion provided to the Borrower by the City of Oxnard, or (b) the date the Property is sold or otherwise transferred, or (c) Borrower has failed to commence construction as set forth in Section 4.1 of the Loan Agreement, or (d) an event of default by Borrower, as defined in Section 8.1 of the Loan Agreement, which has not been cured as provided in Section 8.2 of the Loan Agreement. In the event of default by Borrower, as defined in Section 8.1 of the Loan Agreement, which has not been cured as provided for in Section 8.2 of the Loan Agreement, the principal shall be due and payable in accordance with Section 8.3 of the Loan Agreement. The foregoing notwithstanding, if Borrower remains compliant with the terms of the Regulatory Agreement, as determined by the Lender through periodic inspections of the Project and tenant files documenting occupancy by Qualifying Households, and as indicated with monitoring close-out letters from Lender to Borrower indicating compliance with the Regulatory Agreement, for the fifteen (15) year term described therein, then the principal amount of the Loan shall be forgiven.
4. DEFAULT INTEREST. In the event of a default by Borrower of any of its obligations under this Note and expiration of applicable cure periods, Borrower shall pay to Lender interest on the outstanding principal of the Loan, at an annual rate equal to the lesser of (i) ten percent (10%) or (ii) the highest interest allowed by law, from the date of the default until the date that the default is cured or the Loan is repaid in full.
5. NO DEDUCTIONS, NO OFFSETS. All payments of principal and interest hereunder shall be made without deduction of any present and future taxes, levies, duties, imposts, deductions, charges or withholdings imposed by any existing or future law, rule, regulation, treaty, directive or requirement whether or not having the force of law, which amounts shall be paid by Borrower. Borrower will pay the amounts necessary such that the gross amount of the principal and interest received by Lender is not less than that required by this Note. All stamp and documentary taxes shall be paid by Borrower. If, notwithstanding the foregoing, Lender pays any such taxes, Borrower will reimburse Lender for the amount paid, as additional interest, within five (5) days of Lender's demand for payment. Borrower will furnish Lender official tax receipts or other evidence of payment of all such amounts.
6. ABSENCE OF USURY. Borrower and Lender intend that the Loan be exempt from the restrictions contained in the California usury law, or if not exempt, that the Loan shall comply with any applicable usury law. In furtherance thereof, Borrower and Lender agree that none of the terms and provisions contained in this Note, or in any other instrument executed in connection herewith, shall ever be construed to create a contract to pay for the use, forbearance or detention of money, or interest at a rate in excess of the maximum interest rate permitted to be charged by applicable law. Therefore, if a court ultimately determines that the Loan is not exempt from the California usury law, or if a court determines that the usury law of another jurisdiction should be applied to the Loan:

(a) neither Borrower nor any endorsers or other parties now or hereafter becoming liable for payment of this Note shall ever be required to pay interest on this Note at a rate in excess of the maximum interest that may be lawfully charged under applicable law, and the provisions of this Section shall control over all other provisions of this Note and any other instruments now or hereafter executed in connection herewith; (b) if the maturity hereof shall be accelerated for any reason or if the principal of this Note is paid prior to the end of the term of this Note, and as a result thereof the interest received for the actual period of existence of the Loan would be unlawful, the holder of this Note shall refund to Borrower the amount of such excess or shall credit the amount of such excess against the principal balance of the Note then outstanding; and (c) in the event that Lender or any other holder of this Note shall collect monies which are deemed to constitute interest which would increase the effective interest rate on this Note to a rate in excess of that permitted to be charged by applicable law, all such sums deemed to constitute interest in excess of the legal rate shall, upon such determination, at the option of the holder of this Note, be first, credited against the principal balance of this Note then outstanding, and second, returned to the Borrower.

7. PREPAYMENT. This Note may be prepaid in whole or in part without penalty or charge.
8. ATTORNEY'S FEES. Should the indebtedness represented by this Note or any part thereof be collected at law or in equity or through any bankruptcy (including, without limitation, any action for relief from the automatic stay or any other bankruptcy proceeding) receivership, probate or other court proceedings or by any judicial or non-judicial foreclosure proceeding, or if this Note is placed in the hands of attorneys for collection after default, the Borrower and all endorsers, guarantors and sureties of this Note jointly and severally agree to pay, in addition to the principal and interest due and payable hereon, reasonable attorneys' fees and collection costs and expenses. Should any action be brought to construe, clarify, or obtain a declaration as to the terms of this Note or the parties' obligations under it, the prevailing party in such action shall recover its reasonable attorneys' fees.
9. WAIVER OF PRESENTMENT. Borrower, and any and all endorsers, guarantors and sureties of this Note, and all other persons liable or to become liable on this Note, jointly and severally waive presentment for payment, demand, notice of demand and of dishonor and nonpayment of this Note, notice of intention to accelerate the maturity of this Note, protest and notice of protest, diligence in collecting, and the bringing of suit against any other party, and agree to all renewals, extensions, modifications, partial payments, releases or substitutions of security, in whole or in part, with or without notice, before or after maturity. The pleading of any statute of limitations as a defense to any demand against the makers, endorsers, guarantors and sureties is expressly waived by each and all such parties to the extent permitted by law.
10. LOSS OF NOTE. Upon notice from any holder to Borrower of the loss, theft, destruction or mutilation of this Note and, upon receipt of indemnity reasonably satisfactory to Borrower from any holder of this note (except that if Lender is the holder of this Note, an indemnification from Lender shall be sufficient) or, in the case of mutilation hereof, upon

surrender of the mutilated Note, Borrower will make and deliver a new note of like tenor in lieu of this Note.

11. SUCCESSORS AND ASSIGNS. This Note shall be binding upon and shall inure to the benefit of Borrower and Lender, and their successors and assigns.
12. SEVERABLE PROVISIONS. Every provision of this Note is intended to be severable. If any term or provision hereof is declared by a court of competent jurisdiction to be illegal, invalid or unenforceable for any reason whatsoever, such illegality, invalidity or enforceability shall not affect the balance of the terms and provisions hereof, which terms and provisions shall remain binding and enforceable.
13. NOTICES. Notices, demands, requests, elections, approvals, disapprovals, consents or other communications given under this Note shall be in writing and shall be given by personal delivery, mail or telegram or facsimile and addressed, if to Lender, Oxnard Housing Department, 435 S. D Street, Oxnard, California 93030, or if to Borrower, at Mercy House Living Centers, 203 N Golden Circle Drive, 3rd Floor, Santa Ana, CA 92705. Notice by personal delivery shall be deemed effective upon the delivery of such notice to the party for whom it is intended at the recipient's address. Notice by mail shall be deemed effective two (2) business days after depositing such notice, certified or registered mail, postage prepaid, properly stamped and sealed, with the United States Postal Service, properly addressed regardless of whether or when the notice is actually received by the addressee. Notice by telegram shall be deemed effective upon the transmission of the telegram, telegraph charges prepaid, to the party for whom it is intended at the recipient's address. Notice by facsimile shall be effective upon transmission. Notice by overnight guaranteed delivery service shall be deemed effective one (1) business day after depositing such notice with said service, charges prepaid and properly addressed. Either party may give notice of any change of address in accordance with the notice procedures described above.
14. DEFAULT. Any failure to perform any obligation of Borrower under this Note, the Deed of Trust, the Loan Agreement, or the Regulatory Agreement shall be considered a default hereunder.
15. JOINT AND SEVERAL. The obligations of Borrower in this Note shall be joint and several obligations of Borrower and of each Borrower, if more than one, and of each of Borrower's heirs, devisees, legatees, administrators, executors, personal representatives, successors and assigns.
16. GENDER. In this Note, whenever the context so requires, the masculine gender includes feminine and/or neuter, and the singular number includes the plural.
17. TIME OF ESSENCE. Time is of the essence in this Note and the performance of each of the covenants and agreements contained herein.
18. GOVERNING LAW. This Note shall be construed and enforced in accordance with the laws of the State of California.

19. AUTHORITY. The person executing this Note represents and warrants that such person has the authority to execute this Note and bind borrower to its provisions.
20. CAPTIONS AND REFERENCES. The captions of the Paragraphs of this Note are for the purposes of convenience only and are not intended to be part of this Note and shall not be deemed to modify, explain, enlarge or restrict any of the provisions hereof. All "Paragraph" references are to the paragraphs of this Note, unless otherwise indicated.
21. SECURITY. This Note is secured by a deed of trust executed concurrently herewith, encumbering the property described therein.
22. NONRECOURSE OBLIGATION. Except as expressly provided in the second paragraph of this section, the Borrower, and the Borrower's officers, directors, employees and agents shall not have any direct or indirect personal liability for payment of the principal of, or interest on, the Note or the performance of the covenants of the Trustor under the Deed of Trust securing the Note. The sole recourse of the Lender with respect to the principal of, or interest on, the Note shall be to the property securing the indebtedness evidenced by the Note. However, that nothing contained in the foregoing limitation of liability shall (a) limit or impair the enforcement against all such security for the Note of all the rights and remedies of the Lender, or (b) be deemed in any way to impair the right of the Lender to assert the unpaid principal amount of the Note as demand for money within the meaning and intendment of Section 431.70 of the California Code of Civil Procedure or any successor provision thereto.

The foregoing limitation of liability is intended to apply only to the obligation for the repayment of the principal of, and payment of interest on the Note, except as hereafter set forth; nothing contained herein is intended to relieve the Borrower of personal liability for (a) fraud or willful misrepresentation; (b) the failure to pay taxes, assessments or other charges (which are not contested by Trustor in good faith) which may create liens on the Property that are payable or applicable prior to any foreclosure under the Deed of Trust (to the full extent of such taxes, assessments or other charges); (c) the fair market value of any personal property or fixtures removed or disposed of by Trustor other than in accordance with the Deed of Trust; (d) the misapplication of any proceeds under any insurance policies or awards resulting from condemnation or the exercise of the power of eminent domain or by reason of damage, loss or destruction to any portion of the Property; and (e) payment to the Lender of any rental income or other income arising with respect to the Property received by the Borrower after the Lender has given notice to the Borrower of the occurrence of an Event of Default, subject to the rights of any lender providing a loan secured by the Property to which the Lender has subordinated the Deed of Trust.

Mercy House Living Centers, a California
nonprofit public benefit corporation

Name: Larry Haynes
Its: Chief Executive Officer

RECORDING REQUESTED BY
AND WHEN RECORDED
RETURN TO:

CITY OF OXNARD
Attn: Housing Director
435 South D Street
Oxnard, California 93030

NO FEE PER GOV'T CODE SECTION 27383

APNs: 202-0-054-075 and -080

SPACE ABOVE THIS LINE FOR RECORDER'S USE

A-8470

AMENDED AND RESTATED PLHA REGULATORY AGREEMENT

(CITY OF OXNARD)

This Amended and Restated PLHA Regulatory Agreement ("Agreement") is made and entered into in the County of Ventura, State of California, this _____ day of _____, 20____, by and between the City of Oxnard, a municipal corporation ("City"), and Mercy House Living Centers ("Operator"). This Amended and Restated Regulatory Agreement ("Regulatory Agreement") entered into on May 19, 2022, by City and Operator.

I. WHEREAS, Operator has a leasehold estate in and on the first floor of the building located on the improved real property located in the City of Oxnard, County of Ventura, State of California ("Property") described in Exhibit "A" attached hereto and incorporated herein by this reference; and

II. WHEREAS, On May 19, 2022 the City and Operator entered into a PLHA Loan Agreement ("Loan Agreement") in which City agreed to loan Three Hundred Forty-Seven Thousand Five Hundred Twenty-Eight Dollars (\$347,528) from funds the City received under the Permanent Local Housing Allocation (PLHA) program from the State of California in order to assist the Operator in the construction of certain tenant improvements and operation of a 110-bed homeless shelter on the Property ("Project"); and

III. WHEREAS, in further consideration for entering into the Loan Agreement and in furtherance of the purposes of the PLHA program, Operator entered into a PLHA Regulatory Agreement ("Original Agreement") with the City on May 19, 2022 and recorded the Original Agreement on June 7, 2022 as Document No. 2022000064688 in the Official Record of the Ventura County Recorder; and

IV. WHEREAS, on February 20, 2024 the City and Operator amended the Loan Agreement to increase the principal amount of the Loan to Eight Hundred and Eighty-Five Thousand Ninety-Five Dollars (\$885,095) (the "Loan"); and

V. WHEREAS, the purpose of this Regulatory Agreement is to regulate and restrict the occupancy, operation, ownership, and management of the Project in compliance with the PLHA program requirements in consideration of the Loan from the City.

NOW, THEREFORE, IN CONSIDERATION FOR THE CITY'S ASSISTANCE, OPERATOR COVENANTS AND AGREES FOR THE BENEFIT OF THE CITY AS FOLLOWS:

AGREEMENT

- 1) This Amended and Restated Regulatory Agreement completely amends and replaces that certain Original Agreement dated May 19, 2022. The Original Agreement will have no further force or effect whatsoever.
- 2) Recitals. The foregoing recitals are a part of this Regulatory Agreement.
- 3) Term. The provisions of this Regulatory Agreement shall remain in effect for a period of 15 years from the date of Project completion as evidenced by a written notice of occupancy clearance provided to the Operator by the City of Oxnard.
- 4) City Contributions. The City has provided funds for the construction of tenant improvements for a permanent 110 bed homeless shelter located on the Property.
- 5) City Conditions. The Project shall meet all City conditions rules and regulations and shall be required to have approval of the Oxnard Planning Commission and City Council as required by City ordinances, resolutions, regulations and requirements.
- 6) Compliance with PLHA Program Requirements. Operator covenants that at all times its actions regarding the Project and the use of funds provided under the Loan Agreement shall be in conformity with all PLHA program requirements, including the requirements of this Regulatory Agreement. Operator acknowledges that it is familiar with the PLHA program requirements and has access to professional advice to the extent necessary to enable Operator to fully comply with the PLHA program requirements.
- 7) Additional Operational Requirements. The Operator shall operate the Project consistent with the operational requirements contained in that certain Agreement to Provide Financial Support for the Construction and Operation of a Permanent, Year-Round Shelter Serving Homeless Individuals entered into by and between the County of Ventura and the City of Oxnard dated as of May 17, 2022, as may be amended from time to time. These requirements include but are not limited to the following:
 - a) Service Model
 - i) The Shelter will provide a safe place for homeless individuals to stay and stabilize while partnering with service providers to develop a plan for safe, secure and permanent housing. The primary purpose of the Shelter beyond emergency sheltering is to leverage community resources that will assist homeless individuals in quickly

securing and moving into suitable permanent housing and in achieving long-term housing stability.

- ii) City will ensure that the Shelter Operator will participate in the Ventura County coordinated entry system, Pathways to Home, and will enter all Shelter occupants into the Ventura County Homeless Management Information System.
 - iii) City will ensure that the Shelter Operator shall operate and develop the appropriate individualized case plan for each Shelter occupant that is client-centered, culturally and linguistically appropriate, trauma-informed and sensitive to the wide ranges of needs of the Shelter occupants, with the goal to transition the Shelter occupant to stable and affordable housing.
 - iv) The following competencies are integral parts of the program design and operation of the Shelter:
 - (1) Low barrier access and services.
 - (2) Housing first.
 - (3) Harm reduction.
 - (4) Trauma informed care.
 - (5) Client centered
- 8) Construction. All work on the Project shall be performed by contractors, licensed under the laws of the State of California, as Operator may employ from time to time to execute such work. Operator shall comply with all federal, State and local laws and regulations pertaining to construction of the Project, including laws relating to competitive bidding and payment of prevailing wages, to the extent the same may be applicable. All work shall be performed in a workmanlike and quality fashion, free of encumbrances and liens, in full compliance with all applicable requirements of the Uniform Building Code and the Oxnard City Code. In addition to all other obligations of Operator as set forth in this Regulatory Agreement, Operator shall seek and obtain all necessary governmental approvals for the Project and shall pay all permit application fees and development exactions then prescribed by city ordinance or resolution at the time of submittal, unless such fees or exactions are waived by City. Nothing herein excuses compliance by Operator with any or all City ordinances, resolutions or procedures in connection with development of the Project.
- 9) Maintenance. Operator shall, at all times during the term of this Regulatory Agreement, cause the Property and the Project to be maintained in good condition and repair regardless of cause of the disrepair. Operator shall be fully and solely responsible for costs of maintenance, repair, addition and improvements. City and any of its employees, agents, contractors or designees shall have the right to enter upon the Project at reasonable times and in reasonable manner to inspect the project.

10) Reports. Operator shall submit to the City such periodic reports as deemed necessary by City to monitor Operator's compliance with this Regulatory Agreement. The reports may include, but are not limited to:

- a) An income and expense statement for the reporting period;
- b) An annual summary of the occupancy of the Project, indicating the number of unduplicated homeless individuals assisted by the Project and their income levels, as well as the number of individuals that exited homelessness, number of seniors (age 65 and over), number of veterans and number of disabled individuals assisted by the Project. This report shall be provided on or before July 10 of every year;
- c) A report on maintenance or other issues anticipated to impact the current budget needs of the Project;
- d) Information on the status of waiting lists for the Project; and
- e) Other information as required by City to accurately monitor Operator's performance hereunder.
- f) City may conduct on-site monitoring at City's sole discretion.

11) Hold Harmless Indemnification.

- a) Operator shall at all times defend, indemnify and save City, council members, agencies, departments, officers, employees, agents and volunteers, harmless against any and all claims, lawsuits, judgment, demands and liability against City, its council members, agencies, departments, officers, employees, agents and volunteers, including those arising from injuries or death of persons and for damages to property, arising directly or indirectly out of the operations conducted or subsidized in whole or in part by City related to this Regulatory Agreement, save and except claims or litigation arising through the sole negligence or wrongdoing and/or sole willful misconduct of City or any other indemnitee. Operator is not obligated to indemnify City or others for claims based upon their use of information provided by Operator, provided the information itself is accurate. Operator shall at all times indemnify and hold harmless City, its officers and employees, from all loss, litigation, liability, damage, or expense, including, without limitation, potential tenant relocation costs arising under this Regulatory Agreement and/or any subcontract which Operator enters into relating to implementation of the Project.
- b) Operator's obligation to defend shall arise when a claim, demand or action is made or filed, whether or not such claim, demand or action results in a determination of liability or damages as to which Borrower is obligated to indemnify and hold harmless.

12) Modifications. This Regulatory Agreement is subject to written modification and termination as necessary by City in accordance with requirements contained in any future federal or state legislation and regulations. All other modifications must be in written form and approved by both parties. To the extent that any lender to, or equity investor in, the Project requires changes to this Regulatory Agreement, which changes do not materially

affect the basic affordability, reporting, and enforcement provisions hereof, the City agrees to cooperate to effect such changes within a reasonable time.

13) Sale Of Property/Change Of Use. Operator agrees and declares that the Property and the Project shall be held, conveyed, mortgaged, encumbered, leased, rented, used, occupied, operated, sold, and approved subject to all obligations set forth or incorporated in this Regulatory Agreement, all of which are for the purpose of enhancing and protecting the value and attractiveness of the Property and the Project. All of the obligations set forth or incorporated in this Regulatory Agreement shall constitute covenants which run with the land and shall be binding on Operator and its successors and assigns, and all parties having or acquiring any right, title or interest in, or to any part of the Property or Project. Operator further understands and agrees that the loan funds provided to Operator under this Regulatory Agreement are made on the condition that Operator and all subsequent owners, or other successors and assigns of the Property and/or Project operate the Project in accordance with the terms and conditions of this Regulatory Agreement. In the event that Operator or any of its successors and assigns violates any of these covenants, following reasonable notice and opportunity to cure, the total amount of the Loan funds shall become immediately due and payable.

14) Authority To Bind. By entering into this Regulatory Agreement, Operator certifies it is qualified and licensed to conduct business in the State of California.

15) Notice. All notices given or required to be given to this Regulatory Agreement, shall be in writing and may be given by personal delivery or by mail. Notice sent by mail shall be addressed as follows:

TO CITY:

City of Oxnard Housing Department
435 South D Street
Oxnard, California 93030
Attn: Housing Director

TO OPERATOR:

Mercy House Living Centers
203 N Golden Circle Drive, 3rd Floor
Santa Ana, CA 92705
Attention: Larry Haynes

and, when addressed in accordance with this paragraph, shall be deemed given upon deposit in the United States mail, postage prepaid. In all other instances, notices shall be deemed given at the time of actual delivery. Changes may be made in the name and addresses of persons to whom notices are to be given by giving notice in the manner prescribed in this paragraph.

16) Compliance With Law. Operator shall comply with all applicable laws and regulations in the implementation of this Regulatory Agreement.

- 17) Contractual Relationship. The contractual relationship between City and Operator is independent and under no circumstances shall Operator be considered an agent or partner of City.
- 18) Enforced Delay; Extension Of Times Of Performance. In addition to specific provisions of this Regulatory Agreement, performance by any of the parties hereunder shall not be deemed to be in default, and all performance and other dates specified in this Regulatory Agreement shall be extended, where delays or defaults are due to: war, insurrection, strikes, lockouts, riots, floods, earthquakes, fires, casualties, acts of God, acts of the public enemy, epidemics, quarantine restrictions, freight embargos, lack of transportation, governmental restrictions or priority, litigation, unusually severe weather, inability to secure necessary labor, materials or tools, delays of any contractor, subcontractor or supplier, acts or omissions of another party, acts or failures to act of any public or governmental agency or entity (other than the acts or failures to act of City which shall not excuse performance by City), or any other causes beyond the control or without the fault of the party claiming an extension of time to perform. Notwithstanding anything to the contrary in this Regulatory Agreement, an extension of time for any such cause shall be for the period of the enforced delay and shall commence to run from the time of the commencement of the cause, if notice by the party claiming such extension is sent to the other party within thirty (30) days of the commencement of the cause. Times of performance under this Regulatory Agreement may also be extended in writing by the mutual agreement of City's Housing Director and Operator.
- 19) Benefit Of Agreement. This Regulatory Agreement and every provision hereof is for the exclusive benefit of Operator and City and not for the benefit of any other party. There shall be no incidental or other beneficiaries of any of Operator's or City's obligations under this Regulatory Agreement.
- 20) Enforcement Of Agreement. If any terms, provisions, conditions or covenants in this Regulatory Agreement, or the application thereof to any party or circumstances, shall to any extent be held invalid or unenforceable, the remainder of this Regulatory Agreement, or the application of such terms, provisions, conditions or covenants to persons or circumstances other than those as to whom or which it is held invalid or unenforceable, shall not be affected thereby and each term and provision of this Regulatory Agreement shall be valid and enforceable to the fullest extent permitted by law.
- 21) Governing Law. The terms of this Regulatory Agreement shall be interpreted according to the laws of the State of California.
- 22) Rights of Mortgage Holders. The provisions of these Covenants do not limit the right of the holder of any mortgage, deed of trust or other lien or encumbrance to exercise any of its remedies for the enforcement of any pledge or lien upon the Property, recorded prior in time to this Regulatory Agreement or to which this Regulatory Agreement has been subordinated in writing.
- 23) City Successors. City, its successors and assigns, is deemed the beneficiary of the covenants contained herein, without regard to technical classification and designation. The covenants

shall run in favor of City, its successors and assigns, without regard to whether City has been, remains, or is an owner of any land or interest therein.

24) Modification. City and its successors and assigns, and Operator and its successors and assigns shall have the right to consent and agree to changes in, or to eliminate in whole or in part, any of the covenants or restrictions contained in these covenants without the consent of any tenant, lessee, easement holder, licensee, mortgagee, trustee, beneficiary under a deed of trust or any other person or entity having any interest less than a fee in the Property: provided, however, that the obligations set forth in paragraph 21 shall not be subject to modification without the consent of any affected lienholder.

25) No Discrimination. There shall be no discrimination against or segregation of any person, or group of persons, on account of race, color, religion, national origin, sex, age, disability, marital status, sexual preference, creed, ancestry, familial status, medical condition, or retaliation for having filed a discrimination complaint, in the sale, lease, sublease, transfer, use, occupancy, tenure or enjoyment of the Property, nor shall Operator itself or any person claiming under or through it, establish or permit any such practice or practices or discrimination or segregation with reference to the selection, location, number use or occupancy of buyers, tenants, lessees, subtenants, sublessees, or vendees of the Property.

The Operator shall refrain from restricting the rental, sale or lease of the Property to any person on the basis of race, color, religion, ancestry, familial status, national origin, sex, age, disability, marital status, sexual preference, creed, ancestry, medical condition, or retaliation for having filed a discrimination complaint. All deeds, leases or contracts shall contain or be subject to substantially the following nondiscrimination or nonsegregation clauses:

“The transferee herein covenants by and for himself or herself, his or her heirs, executors, administrators, and assigns, and all persons claiming under or through them, that there shall be no discrimination against or segregation of, any person or group of persons on account of any basis listed in subdivision (a) or (d) of Section 12955 of the Government code, as those bases are defined in Sections 12926, 12926.1, subdivision (m) and paragraph (1) of subdivision (p) of Section 12955, and Section 12955.0 of the Government code, in the sale, lease sublease, transfer, use, occupancy, tenure, or enjoyment of the premises herein conveyed, nor shall the grantee or any person claiming under or through him or her, establish or permit any practice or practices of discrimination or segregation with reference to the selection, location, number, use or occupancy of tenants, lessees, subtenants, sublessees, or vendees in the premises herein conveyed. The foregoing covenants shall run with the land.”

26) Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. The signature pages of one or more counterpart copies may be removed from such counterpart copies and all attached to the same copy of this Agreement, which, with all attached signature pages, shall be deemed to be an original.

(Signatures appear on next page)

IN WITNESS WHEREOF, City and Operator have executed this Regulatory Agreement.

(NOTARIZATION REQUIRED)

CITY

The City of Oxnard, a municipal
corporation

OPERATOR

MERCY HOUSE LIVING CENTERS, a
California nonprofit public benefit
corporation

Alexander Nguyen, City Manager

By: Larry Haynes

Its: Chief Executive Officer

APPROVED AS TO FORM:

Stephen Fisher, City Attorney

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)

) ss.

COUNTY OF _____)

On _____, before me, _____, Notary Public, personally appeared _____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Place Notary Seal Above)

EXHIBIT "A"

LEGAL DESCRIPTION OF THE PROPERTY

THE LAND REFERRED TO HEREIN BELOW IS SITUATED IN THE CITY OF OXNARD IN THE COUNTY OF VENTURA, STATE OF CALIFORNIA, AND IS DESCRIBED AS FOLLOWS:

A leasehold estate as created by that certain Lease dated June 3, 2022, executed by and between 2nd & B, LP, a California limited partnership, as Landlord, and Mercy House Living Centers, a California nonprofit public benefit corporation, as Tenant, as evidenced by that certain "Memorandum of Lease" recorded concurrently with this document in the Official Records of the County of Ventura, California, in and to the first floor of the building located on the following described real property:

PARCEL 1 as shown on lot merger PZ 20-310-08, recorded on May 24, 2022 as Instrument No. 2022000060527 of official records, County of Ventura, State of California, more particularly described as follows:

LOTS 9, 10 and 11 of block 12, as shown on a map of the north addition to the Town of Oxnard, County of Ventura, State of California, recorded in book 5, page 7, of miscellaneous records (maps), in the office of the County Recorder of said county. Excepting all uranium, thorium and other fissionable materials, all oil, gas, petroleum, asphaltum, and other hydrocarbon substances and other minerals and mineral ores of every kind and character, whether similar to these herein specified or not, within or underlying, or which may be produced from the hereinbefore described land, together with the right to use that portion only of said land which underlies a plane parallel to and five hundred (500) feet below the present surface of said land, for the purpose of prospecting for, developing and/or extracting said uranium, thorium, and other fissionable materials, oil, gas, petroleum, asphaltum, and other mineral or hydrocarbon substances from said land, it being expressly understood and agreed that said associated southern investment company, its successors and assigns, shall have no right to enter upon 'the surface of said land, or to use said land or any portion thereof to said depth of five hundred (500) feet, for any purpose whatsoever, as excepted and reserved in that certain deed from associated southern investment company to the City of Oxnard, dated June 20, 1974 and recorded June 28, 1974, in book 4281, page 515 of official records.

APN: 202-0-054-075 AND 080

RECORDING REQUESTED BY AND
WHEN RECORDED RETURN TO:

City of Oxnard, Housing Department
Attn: Housing Director
435 South D Street
Oxnard, CA 93030

Request recording without fee. Record for
the benefit of the City of Oxnard pursuant to
Government Code Section 27383

APN: 202-0-054-075 AND 080

A-8461

(Space above this line for Recorder's use only)

**AMENDED AND RESTATED DEED OF TRUST, ASSIGNMENT OF RENTS, AND
SECURITY AGREEMENT**

(PLHA Loan Homeless Shelter Project)

THIS AMENDED AND RESTATED DEED OF TRUST, ASSIGNMENT OF RENTS, AND SECURITY AGREEMENT ("Deed of Trust") is made as of this _____ day of _____, 2024, by Mercy House Living Centers, a California nonprofit public benefit corporation ("Trustor"), to Fidelity National Title Company, a California corporation as trustee ("Trustee"), for the benefit of the City of Oxnard, a municipal corporation ("Beneficiary").

I. WHEREAS, Trustor signed a Deed of Trust, Assignment of Rents and Security Agreement on May 19, 2022 (the "Original Deed of Trust") and recorded the Original Deed of Trust on June 7, 2022 as Document No. 2022000064690 in the Official Record of the Ventura County Recorder securing a Loan pursuant to the terms of a PLHA Loan Agreement (Homeless Shelter Project) (the "Loan Agreement"), PLHA Regulatory Agreement ("Original Regulatory Agreement"), and Promissory Note (the "Original Note") promising to pay Beneficiary the amount of Three Hundred Forty-Seven Thousand Five Hundred Twenty-Eight Dollars (\$347,528); and

II. WHEREAS, on May 19, 2023 Beneficiary and Trustor concurrently executed the Loan Agreement and Regulatory Agreement and Trustor signed the Original Note; and

III. WHEREAS, on _____, 2024 the Beneficiary and Trustor amended the Loan Agreement and replaced the Original Regulatory Agreement and Original Note with an Amended and Restated PLHA Regulatory Agreement and an Amended and Restated Promissory Note increasing the Loan Agreement amount to Eight Hundred and Eighty-Five Thousand Ninety-Five Dollars (\$885,095) (the "Loan").

Now therefore the Trustor agrees as follows:

GRANT IN TRUST

1. This Amended and Restated Deed of Trust (Deed of Trust) completely amends and replaces that certain Original Deed of Trust dated May 19, 2022. The Original Deed of Trust will have no further force or effect whatsoever.

2. GRANT. Trustor, in consideration of the indebtedness referred to below, hereby irrevocably grants and conveys to Trustee, IN TRUST, WITH POWER OF SALE, for the benefit and security of Beneficiary, all of Trustor's interest in the leasehold estate in and to the first floor of the building located on the improved real property located in the County of Ventura, State of California, more particularly described in Exhibit A and incorporated herein by this reference (the "Property")

TOGETHER WITH all interest, estates or other claims, both in law and in equity which Trustor now has or may hereafter acquire in the Property; all buildings, structures, fixtures, improvements, signs, and landscaping now or hereafter erected or located on the Property, including all equipment and machinery used for supplying or distributing heating, cooling, electricity, gas, water, air, and light, all kitchen and laundry appliances such as washers, dryers, refrigerators, garbage disposals, ovens, ranges, dishwashers, all plumbing and bathroom fixtures, all security and access control equipment, fire prevention and extinguishment equipment, elevators, floor coverings, window coverings, paneling, cabinets, (provided, however, that Trustor shall have the right to remove, if necessary, such fixtures, furnishings, and equipment for the purpose of replacement with similar items of the same quality performing the same functions, which replacements shall themselves become part of this grant); all building material and equipment either now or hereafter delivered to the Property and intended to be installed therein or any such material and equipment purchased with the Loans' proceeds whether or not located on the Property; all reserves, accounts, deferred payments, and refunds relating to development on the Property; all rents and income generated by the Property or improvements thereon (subject however to the assignment of rents to Lender contained herein); all leases, subleases and rental agreements covering the Property or any portion thereof now existing or hereafter entered into, and all interests of Trustor in security deposits, advance rentals, accounts, or payments of similar nature with respect to such leases, subleases, or rental agreements; all easements and rights-of-way appurtenant to the Property, including parking and recreational easements, and all interests of Trustor in any land lying within the right-of-way of any street, sidewalks, and areas of land adjacent to or used in connection with the Property; all development rights and credits, air rights, water rights, and oil, gas or mineral rights with respect to the Property; all claims or demands with respect to insurance proceeds, and all awards made for a taking by eminent domain; all interests and rights in any private or government grants, subsidies, loans, or other financing with respect to development on the Property; all interests in personal property used in and about the Property (except furniture and other personal property of occupants of dwelling units on the Property); all intangible property and rights relating to the Property or operations on the Property, including trade names, goodwill, trademarks, and service marks; all government permits, approvals, and map rights related to construction on the Property; all architectural, structural, and mechanical plans, specifications, designs, studies, and data with respect to construction of improvements on the Property; all environmental tests, studies and reports with respect to the Property; all current and future claims and rights of action of Trustor against prior owners and operators of the Property, neighboring property owners and operators, tenants and former tenants, consultants, advisors, and other third parties with respect to environmental or any hazardous materials contamination and cleanup of the Property under any federal, state, or local ordinances, statutes, regulations, or administrative decisions or common law.

All of the foregoing, together with the Property, is herein referred to as the "Security."

OBLIGATIONS SECURED

3. OBLIGATIONS. Trustor makes this grant for the purpose of securing the following obligations:
- a. Repayment of the indebtedness of Trustor to Beneficiary in the principal sum of Eight Hundred and Eighty-Five Thousand Ninety-Five Dollars (\$885,095), with interest thereon, evidenced by a promissory note executed by Trustor on file at the offices of Beneficiary and hereby incorporated by reference into this Deed of Trust (the “Note”); and
 - b. Payment of any sums advanced by Beneficiary to protect the security and priority of this Deed of Trust; and
 - c. Performance of every obligation, covenant or agreement of Trustor contained in the Loan Agreement between Trustor and Beneficiary, this Deed of Trust, the Regulatory Agreement, and the Note, including all modifications, extensions and renewals of these obligations (collectively, the “Loan Documents”); and
 - d. Performance of any other obligation or repayment of any other indebtedness of Trustor to Beneficiary, where such evidence of obligation or indebtedness specifically recites that it is secured by this Deed of Trust; and
 - e. Performance of any obligations of Trustor in any other agreements with respect to financing of the Property the Security the absence of which would adversely affect Beneficiary, whether or not Beneficiary is a party to such agreements.

ABSOLUTE ASSIGNMENT OF RENTS AND RIGHT TO POSSESSION

4. ASSIGNMENT. As additional security, Trustor hereby assigns to Beneficiary: (a) all of the rents, revenues, profits, and income from the Security, any deposits now or hereafter in Trustor’s possession which have been collected with respect to the Security, and any reserve or capital funds now or hereafter held by Trustor with respect to construction or operation of the Security (collectively, the “Rents”); and (b) the right to enter, take possession of, and manage the Security; provided however that Trustor shall have, before an Event of Default, as defined herein, the exclusive right to possess the Security and to collect Rents and use them in accordance with the Loan Documents. This assignment is intended to be an absolute and present transfer of Trustor’s interest in existing and future Rents, effective as of the date of this Deed of Trust.
- 5) ENFORCEMENT. Upon the happening of an Event of Default which remains uncured after expiration of the applicable cure period pursuant to the terms of the Note or other Loan Documents, Beneficiary may, in addition to other rights and remedies permitted by the Note, this Deed of Trust, or applicable law: (a) enter upon, take possession of, and manage the Security, either in person as a mortgagee-in-possession, by agent, or by a receiver appointed by a court, and do any acts which it deems necessary or desirable to preserve the value, marketability or rentability of the Security, (b) collect all Rents, including those past due and unpaid, and apply the same to pay for the costs and expenses of operation of the Security, including reasonable attorneys’ fees, and pay off any indebtedness secured by this Deed of Trust, all in such order as Beneficiary may determine and/or (c) Beneficiary may make, cancel, enforce, and modify leases and rental agreements, obtain and evict tenants, set and modify rent terms, sue for rents due, enter into, modify, or terminate any contracts or agreements, or take any legal action, as it deems necessary with respect to the Rents or to development or operation of the Security, subject to the rent restrictions imposed against the Property.

- 6) **APPOINTMENT OF A RECEIVER.** In any action to enforce this assignment, Beneficiary may apply for the appointment of a receiver to take possession of the Security and take whatever measures are necessary to preserve and manage the Security for the benefit of Beneficiary and the public interest. Trustor hereby consents to the appointment of a receiver. The receiver shall have all of the authority over the Security that Beneficiary would have if Beneficiary took possession of the Security under this assignment as a mortgagee-in-possession, including the right to collect and apply Rents and the right to complete construction of improvements.
- 7) **NO WAIVER OF POWER OF SALE.** The entering upon and taking possession of the Security and the collection of Rents shall not cure or waive any default or notice of default hereunder or invalidate any act done in response to such default or notice of default and, notwithstanding the continuance in possession of the Security or the collection and application of Rents, Beneficiary shall be entitled to exercise every right provided for in this Deed of Trust or by law upon occurrence of any Event of Default, including the right to exercise the power of sale.

COMMERCIAL CODE SECURITY AGREEMENT

- 8) **GRANT.** This Deed of Trust is intended to be a security agreement and financing statement pursuant to the California Commercial Code for any of the items specified above as part of the Security which under applicable law may be subject to a security interest pursuant to the Commercial Code, and Trustor hereby grants Beneficiary a security interest in said items. Beneficiary may file a copy of this Deed of Trust in the real estate records or other appropriate index as a financing statement for any of the items specified as part of the Security. Trustor shall execute and deliver to Beneficiary at Beneficiary's request any financing statements, as well as extensions, renewals, and amendments thereof, and copies of this instrument in such form as Beneficiary may require to perfect a security interest with respect to said items. Trustor shall pay all costs of filing such financing statements and shall pay all reasonable costs of any record searches for financing statements and releases. Without the prior written consent of Beneficiary, Trustor shall not create or permit any other security interest in said items. This Deed of Trust constitutes a fixture filing under Sections 9313 and 9402(6) of the California Commercial Code.
- 9) **REMEDIES.** Upon Trustor's breach of any obligation or agreement in the Loan Documents, after expiration of any applicable cure period, Beneficiary shall have the remedies of a secured party under the Commercial Code and at Beneficiary's option may also invoke the remedies provided for elsewhere in this Deed of Trust with respect to said items. Beneficiary may proceed against the items of real property and personal property specified above separately or together and in any order whatsoever.

RIGHTS AND OBLIGATIONS OF TRUSTOR

- 10) **PERFORMANCE OF SECURED OBLIGATION.** Trustor shall promptly perform each obligation secured by this Deed of Trust in accordance with the Loan Documents.
- 11) **PAYMENT OF PRINCIPAL AND INTEREST.** Trustor shall promptly pay when due the principal and any interest due on the indebtedness evidenced by the Note.
- 12) **MAINTENANCE OF THE SECURITY.** Trustor shall, at the Trustor's own expense, maintain and preserve the Security or cause the Security to be maintained and preserved in good

condition, in good repair, and in a decent, safe, sanitary, habitable and tenantable condition. Trustor shall not cause or permit any violations of any laws, ordinances, regulations, covenants, conditions, restrictions, or equitable servitudes as they pertain to improvements, alterations, maintenance or demolition on the Security. Trustor shall not commit or permit waste on or to the Security. Trustor shall not abandon the Security. Beneficiary shall have no responsibility over maintenance of the Security. In the event Trustor fails to maintain the Security in accordance with the standards in this Deed of Trust, Beneficiary and after any applicable cure periods, may, but shall be under no obligation to, make such repairs or replacements as are necessary and provide for payment thereof. Any amount so advanced by Beneficiary, together with interest thereon from the date of such advance at the same rate of interest as specified in the Note (unless payment of such an interest rate would be contrary to applicable law, in which event such sums shall bear interest at the highest rate then allowed by applicable law), shall become an additional obligation of Trustor to Beneficiary and shall be secured by this Deed of Trust.

- 13) INSPECTION OF THE SECURITY. Trustor shall permit Beneficiary to enter and inspect the Security during normal business hours for compliance with these obligations upon at least 24 hours advance notice of such visit by Beneficiary to Trustor or Trustor's management agent.
- 14) LIENS, ENCUMBRANCES, AND CHARGES. Trustor shall discharge any lien or encumbrance not approved by Beneficiary in writing that may attain priority over this Deed of Trust, as provided in the Note.
- 15) DEFENSE AND NOTICE OF CLAIMS AND ACTIONS. Trustor shall appear in and defend, at its own expense, any action or proceeding purporting to affect the Security and/or the rights of Beneficiary. Trustor shall give Beneficiary and Trustee prompt notice in writing of the assertion of any claim, of the filing of any action or proceeding and of any condemnation offer or action with respect to the Security upon Trustor's receipt of notice thereof.
- 16) SUITS TO PROTECT THE SECURITY. Beneficiary shall have power to institute and maintain such suits and proceedings as it may deem expedient (a) to prevent any impairment of the Security or the rights of Beneficiary, (b) to preserve or protect its interest in the Security and in the Rents, and (c) to restrain the enforcement of or compliance with any governmental legislation, regulation, or order, if the enforcement of or compliance with such legislation, regulation, or order would impair the Security or be prejudicial to the interest of Beneficiary.
- 17) DAMAGE TO SECURITY. Trustor shall give Beneficiary and Trustee prompt notice in writing of any damage to the Security. If any building or improvements erected on the Property is damaged or destroyed by an insurable cause, Trustor shall, at its cost and expense, repair or restore said buildings and improvements consistent with the original plans and specifications if Trustor reasonably determines that such restoration or repair is economically feasible. Such work or repair shall be commenced within one hundred twenty (120) days after the damage or loss occurs and shall be complete within one (1) year thereafter. Subject to Trustor's election to rebuild, all insurance proceeds collected for such damage or destruction shall be applied to the cost of such repairs or restoration and, if such insurance proceeds shall be insufficient for such purpose, Trustor shall make up the deficiency.
- 18) TITLE. Trustor warrants that Trustor lawfully has legal title to the Security without any limitation on the right to encumber other than those limitations set forth in the Loan Documents or other financing documents approved by Lender.

- 19) GRANTING OF EASEMENTS. Trustor may not grant easements, licenses, rights-of-way or other rights or privileges in the nature of easements with respect to the Security except those required or desirable for installation and maintenance of public utilities including water, gas, electricity, sewer, cable television, telephone, or those required by law.
- 20) TAXES AND LEVIES. Trustor shall pay prior to delinquency, all taxes, fees, assessments, charges and levies imposed by any public authority or utility company which are or may become a lien affecting the Security. However, Trustor shall not be required to pay and discharge any such tax, assessment, charge or levy so long as (a) the legality thereof shall be promptly and actively contested in good faith and by appropriate proceedings, and (b) Trustor maintains reserves adequate to pay any contested liabilities. In the event that Trustor fails to pay any of the foregoing items, Beneficiary may, but shall be under no obligation to, pay the same, after Beneficiary has notified Trustor of such failure to pay and Trustor fails to fully pay such items within seven (7) business days after receipt of such notice. Any amount so advanced by Beneficiary, together with interest thereon from the date of such advance at the same rate of interest as specified in the Note (unless payment of such an interest rate would be contrary to applicable law, in which event such sums shall bear interest at the highest rate then allowed by applicable law), shall become an additional obligation of Trustor to Beneficiary and shall be secured by this Deed of Trust.
- 21) INSURANCE. Trustor shall procure or cause to be procured, and maintain or cause to be maintained, throughout the term of the Note, insurance against loss or damage to any structure constituting any part of the Property by fire and lightning, with extended coverage and vandalism and malicious mischief insurance. Said extended coverage insurance shall, as nearly as practicable, cover loss or damage by explosion, windstorm, riot, aircraft, vehicle damage, smoke and such other hazards as are normally covered by such insurance. Such insurance shall be in an amount equal to the replacement cost (without deduction for depreciation) of all structures constituting any part of the Property, excluding the cost of excavations, of grading and filling and of the land, but in any event, shall be in an amount and in a form sufficient, in the event of total or partial loss, to enable the Trustor to restore the Property to the condition existing before such loss. The Trustor may, in its discretion and with the written consent of Beneficiary, insure the Property under blanket insurance policies which insure not only the Property but also other properties as long as such blanket insurance policies comply with the requirements of this Section 21. All policies of insurance required by this Section shall provide that all proceeds thereunder shall be payable to the Beneficiary pursuant to a lender's loss payable endorsement substantially in a form approved by Beneficiary. Each insurance policy required hereunder shall require that the Beneficiary be given thirty (30) days' notice of any intended cancellation thereof or reduction of the coverage provided thereby. The Trustor shall provide Beneficiary with copies of such policies upon request. In the event Trustor fails to maintain the full insurance coverage required by this Deed of Trust, Beneficiary, after at least seven (7) business days prior notice to Trustor, may, but shall be under no obligation to, take out the required policies of insurance and pay the premiums on such policies. Any amount so advanced by Beneficiary, together with interest thereon from the date of such advance at the same rate of indebtedness as specified in the Note (unless payment of such an interest rate would be contrary to applicable law, in which event such sums shall bear interest at the highest rate then allowed by applicable law), shall become an additional obligation of Trustor to Beneficiary and shall be secured by this Deed of Trust.

22) CONDEMNATION. Subject to the rights of any senior lienholders, all judgments, awards of damages, settlements and compensation made in connection with or in lieu of taking all or any part of or interest in the Security under assertion of the power of eminent domain ("Funds") are hereby assigned to and shall be paid to Beneficiary. Beneficiary is authorized (but not required) to collect and receive any Funds and is authorized to apply them in whole or in part upon any indebtedness or obligation secured hereby, in such order and manner as Beneficiary shall determine at its sole option. All or any part of the amounts so collected and recovered by Beneficiary may be released to Trustor upon such conditions as Beneficiary may impose for its disposition. Application of all or any part of the Funds collected and received by Beneficiary or the release thereof shall not cure or waive any default under this Deed of Trust.

Notwithstanding anything to the contrary set forth herein, Beneficiary shall, prior to the application of the Funds or any portion thereof to the indebtedness or other obligations, apply such portion of the Funds as is reasonable and necessary to repair and preserve the value, marketability and rentability of the Security. Trustor shall have the right to rebuild the Property, and to use all available condemnation proceeds therefor, provided that (a) such proceeds are sufficient to keep the Loans in balance and rebuild the Property in a manner that provides adequate security to Lender for repayment of the Loans or, if such proceeds are insufficient or such security is inadequate, then Trustor shall have funded any deficiency and/or provided additional security; (b) Lender shall have the right to approve plans and specifications for any major rebuilding and the right to approve disbursements of insurance or condemnation proceeds for rebuilding under a construction escrow or similar arrangement; and (c) no material default then exists under the Loan Documents other than any default which is a direct result of the condemnation.

23) ACCELERATION ON TRANSFER OF SECURITY. In the event that Trustor, without the prior written consent of the Beneficiary, sells, agrees to sell, transfers, or conveys its interest in the Security or any part thereof or interest therein, Beneficiary may at its option declare all sums secured by this Deed of Trust to be immediately due and payable. This option shall not apply in case of:

- a) The grant of a leasehold interest to qualifying households who will occupy units in the Property as provided for under the Loan Documents; or
- b) Sale or transfer of fixtures or personal property pursuant to the grant provisions in this Deed of Trust. Consent to one (1) sale or transfer shall not be deemed to be a waiver of the right to require such consent to future or successive transactions.

24) RECONVEYANCE BY TRUSTEE. This trust is intended to continue for the entire term of the Loan. Upon written request of Beneficiary stating that all sums secured by this Deed of Trust have been paid and upon surrender of this Deed of Trust to Trustee for cancellation and retention, and upon payment by Trustor of Trustee's reasonable fees, Trustee shall reconvey the Security to Trustor, or to the person or persons legally entitled thereto.

DEFAULT AND REMEDIES

25) EVENTS OF DEFAULT. Any of the events listed in the Note or the Loan Agreement as an Event of Default shall also constitute an Event of Default under this Deed of Trust. Trustor's limited partners shall have the same notice and cure rights contained in the Loan Agreement.

- 26) ACCELERATION OF MATURITY. Upon the happening of an Event of Default which has not been cured within the times and in the manner provided in the Note, Beneficiary may declare all sums advanced to Trustor under the Note and this Deed of Trust immediately due and payable.
- 27) BENEFICIARY'S REMEDIES. Subject to the limitations set forth in the Note and upon the happening of an Event of Default which has not been cured within the times and in the manner provided in the Note, Beneficiary may, in addition to other rights and remedies permitted by the Note, or applicable law, proceed with any or all of the following remedies:
- a. Enforce the assignment of rents and right to possession as provided for in this Deed of Trust, and/or seek appointment of a receiver to take over possession of the Security and collect Rents;
 - b. Enter the Security and take any actions necessary in its judgment to complete construction on the Security, either in person or through a receiver appointed by a court;
 - c. Disburse from the Loans' proceeds any amount necessary to cure any monetary default under this Deed of Trust, or the Note;
 - d. Commence an action to foreclose this Deed of Trust pursuant to California Code of Civil Procedure Section 725(a) *et seq.*, as amended, and/or seek appointment of a receiver from a court of competent jurisdiction with the authority to protect Beneficiary's interests in the Security, including the authority to complete construction of improvements;
 - e. Deliver to Trustee a written declaration of Default and demand for sale, and a written Notice of Default and election to cause Trustor's interest in the Security to be sold and exercise its power of sale as provided for below; or
 - f. Pursue any other rights and remedies allowed at law or in equity.
- 28) FORECLOSURE BY POWER OF SALE. Should Beneficiary elect to foreclose by exercise of the power of sale contained in this Deed of Trust, Beneficiary shall notify Trustee and shall deposit with Trustee this Deed of Trust (the deposit of which shall be deemed to constitute evidence that the unpaid sums disbursed under the Notes are immediately due and payable), and such receipts and evidence of any expenditures made that are additionally secured hereby as Trustee may require.

Upon receipt of such notice from Beneficiary, Trustee shall cause to be recorded, published and delivered to Trustor such notice of default and election to sell as then required by law and by this Deed of Trust. Trustee shall, without demand on Trustor, after lapse of such time as may then be required by law and after recordation of such notice of default and after notice of sale having been given as required by law, sell the Security, at the time and place of sale fixed by it in said notice of sale, whether as a whole or in separate lots or parcels or items as Trustee shall deem expedient and in such order as they may determine unless specified otherwise by Trustor, at public auction to the highest bidder for cash in lawful money of the United States payable at the time of sale. Trustee shall deliver to the purchaser its deed or deeds conveying the property so sold, but without any covenant or warranty, express or implied. The recitals in such deed of any matters of facts shall be conclusive proof of the truthfulness thereof. Any person, including, without limitation, Trustor, Trustee, or Beneficiary, may purchase at the sale.

Trustee may postpone the sale of all or any portion of the Security by public announcement at such time and place of sale, and from time to time thereafter, and without further notice make such sale at the time fixed by the last postponement, or may, in its discretion, give a new notice of sale.

- 29) APPLICATION OF SALE PROCEEDS. After deducting all reasonable costs, fees and expenses of Trustee, including costs of evidence of title in connection with such sale, Trustee shall apply the proceeds of sale as follows: first, to the payment of all sums then secured by this Deed of Trust, in such order and amounts as Beneficiary in its sole discretion determines; and second, the remainder, if any, to the person or persons legally entitled thereto.
- 30) REMEDIES CUMULATIVE. No right, power or remedy conferred upon or reserved to Beneficiary by this Deed of Trust is intended to be exclusive of any other rights, powers or remedies, but each such right, power and remedy shall be cumulative and concurrent and shall be in addition to any other right, power and remedy given hereunder or now or hereafter existing at law or in equity.

GENERAL PROVISIONS

- 31) GOVERNING LAW. This Deed of Trust shall be interpreted under and governed by the laws of the State of California, except for those provisions relating to choice of law and those provisions preempted by federal law.
- 32) STATEMENT OF OBLIGATION. Beneficiary may collect a fee not to exceed the maximum allowable under applicable law for furnishing a statement of obligations as provided in the California Civil Code.
- 33) CONSENTS AND APPROVALS. Any consent or approval of Beneficiary required under this Deed of Trust shall not be unreasonably withheld.
- 34) TIME. Time is of the essence in this Deed of Trust.
- 35) NOTICES, DEMANDS AND COMMUNICATIONS. Formal notices, demands and communications between Trustor and Beneficiary shall be sufficiently given and shall not be deemed given unless dispatched by registered or certified mail, postage prepaid, return receipt requested, or delivered personally, to the principal offices of Trustor and Beneficiary as follows:

BENEFICIARY:

City of Oxnard
435 S. D Street
Oxnard, CA 93030
Attn: Housing Director

TRUSTOR:

Mercy House Living Centers
203 N Golden Circle Drive, 3rd Floor
Santa Ana, CA 92705
Attn: Larry Haynes

Such written notices, demands and communications may be sent in the same manner to such other addresses as the affected Party may from time to time designate by mail as provided in this Section 35. Delivery shall be deemed to have occurred at the time indicated on the receipt for delivery or refusal of delivery.

- 36) **BINDING UPON SUCCESSORS.** All provisions of this Deed of Trust shall be binding upon and inure to the benefit of the successors-in-interest, transferees, and assigns of Trustor, Trustee, and Beneficiary.
- 37) **WAIVER.** Any waiver by Beneficiary of any obligation of Trustor in this Deed of Trust must be in writing. No waiver will be implied from any delay or failure by Beneficiary to take action on any breach or default of Trustor or to pursue any remedy allowed under the Deed of Trust or applicable law. Any extension of time granted to Trustor to perform any obligation under this Deed of Trust shall not operate as a waiver or release Trustor from any of its obligations under this Deed of Trust. Consent by Beneficiary to any act or omission by Trustor shall not be construed to be a consent to any other or subsequent act or omission or to waive the requirement for Beneficiary's written consent to future waivers.
- 38) **AMENDMENTS AND MODIFICATIONS.** Any amendments or modifications to this Deed of Trust must be in writing, and shall be made only if mutually agreed upon by Beneficiary and Trustor.
- 39) **NOTE CONTROLS.** If there is any contradiction between this instrument and the Note, the terms of the Note shall control, except that Trustor shall have no defense or claim that this instrument does not establish a valid lien on the Property or the Security.
- 40) **DEFINITIONS.** Capitalized terms not otherwise defined in this Deed of Trust shall have the same meaning as defined terms in the Loan Agreement.
- 41) **PROOFS OF CLAIM.** In the case of any receivership, insolvency, bankruptcy, reorganization, arrangement, adjustment, recomposition or other proceedings affecting Trustor, its creditors or its property, Trustee, to the extent permitted by law, shall be entitled to file such proofs of claim and other documents as may be necessary or advisable in order to have the claims of Beneficiary allowed in such proceedings and for any additional amount which may become due and payable by Trustor hereunder after such date.
- 42) **SEVERABILITY.** Every provision of this Deed of Trust is intended to be severable. If any term or provision of this Deed of Trust is declared to be illegal, invalid, or unenforceable by a court of competent jurisdiction, the legality, validity, and enforceability of the remaining provisions shall not be affected. If the lien of this Deed of Trust is invalid or unenforceable as to any part of the debt, or if the lien is invalid or unenforceable as to any part of the Security, the unsecured or partially secured portion of the debt and all payments made on the debt (whether voluntary or under foreclosure or other enforcement action or procedure) shall be considered to have been first paid or applied to the payment of that portion of the debt which is not secured or partially secured by the lien of this Deed of Trust.
- 43) **SUBSTITUTION OF TRUSTEE.** Beneficiary may from time to time appoint another trustee to act in the place and instead of Trustee or any successor. Upon such appointment and without conveyance, the successor trustee shall be vested with all title, powers, and duties conferred upon Trustee.

44) ACCEPTANCE BY TRUSTEE. Trustee accepts this Trust when this Deed of Trust, duly executed and acknowledged, is made public record as provided by law. Except as otherwise provided by law, the Trustee is not obligated to notify any party hereto of pending sale under this Deed of Trust or of any action or proceeding in which Trustor, Beneficiary, or Trustee shall be a party unless brought by Trustee.

45) Intentionally omitted.

46) NONRECOURSE OBLIGATION. Except as expressly provided in the second paragraph of this section, the Trustor, and the Trustor's officers, directors, employees, partners and agents shall not have any direct or indirect personal liability for payment of the principal of, or interest on, the Note or the performance of the covenants of the Trustor under the Deed of Trust securing the Note. The sole recourse of the Beneficiary with respect to the principal of, or interest on, the Note shall be to the property securing the indebtedness evidenced by the Note. However, that nothing contained in the foregoing limitation of liability shall (a) limit or impair the enforcement against all such security for the Note of all the rights and remedies of the Beneficiary, or (b) be deemed in any way to impair the right of the Beneficiary to assert the unpaid principal amount of the Note as demand for money within the meaning and intent of Section 431.70 of the California Code of Civil Procedure or any successor provision thereto.

The foregoing limitation of liability is intended to apply only to the obligation for the repayment of the principal of, and payment of interest on the Note, except as hereafter set forth; nothing contained herein is intended to relieve the Trustor of personal liability for (a) fraud or willful misrepresentation; (b) the failure to pay taxes, assessments or other charges (which are not contested by Trustor in good faith) which may create liens on the Property that are payable or applicable prior to any foreclosure under the Deed of Trust (to the full extent of such taxes, assessments or other charges); (c) the fair market value of any personal property or fixtures removed or disposed of by Trustor other than in accordance with the Deed of Trust; (d) the misapplication of any proceeds under any insurance policies or awards resulting from condemnation or the exercise of the power of eminent domain or by reason of damage, loss or destruction to any portion of the Property; and (e) payment to the Beneficiary of any rental income or other income arising with respect to the Property received by the Trustor after the Beneficiary has given notice to the Trustor of the occurrence of an Event of Default, subject to the rights of any lender providing a loan secured by the Property to which the Lender has subordinated the Deed of Trust.

[BALANCE OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, Trustor has executed this Deed of Trust as of the day and year first above written.

TRUSTOR

Mercy House Living Centers, a California nonprofit
public benefit corporation

By: _____
Larry Haynes, President/CEO

Signature must be notarized

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)

) ss.

COUNTY OF _____)

On _____, before me, _____, Notary Public, personally appeared _____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Place Notary Seal Above)

EXHIBIT A

LEGAL DESCRIPTION OF THE PROPERTY

THE LAND REFERRED TO HEREIN BELOW IS SITUATED IN THE CITY OF OXNARD IN THE COUNTY OF VENTURA, STATE OF CALIFORNIA, AND IS DESCRIBED AS FOLLOWS:

A leasehold estate as created by that certain Lease dated June 3, 2022, executed by and between 2nd & B, LP, a California limited partnership, as Landlord, and Mercy House Living Centers, a California nonprofit public benefit corporation, as Tenant, as evidenced by that certain "Memorandum of Lease" recorded concurrently with this document in the Official Records of the County of Ventura, California, in and to the first floor of the building located on the following described real property:

PARCEL 1 as shown on lot merger PZ 20-310-08, recorded on May 24, 2022 as Instrument No. 2022000060527 of official records, County of Ventura, State of California, more particularly described as follows:

LOTS 9, 10 and 11 of block 12, as shown on a map of the north addition to the Town of Oxnard, County of Ventura, State of California, recorded in book 5, page 7, of miscellaneous records (maps), in the office of the County Recorder of said county. Excepting all uranium, thorium and other fissionable materials, all oil, gas, petroleum, asphaltum, and other hydrocarbon substances and other minerals and mineral ores of every kind and character, whether similar to these herein specified or not, within or underlying, or which may be produced from the hereinbefore described land, together with the right to use that portion only of said land which underlies a plane parallel to and five hundred (500) feet below the present surface of said land, for the purpose of prospecting for, developing and/or extracting said uranium, thorium, and other fissionable materials, oil, gas, petroleum, asphaltum, and other mineral or hydrocarbon substances from said land, it being expressly understood and agreed that said associated southern investment company, its successors and assigns, shall have no right to enter upon the surface of said land, or to use said land or any portion thereof to said depth of five hundred (500) feet, for any purpose whatsoever, as excepted and reserved in that certain deed from associated southern investment company to the City of Oxnard, dated June 20, 1974 and recorded June 28, 1974, in book 4281, page 515 of official records.

APN: 202-0-054-075 AND 080

**FIRST AMENDMENT TO MEASURE O LOAN AGREEMENT
(HOMELESS SHELTER PROJECT)**

This First Amendment (“First Amendment”) to the Measure O Loan Agreement (“Agreement”) is made and entered into in the County of Ventura, State of California, this _____ day of _____, 2024, by and between the City of Oxnard, a municipal corporation (“Lender”), and Mercy House Living Centers (“Borrower”). This First Amendment amends the Agreement entered into on May 19, 2022, by Lender and Borrower.

Lender and Borrower agree as follows:

1. The reference to “Exhibit B” everywhere it is listed in the Agreement is hereby amended to the new Exhibit B-1. “Exhibit B” is hereby disincorporated; instead, the Exhibit B-1 is attached hereto and incorporated herein by this reference.
2. In Section 1.4 the following language “All funds must be disbursed under this Agreement on or before April 30, 2024. No funds shall be disbursed after April 30, 2024” is hereby deleted and replaced with “Disbursement of funds is for the construction of tenant improvements as described in Section 2.6 of this Loan Agreement, Borrower must diligently complete construction in pursuit of a Certificate of Occupancy.”
3. In Section 3.2, paragraph two, the reference to “one time per month” is hereby deleted.
4. As so amended, the Agreement remains in full force and effect.

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above

LENDER

The City of Oxnard, a municipal corporation

BORROWER

MERCY HOUSE LIVING CENTERS, a California nonprofit public benefit corporation

Alexander Nguyen, City Manager

By: Larry Haynes

Its: Chief Executive Officer

APPROVED AS TO FORM:

Stephen Fisher, City Attorney

EXHIBIT B-1
BUDGET

Loan proceeds shall be used only for the costs attributed to the development of the Shelter, as shown below, in an amount not to exceed \$4,399,213.

Description	Total Budget
Shelter Shell	\$844,691
Rooftop Deck	\$41,612
Shelter Tenant Improvements*	\$3,580,488
Design Costs	\$232,750
Construction Oversight	\$50,000
Insurance	\$88,000
Contingency	\$446,767
Total Cost	\$5,284,308 ¹

¹ The balance of this budget will be paid with loan proceeds from a separate Loan Agreement executed between the Borrower and the Lender, in which Lender agreed to loan Borrower \$885,095 from the Permanent Local Housing Allocation ("PLHA") program from the State of California in order to increase the affordable housing stock in the City of Oxnard.

**FIRST AMENDMENT TO AFFORDABLE HOUSING LOAN AGREEMENT
(2ND AND B STREET PROJECT)**

This First Amendment (“First Amendment”) to the Affordable Housing Loan Agreement (“Agreement”) is made and entered into in the County of Ventura, State of California, this _____ day of _____ 2024, by and between the City of Oxnard, a municipal corporation (“Lender”), and 2nd & B LP, a California limited partnership (“Borrower”). This First Amendment amends the Agreement entered into on May 19, 2022, by Lender and Borrower.

Lender and Borrower agree as follows:

1. In Section 4.13 the following language “In any event construction of the Project must be completed no later than May 1, 2024, any unavoidable delay notwithstanding” is hereby deleted and replaced with “Borrower must diligently complete construction of the Project as described in Recital B of this Agreement in pursuit of a Certificate of Occupancy.”
2. As so amended, the Agreement remains in full force and effect.

(Signatures appear on the following page)

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above

LENDER

The City of Oxnard, a municipal corporation

BORROWER

2ND & B LP, a California limited partnership

By: 2nd & B CDP LLC, a California limited company

Its: Administrative General Partner

By: Community Development Partners, a California corporation

Its: Sole Managing Member

Alexander Nguyen, City Manager

By: Kyle Paine

Its: President

2nd & B MERCY HOUSE CHDO LLC, a California limited liability company

Its: Administrative General Partner

By: Mercy House CHDO, Inc., a California nonprofit public benefit corporation

Its: Sole Managing Member

Approved as to form

2nd & B MERCY HOUSE CHDO LLC, a California limited liability company

Stephen Fisher, City Attorney

By: Larry Haynes

Its: Chief Executive Officer

SECOND AMENDMENT TO DISPOSITION AND DEVELOPMENT AGREEMENT

This SECOND AMENDMENT TO DISPOSITION AND DEVELOPMENT AGREEMENT (“Amendment”) is entered into in Ventura County, California, as of _____, 2024 by and among the CITY OF OXNARD, a municipal corporation (“City”), HOUSING TRUST FUND VENTURA COUNTY, a California nonprofit public benefit corporation (“Trust Fund”) and CDP DEVELOPERS, LLC a California limited liability company (“Developer”), who are sometimes referred to herein as the “Parties” and each a “Party”, with respect to the following facts:

RECITALS

A. WHEREAS, the Parties previously entered into that certain Disposition and Development Agreement dated March 30, 2021 (“Agreement”) and executed the First Amendment to the Agreement on May 19, 2022; and

B. WHEREAS, the First Amendment to the Agreement included an amended and restated Schedule of Performance; and

C. WHEREAS, additional time is needed to complete construction of the Site as defined in the original Agreement.

NOW, THEREFORE, for and in consideration of the foregoing premises, the covenants, representations, warranties and agreements set forth herein, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereto do hereby agree as follows:

1. Schedule of Performance. The reference to “Exhibit A” everywhere it is listed in the Agreement is hereby amended to the new “Exhibit A-1.” “Exhibit A” is hereby disincorporated; instead, “Exhibit A-1” is attached hereto and incorporated herein by this reference.
2. Trust Fund is authorized to transfer its fee interest in the Site, and to transfer its rights, title, interest, duties or obligations in the ground lease dated as of June 2, 2022 between Trust Fund and 2nd & B LP, a California limited partnership (“Ground Lease”), to Housing Land Trust Ventura County, a California nonprofit public benefit corporation (“Land Trust”) or to a single-member limited liability company of which the Land Trust or the Trust Fund is the sole member. The parties will work together in good faith to promptly execute, and record against the Site, if applicable, any consents, assignments, amendments, or instruments or documents to memorialize such transfer, including but not limited to any assignment, assumption or amendment of Agreement Containing Covenants dated May 19, 2022, assignment, assumption and amendment of Ground Lease, and amendment to memorandum of such Ground Lease.
3. As amended the Agreement remains in full force and effect.

[signatures on following page]

IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed by their duly authorized representatives.

“CITY”

CITY OF OXNARD, a municipal corporation

By: Alexander Nguyen, City Manager

APPROVED AS TO FORM:

By: Stephen Fisher, City Attorney

“TRUST FUND”

HOUSING TRUST FUND VENTURA COUNTY, a California nonprofit public benefit corporation

By: Linda Braunschweiger

Its: CEO

“DEVELOPER”

CDP DEVELOPERS, LLC, a California limited liability company

By: Kyle Paine

Its: Managing Member

Exhibit A-1

Amended and Restated Schedule of Performance

***The dates below are approximate dates**

2020 Milestone

Receive Design Development Review (DDR) and Lot Line Adjustment (LLA), Density Bonus and Special Use Permit Entitlements

2021

January – Receive Conditional Project Based Voucher Approval

January - Submit No Place Like Home (NPLH) Application to the California Department of Housing and Community Development (HCD)

March – Receive City Funding Commitment

June - Receive NPLH Award

August - Submit 4% Tax Credit Allocation Committee (TCAC) Application

October - Receive 4% Allocation and Execute the Donation Agreement

2022

June - Close on Construction Financing

June – Execute Ground Lease Concurrently with Close on Construction Financing

June – Close on Donation Agreement Concurrently with Close of Construction Financing

June - Begin Construction

2025

First Quarter of 2025 - Construction Completion

Second Quarter of 2025 - Lease-Up Completion of Permanent Supportive Housing Units
Financing and Ground Lease

If the Developer is unable to close on construction financing and successfully negotiate a ground lease by April 2022 with the End Recipient, then Developer must close on construction financing and successfully negotiate a ground lease with the End Recipient by no later than April 30, 2025 or this agreement will automatically terminate in accordance with section 6.2.2 of the DDA.

**SECOND AMENDMENT TO AGREEMENT TO PROVIDE FINANCIAL SUPPORT
FOR THE CONSTRUCTION AND OPERATION OF A PERMANENT, YEAR-ROUND
SHELTER SERVING HOMELESS INDIVIDUALS**

This SECOND AMENDMENT TO AGREEMENT TO PROVIDE FINANCIAL SUPPORT FOR THE CONSTRUCTION AND OPERATION OF A PERMANENT, YEAR-ROUND SHELTER SERVING HOMELESS INDIVIDUALS (“Amendment”) is entered into in Ventura County, California, as of _____, 2024 by and among the CITY OF OXNARD, a municipal corporation (“City”), and the County of Ventura (“County”) (City and County each a “Party” and collectively the “Parties”).

RECITALS

A. WHEREAS, the Parties previously entered into that certain Agreement Number A-8462 to Provide Financial Support for the Construction and Operation of a Permanent, Year-Round Shelter Serving Homeless Individuals” on May 17, 2022, (“Agreement”) and First Amendment to this Agreement effective May 17, 2022 (“Amendment #1”); and

B. WHEREAS, the Agreement was executed between the Parties to meet the growing challenge of homelessness by enabling the County to provide the City with financial assistance for the construction of tenant improvements, and operation costs for a permanent year-round shelter for homeless individuals on the bottom floor of the Casa de Carmen mixed-use development Project located at 241 West Second Street, Oxnard, CA 93030 (“Shelter”); and

C. WHEREAS, additional time and funding is needed by Mercy House, CHDO Inc. (“Shelter Operator”) to complete the tenant improvements.

NOW, THEREFORE, for and in consideration of the foregoing premises, the covenants, representations, warranties and agreements set forth herein, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereto do hereby agree as follows:

1. Additional Shelter related improvement and administrative costs not previously anticipated are required for construction of the Shelter. As such, the last paragraph on the first page of “Exhibit A Tenant Improvements” is hereby deleted in its entirety and replaced with the following: “Tenant Improvements will also include the buildout of the shelter shell, a rooftop deck, grease interceptor, underground plumbing, signage and directories. Administrative costs required for the tenant improvements will include design costs, insurance, construction oversight services, permits and related fees, and legal fees. Shelter Operator expressly agrees to utilize funds for legal fees solely for the purpose of compensating attorneys for the review of general contractor work related to tenant improvements.”
2. A budget increase is required to complete construction of the Shelter. As such, the Parties wish to amend the budget for “Tenant Improvement Costs” as shown in “Exhibit B” of the Agreement to reflect the new increased budget. The reference to “Exhibit B” everywhere it is listed in the Agreement is hereby amended to the new Exhibit B-1. Exhibit B is hereby discontinued; instead, Exhibit B-1 is attached hereto and incorporated herein by reference.

3. Additional Shelter related improvement and administrative costs are required for construction of the Shelter. The City has agreed to pay for the additional improvement and administrative costs. City's total contribution to the Shelter's Tenant Improvement Costs is reflected in the "City Share" section of the new Exhibit B-1 budget. This has resulted in the City paying a larger share of the tenant improvement costs for construction of the Shelter than the County. Therefore, the first sentence in Section 1b of the Agreement "City and County agree to share the costs equally for the Tenant Improvements" is hereby deleted and replaced with "City and County agree to share the costs of Tenant Improvements as shown in the table in Exhibit B-1."
4. City wishes to increase the principal amount of the PLHA Loan Agreement to pay for the increased cost of constructing the Shelter. The first sentence in Section 1c of the Agreement "County acknowledges that the City shall enter into two loan agreements with the Shelter Operator for a combined sum of \$4,746,741" is hereby deleted and replaced with the following language "County acknowledges that the City shall enter into two loan agreements with the Shelter Operator for a combined sum \$5,284,308."
5. Construction and operation of the Shelter will take longer than previously anticipated. Both Parties wish to amend the end date of the Initial Term of the Agreement, as it is defined in Section 4a of the Agreement, to allow for additional time for the County to disburse funds for operation of the Shelter under the Initial Term. Therefore:
 - a. In the last sentence in Section 2a of the Agreement, the date "June 30, 2025" is hereby deleted and replaced with the phrase "the end of the Initial Term."
 - b. In the first sentence in Section 2cii of the Agreement, the date "June 30, 2025" is hereby deleted and replaced with the phrase "the Initial Term."
 - c. In the first sentence in Section 4a of the Agreement the phrase "and expire on June 30, 2025" is hereby deleted and replaced with the following language "and expire no later than eighteen months after the Shelter becomes operational."
6. Both Parties wish to amend the Anniversary Date of the Agreement, as it is defined in the second sentence of Section 4a of the Agreement. Therefore, the following language "Beginning July 1, 2025, and" is hereby deleted and replaced with "Beginning July 1, 2026"
7. Additional funding for operation of the Shelter and time for the County to disburse their share of the operational funds will be necessary once the Shelter is completed. As such, the Parties wish to amend the "Budget and County Payment" as shown in "Exhibit C" of the Agreement. Therefore, the reference to "Exhibit C" everywhere it is listed in the Agreement is hereby amended to the new Exhibit C-1. Exhibit C is hereby disincorporated; instead, Exhibit C-1 is attached hereto and incorporated herein by reference.
8. As so amended, the Agreement remains in full force and effect.

[signatures on following page]

IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed by their duly authorized representatives.

COUNTY OF VENTURA

CITY OF OXNARD

Chair of the Board of Supervisors

John C. Zaragoza

Mayor

ATTEST:

ATTEST:

Deputy Clerk of the Board

Rose Chaparro

County of Ventura

City Clerk

APPROVED AS TO FORM

APPROVED AS TO FORM

County Counsel

Stephen M. Fischer

County of Ventura

City Attorney

EXHIBIT B-1
TENANT IMPROVEMENT COSTS

Description	County Share	City Share	Total
Shelter Shell	\$844,691	\$844,691	\$1,689,382
Rooftop Deck	\$20,806	\$20,806	\$41,612
Shelter Tenant Improvements	\$1,548,707	\$2,031,781	\$3,580,488
Design Costs	\$109,750	\$123,000	\$232,750
Construction Oversight	\$25,000	\$25,000	\$50,000
Insurance	\$36,500	\$51,500	\$88,000
Contingency	\$258,545	\$272,690	\$531,235
Land Costs	\$91,266	\$91,266	\$182,532
Credit Back to PSH Development		\$12,098	\$12,098
Total Cost	\$2,935,265	\$3,472,832	\$6,408,097

EXHIBIT C-1

BUDGET AND COUNTY PAYMENT

Parties expect shelter operation costs to fluctuate over time. Therefore, City and County staff agree to meet and to jointly determine the budget necessary to pay for Shelter operations and one-time onboarding costs inclusive of furnishings, fixings, equipment (FFE) and moving costs prior to completion of the Shelter development. Staff will then recommend to their governing bodies that it pay for fifty percent (50%) of one-time onboarding costs and an operational budget for a period of up to eighteen months.

The requirements specified above are memorialized in both Section 2ci of the Agreement and the Memorandum of Understanding executed between the Parties on April 27, 2021.



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.7

DATE: March 19, 2024
TO: City Council
FROM: Emilio Ramirez, Housing Director, (805) 385-8094, emilio.ramirez@oxnard.org
SUBJECT: Second Amendment to Emergency Shelter and Navigation Center Operating Agreement 32400019.

RECOMMENDATION

That the City Council:

1. Approve and authorize the Mayor to execute the Second Amendment to Shelter and Navigation Center Operating Agreement 32400019 with Mercy House for the provision of shelter and navigation center operations to increase the Agreement from \$3,228,297 to \$3,243,102.16.

(The Community Services, Public Safety, Housing & Development Committee approved 3-0 on February 27, 2024.)

BACKGROUND

Since January 2019, the City of Oxnard has operated a 110-bed, twenty-four hour a day shelter, which provides a safe place to sleep, shelter from the elements, meals, sanitation, and related services for individuals experiencing homelessness, at the former National Guard Armory on K Street. After being operated directly by the Housing Department staff for five months, in June 2019 the City of Oxnard procured the non-profit Mercy House to manage the shelter and navigation center operations.

On December 16, 2019, the City Council approved Agreement Number A-8194 with Mercy House to provide shelter operation services. The Agreement was subsequently amended twice before it expired on June 30, 2022. The City Council has since approved the following Agreements with Mercy House for ongoing shelter and navigation center operations for fiscal years 2022-2023 and 2023-2024:

1. Agreement Number A-8474 for Emergency Shelter and Navigation Center Operating Services was approved on June 30, 2022, and expired on June 30, 2023.
2. Emergency Shelter and Navigation Center Operating Agreement 32400019 (Agreement 32400019) was approved on June 20, 2023 and will expire on June 20, 2024. This approval included the following funding: an amount not-to-exceed \$3,228,297; \$1,100,000 in General Fund; \$1,328,297 in PLHA; and \$800,000 in California State grant funds in the amount.
3. Staff processed a First Amendment to Agreement 32400019 to reduce \$233,022 in City General Fund funding that was previously allocated for shelter operations under this agreement and replaced that funding with \$233,022 in Emergency Shelter Grant-CARES Act (ESG-CV) funds. The ESG-CV funding was approved for this use by the City Council on December 19, 2023. The \$233,022 did not increase the overall contract amount of \$3,228,297.

DISCUSSION

Mercy House remains a committed partner with the City of Oxnard in its mission to serve Oxnard's homeless population. In order to continue in their effort to provide a high level of service for the City's homeless population as well as continue to effectively carry out their good neighbor policy, Mercy will need to increase their level of homeless outreach services in the neighboring community. This will necessitate hiring two additional staff members at an additional cost of \$14,805.16 from March 20, 2024 through June 30, 2024, which is the remaining amount of time on their Agreement with the City.

In order for the City to provide Mercy House with the additional funding necessary to hire two additional staff members, City staff recommends that the City Council approve the Second Amendment to the City's existing Agreement 3400019 with Mercy House. This Second Amendment would increase the not to exceed amount of the Agreement from \$3,228,297 to \$3,243,102.16, update the operating budget, and add the two additional outreach workers to Mercy House's scope of work.

Additional funds to pay for a budget increase of \$14,805.16 under this proposed amendment are available in the City's Permanent Local Housing Allocation (PLHA) fund. If approved, the funding sources for this Agreement will include the following:

1. General Fund - \$866,978 (reflects reduction from the First Amendment)
2. ESG-CV - \$233,022 (reflects the addition from the First Amendment)
3. PLHA - \$1,328,297 (reflects the request of the subject Second Amendment)
4. Special allocation from the State of California State - \$800,000 (same as the original Agreement)

STRATEGIC PRIORITIES

This agenda item supports the Quality of Life strategy. The purpose of the Quality of Life strategy is to restore and increase quality services and programs that enrich Oxnard's diverse community, promotes safe neighborhoods, encourages community engagement, and supports our residents in their efforts to improve their quality of life.

FINANCIAL IMPACT

There is sufficient project budget available in the State Housing Grant Fund (220) Permanent Local Housing Allocation to fund the amendment amount of \$14,805.16. These funds have already been designated for shelter operation services under the City's Five-Year PLHA Plan, approved by the City Council on July 21, 2020.

For Finance Use Only. State Housing Grant Fund (Org. 2208101 Obj. 53200) Project G2102.

COMMITTEE OUTCOME

The Community Services, Public Safety, Housing & Development Committee approved the recommendation 3-0 on February 27, 2024.

Prepared by: Emilio Ramirez, Housing Director

ATTACHMENTS

1. Second Amendment to Emergency Shelter and Navigation Center Operating Agreement

SECOND AMENDMENT TO EMERGENCY SHELTER AND NAVIGATION CENTER
OPERATING AGREEMENT

This Second Amendment (“Second Amendment”) to the Emergency Shelter and Navigation Center Operating Agreement (“Agreement”) is made and entered into in the County of Ventura, State of California, this ____ day of _____, 2024, by and between the City of Oxnard, a municipal corporation (“City”), and Mercy House (“Consultant”). This Second Amendment amends the Agreement entered into on July 1, 2023 and amended on December 19, 2023, by City and Consultant.

City and Consultant agree as follows:

1. City and Consultant wish to increase the Agreement Budget by \$14,805.16 to pay for two full-time staff members to conduct homeless outreach. Therefore, the amount in Section 6 of the Cover Page of the Agreement is hereby amended to “\$3,243,102.16”
2. In the Shelter Operations Section of the “Scope of Services Exhibit” the following language is hereby added “15. Consultant will provide two full-time staff members to conduct homeless outreach in the surrounding neighborhood from March 20, 2024 through June 30, 2024.”
3. The reference to the “Rates and Costs Exhibit” everywhere it is listed in the Agreement is hereby amended to the new **Amended Rates and Costs Exhibit**. The Rates and Costs Exhibit is hereby disincorporated; instead, the new **Amended Rates and Costs Exhibit** is attached hereto and incorporated herein by this reference.
4. The reference to the “Budget and Reporting Exhibit” everywhere it is listed in the Agreement is hereby amended to the new the **Amended Budget and Reporting Exhibit**. The Budget and Reporting Exhibit is hereby disincorporated; instead, the **Amended Budget and Reporting Exhibit** is attached hereto and incorporated herein by this reference.

As so amended, the Agreement remains in full force and effect.

[Signatures on next page]

IN WITNESS WHEREOF, the parties hereto have executed the Amendment on the date first written above.

CITY OF OXNARD

CONSULTANT

John C. Zaragoza

Date

Mayor

Larry Haynes

Date

CEO

Pattie Long

Date

COO

ATTEST:

Rose Chapparo

Date

City Clerk

APPROVED AS TO FORM

Stephen M. Fischer

Date

City Attorney

AMENDED RATES AND COSTS EXHIBIT

Compensation: For the term of this Agreement, City shall compensate Consultant a not-to-exceed total of \$3,243,102.16.

Terms of Payment: Consultant shall invoice City \$807,074.25 of the operating budget in the beginning of the contract year and flat fee invoices monthly according to the following for the single-site operating costs for all months from July 2023 through June 2024, inclusive. Consultant will reconcile and provide backup at the end of each quarter.

Operations Budget	\$3,243,102.16
Advance	\$807,074.25
Balance	\$2,436,027.91
Flat Fee Monthly Invoice - July 1, 2023 -February 29, 2024	\$201,768.56
Flat Fee Monthly Invoice - March 1, 2024 -June 30, 2024	\$205,469.86

AMENDED BUDGET AND REPORTING EXHIBIT

Name of Agency: Mercy House

Location Address: 351 South K Street, Oxnard, CA 93030

Project Name: Oxnard Navigation Center

Budget Narrative: Operating costs for FY 2023-24 will be financed by a combination of PLHA grant funding, Measure O, and State grant funds.

Budgeted Line Items: Total Budgeted Amount Not to Exceed \$3,243,102.16 for the purpose of this agreement, the not-to-exceed budgeted amount for Fiscal Year 2023-2024 shall be \$3,243,102.16, consisting of the following elements:

Budget Category	Authorized Budget
Shelter Staff	\$1,464,510.94
Disposal and Utilities	\$20,000.00
Rentals (showers, laundry and toilet)	\$300,000.00
Repairs and Maintenance	\$15,000.00
Cleaning supplies	\$37,000.00
Fuel and Shuttle Maintenance	\$30,000.00
Replacement Reserves	\$6,000.00
Security Guards	\$420,480.00
Animals and Veterinary	\$16,000.00
Client Meals	\$611,283.75
Client Services – Supplies	\$18,000.00
Client services - Barrier Fund	\$10,000.00
Admin -General Expenses 10%	\$294,827.47
Total	\$3,243,102.16



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.8

DATE: March 19, 2024
TO: City Council
FROM: Steve Naveau, Human Resources Director, (805) 385-7947, steve.naveau@oxnard.org
SUBJECT: Pay Equity Policy.

RECOMMENDATION

That the City Council adopt a Resolution approving a pay equity policy.

(This item did not originate in Committee.)

BACKGROUND

The Human Resources Department regularly reviews employee pay rates along with relevant education and work experience. This review is done to: (1) ensure that the City is not in violation of the Equal Pay Act, and (2) to ensure that the City retains employees by paying a competitive wage as compared to other public sector jurisdictions that do similar work. This second reason has only been heightened due to what has been occurring in the employment market over the last few years.

DISCUSSION

Currently, the Human Resources Department does not have any tools available to adjust an employee's salary when the Department's staff becomes aware of an equity issue. The City's current hiring practices and promotional practices are such that there has not been a need to adjust an employee's salary due to an issue under the Equal Pay Act. However, due to conditions in the job market, some employees who began their careers with the City and have over the years been promoted into more senior positions have fallen behind their colleagues who have similar levels of experience working in other public agencies. Many times this is due to those outside candidates having moved between jurisdictions as promotional opportunities have occurred, which has led to those individuals fast tracking both their career experience and their salaries. For the City to remain competitive in both attracting quality candidates and keeping key employees, it needs the ability to adjust current employee pay within their Council-approved salary range.

The Pay Equity Policy in the attached Resolution would give authority to the City Manager and Human Resources Director to increase an employee's rate of pay as needed to address the issue. The limitation on this authority is that both the City Manager and Human Resources Director must be in agreement of the action, and that the employee's salary can't exceed the Council approved pay range for the classification to which the employee occupies.

The City has completed the meet and confer process with all bargaining groups as required by State law. If approved by Council, this policy will be incorporated into the City's Personnel Rules and Regulations.

STRATEGIC PRIORITIES

This agenda item supports the Organizational Effectiveness strategy. The purpose of the Organizational Effectiveness strategy is to reinforce, stabilize, improve, and strengthen the organizational foundation of the City in order to build a

modern, high-functioning City government that effectively and efficiently supports the operating departments in providing high-quality services and programs for our residents and businesses.

FINANCIAL IMPACT

The cost of implementing this resolution will be determined by those instances in which the review process results in an increase in employee pay rates, and will be part of the department's personnel budget.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Steve Naveau, Human Resources Director

ATTACHMENTS

1. Equity Pay Policy Resolution
2. Equity Pay Policy Exhibit A

CITY COUNCIL OF THE CITY OF OXNARD

RESOLUTION NO. _____

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF OXNARD APPROVING AN
EQUITY PAY POLICY

WHEREAS, in the competitive labor market the City needs the ability retain and attract highly qualified candidates for City employment; and

WHEREAS, to retain and attract quality employment candidates, the City may need to increase the salary of some of its employees so that by hiring from the external labor market the City does not inadvertently violate equal pay laws (e.g., Cal. Labor Code section 1197.5) or otherwise create salary inequities; and

WHEREAS, should the City discover that there exists a salary inequity between employees that could violate the equal pay laws, the City would need to have a tool to quickly resolve the issue; and

WHEREAS, an equity pay policy would authorize staff to take action as needed to address pay equity issues as they arise within the City organization; and

WHEREAS, the City has completed its meet and confer obligation with all bargaining units regarding the Equity Pay Policy attached hereto as Exhibit A, as required under the Meyers-Milias-Brown Act.

NOW, THEREFORE, the City Council of the City of Oxnard resolves that the Equity Pay Policy attached hereto as Exhibit A is adopted and the City Manager or designee is directed to perform all acts necessary to implement the Equity Pay Policy on behalf of the City.

PASSED AND ADOPTED THIS 19th day of March, 2024, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

John C. Zaragoza, Mayor

APPROVED AS TO FORM:

Rose Chaparro, City Clerk

Stephen M. Fischer, City Attorney

CITY OF OXNARD PAY EQUITY POLICY

PURPOSE

The purpose of this policy is to establish a process for addressing pay equity within the organization. This policy enables the City to increase an employee's rate of pay up to the maximum provided within the employee's classification. With the concurrence of the City Manager, and upon the satisfaction of certain conditions identified below, the Human Resources Director may increase an employee's salary up to the maximum of the City Council approved salary range to which the employee is assigned.

An increase may be warranted under the following conditions:

- When recruiting or retaining staff in specific functions or with specialized skills due to competitive labor markets;
- When there is salary inequity between employees in similar classifications performing similar job functions; or
- For other legitimate business reasons as determined by the City Manager and Human Resources Director.

QUALIFICATIONS

In order to qualify for an increase, an employee must have:

- Been employed by the City for 12 months and no longer be on probation;
- Be actively working (not on leave);
- Have no discipline within the prior 12 months;
- The above qualifications may be waived at the discretion of the Human Resources Director.

PROCESS

When Human Resource staff become aware that a potential pay equity issue exists, Human Resources shall take the following action:

- Collect and analyze data on all employees in the classification performing similar functions as the employee in question. The data collected may consist of the following:
 - Years of relevant work experience performing the same functions;
 - Relevant education, including training and certifications;
 - Data from competing local agencies for the skills at issue.
- Human Resource may discuss the conclusions of the data collected with the affected employee and department management prior to finalizing a decision and shall notice the affected employee's bargaining unit.
- Human Resource staff will discuss the decision with the City Manager and obtain his/her concurrence with the final decision.
- The decision once made is final and not subject to appeal.



CITY COUNCIL AGENDA REPORT
INFORMATION/CONSENT AGENDA
AGENDA ITEM NO. L.9

DATE: March 19, 2024
TO: City Council
FROM: Stephen Fischer, City Attorney, (805) 385-7483, stephen.fischer@oxnard.org
SUBJECT: Sunkist Fire Legislative Subpoenas.

RECOMMENDATION

That the City Council:

1. Direct City staff to investigate the cause of the fire that occurred on March 5, 2024 at the former Sunkist packaging plant located at 245 E. Colonia Rd.; and
2. Adopt a resolution authorizing the Mayor to issue legislative subpoenas related to the City's investigation into the Sunkist Fire.

BACKGROUND

On March 5, 2024, nineteen fire engine companies and four ladder trucks responded to a major fire in Oxnard that began at approximately 10:30 p.m. at the former Sunkist packaging plant located at 245 E. Colonia Road (the "Sunkist Fire").

The Sunkist Fire is considered one of the largest fires in Oxnard's recent history. Oxnard Fire personnel provided the primary response to the Sunkist Fire, and personnel from Ventura County Fire Department, Ventura City Fire, and the Naval Base's Federal Fire Ventura County also provided onsite support. The Oxnard Police Department provided traffic control and aerial drone footage to support firefighting efforts.

The cause of the Sunkist Fire is a matter of public health and safety, and as such is of great concern to the City Council. To determine the cause of the Sunkist Fire, the City requires specific documents and may need to compel witnesses to cooperate with investigators by issuing legislative subpoenas.

City Council's Subpoena Power and Procedures

Government Code section 37104 authorizes a legislative body of a city to issue subpoenas to compel witnesses to appear or produce documents in any action or proceeding before that body.

Subpoena Issuance and Procedure

The issuance and service of a legislative subpoena are governed by Government Code section 37105 and Code of Civil Procedure section 1987. The subpoena must bear the signature of the Mayor and be issued by the City Clerk. While not explicitly stated in the statutory framework, it is presumed that the prescribed format for the subpoena mirrors that outlined in Code of Civil Procedure section 1985.

Service of the subpoena adheres to the provisions outlined in Code of Civil Procedure section 1987. In essence, service requires personally delivering a copy of the subpoena to the witness, accompanied by an offer to cover any applicable

fees for travel to and from the designated appearance location. In cases where only document production is requested, the estimated production cost must be covered. Service must be executed to afford the witness a "reasonable time" for preparation and travel. Any contravention of the subpoena warrants immediate reporting to the Ventura County Superior Court (the "Court").

Remedies for Non-Compliance

Government Code sections 37105 through 37109 delineate the City's recourse in the event of non-compliance with a subpoena. Upon failure to adhere to a subpoena, the Mayor is tasked with notifying the Court. Subsequently, the Court issues an attachment to the Ventura County Sheriff, commanding the appearance of the non-compliant individual before the Court. The presiding judge retains the authority to issue a contempt order.

STRATEGIC PRIORITIES

This agenda item supports the Quality of Life strategy. The purpose of the Quality of Life strategy is to restore and increase quality services and programs that enrich Oxnard's diverse community, promotes safe neighborhoods, encourages community engagement, and supports our residents in their efforts to improve their quality of life.

FINANCIAL IMPACT

There is no financial impact. If authorized, subpoenas would be issued as needed in the course of the Sunkist Fire investigation.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Stephen Fischer, City Attorney, Jason Zaragoza, Deputy City Attorney, Alexander Hamilton, Fire Chief

ATTACHMENTS

1. Sunkist Fire_ Legislative Subpoenas Resolution_ v2

RESOLUTION NO. _____

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF OXNARD AUTHORIZING THE ISSUANCE OF SUBPOENAS COMPELLING THE APPEARANCE AND TESTIMONY OF WITNESSES AND PRODUCTION OF DOCUMENTS RELATED TO THE CITY’S INVESTIGATION INTO THE FIRE THAT OCCURRED AT THE FORMER SUNKIST PACKAGING PLANT LOCATED AT 245 E. COLONIA RD. ON MARCH 5, 2024

WHEREAS, Government Code sections 37104 *et seq.* authorize the City Council to issue legislative subpoenas “requiring attendance of witnesses or production of books or other documents for evidence or testimony in any action or proceeding pending before it”; and

WHEREAS, on March 19, 2024, the Oxnard City Council directed staff to investigate the cause of a fire that occurred on March 5, 2024 at the former Sunkist packaging plant located at 245 E. Colonia Rd. (the “Sunkist Fire”); and

WHEREAS, certain persons have information pertaining to the Sunkist Fire or possess documents that may aid the City’s investigation of the Sunkist Fire necessitating the issuance of legislative subpoenas (the “Legislative Subpoenas”) to compel production of relevant testimony, information, and documentation that may lead to discovery of evidence relevant to the City’s investigation.

NOW, THEREFORE, the City Council of the City of Oxnard resolves as follows:

SECTION 1. The above Recitals are hereby incorporated into the operative provisions of this Resolution.

SECTION 2. The Mayor may issue the Legislative Subpoenas to aid the City in its ongoing investigation of the Sunkist Fire. The form of the Legislative Subpoenas shall be substantially similar to **Exhibit A**, attached hereto and incorporated herein by this reference.

SECTION 3. The Legislative Subpoenas shall be signed by the Mayor and certified by the City Clerk.

SECTION 4. Failure to comply with the Legislative Subpoenas issued pursuant to this Resolution shall subject the subpoenaed parties to the remedies set forth in Government Code sections 37104 *et seq.* or otherwise provided by law.

SECTION 5. The Mayor, City Manager, City Clerk and City Attorney may take any and all actions necessary to issue, serve, and enforce the Legislative Subpoenas authorized by this Resolution.

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PASSED AND ADOPTED by the City Council of the City of Oxnard on this 19th day of March, 2024, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

John C. Zaragoza, Mayor

ATTEST:

Rose Chaparro, City Clerk

APPROVED AS TO FORM:

Stephen Fischer, City Attorney

EXHIBIT A
LEGISLATIVE SUBPOENAS

IN RE: CITY OF OXNARD

SUNKIST FIRE (245 E. COLONIA RD.)

SUBPOENA FOR APPEARANCE AND/OR
PRODUCTION OF BUSINESS RECORDS

LEGISLATIVE SUBPOENA

(Government Code §§ 37104 *et seq*)

Date:

Time:

Place:

TO:

FROM: CITY OF OXNARD

1. On March 19, 2024, the City Council of the City of Oxnard adopted Resolution _____ authorizing the issuance of this subpoena. A copy of Resolution _____ is attached hereto and incorporated herein by this reference.

2. **YOU ARE ORDERED** by the City Council of the City of Oxnard to produce Documents described in **Exhibit 1**, attached hereto by no later than _____. To fully comply with this subpoena, you are required to deliver true, complete, legible, and durable copies of the requested Documents described in **Exhibit 1** to:

Stephen M. Fischer
City Attorney
300 West Third Street, 100E
Oxnard, CA 93036

3. All Documents produced to the City shall be accompanied by a declaration or affidavit warranting to their authenticity and completeness sufficient to meet the requirements of California Code of Civil Procedure section 2020.430. The City will pay all reasonable documented costs associated with photocopying the requested documents. If costs exceed \$500.00, please contact the City Attorney at (805) 385-7483.

4. This subpoena is issued pursuant to California Government Code sections 37104 *et seq*.

5. Failure to comply with this subpoena will be referred to the Ventura Superior Court for enforcement and is punishable as contempt. Should you have any questions regarding this subpoena please contact: (805) 385-7483.

Date Issued: _____

CITY OF OXNARD

BY: _____

JOHN C. ZARAGOZA
MAYOR

ATTEST:

BY: _____

ROSE CHAPARO
CITY CLERK

APPROVED AS TO FORM:

BY: _____

STEPHEN M. FISCHER
CITY ATTORNEY



CITY COUNCIL AGENDA REPORT

PUBLIC HEARINGS AGENDA ITEM NO. M.1

DATE: March 19, 2024

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: Public Hearing on Proposed Solid Waste (Refuse) Rates and Introduction of an Ordinance Establishing New Solid Waste (Refuse) Rates and Fees. (120 minutes)

RECOMMENDATION

That the City Council:

1. Hold a public hearing to receive public testimony and consider all protests concerning the adoption of an ordinance establishing new solid waste (refuse) user rates and fees;
2. Approve the first reading by title only and waive any further reading of an ordinance titled:

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD TO ESTABLISH NEW SOLID WASTE RATES AND FEES FOR CUSTOMER USE AND SERVICE FROM THE CITY'S ENVIRONMENTAL RESOURCES DIVISION; and

3. Find that the California Environmental Quality Act (CEQA) does not apply to the ordinance that modifies the City's solid waste (refuse) rates, according to Public Resource Code sections 21080(b)(8) and 21083 in conjunction with the exemption listed in Title 14 California Code of Regulations section 15273.

(This is a continuation of an item brought to the Public Works and Transportation Committee on November 14, 2023, and to the City Council on December 5, 2023.)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/Rji7c-Umhgw>

BACKGROUND

The City's Public Works Department Environmental Resources Division (ER) is an enterprise fund operation that provides Municipal Solid Waste (MSW) services to Oxnard residents and businesses, which includes collection, sorting, processing, and disposal for approximately 34,000 residential properties and 5,000 commercial customers (includes apartment buildings) operating six days a week. Services include collecting, sorting, transporting, and transferring material to landfills to foster a clean, safe, and sustainable Oxnard. To provide these services while meeting all Local, State, and Federal requirements, ER:

- Recycles approximately 24,900 tons of material and 22,000 tons of organics (green waste & food waste);
- Customers generate approximately 295,000 tons (590,000,000 lbs) of MSW annually;
- Has a workforce of 179 essential workers fulfilling 529,443 hours per year; and

- Has invested approximately \$60 million in capital equipment, facilities, and land including the Del Norte Regional Recycling and Transfer Station and approximately 138 vehicles (109 heavy-duty trucks & 29 small vehicles)

The last time the City Council approved a cost of service analysis for ER was in May of 2012, with a rate increase effective January 2013. Since January 2013 (when accounting for the removal of the Infrastructure Use Fee (IUF) in 2021), the residential rate (96 gallons) has increased from \$30.15 to \$30.78 per month, and the most popular commercial rate (4-yard/once per week) has increased from \$224.81 to \$230.86 per month. This represents a 2.1% residential increase and a 2.7% commercial increase over 10 years. However, the consumer price index has increased by 33.9% over that same decade. Separate from rates, service fees (e.g. per ton for disposal of refuse, green waste, construction and demolition, and self-haul disposal rates at Del Norte) increased in FYs 2012/13, 2016/17, 2018/19 for pass-through costs.

Clearly, during the 2010s, City Hall faced challenges marked by a lack of organization following District Attorney investigations into the activities of the prior decade, startling financial audit findings, and frequent turnover in executive staff. At the very least, these issues diverted attention from adhering to best management practices, including the regular review of rates every five years. Moreover, the State imposed numerous unfunded mandates on the solid waste industry, such as mandatory recycling and organics recycling waste programs. These mandates necessitate significant capital investment and operational costs, aspects not fully considered in previous rate studies.

Based upon the Fiscal Year 2023/24 cost-of-service study, the ER division expenditures are anticipated to materially outpace revenues resulting in a negative ending cash balance no later than Fiscal Year 2024/25 without any rate increases. The combination of minimal rate increases, unfunded State mandates, elevated inflation, and the need for significant capital investment to replace and rehabilitate assets is expected to result in a growing structural deficit.

Dependable and efficient solid waste services play a crucial role in safeguarding public health, safety, and the overall welfare of the community. As previously mentioned, without a rate adjustment, the cost-of-service study anticipates negative cash balances by Fiscal Year 2024/25. Staff has presented initial rate increase scenarios for deliberation and consideration. Each scenario considered variations in key assumptions, including the timing and level of rate revenue adjustments, capital spending, and proposed debt issuances. In alignment with the City staff's guidance, various sensitivity analyses were conducted, exploring potential impacts such as no rate adjustment or no capital spending, among other factors.

Cost of Service Study

In August 2022, the City authorized Raftelis, the City's Consultant, to perform a solid waste cost of service analysis and rate study to develop the cost of service-based solid waste rates. Raftelis is recognized as a leading rate consultant in California and works with utilities to design solid waste rates to achieve financial balance and maintain financial sustainability.

Following industry best practices, rates are intended to cover service costs, establish adequate reserves, and necessitate a cost-of-service study every 3-5 years. This study is crucial for evaluating operational effectiveness, confirming the sufficiency of existing rates, and charting a sustainable financial path for solid waste operations. The last Environmental Resources (ER) Study took place in 2012 and was implemented in 2013. Given changes in the solid waste industry and the evolving landscape over the past decade, it's imperative to adapt to rising costs, unfunded State mandates, and the implementation of essential capital projects vital for customer health and safety.

Regular studies offer transparency into future expectations, ensuring financial resources for infrastructure maintenance, managing vehicle and equipment capacity, adopting new technologies, complying with state and federal regulations, and executing the capital improvement program (CIP). The study serves as a vital tool in developing rates that cover the cost of service along with appropriate reserves.

Updating solid waste rates to align with the escalating costs of service delivery is essential for sustaining the financial health of solid waste funds. This includes maintaining reserves for emergencies, adhering to applicable bond covenants, meeting debt coverage ratios, and securing strong credit ratings.

Preliminary Analysis

At the December 5, 2023, City Council meeting, staff and Raftelis provided several possible rate change scenarios for Council's consideration and input. The City Council provided their feedback and recommended ***Scenario 3: Rate Adjustments and Full Capital Expenditures***. Scenario 3 fully funds the projected revenue requirements with greater near-term rate adjustments but lower overall increases over the five-year rate adjustment period compared to other scenarios (see Attachment 5, December 5, 2023 staff report with additional information about the scenarios presented to the City Council). Public Works staff proceeded with the City Council's recommendation and directions as follows:

1. Scenario 3, projects all funding requirements, including operating, capital, and proposed capital lease and debt payments. The key assumptions include:
 - i. Fiscal Year 2023/24 Served as the baseline for the Rate Study Period as adjusted for actual operating results and known cost increases;
 1. The operating expense growth is estimated at 4.2% per year;
 - ii. Assumes some additional collection routes to maintain regulatory compliance with AB1826, AB827, SB1383, and regular service demands;
 - iii. Assumes Infrastructure Use Fee (IUF) repayments from the City to ER end in Fiscal Year 2023/24;
 - iv. Assumes resumption of IUF payments in Fiscal Year 2024/25 to the City;
 - v. \$40.7M reinvestment to MRF including new equipment and roof Fiscal Year 2025/26 - Fiscal Year 2027/28
 - vi. Replacement of vehicles at end of life in Fiscal Year 2024/2025
 - vii. Compliance with CARB Zero Emissions Vehicle Requirements
 1. Primarily relies on the use of capital leases and debt to fully fund capital expenditures
 - viii. Represents necessary rate adjustments to fund a fiscally sustainable operation and maintain regulatory compliance, and;
2. Proceed with creating and sending the required Proposition 218 notices to all solid waste customers and property owners.
3. Target a rate-setting public hearing and the introduction of a new ordinance containing the proposed solid waste rates at the City Council meeting on March 19, 2024.
4. Plan on adopting the Ordinance at the City Council meeting on April 16, 2024.

Public Works staff provided an update on the Prop 218 process at the Public Works and Transportation Committee on February 27, 2024, and the City Council on March 4, 2024.

DISCUSSION

Proposed Rate Change - Overview

During the December 5, 2023, Council discussion about rates, staff reiterated that they were preliminary, with actual rate changes for individual customers dependent on the final cost-of-service analysis. The cost-of-service has now been completed and is available online at: www.oxnard.org/refuserates

TABLE 1. Proposed Five-Year Residential/Commercial Rates

	Current	July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Rate Adjustments						
96 Gallon Residential	\$30.78	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
Monthly Increase		\$16.63	\$4.70	\$2.72	\$1.63	\$0.43
64 Gallon Residential	\$24.70	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
Monthly Increase		\$14.63	\$3.90	\$2.25	\$1.35	\$0.29
4 Cubic Yard 1 x week Commercial	\$230.86	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
Monthly Increase		-\$0.57	\$23.01	\$13.29	\$8.00	\$3.72

The cost of service study is also attached to this staff report (Attachment 4). The report provides three primary objectives:

1. Develop a financial plan for the ER Fund to ensure financial sufficiency, meet operations and maintenance costs, provide funding for capital replacement and renewal (R&R) needs, and improve the enterprise's financial health;
2. Review the current rate structure for the ER Fund and propose recommended changes based on the cost of service; and
3. Perform a cost allocation and prepare a recommended five-year schedule of rates and fees for the ER Fund.

Furthermore, the City has adopted Resolution Number 14,877 (adopted in 2015), which outlines the procedures for establishing and increasing fees and charges under Proposition 218 (Attachment 2). The proceedings for any solid waste rate increase will be consistent with the requirements outlined in this Resolution.

Rates and Fees

ER operates as an Enterprise Fund, signifying that the rates and fees for services must encompass the costs involved. When identifying the City's rates and fees, the cost-of-service study makes a distinction – "rates" pertain to charges subject to Proposition 218 requirements, whereas "fees" relate to optional services not bound by Proposition 218 requirements. The suggested five-year rate schedule was formulated considering the cost-of-service allocation and rate structure, detailed extensively in Section 5 of the cost-of-service study. The proposed rate and fee structure revises the current rate structure and incorporates new service-level offerings including:

1. Combining single-family and multifamily 3-cart curbside service into a single class of service, recognizing that the service is the same for both classes. The City offers two (2) refuse service levels at 64-gallon and 96-gallon to meet the needs of smaller and larger household sizes;
2. The single-family 3-cart curbside service rate includes an IUF payment. If the City is precluded from charging an IUF, then staff will bring an amendment to the rate ordinance for Council's consideration that will reduce this monthly service rate.
3. Creation of a mobile home class of service and rate for 3-cart service that mirrors the standard residential 3-cart rate structure, which has been reduced by approximately 8% to reflect the exclusion of IUF payments; which does not apply to mobile home parks;
4. Addition of new commercial bin/container size service offerings of 1 cubic yard through 4 cubic yard organic bin collection and disposal service;
5. Separation of the commercial rate structure for recycling and green waste. While the City has very few commercial green waste bin service customers, the collection costs for recycling and green waste are equivalent, however, due to differences in the disposal costs separation of the rate structure for these services is warranted;
6. Simplifying the commercial and industrial rate structure for roll-off service, by charging a single "pull rate" for all customers regardless of bin size. In conjunction with this rate structure change, an additional bin rental fee is recommended and this fee will vary based on the size and cost of the bin. Disposal costs will continue to be based on the actual weight of material disposed of at the applicable tip fees proposed in the revised rate structure. This rate structure would also apply to and replace the City's current commercial bin rental service fee structure for roll-off service where bins exceed 4 cubic yards;
7. Addition of new tip fees at the Del Norte facility for single-stream recyclables and organics. The recycling tip fee only applies to customers who do not currently pay a monthly collection rate for collection service within the City;
8. 1 and 3 cubic yard bins available for qualified commercial customers; and
9. One free curbside bulky item pick up per residential customer per year (up to five bulky items)

Existing Rates and History

The City Council approved a cost of service analysis last in May 2012, resulting in rate increases effective January 2013. The authorized increases and decreases noted in Table 2 below cumulatively represent a 2.1% increase in residential rates and a 2.7% increase in the most popular commercial rate since the last Study was approved and implemented in January 2013. However, the consumer price index has increased by 33.9% over that same decade. The following tables provide the rate history for a residential customer (96-gallon cart):

TABLE 2.

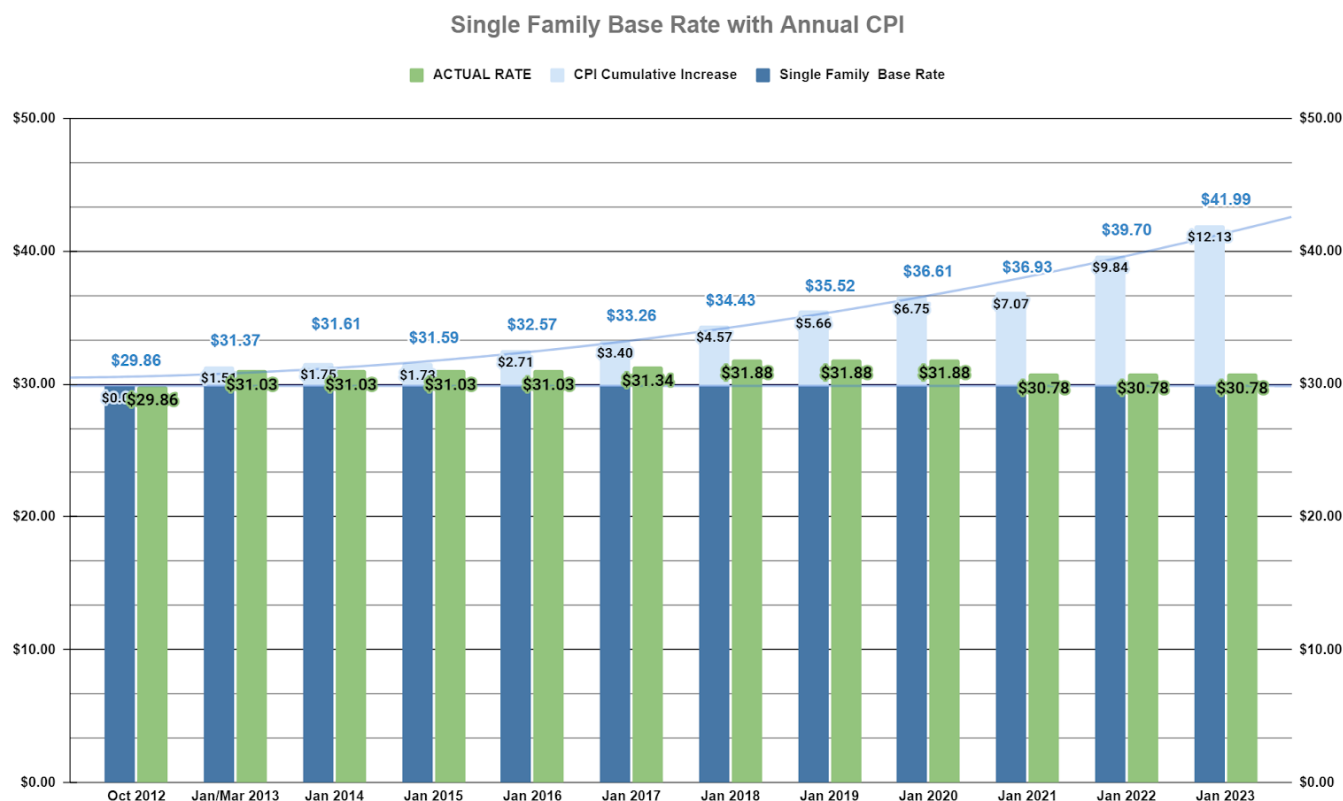
Date	Oct 2012	Jan 2013	Mar 2013	Jan 2014	Jan 2015	Jan 2016	Jan 2017
Residential Rate (96-gallon)	\$29.86	\$30.15	\$31.03	\$31.03	\$31.03	\$31.03	\$31.34
Total Change	0.0%	1.0%	2.9%	0.0%	0.0%	0.0%	1.0%
Notes		Rate Increase	Pass-Through				Pass-Through

TABLE 2 (cont.)

Date (cont.)	Jan 2018	Jan 2019	Jan 2020	Jan 2021	Jan 2022	Jan 2023
Residential Rate (96-gallon)	\$31.88	\$31.88	\$31.88	\$30.78	\$30.78	\$30.78
Total Change	1.7%	0.0%	0.0%	-3.5%	0.0%	0.0%
Note	Pass-Through			IUF Reduction		

Chart 1 shows the history of residential rates since 2012. The graph also includes a benchmark scenario, if rates were theoretically increased annually by the CPI (Los Angeles-Long Beach-Anaheim, California) at a minimum.

CHART 1



Note: Residential rates increased in FY 12-13, FY 16-17, FY 18-19, and decreased in FY 21-22, reflecting the IUF

reduction.

Financial Challenges

The City's foremost financial objective for its utility enterprises is to ensure that revenues generated from rates and fees are substantial enough to cover daily operations, maintenance, capital improvements, debt service, and reserves. These funds are allocated for a range of purposes, including operations, maintenance, repairs, debt payments, reserve levels, infrastructure costs, and capital improvement projects. Additionally, to uphold appropriate services and reliability, the City must adhere to environmental regulations and unfunded State mandates that impact operating costs.

Environmental Resources (ER) encounters numerous challenges, both from ongoing and emerging unfunded Local, State, and Federal mandates. Additionally, challenges encompass factors beyond the City's control, such as escalating costs of contract labor, fuel, and disposal. There are also challenges arising from post-pandemic effects, including supply chain restrictions leading to increased costs for supplies and equipment. Another obstacle is the aging vehicles, equipment, and infrastructure that demand constant maintenance and repairs.

State Unfunded Mandates

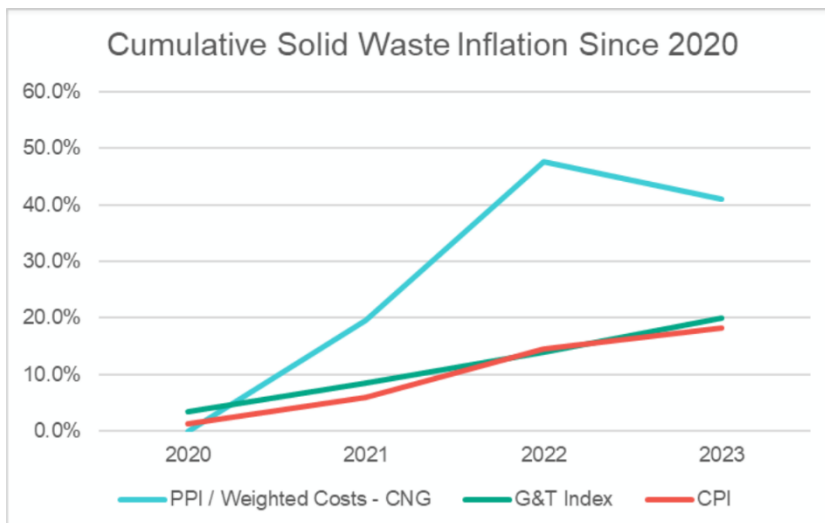
Several recycling and composting laws took effect that had major financial and operational impacts on the solid waste collection system:

1. AB 341 Mandatory Commercial Recycling (MCR) – Adopted into California Law in 2012 and requires businesses that generate four or more cubic yards of commercial waste per week and multi-family complexes with five or more units to self-haul or subscribe to recycling services.
2. AB 1826 Mandatory Commercial Organics (MORE) – Effective January 1, 2016, and phases in the number of businesses and multi-family dwellings that are required to subscribe to commercial organic collection service.
3. AB 827 – Effective July 1, 2020, and expands upon requirements of MCR and MORE to include mandatory recycling and organics carts for businesses at the “front of house” (i.e. portion of the business open to the public) to collect waste generated by customers from products they purchase and consume on the premises.
4. SB 1383 Short-Lived Climate Pollutants (Organics Recycling) – Effective January 1, 2022, and requires jurisdictions to provide organic waste collection services to all residents and businesses so that they may divert all organic waste to a compost program.

Inflation

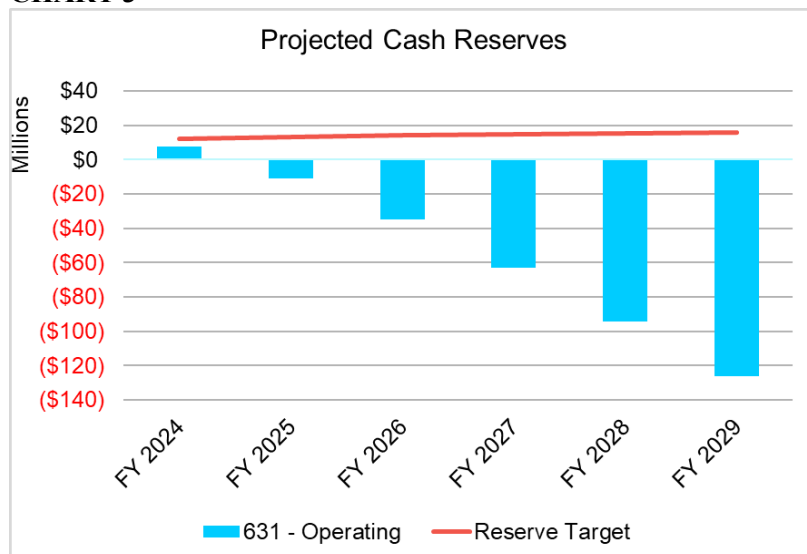
Of significant importance is that the cost to operate a full-service solid waste operation is not immune to inflation. As noted above, the industry has observed significant increases in the cost of solid waste collection, operations, and disposal. The U.S. Bureau of Labor Statistics measures garbage bill increases nationally through a subcomponent to CPI in the Garbage and Trash Index (G&T). The Garbage and Trash Index has increased by 20% since 2020. Raftelis calculated a weighted Producer Price Index (PPI) of the cost inputs to garbage collection service and estimated cost increases may be closer to 40% since 2020 due to labor, capital cost, and fuel cost increases, see Chart 2:

CHART 2



As noted earlier, the City’s previous solid waste cost of service analysis was last completed in 2012. Costs for goods and services have increased since that year and continue to do so while the refuse utility rates have only slightly changed (2.1% increase in residential rates and a 2.7% increase in the most popular commercial rate). In addition, recent State unfunded mandates have increased the costs of operations to avoid penalties and/or non-compliance actions by the State. This has led to a structural deficit and impacts ER’s financial stability. Without a rate increase, the draft rate model shows a negative fund balance in Fiscal Year 2024/25 and continues in the years to follow, eventually operating at a loss and running in a deficit, see Chart 3:

CHART 3



Note: FY 22-23 Cash Balance base is unaudited and FY 23-24 and beyond are estimates and subject to change.

Aging Infrastructure and Equipment

As mentioned earlier, ER manages an annual load of over 590 million pounds of municipal solid waste. This substantial task necessitates a considerable amount of equipment and infrastructure. ER operates a fleet of 138 vehicles, which includes 109 large heavy-duty trucks. In addition, the Material Recovery Facility (MRF) accommodates sorters and other equipment associated with recycling. The sorting equipment, crucial for the facility's six-day-a-week operations, is currently 27 years old and requires ongoing maintenance to efficiently handle recycling, green waste, food waste, and residual trash from residential, commercial, and industrial customers.

Furthermore, the MRF building itself is also 27 years old and serves as the housing for the ER's administrative staff,

conveyors, walking floors, and sorting equipment. The MRF needs significant improvements, including the installation of a new roof. Here are some examples of operating and capital costs to address this aging equipment and infrastructure:

- Average annual maintenance cost for trash truck (front-end loader) can range from \$19,000-\$40,000
- Current price of a new trash truck (side-loader) is \$339,053 (Purchase of zero-emission vehicles is expected to cost up to 200% more than the current price of a new CNG refuse vehicle)
- Estimated roof replacement costs: \$7.5 million
- Annual maintenance and repairs costs for sorting equipment (we have 31 sorting belt lines made up of conveyors, walking floors and one bailer) which can cost between \$250K to \$1.5M per repair
- Estimated cost for a like-for-like replacement of the MRF is over \$3 million (this estimate can fluctuate with the price of steel, metal, inflationary factors, and the consumer price index)

Operational Efficiency Efforts

The ER staff is entrusted with managing enterprise funds based on an annual budget approved by the City Council. The City is committed to investing in meeting local, state, and federal regulations, ensuring safe and reliable solid waste collection services, and providing excellent customer service to residents and businesses. ER has made significant investments totaling approximately \$60 million to the Material Recycling Facility (MRF) at Del Norte Regional Recycling and Transfer Station and has acquired Compressed Natural Gas (CNG) automated collection refuse vehicles, replacing older vehicles to enhance efficiency and reduce costs.

ER staff actively engages with residents and businesses, disseminating information about recycling programs and new organic recycling laws through various channels, including social media, billing inserts, radio broadcasts, and special events. Outreach and education staff are available to assist residents and businesses by providing information on recycling and mandated programs.

While striving for efficiency and cost-effectiveness, the City requires rates that accurately reflect the actual cost of providing services. Customers in Oxnard pay a lower per-person rate for refuse service compared to residents in nearby cities. The current average rate per person for trash service is compared with other agencies in Table 3:

TABLE 3

Average Monthly Refuse Cost per Person per Household¹

							
 Refuse Rate ¹	\$30.78 (2013)	\$37.59 (2021)	\$34.25 (2023)	\$28.67 (2023)	\$25.60 (2014)	\$34.82 (2021)	\$34.39 (2022)
 Number of Households ²	50,403	42,091	25,673	46,270	6,859	43,893	---
 Persons per Household ²	4.0	2.58	2.7	2.71	3.06	2.87	---
 Average Monthly Refuse Cost per person	\$7.70	\$14.57	\$12.69	\$10.58	\$8.37	\$12.13	---

¹ Average Refuse Rate for single family home for Fiscal Year 2023-2024. Utility rates retrieved from each city's official website.

² Data from U.S. Census Bureau.

Updated October 25, 2023

Rate Study Goals

The cost-of-service study identifies the necessary revenue adjustments and the total amount required from rates and fees to meet the cost of service over time. This revenue encompasses operating and maintenance (O&M) expenses, debt service, capital expenses, and reserve funding. The adjustments represent the average rate increase for the City, with individual customer rate changes contingent upon the specific cost of service analysis.

The study incorporates rates and fees designed to foster and maintain a financially sustainable utility. To guide this process, staff, in collaboration with Raftelis, established several goals in developing preliminary rate options. These goals play a crucial role in identifying items that influence rates, such as a reserve fund balance target or Capital Improvement Program (CIP) projects. In essence, the overarching goals aim to ensure a secure, dependable, and sustainable solid waste service. Specific goals include:

1. Cover Operations and Maintenance Costs (O&M) - (G1)
2. Cover Capital Investments - (G2)
3. Legally Compliant - (G3)
4. Promote Financial Viability - (G4)
5. Community Values - (G5)
6. Provide Fairness and Equity - (G6)

Input and Considerations

The cornerstone of the study and the primary objective of the solid waste rates are to reasonably recover the costs associated with providing services, the investment in infrastructure, address known State unfunded mandates, and adhere to the covenants of internal and external fiscal targets, commonly referred to as the "Revenue Sufficiency" evaluation.

Ensuring adequate cash reserves and maintaining appropriate cash flows generally leads to a sustainable long-term financial plan. This plan can help mitigate the financial and operational risks stemming from unexpected or sudden events

affecting financial operations, such as changes in market conditions impacting operations and revenue from recovered materials, reduced growth or tonnage, unforeseen or extraordinary expenses, unfunded mandates, and more. The identified revenue requirements to be funded from rates are then allocated based on the type and level of service.

To assess the sustainability of the City’s charges for service, Raftelis developed a financial model capable of forecasting solid waste operations over a ten-year Rate Study Period but with the recommended rate revenue adjustment period comprising the Fiscal Years 2024/25 through 2028/29. By forecasting operations over this period of time we can capture funding requirements that may not be annually occurring, test sensitivity to potential changes in operations or costs, and examine projections of cash reserves and net revenue margins to evaluate compliance with fiscal policies. Performing a thorough evaluation of the City’s funding requirements allows us to provide sound rate recommendations to help ensure the City has sufficient resources to fund operations and achieve its objectives. The following provides a brief overview of the key activities performed for the Study:

1. An evaluation of the service area requirements for the City was performed. This included a review of recent historical customers served and corresponding waste generation such that: i) a representative forecast of the Division’s needs from a financial standpoint could be prepared, and ii) a projection of rate revenues consistent with the projected service area needs could be developed.

2. Raftelis, with the support of City staff, analyzed a projection of the Net Revenue Requirements from rates and fees, which equates to the expenditure requirements funded from rates and fees. An illustration of the components that make up the Net Revenue Requirements is summarized below:

- + Cost of Operation and Maintenance
- + Debt Service (Vehicle Leases)
- + Indirect Cost Allocation and Administration Payments
- + Capital Funding Requirements
- + Deposits to Reserves for Policy Compliance and Future Expenditures
- Other Operating Revenue
- Interest Income / Transfers from Operating Reserves
- = Net Revenue Requirements (Funded from Rates and Fees)

3. The annual cost of providing solid waste service to City customers was determined by identifying the gross revenue requirements, netting out all other applicable revenues resulting in a net revenue requirement to be funded from the respective fees. The applicable equivalent unit billing determinants, as presented within the cost of service analysis of the report, were used to determine the unit cost of service presented in the final report.

4. Based on the identified funding requirements and the cost of service associated with providing service over the Rate Study Period, provide rate recommendations to ensure adequate funding for the City’s needs and meet the City’s rate goals and objectives.

The following items in Table 4 were key components of developing the rate study and have a direct influence on the actual rates. These were discussed and confirmed with the City Council at the December 5, 2023, City Council meeting.

TABLE 4

Factor	Staff Recommendation	Justification	Goal(s)
1. Rate Study Period	5-year period	Industry standard; long-range planning; fairness of rates; ensures planned operations and mitigates deferred maintenance	G6
2. Pass-Through Charge (to the extent allowed by State Code)	Include a pass-through for fees as allowed by California Government Code 53736	Avoids over or underestimating factors outside the City’s control (e.g. disposal fees from third-party vendors)	G4, G5, G6

3. Capital Improvement Program (CIP) and Capital Outlay	Implement over an extended period to smooth rates; leases extended to 7 years, CIP over 20 years	Allows for CIP projects at a pace within existing resources; ensures an operable and safe facility; safe and reliable equipment and vehicles	G2, G4
4. Reserve Fund Balance Target	Endeavor to maintain 25% (per Resolution 15595)	Consistent with City Council Resolution; provides a funding source for emergencies and bond covenants (if/when required) and strong credit ratings	G1, G4, G5
5. Debt Coverage Ratio (when applicable)	Ensure funding of annual debt service and capital lease payments	Ensures the City is not in default of debt/lease repayment obligations	G4, G5
6. Rate Study Period	5-year period	Industry standard; long-range planning; fairness of rates; ensures planned operations and mitigates deferred maintenance	G6
7. Pass-Through Charge (to the extent allowed by State Code)	Include a pass-through for fees as allowed by California Government Code 53736	Avoids over or underestimating factors outside the City's control (e.g. disposal fees from third-party vendors)	G4, G5, G6
8. Capital Improvement Program (CIP) and Capital Outlay	Implement over an extended period to smooth rates; leases extended to 7 years, CIP over 20 years	Allows for CIP projects at a pace within existing resources; ensures an operable and safe facility; safe and reliable equipment and vehicles	G2, G4
9. Reserve Fund Balance Target	Endeavor to maintain 25% (per Resolution 15595)	Consistent with City Council Resolution; provides a funding source for emergencies and bond covenants (if/when required) and strong credit ratings	G1, G4, G5
10. Debt Coverage Ratio (when applicable)	Ensure funding of annual debt service and capital lease payments	Ensures the City is not in default of debt/lease repayment obligations	G4, G5

The cost of service study has evaluated and considered all revenues from both rates and fees, among other revenues.

Financial Assistance Programs

The City of Oxnard's Utility Rate Assistance Program "Project Assist" is available for solid waste, water, and wastewater utility customers who qualify. This program was initially approved by City Council in May 2017 and has since been renewed annually. Project Assist grants financial relief equal to a monthly \$25.00 maximum credit per utility bill charged to customers who meet the eligibility requirements. For Fiscal Year 2023/24, staff requested to direct \$241,500 from late penalty revenues to the Project Assist Program. The \$241,500 allocation estimates that approximately 805 eligible utility customers could participate in the program for a full fiscal year.

Proposition 218 and Outreach Information

Requirements for Prop 218 and Timeline:

1. Proposition 218 has strict rules that govern the revenue of the solid waste utility

2. An agency cannot collect revenue beyond what is necessary to provide the service.
3. Revenues derived from the charge shall not be used for any purpose other than that for which the charge was imposed.
4. The amount of the fee may not be used for any other purpose other than that for which the change was imposed.
5. The amount of the fee may not exceed the proportional cost of service for the parcel.
6. No charge may be imposed for a service unless that service is actually used or immediately available to the owner of the property.
7. A written notice of the proposed charge shall be mailed to the record owner of each parcel at least 45 days before the public hearing when the agency considers all written protests against the charge.

In 2015, the City adopted Resolution Number 14,877 which outlines the procedures for establishing and increasing fees and charges under Proposition 218 (Attachment 3). The proceedings for the proposed solid waste rate increase are consistent with the requirements outlined in this Resolution.

In accordance with Proposition 218 and City Resolution 14,877, the City mailed Proposition 218 notices to both parcel owners and solid waste account holders. Attached is a copy of the Proposition 218 notice. Should the number of written protests received before the close of the Public Hearing on March 19, 2024, be 50% or higher, the rate proceedings shall not continue and the City Council is prohibited from increasing solid waste rates. Should the written protests received be less than 50%, the proceedings may continue for the Council's consideration of a rate increase.

In addition to the required notification listed above, the City has created a multi-faceted outreach campaign to raise awareness of the Refuse Cost of Service Study, which included City Council and Community meetings. The meetings were as follows:

1. November 14, 2023, Public Works and Transportation Committee
2. November 15, 2023, Community Meeting at South Oxnard Center
3. December 5, 2023, City Council Meeting
4. December 13, 2023, Community Meeting at City Council Chambers
5. January 30, 2024, Community Meeting at City Council Chambers
6. February 27, 2024, Public Works and Transportation Committee
7. February 29, 2024, Community Meeting at South Oxnard Center
8. March 4, 2024, City Council Meeting
9. March 6, 2024, Inter-Neighborhood Council Organization Meeting

Staff distributed press releases before the Community Meetings and Public Hearing; ran English and Spanish social media ads on Facebook and Instagram; and published two bilingual print ads in VIDA newspaper to inform residents and stakeholders. Paid outreach was supplemented by including Refuse Cost of Service Study Community Meeting flyers in the neighborhood weekly packets and regularly posting on Nextdoor, Twitter, Facebook, and Instagram. Staff and Raftelis also created an informational Rates 101 and ER Operational and Services video in English and Spanish, that will be shared on the City's website, YouTube, and via the City's social media channels.

CEQA Exemption

The California Environmental Quality Act (CEQA) does not apply to the solid waste rate ordinance because the ordinance establishes, modifies, structures, and/or restructures the City's rates and other charges for solid waste use and related services.

Public Resource Code section 21080(b)(8) in conjunction with Title 14, Chapter 3 of the California Code of Regulations section 15273, exempts solid waste rate modifications when the establishment and modification of rates are for the following purposes: (1) meeting the solid waste utility's operating expenses, including employee wages and fringe benefits; (2) Purchasing or leasing supplies, equipment, and materials; (3) meeting the ER Fund's financial reserve needs and requirements; and (4) obtaining funds for capital projects necessary to maintain solid waste service within the existing service areas. The proposed solid waste rate increases and adoption of a solid waste rate ordinance is covered by the general rule that CEQA applies only to projects that will have a significant effect on the environment. There is no possibility that the proposed solid waste rate increases and passage of the solid waste rate ordinance will have a

significant effect on the environment and adoption of this ordinance is exempt from CEQA. (Public Resource Code section 21083).

STRATEGIC PRIORITIES

This agenda item supports the Infrastructure and Natural Resources strategy. The purpose of the Infrastructure and Natural Resources strategy is to preserve and improve our roads, utilities, parks, trees, water supply and natural resources through effective planning, prioritization, and an equitable and efficient use of available funding.

FINANCIAL IMPACT

Requesting a solid waste rate increase is taking the necessary steps to improve the financial performance. To strengthen the solid waste system's financial standing, rates must be increased. As noted above, to provide revenue that will meet sustainable critical operations and maintenance, and fund capital expenditures, a corresponding rate adjustment is recommended. Absent an increase in rates, significant decreases to the solid waste operations, deferring of maintenance items, and ceasing critical capital projects will need to be considered.

COMMITTEE OUTCOME

This is a continuation of an item brought to the Public Works and Transportation Committee on November 14, 2023, and to the City Council on December 5, 2023.

Prepared by: Brian Yanez, Assistant Public Works Director, Eric Zetz, Division Manager

ATTACHMENTS

1. Ordinance For Solid Waste Rates and Fees
2. Oxnard-Solid-Waste-Proposition-218-Notice
3. Oxnard-ER-Cost-of-Service-Study-Report
4. Reso-14877
5. December 5, 2023 City Council Staff Report
6. Presentation

ORDINANCE NO.

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD
TO ESTABLISH NEW SOLID WASTE RATES AND FEES FOR
CUSTOMER USE AND SERVICE FROM THE CITY'S
ENVIRONMENTAL RESOURCES DIVISION

WHEREAS, solid waste rates and fees have been set forth and implemented through an uncodified ordinance, specifically Ordinance No. 2861, effective October 18, 2012; and

WHEREAS, the City desires to codify solid waste rates and fees by creating a new Division in Chapter 19 of the Oxnard City Code and require that the City Council establish by ordinance the rates charged for all solid waste services supplied by the City; and

WHEREAS, the Environmental Resources Division of the Public Works Department operates as a self-supporting enterprise fund that depends upon revenues derived from solid waste services to City customers; and

WHEREAS, on the 18th of October 2012, the City Council adopted uncodified City Ordinance No. 2861, establishing the current rates and charges applicable to City solid waste service customers; and

WHEREAS, Ordinance No. 2861 contains certain non-property related fees that will be codified in this current ordinance without an associated increase to those fees; and

WHEREAS, the City's Public Works Department completed a Cost of Services Study, dated January 24, 2024 (Rate Study). The Rate Study assesses the Environmental Resources Division's operation, maintenance, repair, replacement, and capital improvement program budgets and requirements, through a cost of service analysis and report, which collectively are incorporated into the recommended rates and fees provided in this ordinance, so that the proportional cost of providing solid waste service to the City's customers are allocated appropriately and in conformity with all applicable legal requirements. The Cost of Service Report and all the studies, plans and documents relied upon and incorporated in the Report provide the basis for the rates, charges and fees set forth herein; and

WHEREAS, the City's solid waste services includes but is not limited to refuse, recycle and green / organics waste service types to residential, commercial and industrial customers within City limits; and

WHEREAS The proposed rates and certain fees displayed as effective beginning July 1, 2024, show the authorized rates applicable July 1, 2024 and continuing through June 30, 2029; and

WHEREAS, Public Resources Code Section 21080(b)(8) and Section 15273 of the California Environmental Quality Act (CEQA) Guidelines provide that CEQA does not apply to the establishment or modification of public agency rates and other charges which the public agency finds are intended for certain purposes.

**NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF OXNARD
DOES HEREBY FIND AS FOLLOWS:**

1. CEQA does not apply to this ordinance because this ordinance establishes, modifies, structures and restructures the City's rates and other charges for the following purposes:
 - a. Meeting the environmental resources utility's operating expenses, including employee wages and fringe benefits;
 - b. Purchasing or leasing supplies, equipment, and materials;
 - c. Meeting the Environmental Resources Fund's financial reserve needs and requirements; and
 - d. Obtaining funds for capital projects necessary to maintain solid waste / refuse service within the existing service areas.
2. The basis for the foregoing claim of exemption is found in the Rate Study.

**NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF OXNARD DOES
ORDAIN AS FOLLOWS:**

Part 1. Incorporation of Recitals. The findings and determinations reflected above are true and correct, and are incorporated by this reference as though fully set forth herein as the cause and foundation for the action taken by and through this Ordinance.

Part 2. Codified Amendment. The City Clerk is directed to request that the publisher of the City Code add thereto the amendments listed in Parts 3 through 6 of this Ordinance to Chapter 19, Article II as set forth below.

Part 3. Article II of Chapter 19 of the Oxnard City Code is hereby amended to add **"DIVISION 7. RATES AND FEES."**

Part 4. SEC. 19-202 is added to the new Division 7 of Article II of Chapter 19 and is titled as follows:

"SEC. 19-202. SOLID WASTE RATES AND FEES."

(A) The rates charged for all recurring property related solid waste services provided by the city shall be established by ordinance of the city council. Each customer receiving solid waste service is liable for payment for such service at the scheduled rates established herein.

(B) The fees charged for special collection services provided by the city shall be established by ordinance or resolution and shall be incorporated into the city's master fee schedule. Each customer that requests special collection services is liable for payment for such service at the stated fee(s) as established herein, or by resolution, and as listed on the master fee schedule.

(C) The City Manager or designee may adopt administrative regulations for solid waste services provided pursuant to this division and for purposes of ensuring City wide compliance with State and CalRecycle requirements.

(D) Customer bills for solid waste services subject to this Division shall be computed on a monthly basis according to the rates then in effect, the level of service and frequency of service, and any one-time fees for additional requested services. Customer billings are also subject to sections 19-182 through 19-185 in Division 3 of this Article.

Part 5. SEC. 19-202 is added to the new Division 7 of Article II of Chapter 19 and is titled as follows:

“SEC. 19-203. MONTHLY SOLID WASTE RATES FOR RESIDENTIAL, COMMERCIAL AND INDUSTRIAL CUSTOMERS.”

The Rates for solid waste services shall be established by ordinance and the rates provided by the City are established and effective during the dates indicated, as follows:

(A) RESIDENTIAL MINIMUM SERVICE REQUIREMENTS. Single Family Dwellings, Single Family Dwellings with less than three units, and Mobile Homes shall each receive a 96-gallon refuse container, a 96 gallon recycle container, and a 96 gallon green / organics waste container that will be serviced once a week. The minimum service requirements for residential and mobile home type dwellings listed herein shall be a 64-gallon refuse container, a 96 gallon recycle container, and a 96 gallon green / organics waste container that will be serviced once a week. Each residential service includes one curbside bulky item collection (up to five household items) per year at no additional charge.

(1) MONTHLY RESIDENTIAL RATES FOR SOLID WASTE, RECYCLING, ORGANICS / GREEN WASTE 3-CART SERVICE.

Service Type	Frequency	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
3 Cart Service/ 64-gallon	1x week	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
3 Cart Service/ 96-gallon	1x week	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
Extra 96 Gallons Cart	1x week	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41

Service						
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(2) MONTHLY RESIDENTIAL MOBILE HOME RATES FOR SOLID WASTE, RECYCLING, ORGANICS / GREEN WASTE 3-CART SERVICE.

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
3 Cart Service/ 64-gallon	1x/week	\$36.06	\$39.63	\$41.69	\$42.93	\$43.16
3 Cart Service/ 96-gallon	1x/week	\$43.45	\$47.76	\$50.25	\$51.74	\$52.10
Extra 96 Gallons Cart Service	1x/week	\$22.41	\$24.63	\$25.92	\$26.70	\$26.96

(B) MULTI-FAMILY RESIDENTIAL AND COMMERCIAL MINIMUM SERVICE REQUIREMENTS. All multi-family residential properties are required to have refuse, recycle, green and organics waste service on a weekly basis with a bin size appropriate for the property based on the services and rates stated in the subsections below.

(1) MONTHLY SOLID WASTE SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS).

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
1 cu yd bin	1x/week	\$57.69	\$63.44	\$66.77	\$68.77	\$69.67
1 cu yd bin	2x/week	\$115.20	\$126.71	\$133.36	\$137.35	\$139.22

1 cu yd bin	3x/week	\$172.72	\$189.98	\$199.95	\$205.94	\$208.76
1 cu yd bin	4x/week	\$230.24	\$253.25	\$266.54	\$274.53	\$278.31
1 cu yd bin	5x/week	\$287.75	\$316.52	\$333.13	\$343.12	\$347.86
1 cu yd bin	6x/week	\$345.27	\$379.78	\$399.72	\$411.70	\$417.41

1 cu yd- shared	1x/week	\$28.84	\$31.72	\$33.38	\$34.38	\$34.83
1 cu yd- shared	2x/week	\$57.60	\$63.36	\$66.68	\$68.68	\$69.61
1 cu yd- shared	3x/week	\$86.36	\$94.99	\$99.97	\$102.97	\$104.38
1 cu yd- shared	4x/week	\$115.12	\$126.62	\$133.27	\$137.26	\$139.16
1 cu yd- shared	5x/week	\$143.88	\$158.26	\$166.56	\$171.56	\$173.93
1 cu yd- shared	6x/week	\$172.63	\$189.89	\$199.86	\$205.85	\$208.70

1 cu yd- compactor	1x/week	\$83.23	\$91.54	\$96.34	\$99.23	\$100.56
1 cu yd- compactor	2x/week	\$166.29	\$182.91	\$192.51	\$198.28	\$200.99
1 cu yd- compactor	3x/week	\$249.35	\$274.28	\$288.67	\$297.33	\$301.43
1 cu yd-	4x/week	\$332.41	\$365.64	\$384.83	\$396.37	\$401.86

compactor						
1 cu yd- compactor	5x/week	\$415.48	\$457.01	\$481.00	\$495.42	\$502.30
1 cu yd- compactor	6x/week	\$498.54	\$548.38	\$577.16	\$594.47	\$602.73

2 cu yd	1x/week	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
2 cu yd	2x/week	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
2 cu yd	3x/week	\$345.32	\$379.84	\$399.77	\$411.76	\$417.41
2 cu yd	4x/week	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
2 cu yd	5x/week	\$575.38	\$632.91	\$666.13	\$686.11	\$695.60
2 cu yd	6x/week	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69

2 cu yd- shared	1x/week	\$57.63	\$63.38	\$66.71	\$68.71	\$69.61
2 cu yd- shared	2x/week	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
2 cu yd- shared	3x/week	\$172.66	\$189.92	\$199.89	\$205.88	\$208.70
2 cu yd- shared	4x/week	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
2 cu yd- shared	5x/week	\$287.69	\$316.46	\$333.07	\$343.06	\$347.80
2 cu yd-	6x/week	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35

shared						
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2 cu yd- compactor	1x/week	\$166.34	\$182.96	\$192.56	\$198.34	\$200.99
2 cu yd- compactor	2x/week	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
2 cu yd- compactor	3x/week	\$498.59	\$548.43	\$577.22	\$594.53	\$602.73
2 cu yd- compactor	4x/week	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
2 cu yd- compactor	5x/week	\$830.83	\$913.90	\$961.88	\$990.73	\$1,004.48
2 cu yd- compactor	6x/week	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35

3 cu yd	1x/week	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76
3 cu yd	2x/week	\$345.37	\$379.89	\$399.83	\$411.82	\$417.41
3 cu yd	3x/week	\$517.92	\$569.70	\$599.60	\$617.58	\$626.05
3 cu yd	4x/week	\$690.47	\$759.50	\$799.37	\$823.35	\$834.69
3 cu yd	5x/week	\$863.02	\$949.31	\$999.14	\$1,029.11	\$1,043.33
3 cu yd	6x/week	\$1,035.57	\$1,139.11	\$1,198.91	\$1,234.87	\$1,251.98

3 cu yd- shared	1x/week	\$86.41	\$95.04	\$100.03	\$103.03	\$104.38
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3 cu yd- shared	2x/week	\$172.68	\$189.95	\$199.92	\$205.91	\$208.70
3 cu yd- shared	3x/week	\$258.96	\$284.85	\$299.80	\$308.79	\$313.02
3 cu yd- shared	4x/week	\$345.23	\$379.75	\$399.68	\$411.67	\$417.35
3 cu yd- shared	5x/week	\$431.51	\$474.65	\$499.57	\$514.55	\$521.67
3 cu yd- shared	6x/week	\$517.78	\$569.56	\$599.45	\$617.44	\$625.99

3 cu yd- compactor	1x/week	\$249.45	\$274.39	\$288.78	\$297.44	\$301.43
3 cu yd- compactor	2x/week	\$498.64	\$548.49	\$577.28	\$594.59	\$602.73
3 cu yd- compactor	3x/week	\$747.82	\$822.59	\$865.77	\$891.74	\$904.04
3 cu yd- compactor	4x/week	\$997.00	\$1,096.69	\$1,154.26	\$1,188.88	\$1,205.35
3 cu yd- compactor	5x/week	\$1,246.19	\$1,370.79	\$1,442.75	\$1,486.03	\$1,506.65
3 cu yd- compactor	6x/week	\$1,495.37	\$1,644.89	\$1,731.24	\$1,783.18	\$1,807.96

4 cu yd	1x/week	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
4 cu yd	2x/week	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50

4 cu yd	3x/week	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
4 cu yd	4x/week	\$920.48	\$1,012.52	\$1,065.67	\$1,097.64	\$1,112.88
4 cu yd	5x/week	\$1,150.55	\$1,265.59	\$1,332.03	\$1,371.99	\$1,391.07
4 cu yd	6x/week	\$1,380.62	\$1,518.66	\$1,598.39	\$1,646.34	\$1,669.26

4 cu yd- shared	1x/week	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
4 cu yd- shared	2x/week	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
4 cu yd- shared	3x/week	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
4 cu yd- shared	4x/week	\$460.24	\$506.26	\$532.84	\$548.82	\$556.44
4 cu yd- shared	5x/week	\$575.27	\$632.80	\$666.01	\$685.99	\$695.54
4 cu yd- shared	6x/week	\$690.31	\$759.33	\$799.19	\$823.17	\$834.63

4 cu yd- compactor	1x/week	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
4 cu yd- compactor	2x/week	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
4 cu yd- compactor	3x/week	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35
4 cu yd-	4x/week	\$1,329.20	\$1,462.11	\$1,538.86	\$1,585.02	\$1,607.09

compactor						
4 cu yd- compactor	5x/week	\$1,661.44	\$1,827.57	\$1,923.52	\$1,981.22	\$2,008.83
4 cu yd- compactor	6x/week	\$1,993.69	\$2,193.04	\$2,308.17	\$2,377.41	\$2,410.58

(2) MONTHLY RECYCLE SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS).

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
1 cu yd bin	1x/week	\$27.28	\$30.00	\$31.57	\$32.51	\$32.90
1 cu yd bin	2x/week	\$54.39	\$59.82	\$62.95	\$64.84	\$65.69
1 cu yd bin	3x/week	\$81.51	\$89.64	\$94.34	\$97.17	\$98.47
1 cu yd bin	4x/week	\$108.62	\$119.47	\$125.73	\$129.50	\$131.25
1 cu yd bin	5x/week	\$135.73	\$149.29	\$157.12	\$161.83	\$164.04
1 cu yd bin	6x/week	\$162.84	\$179.11	\$188.51	\$194.16	\$196.82

1 cu yd- shared	1x/week	\$13.64	\$15.00	\$15.78	\$16.25	\$16.45
1 cu yd- shared	2x/week	\$27.20	\$29.91	\$31.48	\$32.42	\$32.84
1 cu yd- shared	3x/week	\$40.75	\$44.82	\$47.17	\$48.59	\$49.23

1 cu yd- shared	4x/week	\$54.31	\$59.73	\$62.87	\$64.75	\$65.63
1 cu yd- shared	5x/week	\$67.86	\$74.64	\$78.56	\$80.92	\$82.02
1 cu yd- shared	6x/week	\$81.42	\$89.56	\$94.26	\$97.08	\$98.41

1 cu yd- compactor	1x/week	\$31.33	\$34.45	\$36.25	\$37.33	\$37.80
1 cu yd- compactor	2x/week	\$62.49	\$68.72	\$72.32	\$74.49	\$75.47
1 cu yd- compactor	3x/week	\$93.64	\$103.00	\$108.40	\$111.65	\$113.15
1 cu yd- compactor	4x/week	\$124.80	\$137.27	\$144.47	\$148.80	\$150.82
1 cu yd- compactor	5x/week	\$155.96	\$171.54	\$180.54	\$185.96	\$188.50
1 cu yd- compactor	6x/week	\$187.12	\$205.82	\$216.62	\$223.11	\$226.17

2 cu yd	1x/week	\$54.44	\$59.88	\$63.01	\$64.90	\$65.69
2 cu yd	2x/week	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
2 cu yd	3x/week	\$162.89	\$179.17	\$188.57	\$194.22	\$196.82
2 cu yd	4x/week	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
2 cu yd	5x/week	\$271.34	\$298.46	\$314.12	\$323.54	\$327.95

2 cu yd	6x/week	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
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2 cu yd- shared	1x/week	\$27.22	\$29.94	\$31.51	\$32.45	\$32.84
2 cu yd- shared	2x/week	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
2 cu yd- shared	3x/week	\$81.45	\$89.58	\$94.28	\$97.11	\$98.41
2 cu yd- shared	4x/week	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
2 cu yd- shared	5x/week	\$135.67	\$149.23	\$157.06	\$161.77	\$163.98
2 cu yd- shared	6x/week	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76

2 cu yd- compactor	1x/week	\$62.54	\$68.78	\$72.38	\$74.55	\$75.47
2 cu yd- compactor	2x/week	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
2 cu yd- compactor	3x/week	\$187.17	\$205.87	\$216.67	\$223.17	\$226.17
2 cu yd- compactor	4x/week	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
2 cu yd- compactor	5x/week	\$311.80	\$342.97	\$360.97	\$371.79	\$376.88
2 cu yd-	6x/week	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23

compactor						
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3 cu yd	1x/week	\$81.60	\$89.75	\$94.46	\$97.29	\$98.47
3 cu yd	2x/week	\$162.94	\$179.22	\$188.62	\$194.28	\$196.82
3 cu yd	3x/week	\$244.28	\$268.69	\$282.79	\$291.27	\$295.17
3 cu yd	4x/week	\$325.61	\$358.16	\$376.96	\$388.26	\$393.52
3 cu yd	5x/week	\$406.95	\$447.63	\$471.12	\$485.25	\$491.87
3 cu yd	6x/week	\$488.28	\$537.10	\$565.29	\$582.25	\$590.21

3 cu yd- shared	1x/week	\$40.80	\$44.88	\$47.23	\$48.64	\$49.23
3 cu yd- shared	2x/week	\$81.47	\$89.61	\$94.31	\$97.14	\$98.41
3 cu yd- shared	3x/week	\$122.14	\$134.35	\$141.40	\$145.64	\$147.58
3 cu yd- shared	4x/week	\$162.81	\$179.08	\$188.48	\$194.13	\$196.76
3 cu yd- shared	5x/week	\$203.47	\$223.81	\$235.56	\$242.63	\$245.93
3 cu yd- shared	6x/week	\$244.14	\$268.55	\$282.65	\$291.12	\$295.11

3 cu yd- compactor	1x/week	\$93.74	\$103.11	\$108.51	\$111.76	\$113.15
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3 cu yd- compactor	2x/week	\$187.22	\$205.93	\$216.73	\$223.23	\$226.17
3 cu yd- compactor	3x/week	\$280.69	\$308.75	\$324.95	\$334.70	\$339.20
3 cu yd- compactor	4x/week	\$374.17	\$411.57	\$433.17	\$446.16	\$452.23
3 cu yd- compactor	5x/week	\$467.64	\$514.39	\$541.39	\$557.63	\$565.26
3 cu yd- compactor	6x/week	\$561.11	\$617.21	\$649.61	\$669.10	\$678.28

4 cu yd	1x/week	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
4 cu yd	2x/week	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
4 cu yd	3x/week	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
4 cu yd	4x/week	\$434.01	\$477.40	\$502.46	\$517.53	\$524.65
4 cu yd	5x/week	\$542.46	\$596.69	\$628.01	\$646.85	\$655.78
4 cu yd	6x/week	\$650.90	\$715.98	\$753.57	\$776.17	\$786.91

4 cu yd- shared	1x/week	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
4 cu yd- shared	2x/week	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
4 cu yd- shared	3x/week	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76

4 cu yd- shared	4x/week	\$217.00	\$238.70	\$251.23	\$258.76	\$262.32
4 cu yd- shared	5x/week	\$271.23	\$298.35	\$314.01	\$323.42	\$327.89
4 cu yd- shared	6x/week	\$325.45	\$357.99	\$376.78	\$388.08	\$393.46

4 cu yd- compactor	1x/week	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
4 cu yd- compactor	2x/week	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
4 cu yd- compactor	3x/week	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23
4 cu yd- compactor	4x/week	\$498.75	\$548.61	\$577.41	\$594.73	\$602.93
4 cu yd- compactor	5x/week	\$623.38	\$685.71	\$721.70	\$743.35	\$753.63
4 cu yd- compactor	6x/week	\$748.01	\$822.80	\$865.99	\$891.97	\$904.34

(3) MONTHLY GREENWASTE SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS).

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
1 cu yd bin	1x/week	\$29.69	\$32.64	\$34.35	\$35.38	\$35.81

1 cu yd bin	2x/week	\$59.21	\$65.11	\$68.53	\$70.58	\$71.50
1 cu yd bin	3x/week	\$88.72	\$97.58	\$102.70	\$105.78	\$107.20
1 cu yd bin	4x/week	\$118.24	\$130.05	\$136.88	\$140.98	\$142.89
1 cu yd bin	5x/week	\$147.76	\$162.52	\$171.05	\$176.18	\$178.58
1 cu yd bin	6x/week	\$177.28	\$194.99	\$205.22	\$211.38	\$214.27

1 cu yd- shared	1x/week	\$14.84	\$16.32	\$17.18	\$17.69	\$17.91
1 cu yd- shared	2x/week	\$29.60	\$32.56	\$34.26	\$35.29	\$35.75
1 cu yd- shared	3x/week	\$44.36	\$48.79	\$51.35	\$52.89	\$53.60
1 cu yd- shared	4x/week	\$59.12	\$65.03	\$68.44	\$70.49	\$71.44
1 cu yd- shared	5x/week	\$73.88	\$81.26	\$85.52	\$88.09	\$89.29
1 cu yd- shared	6x/week	\$88.64	\$97.50	\$102.61	\$105.69	\$107.14

1 cu yd- compactor	1x/week	\$36.14	\$39.74	\$41.82	\$43.07	\$43.61
1 cu yd- compactor	2x/week	\$72.11	\$79.31	\$83.47	\$85.97	\$87.11
1 cu yd- compactor	3x/week	\$108.08	\$118.88	\$125.11	\$128.86	\$130.60

1 cu yd- compactor	4x/week	\$144.05	\$158.44	\$166.76	\$171.76	\$174.10
1 cu yd- compactor	5x/week	\$180.02	\$198.01	\$208.40	\$214.65	\$217.59
1 cu yd- compactor	6x/week	\$215.99	\$237.58	\$250.05	\$257.54	\$261.09

2 cu yd	1x/week	\$59.26	\$65.17	\$68.58	\$70.64	\$71.50
2 cu yd	2x/week	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
2 cu yd	3x/week	\$177.33	\$195.05	\$205.28	\$211.44	\$214.27
2 cu yd	4x/week	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
2 cu yd	5x/week	\$295.40	\$324.93	\$341.98	\$352.24	\$357.04
2 cu yd	6x/week	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43

2 cu yd- shared	1x/week	\$29.63	\$32.58	\$34.29	\$35.32	\$35.75
2 cu yd- shared	2x/week	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
2 cu yd- shared	3x/week	\$88.66	\$97.52	\$102.64	\$105.72	\$107.14
2 cu yd- shared	4x/week	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
2 cu yd- shared	5x/week	\$147.70	\$162.46	\$170.99	\$176.12	\$178.52

2 cu yd- shared	6x/week	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
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2 cu yd- compactor	1x/week	\$72.16	\$79.36	\$83.52	\$86.03	\$87.11
2 cu yd- compactor	2x/week	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
2 cu yd- compactor	3x/week	\$216.04	\$237.63	\$250.10	\$257.60	\$261.09
2 cu yd- compactor	4x/week	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
2 cu yd- compactor	5x/week	\$359.92	\$395.90	\$416.68	\$429.18	\$435.07
2 cu yd- compactor	6x/week	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05

3 cu yd	1x/week	\$88.82	\$97.69	\$102.82	\$105.90	\$107.20
3 cu yd	2x/week	\$177.38	\$195.10	\$205.34	\$211.50	\$214.27
3 cu yd	3x/week	\$265.93	\$292.51	\$307.86	\$317.09	\$321.35
3 cu yd	4x/week	\$354.48	\$389.92	\$410.39	\$422.69	\$428.43
3 cu yd	5x/week	\$443.04	\$487.33	\$512.91	\$528.29	\$535.51
3 cu yd	6x/week	\$531.59	\$584.74	\$615.43	\$633.89	\$642.58

3 cu yd- shared	1x/week	\$44.41	\$48.85	\$51.41	\$52.95	\$53.60
3 cu yd- shared	2x/week	\$88.69	\$97.55	\$102.67	\$105.75	\$107.14
3 cu yd- shared	3x/week	\$132.97	\$146.26	\$153.93	\$158.55	\$160.68
3 cu yd- shared	4x/week	\$177.24	\$194.96	\$205.19	\$211.35	\$214.21
3 cu yd- shared	5x/week	\$221.52	\$243.67	\$256.45	\$264.15	\$267.75
3 cu yd- shared	6x/week	\$265.80	\$292.37	\$307.72	\$316.95	\$321.29

3 cu yd- compactor	1x/week	\$108.18	\$118.99	\$125.23	\$128.98	\$130.60
3 cu yd- compactor	2x/week	\$216.09	\$237.69	\$250.16	\$257.66	\$261.09
3 cu yd- compactor	3x/week	\$324.00	\$356.39	\$375.09	\$386.34	\$391.57
3 cu yd- compactor	4x/week	\$431.91	\$475.09	\$500.03	\$515.03	\$522.05
3 cu yd- compactor	5x/week	\$539.82	\$593.80	\$624.96	\$643.71	\$652.54
3 cu yd- compactor	6x/week	\$647.74	\$712.50	\$749.90	\$772.39	\$783.02

4 cu yd	1x/week	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
4 cu yd	2x/week	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
4 cu yd	3x/week	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
4 cu yd	4x/week	\$472.51	\$519.75	\$547.03	\$563.43	\$571.20
4 cu yd	5x/week	\$590.58	\$649.63	\$683.72	\$704.23	\$713.97
4 cu yd	6x/week	\$708.65	\$779.50	\$820.42	\$845.03	\$856.74

4 cu yd- shared	1x/week	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
4 cu yd- shared	2x/week	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
4 cu yd- shared	3x/week	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
4 cu yd- shared	4x/week	\$236.25	\$259.87	\$273.51	\$281.72	\$285.60
4 cu yd- shared	5x/week	\$295.29	\$324.81	\$341.86	\$352.12	\$356.98
4 cu yd- shared	6x/week	\$354.33	\$389.75	\$410.21	\$422.52	\$428.37

4 cu yd- compactor	1x/week	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
4 cu yd- compactor	2x/week	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08

4 cu yd- compactor	3x/week	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05
4 cu yd- compactor	4x/week	\$575.75	\$633.31	\$666.55	\$686.54	\$696.03
4 cu yd- compactor	5x/week	\$719.63	\$791.58	\$833.13	\$858.12	\$870.01
4 cu yd- compactor	6x/week	\$863.51	\$949.85	\$999.71	\$1,029.70	\$1,043.99

(4) MONTHLY ORGANIC SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS).

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
64 gallon	3x/week	\$79.01	\$86.90	\$91.45	\$94.19	\$95.39
1 cu yd	1x/week	\$83.11	\$91.40	\$96.20	\$99.08	\$100.34
2 cu yd	1x/week	\$166.09	\$182.69	\$192.27	\$198.04	\$200.56
3 cu yd	1x/week	\$249.08	\$273.97	\$288.35	\$297.00	\$300.77
4 cu yd	1x/week	\$332.06	\$365.26	\$384.43	\$395.96	\$400.99

(C) MONTHLY SOLID WASTE SERVICE RATES FOR 1X/ WEEK COMMERCIAL CART SERVICE BY QUANTITY OF CARTS.

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
1 96-gallon	1x/week	\$36.51	\$40.15	\$42.26	\$43.52	\$44.01

cart						
2 96-gallon cart	1x/week	\$73.03	\$80.31	\$84.51	\$87.04	\$88.01
3 96-gallon cart	1x/week	\$109.54	\$120.46	\$126.77	\$130.56	\$132.02
4 96-gallon cart	1x/week	\$146.06	\$160.61	\$169.02	\$174.08	\$176.03
5 96-gallon cart	1x/week	\$182.57	\$200.77	\$211.28	\$217.60	\$220.04
6 96-gallon cart	1x/week	\$219.09	\$240.92	\$253.53	\$261.12	\$264.04

(1) This rate is in effect for existing commercial customers receiving this service type. This service type will not be offered for new commercial accounts as of July 1, 2024.

(D) MONTHLY RECYCLED AND GREENWASTE/ORGANICS SERVICE RATES FOR 1X/ WEEK COMMERCIAL CART SERVICE.

Service Type	Frequency of pick up	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
1 96-gallon cart	1x/week	\$21.41	\$21.41	\$21.41	\$21.41	\$21.41

(1) This rate has been effective since September 1, 2021, and is for existing commercial customers receiving this service type. This service type will not be offered for new commercial accounts as of July 1, 2024.

(E) SERVICE PULL RATES FOR INDUSTRIAL COLLECTION.

Service Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Up to 10-cu yd Roll					

Off Bin (max 10 tons)	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 13.4 cu yd Roll Off Bin (max 10 tons)	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 cu yd Roll Off Bin	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 cu yd Compactor	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 30 cu yd Roll Off Bin	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 cu yd Compactor	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 cu yd Roll Off Bin	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 cu yd Compactor	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79

(F) DAILY AND MONTHLY RENTAL RATES FOR INDUSTRIAL BINS.

Service Type	Rental Frequency	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
10 cu yd Roll Off Bin	Monthly / Daily	\$46.45 \$1.53	\$47.84 \$1.57	\$49.28 \$1.62	\$50.76 \$1.67	\$52.28 \$1.72
13.4 cu yd Roll Off Bin	Monthly / Daily	\$49.99 \$1.64	\$51.49 \$1.69	\$53.03 \$1.74	\$54.62 \$1.80	\$56.26 \$1.85
20 cu yd Sealed Roll Off Bin	Monthly / Daily	\$67.30 \$2.21	\$69.32 \$2.28	\$71.40 \$2.35	\$73.54 \$2.42	\$75.75 \$2.49

30 cu yd Roll Off Bin	Monthly / Daily	\$58.05 \$1.91	\$59.79 \$1.97	\$61.58 \$2.02	\$63.43 \$2.09	\$65.33 \$2.15
40 cu yd Roll Off Bin	Monthly / Daily	\$66.48 \$2.19	\$68.47 \$2.25	\$70.52 \$2.32	\$72.64 \$2.39	\$74.82 \$2.46
40 cu yd Sealed Roll Off Bin	Monthly / Daily	\$87.09 \$2.86	\$89.70 \$2.95	\$92.39 \$3.04	\$95.16 \$3.13	\$98.01 \$3.22

(G) RATES FOR SOLID WASTE WALKING FLOOR TRANSFER TRAILER RATES FOR INDUSTRIAL SERVICE PER PULL/TRANSFER.

Service Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
53-Foot Walking Floor Trailer	\$384.86	\$398.34	\$412.28	\$426.71	\$441.64

Part 6. SEC. 19-204 is added to the new Division 7 of Article II of Chapter 19 and is titled as follows:

SEC. 19-204. SPECIAL COLLECTION SERVICES AND FEES

The following fees for special, non-property related solid waste services provided by the City are established and effective during the dates indicated, as follows:

(A) TIPPING FEES FOR INDUSTRIAL SERVICE PER TON OF WEIGHED MATERIAL AND SECURITY AND CONTAMINATION PREVENTION FEE.

Service Type	Waste Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Del Norte Tip	Solid Waste	\$93.88	\$103.27	\$108.69	\$111.95	\$113.52

Fee (Per Ton)						
Del Norte Tip Fee (Per Ton)	Comingled Recycling	\$44.26	\$48.68	\$51.24	\$52.77	\$53.51
Del Norte Tip Fee (Per Ton)	Green Waste	\$105.53	\$116.08	\$122.18	\$125.84	\$127.61
Del Norte Tip Fee (Per Ton)	Organics	\$171.95	\$189.14	\$199.08	\$205.05	\$207.92
Walking Floor Transfer Trailer Tip Fee (Per Ton)	Solid Waste	\$34.63	\$35.67	\$36.74	\$37.84	\$38.98
Landfill disposal Cost (Del Norte Facility Tip Fee)	Construction & Demolition Materials and associated Waste	\$130.98	\$131.78	\$139.92	\$143.48	\$147.13
Del Norte Tip Fee	Tires	\$272.00	\$280.16	\$288.56	\$297.22	\$306.14
Security and Contamination Prevention Fee (Monthly, Per Bin/Container)	All	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12

(1) Tipping Fees for the above categories of waste shall be charged on a pro rata basis to be determined by the weight of the waste type beginning at one half ton and up.

(a) All waste disposed of pursuant to this subparagraph is designated as an industrial service due to the tonnage weight of waste being disposed of at the Del Norte Facility.

(b) The Walking Floor Transfer Trailer Tip Fee is limited to industrial customers receiving direct haul service to the Simi Landfill from the Customers Property.

(c) The security and contamination prevention fee is charged to all customers per container/bin per month. This fee is not applicable to residential and mobile home customers.

(2) Minimum Gate Fee. There shall be a minimum tipping fee for all waste types weighing under one half ton and those fees are as follows:

Service Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Minimum Gate Fee	\$28.41	\$29.26	\$30.14	\$31.04	\$31.97

(3) Host Fee. In addition to any applicable fees stated in this Division, the City charges a host fee of \$4.00 per ton of solid waste collected outside Oxnard city limits and delivered to the Del Norte Regional Recycling and Transfer Station.

(B) FEES FOR COMMERCIAL BIN RENTAL SERVICE FOR CONSTRUCTION AND DEMOLITION PROJECTS FOR 2 AND 4 CUBIC YARD BINS

Service Type	Waste Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Per Pull 2 cu yd	Any type except: furniture, mattress, appliances, dirt and concrete	\$43.87	\$46.25	\$48.12	\$49.73	\$51.14
Per Pull 4 cu yd	Any type except: furniture, mattress, appliances, dirt and concrete	\$56.83	\$60.50	\$63.12	\$65.18	\$66.81
2 cu yd Daily Rental fee	Any type except: furniture, mattress, appliances,	\$0.24	\$0.25	\$0.26	\$0.27	\$0.28

	dirt and concrete					
4 cu yd Daily Rental fee	Any type except: furniture, mattress, appliances, dirt and concrete	\$0.30	\$0.31	\$0.32	\$0.33	\$0.34

(C) FEES FOR COMMERCIAL BIN RENTAL SERVICE FOR CONSTRUCTION AND DEMOLITIONS PROJECT FOR 10, 13.4, 20, 30 AND 40 CUBIC YARDS

Service Type	Waste Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Per Pull Fee for 10, 13.4, 20 30, and 40 cu yd bins	Construction and Demolition Materials	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
10 cu yd roll off bin Daily Rental fee	Construction and Demolition Materials	\$1.53	\$1.57	\$1.62	\$1.67	\$1.72
13.4 cu yd roll off bin Daily Rental fee	Construction and Demolition Materials	\$1.64	\$1.69	\$1.74	\$1.80	\$1.85
20 cu yd roll off bin Daily Rental fee	Construction and Demolition Materials	\$2.21	\$2.28	\$2.35	\$2.42	\$2.49

30 cu yd roll off bin Daily Rental fee	Construc- tion and Demolition Materials	\$1.91	\$1.97	\$2.02	\$2.09	\$2.15
40 cu yd roll off bin Daily Rental fee	Construc- tion and Demolition Materials	\$2.19	\$2.25	\$2.32	\$2.39	\$2.46
40 cu yd roll off bin sealed Daily Rental fee	Construc- tion and Demolition Materials	\$2.86	\$2.95	\$3.04	\$3.13	\$3.22

(1) Construction and Demolition Materials includes but is not limited to the following: lumber, drywall, metals, masonry (brick, concrete, etc.), carpet, plastic, pipes, cardboard, green waste related to land development.

(D) FEES FOR REPLACEMENT FOR NEGLECTED, DAMAGED, OR MISSING CONTAINERS.

Service Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Residential 96-gallon container	\$65.04	\$66.99	\$69.00	\$71.07	\$73.20
Residential 64-gallon containers	\$57.50	\$59.23	\$61.01	\$62.84	\$64.73
Commercial 1-cubic yard (lined)	\$1,111.00	\$1,144.33	\$1,178.66	\$1,214.02	\$1,250.44
Commercial 2-cubic yard (lined)	\$1,269.00	\$1,307.07	\$1,346.28	\$1,386.67	\$1,428.27

Commercial 3-cubic yard (lined)	\$1,399.00	\$1,440.97	\$1,484.20	\$1,528.73	\$1,574.59
Commercial 4-cubic yard (lined)	\$1,620.00	\$1,668.60	\$1,718.66	\$1,770.22	\$1,823.33
Industrial 1-cubic yard (lined)	\$1,111.00	\$1,144.33	\$1,178.66	\$1,214.02	\$1,250.44
Industrial 10-cubic yard (lined)	\$8,179.00	\$8,424.37	\$8,677.10	\$8,937.41	\$9,205.53
Industrial 13.4-cubic yard (lined)	\$8,802.00	\$9,066.06	\$9,338.04	\$9,618.18	\$9,906.73
Industrial 20-cubic yard (lined)	\$11,850.00	\$12,205.50	\$12,571.67	\$12,948.82	\$13,337.28
Industrial 30-cubic yard (lined)	\$10,221.00	\$10,527.63	\$10,843.46	\$11,168.76	\$11,503.82
Industrial 40-cubic yard (lined)	\$11,706.00	\$12,057.18	\$12,418.90	\$12,791.47	\$13,175.21

(E) ADDITIONAL SERVICE REQUEST FEES FOR RESIDENTIAL AND COMMERCIAL CUSTOMERS

Service Type	Effective July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Return Pick-up / extra	\$29.78	\$30.67	\$31.59	\$32.54	\$33.52

Pick-up – automatic side loader					
Return Pick-up / extra Pick-up – front loader	\$42.64	\$43.92	\$45.24	\$46.60	\$48.00
Bulky Pick-up (up to 5 household items)	\$45.00	\$46.35	\$47.74	\$49.17	\$50.65

(F) MISCELLANEOUS SPECIAL SERVICE FEES

(1) Freon Extraction Fee for residential and commercial purposes

Residential Application (refrigerator and air conditions)	\$30.00
Commercial Application (oversized units)	\$35.00

(2) Commercial Container Cleaning. Upon request of customer, the City will steam clean commercial containers of 2 and 4 cubic yard sizes, and commercial compactors. The City will pick up the container or compactor, transport to the City Yard for steam cleaning, and return the container or compactor to the customer's location. The fees are as follows:

Container Cleaning – up to 2 cu yd	\$40.00
Container Cleaning – up to 4 cu yd	\$60.00
Container Cleaning – Compactor	\$110.00

(3) Commercial and Industrial Castor Replacement. Customers may request replacement for a damaged castor or the City may replace the damaged castor without request by the customer if the damaged castor prevents the City from carrying out the associated solid waste service. The replacement castor fee is as follows:

2 & 4 cu yd Castor Wheel Replacement – Commercial	\$20.00 (per castor)
Castor Wheel Replacement – Industrial	\$30.00 (per castor)

(4) Commercial Container Security Locking Device. At the customer's request, the City will provide a security locking device for 2 and 4 cubic yard containers. The fee shall be **\$60.00** for each locking device per container and includes the device and installation.

(5) Change of Service Level. A fee of **\$5.00** shall be charged to the customer for each request to change their level of solid waste service.

(6) Residential or Commercial Tire Disposal Pick Up Service.

Tires: less than 36 inches	\$9.00 each
Tires: 36 inches to 60 inches	\$30.00 each
Tires: over 60 inches	\$200.00 each

(7) Add on Fees for Special Collection Services

Uncovered Loads Capable of Producing Litter (Per Ton)	\$5.00
Appliances Disposal	\$15.00
Container Delivery Fee (1, 2, 3, 4, 10, 13.4, 20, 30 and 40 cu yd)	\$15.00
Hard to Handle / Bulky Item Fee (per ton)	\$135.00
Special Handling (per ton)	\$65.00

Special Pull Offs (each plus the disposal fee)	\$75.00
Other Materials Not listed in Article II of Chapter 19 of Oxnard City Code.	\$60.00 per hour

Part 7. The provisions of this ordinance shall be applicable to all solid waste services provided and rates and fees incurred on and after July 1, 2024. Ordinance No. 2861 is repealed by this ordinance, effective July 1, 2024.

Part 8. If any term or portion of this ordinance is held to be invalid, illegal, or otherwise unenforceable by a court of competent jurisdiction, the remaining provisions of this ordinance shall continue in full force and effect. Where that is the case, the City Council intends that the provisions of Ordinance No. 2861 that would have been repealed by this ordinance shall remain in effect as if this ordinance had not been adopted.

Part 9. The City Council exercises its independent judgment and made findings herein above, that this ordinance is not subject to CEQA pursuant to Public Resources Code section 21080(b)(8) and 21083 in conjunction with California Code of Regulations, Title 14, Chapter 3, section 15273 (CEQA does not apply to the establishment, modification, structuring, restructuring, or approval of rates, tolls, fares, or other charges by public agencies which the public agency makes appropriate findings pursuant to this section) , because the activity is covered by the general rule that CEQA applies only to projects that will have a significant effect on the environment. There is no possibility that the passage of this ordinance will have a significant effect on the environment and adoption of this ordinance is exempt from CEQA.

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Part 10. Pursuant to Government Code Section 36933(c)(l), the City Attorney was designated to prepare, and the City Clerk published, a summary of this ordinance, and a certified copy of the ordinance was posted in the Office of the City Clerk a minimum of five (5) days before the City Council's adoption of the ordinance.

Part 11. The City Clerk shall certify as to the adoption of this ordinance and shall cause the summary thereof to be published within fifteen (15) calendar days of the adoption and shall post a certified copy of this ordinance, including the vote for and against the same, in the office of the City Clerk, in accordance with Government Code Section 36933. Ordinance No. _____ was first read on March 19, 2024, finally adopted on April 16, 2024 to become effective on July 1, 2024.

APPROVED AND ADOPTED this _____ day of April, 2024, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

John Zaragoza, Mayor

ATTEST:

Rose Chaparro, City Clerk

APPROVED AS TO FORM:

Stephen M. Fischer, City Attorney



City Clerk's Office
300 W. Third Street, 4th Floor
Oxnard, CA 93030

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NOTICE OF PUBLIC HEARING NOTIFICACIÓN DE AUDIENCIA PÚBLICA

Proposed Increases To Solid Waste Services Rates, Fees & Charges *Para Considerar Los Aumentos Propuestos A Las Tarifas, Tasas Y Cargos De Los Servicios De Residuos Sólidos*

Optional protest form. Please see enclosed information. *Formulario de protesta opcional. Consulte la información adjunta.*



If you wish to use this form as your protest, please fill out and submit by mail or in person to: **City Clerk, 300 West Third Street, Fourth Floor, Oxnard, CA 93030** or deliver to the Oxnard City Clerk before the conclusion of the public hearing on Tuesday, March 19, 2024. *Si desea utilizar este formulario como protesta, rellénelo y envíelo por correo o preséntelo en persona a: City Clerk, 300 West Third Street, Fourth Floor, Oxnard, CA 93030, o entréguelo al Secretario Municipal antes de la conclusión de la audiencia pública del martes, 19 de marzo de 2024.*

(Print your full name / *Escriba su nombre completo*)

I, _____, protest the proposed solid waste rate increase.
Yo, _____, protesto por los aumentos propuestos de las tasas de residuos sólidos.

I am the: ☐ Property Owner ☐ Ratepayer (Customer)
Soy el: Dueño de la propiedad Contribuyente (Cliente)

Property Address: _____
Dirección de la propiedad:

Assessor's Parcel Number (APN): _____ Signature: _____
Número de Parcela del Tasador (APN, por sus siglas en inglés): Firma:

PROPOSED SOLID WASTE RATE INCREASES

Propuesta De Aumento De Las Tarifas De Residuos Sólidos

PLEASE TAKE NOTICE THAT the Oxnard City Council will conduct a Public Hearing to consider proposed rates, fees, and charges for solid waste services (solid waste, recycling, organics/green waste). The City's last solid waste rate study was conducted in 2012.

All Interested persons are invited to appear at the time and place of the Public Hearing (6 p.m. March 19, 2024, Oxnard City Council Chambers) to give oral or written testimony, as well as written protests, regarding proposed rate adjustments.

PUBLIC HEARING

6 p.m. March 19, 2024
Oxnard City Council Chambers

INFORMATION ON HOW TO PROTEST RATE INCREASES IS LOCATED AT THE END OF THIS NOTICE

TOME NOTA DE QUE el Consejo de la Ciudad (Ayuntamiento) de Oxnard llevará a cabo una audiencia pública para considerar las tarifas, tasas y cargos propuestas para los servicios de residuos sólidos (residuos sólidos, reciclaje, residuos orgánicos/verdes). El último estudio de tarifas de residuos sólidos de la Ciudad se llevó a cabo en 2012.

Se invita a todas las personas interesadas a comparecer a la hora y en el lugar de la Audiencia Pública (19 de marzo de 2024 a las 6 p.m., Cámaras del Consejo de la Ciudad de Oxnard) para dar testimonio oral o escrito, así como protestas escritas, en relación con los ajustes de tarifas propuestos.

AUDIENCIA PÚBLICA

19 de marzo de 2024 a las 6 p.m.
Cámaras del Consejo de la Ciudad de Oxnard

AL FINAL DE ESTE ANUNCIO ENCONTRARÁ INFORMACIÓN SOBRE CÓMO PROTESTAR CONTRA EL AUMENTO DE LAS TARIFAS

QUESTIONS ABOUT THIS NOTICE AND PROPOSED RATES?

¿PREGUNTAS SOBRE ESTA NOTIFICACIÓN Y LAS TARIFAS PROPUESTOS?

INFORMATIONAL COMMUNITY MEETING

If you have questions about this Notice, proposed rates, or your solid waste service, the City is hosting an in-person informational community meeting.

WHEN:

6:30-8 p.m.

Thurs., February 29, 2024

WHERE:

South Oxnard Center
200 E Bard Rd, Oxnard 93033

REUNIÓN COMUNITARIA INFORMATIVA

Si tiene alguna pregunta sobre este aviso, las tarifas propuestas o su servicio de residuos sólidos, la Ciudad está organizando una reunión comunitaria informativa en persona.

CUÁNDO:

Jueves, 29 de febrero de 2024

6:30-8 p.m.

DÓNDE:

South Oxnard Center
200 E Bard Rd, Oxnard 93033



WHY AM I RECEIVING THIS NOTICE?

The City mailed this Notice to all property owners of record and solid waste customers in accordance with Article XIII-D, Section 6 of the California Constitution ("Proposition 218"). This serves as notice that the Oxnard City Council will conduct a Public Hearing at the time, date, and location identified in this Notice to consider recommended increases to the City's solid waste rates, fees, and charges.

At the Public Hearing, the City Council will hear and consider public input regarding the proposed rate adjustments and determine whether to adopt the proposed rates. The City Council cannot increase rates beyond the amounts listed in this Notice. If adopted, proposed solid waste rate adjustments would become effective on July 1, 2024, with annual rate adjustments effective on July 1 of each year through 2028.

¿POR QUÉ RECIBO ESTE AVISO?

La Ciudad envió por correo este Aviso a todos los propietarios registrados y clientes de residuos sólidos de conformidad con el Artículo XIII-D, Sección 6 de la Constitución de California ("Proposición 218"). La presente sirve como aviso de que el Concejo de la Ciudad de Oxnard llevará a cabo una Audiencia Pública en la hora, fecha y lugar mencionados en este Aviso para considerar los aumentos recomendados a las tarifas, tasas y cargos por residuos sólidos de la Ciudad.

En la Audiencia Pública, el Concejo de la Ciudad escuchará y considerará los aportes del público con respecto a los ajustes de tarifas propuestos y determinará si adopta las tarifas propuestas. El Consejo de la Ciudad no puede aumentar las tarifas más allá de las cantidades indicadas en este Aviso. Si se adoptan, los ajustes propuestos de las tarifas de residuos sólidos entrarían en vigor el 1 de julio de 2024, con ajustes anuales de las tarifas que entrarían en vigor el 1 de julio de cada año hasta 2028.

HOW PROPOSED SOLID WASTE RATES WERE CALCULATED

The City of Oxnard is proposing to increase the rates for its solid waste service charges based on its most recent rate model and cost of service (COS) study conducted by an independent rates consultant. The City hired Raftelis Financial Consultants, Inc., a consulting firm with expertise in solid waste cost of service rate studies to evaluate the City's costs of providing solid waste services and to proportionally allocate those costs to customer classes (residential, commercial, etc.). The rate study consists of a series of steps involving data evaluation, technical analyses, deriving customer rates, and understanding rate adjustment impacts on customers.

The financial analyses and rate model are documented in the Cost of Service Study Report to serve as part of the City's administrative record with supporting data of how the proposed rates were developed. The proposed increases to the current rates over the next five years are listed in the tables contained in this Notice.

CÓMO SE CALCULARON LAS TASAS DE RESIDUOS SÓLIDOS

La Ciudad de Oxnard propone aumentar las tarifas de sus servicios de recolección de residuos basándose en sus modelo tarifarios más recientes y en un estudio del costo del servicio (COS, por sus siglas en inglés) realizado por un consultor de tarifas independiente. La Ciudad contrató a Raftelis Financial Consultants, Inc. una empresa consultora con experiencia en estudios de tarifas de costos de servicios de residuos sólidos para evaluar los costos de la prestación de servicios de recolección de residuos por parte de la Ciudad y asignar proporcionalmente dichos costos a los tipos de clientes (residenciales, comerciales, etc.). El estudio de tarifas consta de una serie de pasos que incluyen la evaluación de datos, análisis técnicos, derivación de tarifas a clientes y comprensión de las repercusiones de los ajustes de tarifas en los clientes.

Los análisis financieros y los modelo tarifarios se documentan en el Informe del Estudio del Costo del Servicio, que forma parte del expediente administrativo de la Ciudad para justificar las tarifas propuestas. Los aumentos propuestos para las tarifas actuales durante los próximos cinco años se enumeran en las tablas presentes en este Aviso.



The Cost of Service Study Report is available on the City website at www.oxnard.org/refuserates. Scan this code to be taken directly to the rates study webpage.

El informe del estudio del coste del servicio está disponible en la página web de la ciudad: www.oxnard.org/refuserates. Escanee este código para acceder directamente a la página web del estudio de tarifas.

WHAT HAPPENS IF WE DO NOT RAISE RATES?

If rates are not raised, the service to customers may be affected and public and environmental health could be impacted. Current rates are not sufficient to sustain daily operations and waste disposal services. Deferring until later will result in higher costs and possibly increased regulatory oversight and significant fines by State agencies. We would be forced to defer the acquisition of equipment and infrastructure projects that would leave our existing operations and system vulnerable in many ways, some of which are listed below:

- Reduction in the pickup frequency of solid waste, recyclables, organics, and green waste.
- Less frequent pickups may lead to health and safety issues including disease, unwelcome odors and pests, and may increase illegal dumping.
- Deferred maintenance may result in frequent fleet breakdowns and cause increased overtime costs and service disruptions.
- Failure to meet State regulatory requirements could result in fines up to \$10,000 per incident from Cal Recycle.

¿QUÉ PASA SI NO SUBIMOS LAS TASAS?

Si no se aumentan las tarifas, el servicio a los clientes puede verse afectado y la salud pública y ambiental podrían verse afectadas. Las tarifas actuales no son suficientes para mantener las operaciones diarias y los servicios de desechos de residuos. El aplazamiento hasta más adelante se traducirá en mayores costos y posiblemente en un aumento de la supervisión reglamentaria y de las multas significativas por parte de los organismos estatales. Nos veríamos obligados a aplazar la adquisición de equipos y los proyectos de infraestructura que dejarían vulnerables nuestras operaciones y sistema actuales de muchas maneras, algunas de las cuales se enumeran a continuación:

- Reducción de la frecuencia de recolección de residuos sólidos, materiales reciclables, orgánicos y residuos verdes.
- La menor frecuencia de recogida puede provocar problemas de salud y seguridad, incluyendo enfermedades, olores desagradables y plagas, y puede aumentar los vertidos ilegales.
- El mantenimiento diferido puede provocar averías frecuentes en la flota y ocasionar costos de horas extras e interrupciones del servicio.
- El incumplimiento de los requisitos normativos estatales podría dar lugar a la imposición de multas de hasta 10.000 dólares por incidente por parte de Cal Recycle.

ABOUT THE CITY'S SOLID WASTE SERVICES

The City's Public Works Department Environmental Resources Division (ER) is an enterprise fund operation to provide solid waste services to Oxnard residents and businesses, which includes collection, sorting, processing, and disposal for approximately 34,480 residential customers and 5,082 commercial customers and apartment buildings six days a week.

Reliable and efficient solid waste service is a key component for the public health, safety, and welfare of the community. Services include collection, sorting, transportation, and transferring material to the landfill.

Additionally, to provide our services while meeting all Local, State, and Federal requirements, ER:

- Recycles approximately 24,900 tons of material and 22,000 tons of organics (green waste and food waste)
- Annually handles approximately 317,100 tons (634,200,000 lbs) of solid waste
- Has invested approximately \$60 million in capital equipment, facilities, and land including the Del Norte Regional Recycling and Transfer Station and approximately 138 vehicles (109 heavy-duty trucks & 29 small vehicles)
- Employs 179 essential workers fulfilling 529,443 hours per year

ACERCA DE LOS SERVICIOS MUNICIPALES DE RECOLECCIÓN DE RESIDUOS SÓLIDOS

La División de Recursos Ambientales (ER, por sus siglas en inglés) del Departamento de Obras Públicas de la Ciudad es una operación de fondos empresariales para brindar servicios de desechos sólidos a los residentes y comercios de Oxnard, que incluye la recolección, clasificación, procesamiento y eliminación para aproximadamente 34,480 clientes residenciales y 5.082 clientes comerciales y edificios de apartamentos seis días a la semana.

Un servicio de residuos sólidos fiable y eficiente es un componente clave para la salud pública, la seguridad y el bienestar de la comunidad. Los servicios incluyen la recolección, clasificación, transporte y traslado del material al vertedero.

Para brindar nuestros servicios cumpliendo con todos los requisitos locales, estatales y federales, ER:

- Recicla aproximadamente 24.900 toneladas de material y 22.000 toneladas de orgánicos (residuos verdes y residuos de alimentos)
- Anualmente maneja aproximadamente 317.100 toneladas (634.200.000 libras) de residuos sólidos
- Ha invertido aproximadamente 60 millones de dólares en equipamiento, instalaciones y terrenos, incluyendo la Estación Regional de Reciclaje y Transferencia Del Norte y aproximadamente 138 vehículos (109 camiones de gran tonelaje y 29 vehículos pequeños)
- Emplea a 179 trabajadores esenciales cumpliendo 529.443 horas al año.

THE REASON FOR PROPOSED SOLID WASTE RATE INCREASES

The Oxnard City Council will consider rate changes that will affect solid waste service rates, fees, and charges due to inflation and rising costs of operations, maintenance, and environmental and regulatory compliance.

This critical service is funded in large part by the rates customers pay. Per California law, revenues generated from rates and rate increases must only be used to fund the actual costs to operate and maintain the service including the cost of debt to finance capital equipment and maintaining cash and reserves.

The City's last rate study for solid waste services was conducted in 2012. Over the past 10 years, the residential rate (96-gallons) only increased .63¢ per month, or 2.1% for residential service. The most popular commercial rate (4-yard/once per week) increased by 2.7% or \$6.05. Yet, over the same decade, the consumer price index increased by 33.9%.

The proposed rates are needed to provide adequate funding for operations; capital funding; cost increases for collecting, processing and disposing of solid waste; labor and equipment costs; fuel charges; recyclables collection and processing; public education and implementing State of California mandated solid waste diversion programs; and to maintain compliance with internal minimum cash reserve targets.

The proposed rate increases to the applicable customer classifications include new costs associated with providing commercial organics collection, along with the administration, enforcement, and education of both commercial and residential organics collection programs.

Pursuant to the State's regulatory requirements to implement Senate Bill (SB) 1383 (Chapter 395, Statutes of 2016), the City must provide organic waste collection. The proposed rates will ensure that the City collects sufficient revenue to maintain reliable service, run solid waste services efficiently and consistently with industry standards, and fulfill state-mandated recycling services.

PROPOSED RATES

The City provides residents with weekly collection services using a three-bin system for their garbage, recycling, and organic waste. The monthly rate for residents includes one cart for each of their household waste (garbage, recycling, and organic waste). Any additional carts are available for an additional fee. Along with residential collection service, the City provides curbside collection to non-residential and commercial customers.

MOTIVOS DEL AUMENTO PROPUESTO DE LAS TASAS DE RESIDUOS SÓLIDOS

El Consejo de la Ciudad de Oxnard estudiará cambios en las tarifas que afectarán a las tarifas, tasas y cargos de los servicios de recolección de residuos sólidos debido a la inflación y al aumento de los costos de funcionamiento, mantenimiento y cumplimiento de la normativa medioambiental.

Este servicio crítico se financia en gran parte con las tarifas que pagan los clientes. Según la legislación de California, los ingresos generados por las tarifas y los aumentos de tarifas sólo deben utilizarse para financiar los costos reales de funcionamiento y mantenimiento del servicio, incluido el costo de la deuda para financiar equipamiento y el mantenimiento de la tesorería y las reservas.

El último estudio de tarifas de la Ciudad para los servicios de residuos sólidos se llevó a cabo en 2012. En los últimos 10 años, la tarifa residencial (96 galones) solo aumentó 0,63 centavos por mes, o un 2,1% para el servicio residencial. La tarifa comercial más popular (4 yardas/una vez por semana) aumentó un 2,7% o 6,05 dólares. Sin embargo, durante la misma década, el índice de precios al consumo aumentó un 33,9%.

Las tarifas propuestas son necesarias para brindar una financiación adecuada para las operaciones; financiación de capital; aumentos de costos para la recolección, procesamiento y eliminación de residuos sólidos; costos de mano de obra y equipos; cargos por combustible; recolección y procesamiento de reciclables; educación pública e implementación de programas de desviación de residuos sólidos obligatorios del Estado de California; y para mantener el cumplimiento de los objetivos internos de reserva mínima de efectivo.

Los aumentos de tarifas propuestos para las clasificaciones de clientes aplicables incluyen nuevo los costos asociados a la recolección de residuos orgánicos comerciales, junto con la administración, aplicación y educación de los programas de recolección de residuos orgánicos tanto comerciales como residenciales.

De conformidad con los requisitos reglamentarios del Estado para implementar el Proyecto de Ley 1383 (Capítulo 395, Estatutos de 2016), la Ciudad debe proveer la recolección de residuos orgánicos. Las tarifas propuestas garantizarán que la Ciudad recaude ingresos suficientes para mantener un servicio confiable, administrar servicios de residuos sólidos de manera eficiente y consistente con los estándares de la industria, y cumplir con los servicios de reciclaje exigidos por el estado.

TARIFAS PROPUESTAS

La Ciudad ofrece a los residentes un servicio de recolección semanal mediante un sistema de tres contenedores para la basura, el reciclaje y los residuos orgánicos. La tarifa mensual para los residentes incluye un carro para cada uno de sus residuos domésticos (basura, reciclaje y residuos orgánicos). Los carros adicionales están disponibles por una tarifa adicional. Junto con el servicio de recolección residencial, la Ciudad realizará recolección en acera a los clientes no residenciales y comerciales.



Residential *Residencial*

TABLE 1: PROPOSED FIVE-YEAR RESIDENTIAL MONTHLY SOLID WASTE, RECYCLING, ORGANICS / GREEN WASTE 3-CART SERVICE

Tabla 1: Calendario propuesto de cinco años para el servicio residencial mensual de 3 carros para residuos sólidos, reciclaje y residuos orgánicos/verdes

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
3 Cart Service/64-gallon Servicio de 3 carros/64 galones						
1x/week 1x/semana	\$24.70	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
3 Cart Service/96-gallon Servicio de 3 carros /96 galones						
1x/week 1x/semana	\$30.78	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
Extra 96 Gallons Cart Service Contenedor extra (96 galones)						
1x/week 1x/semana	\$11.34	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
Multi-Family - 2 Units* Residencial multifamiliar 2 Unidades						
1x/week 1x/semana	\$58.51	N/A	N/A	N/A	N/A	N/A
Multi-Family - 3 Units* Residencial multifamiliar 3 Unidades						
1x/week 1x/semana	\$84.73	N/A	N/A	N/A	N/A	N/A
Multi-Family - 4 Units* Residencial multifamiliar 4 Unidades						
1x/week 1x/semana	\$109.43	N/A	N/A	N/A	N/A	N/A

*The City currently charges separate service rates for multi-family residences with four units or less. Under the proposed rates, all multi-family customers, excluding mobile homes, receiving cart service will be charged the residential 3-cart service rate with the option to receive either 64-gallon or 96-gallon service as listed in Table 1.

**En la actualidad, la Ciudad cobra tarifas de servicio separadas para las residencias multifamiliares con cuatro unidades o menos. Con las tarifas propuestas, a todos los clientes multifamiliares, excluidas las casas móviles, que reciban el servicio de carros se les cobrará el servicio residencial de 3 carros con la opción de recibir un servicio de 64 galones o de 96 galones, como se indica en la Tabla 1.*

Residential Mobile Home *Casa Móvil Residencial*

In Table 2, the City is proposing a new residential mobile home rate customer classification determined by the cost of service findings of the rate study.

En la tabla 2, el Ayuntamiento propone una nueva clasificación de clientes según la tarifa de móviles residenciales determinada por las conclusiones del estudio de tarifas sobre el coste del servicio.

TABLE 2: PROPOSED FIVE-YEAR SCHEDULE OF RESIDENTIAL MOBILE HOME MONTHLY SOLID WASTE, RECYCLING, ORGANICS / GREEN WASTE 3-CART SERVICE

Tabla 2: Calendario propuesto de cinco años para el servicio mensual de 3 carros de recolección de residuos sólidos, reciclables, orgánicos y verdes de las residencias móviles

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
3 Cart Service/64-gallon Servicio de 3 carros/64 galones						
1x/week 1x/semana	N/A	\$36.06	\$39.63	\$41.69	\$42.93	\$43.16
3 Cart Service/96-gallon Servicio de 3 carros/96 galones						
1x/week 1x/semana	N/A	\$43.45	\$47.76	\$50.25	\$51.74	\$52.10
Extra 96 Gallons Cart Service Contenedor extra (96 galones)						
1x/week 1x/semana	N/A	\$22.41	\$24.63	\$25.92	\$26.70	\$26.96

Multi-Family Residential Over 4 Units and Commercial

Residencial Multifamiliar Más de 4 Unidades Y Comercial

TABLE 3: PROPOSED FIVE-YEAR SCHEDULE OF SOLID WASTE SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS)

TABLA 3: Calendario propuesto de cinco años para tarifas de servicio de RESIDUOS SÓLIDOS para el servicio residencial multifamiliar (MFR) de más de 4 unidades y el servicio comercial por frecuencia y tamaño de contenedor (en yardas cúbicas)

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
1 cu yd bin 1 yarda cúbica						
1x/week 1x/semana	N/A	\$57.69	\$63.44	\$66.77	\$68.77	\$69.67
2x/week 2x/semana	N/A	\$115.20	\$126.71	\$133.36	\$137.35	\$139.22
3x/week 3x/semana	N/A	\$172.72	\$189.98	\$199.95	\$205.94	\$208.76
4x/week 4x/semana	N/A	\$230.24	\$253.25	\$266.54	\$274.53	\$278.31
5x/week 5x/semana	N/A	\$287.75	\$316.52	\$333.13	\$343.12	\$347.86
6x/week 6x/semana	N/A	\$345.27	\$379.78	\$399.72	\$411.70	\$417.41
1 cu yd-shared 1 yarda cúbica compartida						
1x/week 1x/semana	N/A	\$28.84	\$31.72	\$33.38	\$34.38	\$34.83
2x/week 2x/semana	N/A	\$57.60	\$63.36	\$66.68	\$68.68	\$69.61
3x/week 3x/semana	N/A	\$86.36	\$94.99	\$99.97	\$102.97	\$104.38
4x/week 4x/semana	N/A	\$115.12	\$126.62	\$133.27	\$137.26	\$139.16
5x/week 5x/semana	N/A	\$143.88	\$158.26	\$166.56	\$171.56	\$173.93
6x/week 6x/semana	N/A	\$172.63	\$189.89	\$199.86	\$205.85	\$208.70
1 cu yd-compactor 1 yarda cúbica compactada						
1x/week 1x/semana	N/A	\$83.23	\$91.54	\$96.34	\$99.23	\$100.56
2x/week 2x/semana	N/A	\$166.29	\$182.91	\$192.51	\$198.28	\$200.99
3x/week 3x/semana	N/A	\$249.35	\$274.28	\$288.67	\$297.33	\$301.43
4x/week 4x/semana	N/A	\$332.41	\$365.64	\$384.83	\$396.37	\$401.86
5x/week 5x/semana	N/A	\$415.48	\$457.01	\$481.00	\$495.42	\$502.30
6x/week 6x/semana	N/A	\$498.54	\$548.38	\$577.16	\$594.47	\$602.73
2 cu yd 2 yardas cúbicas						
1x/week 1x/semana	\$132.76	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
2x/week 2x/semana	\$232.25	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
3x/week 3x/semana	\$298.56	\$345.32	\$379.84	\$399.77	\$411.76	\$417.41
4x/week 4x/semana	\$364.87	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
5x/week 5x/semana	\$431.21	\$575.38	\$632.91	\$666.13	\$686.11	\$695.60
6x/week 6x/semana	\$497.53	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
2 cu yd-shared 2 yardas cúbicas compartida						
1x/week 1x/semana	\$66.44	\$57.63	\$63.38	\$66.71	\$68.71	\$69.61
2x/week 2x/semana	\$116.18	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
3x/week 3x/semana	\$149.37	\$172.66	\$189.92	\$199.89	\$205.88	\$208.70
4x/week 4x/semana	\$182.51	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
5x/week 5x/semana	\$215.67	\$287.69	\$316.46	\$333.07	\$343.06	\$347.80
6x/week 6x/semana	\$248.83	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
2 cu yd-compactor yardas cúbicas compactada						
1x/week 1x/semana	\$284.35	\$166.34	\$182.96	\$192.56	\$198.34	\$200.99
2x/week 2x/semana	\$497.34	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
3x/week 3x/semana	\$639.64	\$498.59	\$548.43	\$577.22	\$594.53	\$602.73
4x/week 4x/semana	\$781.75	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
5x/week 5x/semana	\$923.88	\$830.83	\$913.90	\$961.88	\$990.73	\$1,004.48
6x/week 6x/semana	\$1,065.99	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35

Table 3 Continues Next Page/Tabla 3 Continúa en la página siguiente

TABLE 3 CONTINUED/TABLA 3 CONTINUACIÓN:

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
3 cu yd <i>yardas cúbicas</i>						
1x/week <i>1x/semana</i>	N/A	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76
2x/week <i>2x/semana</i>	N/A	\$345.37	\$379.89	\$399.83	\$411.82	\$417.41
3x/week <i>3x/semana</i>	N/A	\$517.92	\$569.70	\$599.60	\$617.58	\$626.05
4x/week <i>4x/semana</i>	N/A	\$690.47	\$759.50	\$799.37	\$823.35	\$834.69
5x/week <i>5x/semana</i>	N/A	\$863.02	\$949.31	\$999.14	\$1,029.11	\$1,043.33
6x/week <i>6x/semana</i>	N/A	\$1,035.57	\$1,139.11	\$1,198.91	\$1,234.87	\$1,251.98
3 cu yd-shared <i>yardas cúbicas compartida</i>						
1x/week <i>1x/semana</i>	N/A	\$86.41	\$95.04	\$100.03	\$103.03	\$104.38
2x/week <i>2x/semana</i>	N/A	\$172.68	\$189.95	\$199.92	\$205.91	\$208.70
3x/week <i>3x/semana</i>	N/A	\$258.96	\$284.85	\$299.80	\$308.79	\$313.02
4x/week <i>4x/semana</i>	N/A	\$345.23	\$379.75	\$399.68	\$411.67	\$417.35
5x/week <i>5x/semana</i>	N/A	\$431.51	\$474.65	\$499.57	\$514.55	\$521.67
6x/week <i>6x/semana</i>	N/A	\$517.78	\$569.56	\$599.45	\$617.44	\$625.99
3 cu yd-compactor <i>3 yardas cúbicas compactada</i>						
1x/week <i>1x/semana</i>	N/A	\$249.45	\$274.39	\$288.78	\$297.44	\$301.43
2x/week <i>2x/semana</i>	N/A	\$498.64	\$548.49	\$577.28	\$594.59	\$602.73
3x/week <i>3x/semana</i>	N/A	\$747.82	\$822.59	\$865.77	\$891.74	\$904.04
4x/week <i>4x/semana</i>	N/A	\$997.00	\$1,096.69	\$1,154.26	\$1,188.88	\$1,205.35
5x/week <i>5x/semana</i>	N/A	\$1,246.19	\$1,370.79	\$1,442.75	\$1,486.03	\$1,506.65
6x/week <i>6x/semana</i>	N/A	\$1,495.37	\$1,644.89	\$1,731.24	\$1,783.18	\$1,807.96
4 cu yd <i>yardas cúbicas</i>						
1x/week <i>1x/semana</i>	\$230.86	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
2x/week <i>2x/semana</i>	\$403.90	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
3x/week <i>3x/semana</i>	\$519.26	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
4x/week <i>4x/semana</i>	\$634.63	\$920.48	\$1,012.52	\$1,065.67	\$1,097.64	\$1,112.88
5x/week <i>5x/semana</i>	\$749.98	\$1,150.55	\$1,265.59	\$1,332.03	\$1,371.99	\$1,391.07
6x/week <i>6x/semana</i>	\$865.36	\$1,380.62	\$1,518.66	\$1,598.39	\$1,646.34	\$1,669.26
4 cu yd-shared <i>yardas cúbicas compartida</i>						
1x/week <i>1x/semana</i>	\$115.47	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
2x/week <i>2x/semana</i>	\$202.02	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
3x/week <i>3x/semana</i>	\$259.70	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
4x/week <i>4x/semana</i>	\$317.36	\$460.24	\$506.26	\$532.84	\$548.82	\$556.44
5x/week <i>5x/semana</i>	\$375.06	\$575.27	\$632.80	\$666.01	\$685.99	\$695.54
6x/week <i>6x/semana</i>	\$432.71	\$690.31	\$759.33	\$799.19	\$823.17	\$834.63
4 cu yd-compactor <i>4 yardas cúbicas compactada</i>						
1x/week <i>1x/semana</i>	\$464.93	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
2x/week <i>2x/semana</i>	\$813.53	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
3x/week <i>3x/semana</i>	\$1,045.93	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35
4x/week <i>4x/semana</i>	\$1,278.32	\$1,329.20	\$1,462.11	\$1,538.86	\$1,585.02	\$1,607.09
5x/week <i>5x/semana</i>	\$1,510.72	\$1,661.44	\$1,827.57	\$1,923.52	\$1,981.22	\$2,008.83
6x/week <i>6x/semana</i>	\$1,743.12	\$1,993.69	\$2,193.04	\$2,308.17	\$2,377.41	\$2,410.58

TABLE 4: PROPOSED FIVE-YEAR SCHEDULE OF RECYCLE SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS)

Tabla 4: Calendario propuesto de cinco años para tarifas de servicio de RECICLAJE para servicios residenciales multifamiliares (MFR) de más de 4 unidades y comerciales por frecuencia y tamaño de contenedor (en yardas cúbicas)

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
1 cu yd bin 1 yarda cúbica						
1x/week 1x/semana	N/A	\$27.28	\$30.00	\$31.57	\$32.51	\$32.90
2x/week 2x/semana	N/A	\$54.39	\$59.82	\$62.95	\$64.84	\$65.69
3x/week 3x/semana	N/A	\$81.51	\$89.64	\$94.34	\$97.17	\$98.47
4x/week 4x/semana	N/A	\$108.62	\$119.47	\$125.73	\$129.50	\$131.25
5x/week 5x/semana	N/A	\$135.73	\$149.29	\$157.12	\$161.83	\$164.04
6x/week 6x/semana	N/A	\$162.84	\$179.11	\$188.51	\$194.16	\$196.82
1 cu yd-shared 1 yarda cúbica compartida						
1x/week 1x/semana	N/A	\$13.64	\$15.00	\$15.78	\$16.25	\$16.45
2x/week 2x/semana	N/A	\$27.20	\$29.91	\$31.48	\$32.42	\$32.84
3x/week 3x/semana	N/A	\$40.75	\$44.82	\$47.17	\$48.59	\$49.23
4x/week 4x/semana	N/A	\$54.31	\$59.73	\$62.87	\$64.75	\$65.63
5x/week 5x/semana	N/A	\$67.86	\$74.64	\$78.56	\$80.92	\$82.02
6x/week 6x/semana	N/A	\$81.42	\$89.56	\$94.26	\$97.08	\$98.41
1 cu yd-compactor 1 yarda cúbica compactada						
1x/week 1x/semana	N/A	\$31.33	\$34.45	\$36.25	\$37.33	\$37.80
2x/week 2x/semana	N/A	\$62.49	\$68.72	\$72.32	\$74.49	\$75.47
3x/week 3x/semana	N/A	\$93.64	\$103.00	\$108.40	\$111.65	\$113.15
4x/week 4x/semana	N/A	\$124.80	\$137.27	\$144.47	\$148.80	\$150.82
5x/week 5x/semana	N/A	\$155.96	\$171.54	\$180.54	\$185.96	\$188.50
6x/week 6x/semana	N/A	\$187.12	\$205.82	\$216.62	\$223.11	\$226.17
2 cu yd 2 yardas cúbicas						
1x/week 1x/semana	\$62.48	\$54.44	\$59.88	\$63.01	\$64.90	\$65.69
2x/week 2x/semana	\$109.24	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
3x/week 3x/semana	\$140.43	\$162.89	\$179.17	\$188.57	\$194.22	\$196.82
4x/week 4x/semana	\$171.60	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
5x/week 5x/semana	\$202.78	\$271.34	\$298.46	\$314.12	\$323.54	\$327.95
6x/week 6x/semana	\$233.96	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
2 cu yd-shared 2 yardas cúbicas compartida						
1x/week 1x/semana	\$31.29	\$27.22	\$29.94	\$31.51	\$32.45	\$32.84
2x/week 2x/semana	\$54.68	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
3x/week 3x/semana	\$70.28	\$81.45	\$89.58	\$94.28	\$97.11	\$98.41
4x/week 4x/semana	\$85.85	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
5x/week 5x/semana	\$101.45	\$135.67	\$149.23	\$157.06	\$161.77	\$163.98
6x/week 6x/semana	\$117.03	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76
2 cu yd-compactor 2 yardas cúbicas compactada						
1x/week 1x/semana	\$133.74	\$62.54	\$68.78	\$72.38	\$74.55	\$75.47
2x/week 2x/semana	\$233.96	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
3x/week 3x/semana	\$300.77	\$187.17	\$205.87	\$216.67	\$223.17	\$226.17
4x/week 4x/semana	\$367.58	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
5x/week 5x/semana	\$434.38	\$311.80	\$342.97	\$360.97	\$371.79	\$376.88
6x/week 6x/semana	\$501.19	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23

Table 4 Continues Next Page/Tabla 4 Continúa en la página siguiente

TABLE 4 CONTINUED/TABLA 4 CONTINUACIÓN

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
3 cu yd 3 yardas cúbicas						
1x/week 1x/semana	N/A	\$81.60	\$89.75	\$94.46	\$97.29	\$98.47
2x/week 2x/semana	N/A	\$162.94	\$179.22	\$188.62	\$194.28	\$196.82
3x/week 3x/semana	N/A	\$244.28	\$268.69	\$282.79	\$291.27	\$295.17
4x/week 4x/semana	N/A	\$325.61	\$358.16	\$376.96	\$388.26	\$393.52
5x/week 5x/semana	N/A	\$406.95	\$447.63	\$471.12	\$485.25	\$491.87
6x/week 6x/semana	N/A	\$488.28	\$537.10	\$565.29	\$582.25	\$590.21
3 cu yd-shared 3 yardas cúbicas compartida						
1x/week 1x/semana	N/A	\$40.80	\$44.88	\$47.23	\$48.64	\$49.23
2x/week 2x/semana	N/A	\$81.47	\$89.61	\$94.31	\$97.14	\$98.41
3x/week 3x/semana	N/A	\$122.14	\$134.35	\$141.40	\$145.64	\$147.58
4x/week 4x/semana	N/A	\$162.81	\$179.08	\$188.48	\$194.13	\$196.76
5x/week 5x/semana	N/A	\$203.47	\$223.81	\$235.56	\$242.63	\$245.93
6x/week 6x/semana	N/A	\$244.14	\$268.55	\$282.65	\$291.12	\$295.11
3 cu yd-compactor 3 yardas cúbicas compactada						
1x/week 1x/semana	N/A	\$93.74	\$103.11	\$108.51	\$111.76	\$113.15
2x/week 2x/semana	N/A	\$187.22	\$205.93	\$216.73	\$223.23	\$226.17
3x/week 3x/semana	N/A	\$280.69	\$308.75	\$324.95	\$334.70	\$339.20
4x/week 4x/semana	N/A	\$374.17	\$411.57	\$433.17	\$446.16	\$452.23
5x/week 5x/semana	N/A	\$467.64	\$514.39	\$541.39	\$557.63	\$565.26
6x/week 6x/semana	N/A	\$561.11	\$617.21	\$649.61	\$669.10	\$678.28
4 cu yd 4 yardas cúbicas						
1x/week 1x/semana	\$108.58	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
2x/week 2x/semana	\$189.95	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
3x/week 3x/semana	\$244.80	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
4x/week 4x/semana	\$298.40	\$434.01	\$477.40	\$502.46	\$517.53	\$524.65
5x/week 5x/semana	\$352.63	\$542.46	\$596.69	\$628.01	\$646.85	\$655.78
6x/week 6x/semana	\$406.87	\$650.90	\$715.98	\$753.57	\$776.17	\$786.91
4 cu yd-shared 4 yardas cúbicas compartida						
1x/week 1x/semana	\$54.35	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
2x/week 2x/semana	\$95.03	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
3x/week 3x/semana	\$122.15	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76
4x/week 4x/semana	\$149.26	\$217.00	\$238.70	\$251.23	\$258.76	\$262.32
5x/week 5x/semana	\$176.39	\$271.23	\$298.35	\$314.01	\$323.42	\$327.89
6x/week 6x/semana	\$203.48	\$325.45	\$357.99	\$376.78	\$388.08	\$393.46
4 cu yd-compactor 4 yardas cúbicas compactada						
1x/week 1x/semana	\$218.62	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
2x/week 2x/semana	\$382.51	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
3x/week 3x/semana	\$491.77	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23
4x/week 4x/semana	\$601.02	\$498.75	\$548.61	\$577.41	\$594.73	\$602.93
5x/week 5x/semana	\$709.99	\$623.38	\$685.71	\$721.70	\$743.35	\$753.63
6x/week 6x/semana	\$819.53	\$748.01	\$822.80	\$865.99	\$891.97	\$904.34

TABLE 5: PROPOSED FIVE-YEAR SCHEDULE OF GREENWASTE SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS)

Tabla 5: Calendario propuesto de cinco años para tarifas de servicio de RESIDUOS VERDES para residencias multifamiliares (MFR) de más de 4 unidades y servicio comercial por frecuencia y tamaño de contenedor (en yardas cúbicas)

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
1 cu yd bin 1 yarda cúbica						
1x/week 1x/semana	N/A	\$29.69	\$32.64	\$34.35	\$35.38	\$35.81
2x/week 2x/semana	N/A	\$59.21	\$65.11	\$68.53	\$70.58	\$71.50
3x/week 3x/semana	N/A	\$88.72	\$97.58	\$102.70	\$105.78	\$107.20
4x/week 4x/semana	N/A	\$118.24	\$130.05	\$136.88	\$140.98	\$142.89
5x/week 5x/semana	N/A	\$147.76	\$162.52	\$171.05	\$176.18	\$178.58
6x/week 6x/semana	N/A	\$177.28	\$194.99	\$205.22	\$211.38	\$214.27
1 cu yd-shared 1 yarda cúbica compartida						
1x/week 1x/semana	N/A	\$14.84	\$16.32	\$17.18	\$17.69	\$17.91
2x/week 2x/semana	N/A	\$29.60	\$32.56	\$34.26	\$35.29	\$35.75
3x/week 3x/semana	N/A	\$44.36	\$48.79	\$51.35	\$52.89	\$53.60
4x/week 4x/semana	N/A	\$59.12	\$65.03	\$68.44	\$70.49	\$71.44
5x/week 5x/semana	N/A	\$73.88	\$81.26	\$85.52	\$88.09	\$89.29
6x/week 6x/semana	N/A	\$88.64	\$97.50	\$102.61	\$105.69	\$107.14
1 cu yd-compactor 1 yarda cúbica compactada						
1x/week 1x/semana	N/A	\$36.14	\$39.74	\$41.82	\$43.07	\$43.61
2x/week 2x/semana	N/A	\$72.11	\$79.31	\$83.47	\$85.97	\$87.11
3x/week 3x/semana	N/A	\$108.08	\$118.88	\$125.11	\$128.86	\$130.60
4x/week 4x/semana	N/A	\$144.05	\$158.44	\$166.76	\$171.76	\$174.10
5x/week 5x/semana	N/A	\$180.02	\$198.01	\$208.40	\$214.65	\$217.59
6x/week 6x/semana	N/A	\$215.99	\$237.58	\$250.05	\$257.54	\$261.09
2 cu yd 2 yardas cúbicas						
1x/week 1x/semana	\$62.48	\$59.26	\$65.17	\$68.58	\$70.64	\$71.50
2x/week 2x/semana	\$109.24	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
3x/week 3x/semana	\$140.43	\$177.33	\$195.05	\$205.28	\$211.44	\$214.27
4x/week 4x/semana	\$171.60	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
5x/week 5x/semana	\$202.78	\$295.40	\$324.93	\$341.98	\$352.24	\$357.04
6x/week 6x/semana	\$233.96	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
2 cu yd-shared 2 yardas cúbicas compartida						
1x/week 1x/semana	\$31.29	\$29.63	\$32.58	\$34.29	\$35.32	\$35.75
2x/week 2x/semana	\$54.68	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
3x/week 3x/semana	\$70.28	\$88.66	\$97.52	\$102.64	\$105.72	\$107.14
4x/week 4x/semana	\$85.85	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
5x/week 5x/semana	\$101.45	\$147.70	\$162.46	\$170.99	\$176.12	\$178.52
6x/week 6x/semana	\$117.03	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
2 cu yd-compactor 2 yardas cúbicas compactada						
1x/week 1x/semana	\$133.74	\$72.16	\$79.36	\$83.52	\$86.03	\$87.11
2x/week 2x/semana	\$233.96	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
3x/week 3x/semana	\$300.77	\$216.04	\$237.63	\$250.10	\$257.60	\$261.09
4x/week 4x/semana	\$367.58	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
5x/week 5x/semana	\$434.38	\$359.92	\$395.90	\$416.68	\$429.18	\$435.07
6x/week 6x/semana	\$501.19	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05

Table 5 Continues Next Page/Tabla 5 Continúa en la página siguiente

TABLE 5 CONTINUED/TABLA 5 CONTINUACIÓN

	Current <i>Actual</i>	July 1, 2024 <i>1 de julio de 2024</i>	July 1, 2025 <i>1 de julio de 2025</i>	July 1, 2026 <i>1 de julio de 2026</i>	July 1, 2027 <i>1 de julio de 2027</i>	July 1, 2028 <i>1 de julio de 2028</i>
3 cu yd 3 yardas cúbicas						
1x/week 1x/semana	N/A	\$88.82	\$97.69	\$102.82	\$105.90	\$107.20
2x/week 2x/semana	N/A	\$177.38	\$195.10	\$205.34	\$211.50	\$214.27
3x/week 3x/semana	N/A	\$265.93	\$292.51	\$307.86	\$317.09	\$321.35
4x/week 4x/semana	N/A	\$354.48	\$389.92	\$410.39	\$422.69	\$428.43
5x/week 5x/semana	N/A	\$443.04	\$487.33	\$512.91	\$528.29	\$535.51
6x/week 6x/semana	N/A	\$531.59	\$584.74	\$615.43	\$633.89	\$642.58
3 cu yd-shared 3 yardas cúbicas compartida						
1x/week 1x/semana	N/A	\$44.41	\$48.85	\$51.41	\$52.95	\$53.60
2x/week 2x/semana	N/A	\$88.69	\$97.55	\$102.67	\$105.75	\$107.14
3x/week 3x/semana	N/A	\$132.97	\$146.26	\$153.93	\$158.55	\$160.68
4x/week 4x/semana	N/A	\$177.24	\$194.96	\$205.19	\$211.35	\$214.21
5x/week 5x/semana	N/A	\$221.52	\$243.67	\$256.45	\$264.15	\$267.75
6x/week 6x/semana	N/A	\$265.80	\$292.37	\$307.72	\$316.95	\$321.29
3 cu yd-compactor 3 yardas cúbicas compactada						
1x/week 1x/semana	N/A	\$108.18	\$118.99	\$125.23	\$128.98	\$130.60
2x/week 2x/semana	N/A	\$216.09	\$237.69	\$250.16	\$257.66	\$261.09
3x/week 3x/semana	N/A	\$324.00	\$356.39	\$375.09	\$386.34	\$391.57
4x/week 4x/semana	N/A	\$431.91	\$475.09	\$500.03	\$515.03	\$522.05
5x/week 5x/semana	N/A	\$539.82	\$593.80	\$624.96	\$643.71	\$652.54
6x/week 6x/semana	N/A	\$647.74	\$712.50	\$749.90	\$772.39	\$783.02
4 cu yd 4 yardas cúbicas						
1x/week 1x/semana	\$108.58	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
2x/week 2x/semana	\$189.95	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
3x/week 3x/semana	\$244.80	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
4x/week 4x/semana	\$298.40	\$472.51	\$519.75	\$547.03	\$563.43	\$571.20
5x/week 5x/semana	\$352.63	\$590.58	\$649.63	\$683.72	\$704.23	\$713.97
6x/week 6x/semana	\$406.87	\$708.65	\$779.50	\$820.42	\$845.03	\$856.74
4 cu yd-shared 4 yardas cúbicas compartida						
1x/week 1x/semana	\$54.35	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
2x/week 2x/semana	\$95.03	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
3x/week 3x/semana	\$122.15	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
4x/week 4x/semana	\$149.26	\$236.25	\$259.87	\$273.51	\$281.72	\$285.60
5x/week 5x/semana	\$176.39	\$295.29	\$324.81	\$341.86	\$352.12	\$356.98
6x/week 6x/semana	\$203.48	\$354.33	\$389.75	\$410.21	\$422.52	\$428.37
4 cu yd-compactor 4 yardas cúbicas compactada						
1x/week 1x/semana	\$218.62	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
2x/week 2x/semana	\$382.51	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
3x/week 3x/semana	\$491.77	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05
4x/week 4x/semana	\$601.02	\$575.75	\$633.31	\$666.55	\$686.54	\$696.03
5x/week 5x/semana	\$709.99	\$719.63	\$791.58	\$833.13	\$858.12	\$870.01
6x/week 6x/semana	\$819.53	\$863.51	\$949.85	\$999.71	\$1,029.70	\$1,043.99

TABLE 6: PROPOSED FIVE-YEAR SCHEDULE OF ORGANIC SERVICE RATES FOR MULTI-FAMILY RESIDENTIAL (MFR) OVER 4 UNITS AND COMMERCIAL SERVICE BY FREQUENCY AND CONTAINER SIZE (IN CUBIC YARDS)

TABLA 6: Calendario propuesto de cinco años para tarifas de servicio ORGÁNICO para viviendas multifamiliares (MFR) de más de 4 unidades y servicio comercial por frecuencia y tamaño de contenedor (en yardas cúbicas)

Bin service is offered up to 6x/week. Rates shown are monthly charges for 1x/week service. Service rates of more than 1x/week are determined by multiplying the desired frequency per week of collection service by the applicable rate. *El servicio de contenedores se ofrece hasta 6 veces por semana. Las tarifas indicadas son mensuales para el servicio de 1 vez por semana. Las tarifas de servicio de más de 1 vez por semana se determinan multiplicando la frecuencia deseada por semana de servicio de recolección por la tarifa aplicable.*

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
64-gallon 64 galones						
3x/week 3x/semana	\$63.80	\$79.01	\$86.90	\$91.45	\$94.19	\$95.39
1 cu yd 1 yarda cúbica						
1x/week 1x/semana	N/A	\$83.11	\$91.40	\$96.20	\$99.08	\$100.34
2 cu yd 2 yardas cúbicas						
1x/week 1x/semana	N/A	\$166.09	\$182.69	\$192.27	\$198.04	\$200.56
3 cu yd 3 yardas cúbicas						
1x/week 1x/semana	N/A	\$249.08	\$273.97	\$288.35	\$297.00	\$300.77
4 cu yd 4 yardas cúbicas						
1x/week 1x/semana	N/A	\$332.06	\$365.26	\$384.43	\$395.96	\$400.99



Commercial Comercial

TABLE 7: PROPOSED FIVE-YEAR SCHEDULE OF SOLID WASTE SERVICE RATES FOR 1X/ WEEK COMMERCIAL CART SERVICE BY QUANTITY OF CARTS

Tabla 7: Calendario propuesto de cinco años para tarifas de servicio de RESIDUOS SÓLIDOS para el servicio de carros comerciales 1 vez por semana por cantidad de carros

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
1 96-gallon cart 1 carro de 96 galones						
1x/week 1x/semana	\$43.53	\$36.51	\$40.15	\$42.26	\$43.52	\$44.01
2 96-gallon cart 2 carro de 96 galones						
1x/week 1x/semana	\$76.16	\$73.03	\$80.31	\$84.51	\$87.04	\$88.01
3 96-gallon cart 3 carro de 96 galones						
1x/week 1x/semana	\$97.94	\$109.54	\$120.46	\$126.77	\$130.56	\$132.02
4 96-gallon cart 4 carro de 96 galones						
1x/week 1x/semana	\$119.73	\$146.06	\$160.61	\$169.02	\$174.08	\$176.03
5 96-gallon cart 5 carro de 96 galones						
1x/week 1x/semana	\$141.51	\$182.57	\$200.77	\$211.28	\$217.60	\$220.04
6 96-gallon cart 6 carro de 96 galones						
1x/week 1x/semana	\$247.16	\$219.09	\$240.92	\$253.53	\$261.12	\$264.04



Industrial *Industrial*

Oxnard industrial service is provided via open-top roll-off containers on an on-call basis. The Environmental Resources Division does not provide compactors, however, it does provide service for them. Industrial service capacities that generate more than four cubic yards of waste per week are required by law to have recycling and organic collection service, or an alternative diversion program in place (with limited exceptions).

El servicio industrial de Oxnard se presta a través de contenedores abiertos de carga rodante a demanda. La División de Recursos Ambientales no brinda compactadores, sin embargo, sí les presta servicio. Las capacidades de servicio industrial que generan más de cuatro yardas cúbicas de residuos a la semana están obligadas por ley a disponer de un servicio de recolección de reciclables y orgánicos, o de un programa alternativo de desvío (con excepciones limitadas).

TABLE 8: PROPOSED FIVE-YEAR SCHEDULE OF SERVICE RATES FOR INDUSTRIAL COLLECTION BY MATERIAL PER PULL

Tabla 8: Calendario propuesto de cinco años para tarifas para la recolección industrial según material a extraer

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
Up to 10-cu yd Roll Off Bin (max 10 tons) Hasta contenedor con rodados de 10 yardas cúbicas (máximo 10 toneladas)	\$147.73	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 13.4 cu yd Roll Off Bin (max 10 tons) Hasta contenedor con rodados de 13.4 yardas cúbicas (máximo 10 toneladas)	\$147.73	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 cu yd Roll Off Bin Hasta contenedor con rodados de 20 yardas cúbicas	N/A	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 cu yd Compactor Hasta compactador de 20 yardas cúbicas	\$192.85	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 30 cu yd Roll Off Bin Hasta contenedor con rodados de 30 yardas cúbicas	\$193.56	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
(2) 30 cu yd Roll Off Bin (2) Hasta contenedor con rodados de 30 yardas cúbicas	\$371.86	N/A	N/A	N/A	N/A	N/A
30 cu yd Compactor Compactador de 30 yardas cúbicas	\$219.04	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 cu yd Roll Off Bin Contenedor con rodados de 40 yardas cúbicas	\$238.84	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 cu yd Compactor Compactador de 40 yardas cúbicas	\$269.98	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79

Please reference Tables 9 and 11 for additional fees. Consulte los cuadros 9 y 11 para conocer las tasas adicionales.

TABLE 9: PROPOSED FIVE-YEAR SCHEDULE OF RENTAL RATES FOR INDUSTRIAL BINS

Tabla 9: Calendario propuesto de quinquenal de tarifas mensuales y diarias de alquiler de contenedores industriales

		Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
10 cu yd Roll Off Bin Contenedor con rodados de 10 yardas cúbicas	Monthly/Mensual Daily/Diario	N/A	\$46.45 \$1.53	\$47.84 \$1.57	\$49.28 \$1.62	\$50.76 \$1.67	\$52.28 \$1.72
13.4 cu yd Roll Off Bin Contenedor con rodados de 13.4 yardas cúbicas	Monthly/Mensual Daily/Diario	N/A	\$49.99 \$1.64	\$51.49 \$1.69	\$53.03 \$1.74	\$54.62 \$1.80	\$56.26 \$1.85
20 cu yd Sealed Roll Off Bin Contenedor con rodados de 20 yardas cúbicas	Monthly/Mensual Daily/Diario	N/A	\$67.30 \$2.21	\$69.32 \$2.28	\$71.40 \$2.35	\$73.54 \$2.42	\$75.75 \$2.49
30 cu yd Roll Off Bin Contenedor con rodados de 30 yardas cúbicas	Monthly/Mensual Daily/Diario	N/A	\$58.05 \$1.91	\$59.79 \$1.97	\$61.58 \$2.02	\$63.43 \$2.09	\$65.33 \$2.15
40 cu yd Roll Off Bin Contenedor con rodados de 40 yardas cúbicas	Monthly/Mensual Daily/Diario	N/A	\$66.48 \$2.19	\$68.47 \$2.25	\$70.52 \$2.32	\$72.64 \$2.39	\$74.82 \$2.46
40 cu yd Sealed Roll Off Bin Contenedor rodante cerrado de 40 yardas cúbicas	Monthly/Mensual Daily/Diario	N/A	\$87.09 \$2.86	\$89.70 \$2.95	\$92.39 \$3.04	\$95.16 \$3.13	\$98.01 \$3.22

TABLE 10: PROPOSED FIVE-YEAR SCHEDULE OF SOLID WASTE WALKING FLOOR TRANSFER TRAILER RATES FOR INDUSTRIAL SERVICE PER PULL/TRANSFER

Tabla 10: Calendario propuesto de cinco años para tarifas de los remolques de traslado con piso móvil de RESIDUOS SÓLIDOS para el servicio industrial por extracción/transferencia

53-Foot Walking Floor Trailer Remolques de piso móvil de 53 pies	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
	\$371.85	\$384.86	\$398.34	\$412.28	\$426.71	\$441.64

TABLE 11: PROPOSED FIVE-YEAR SCHEDULE OF TIPPING FEES FOR INDUSTRIAL SERVICE PER TON OF WEIGHED MATERIAL AND SECURITY AND CONTAMINATION PREVENTION FEE

Tabla 11: Calendario propuesto de cinco años para tasas de vertido para de servicios industriales por tonelada de material pesado y tasa de seguridad y prevención de la contaminación

	Current Actual	July 1, 2024 1 de julio de 2024	July 1, 2025 1 de julio de 2025	July 1, 2026 1 de julio de 2026	July 1, 2027 1 de julio de 2027	July 1, 2028 1 de julio de 2028
Del Norte Tip Fee (Per Ton) Tasa de vertedero de Del Norte (Por tonelada)						
Solid Waste <i>Residuos Sólidos</i>	\$55.59	\$93.88	\$103.27	\$108.69	\$111.95	\$113.52
Comingled Recycling <i>Reciclado mixto</i>	N/A	\$44.26	\$48.68	\$51.24	\$52.77	\$53.51
Green Waste <i>Desechos Verdes</i>	\$62.81	\$105.53	\$116.08	\$122.18	\$125.84	\$127.61
Organics <i>Orgánico</i>	N/A	\$171.95	\$189.14	\$199.08	\$205.05	\$207.92
Walking Floor Transfer Trailer Tip Fee (Per Ton) Tasa de vertedero de traslado con piso movable (Por tonelada)						
Solid Waste <i>Residuos Sólidos</i>	N/A	\$34.63	\$35.67	\$36.74	\$37.84	\$38.98
Security and Contamination Prevention Fee (Monthly, Per Bin) Tasa de seguridad y prevención de la contaminación (Mensual, Por contenedor)						
All Containers <i>Todos los contenedores</i>	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12

Please reference Tables 9 for additional fees. Consulte los cuadros 9 para conocer las tasas adicionales.



The enclosed rate recommendations were presented to the Oxnard City Council on December 5, 2023. A video of the meeting is available on the City's YouTube page at www.youtube.com/oxnardnews. The Rate Study Report is available on the website at www.oxnard.org/refuserates. Scan this code to be taken directly to the Rate Study webpage.

El 5 de diciembre de 2023, se presentaron las recomendaciones de tarifas adjuntas al Consejo de la Ciudad de Oxnard. Está disponible un vídeo de la reunión en la página de YouTube de la ciudad en www.youtube.com/oxnardnews. El informe del estudio de tarifas está disponible en el sitio web www.oxnard.org/refuserates. Escanee este código para acceder directamente a la página web del estudio de tarifas.

HOW DO I PROTEST THE PROPOSED RATE INCREASES?

You have the right to protest the proposed rate increases.

Each written protest must (1) state the name of the identified property owner or utility customer; (2) provide the location of the identified property by street address or assessor's parcel number; and (3) include an original signature of the submitting property owner or utility customer. You may use the enclosed protest form or prepare a written document with the required information stated above indicating that you are protesting the proposed rates. Only one written protest per affected property will be counted.

Written protests may be submitted by mail or in person to the Oxnard City Clerk's Office, 300 West Third Street, 4th Floor, Oxnard, CA 93030, and submitted in person during the public hearing on Tuesday, March 19, 2024.

Written protests must be received by the City Clerk prior to the conclusion of the Public Hearing on Tuesday, March 19, 2024. The Clerk will not accept or consider any protest received after the conclusion of the Public Hearing.

At the Public Hearing, the City Council will accept and consider all written protests and hear all oral comments on the Proposed Changes. At the conclusion of the public hearing, the City Council will consider adoption of the proposed changes as follows:

- (1) If less than a majority of property owners or ratepayers file a protest, the City Council will consider increasing solid waste rates, effective July 1, 2024.
- (2) If a majority of affected property owners or ratepayers protest the increase, the City Council is prohibited by law from increasing solid waste rates.

If adopted, the proposed solid waste rates will take effect beginning July 1, 2024. The reasons for the rate adjustments, the basis upon which they were calculated, and the amount of the proposed rates are described in more detail in the Cost of Service Study Report, which is on file with the City Clerk and available on the City website at

www.oxnard.org/refuserates.

For more information, please refer to Oxnard Resolution No. 14,877 for Procedures for Establishing and Increasing Fees and Charges Under Proposition 218, available at

www.oxnard.org/refuserates.

¿CÓMO PUEDO PROTESTAR CONTRA LOS AUMENTOS DE TARIFAS PROPUESTOS?

Tiene derecho a protestar contra los aumentos propuestos de las

tasas. Cada protesta por escrito debe (1) indicar el nombre del propietario o cliente del servicio público identificado; (2) proporcionar la ubicación de la propiedad identificada por dirección o número de parcela del asesor; y (3) incluir una firma original del propietario o cliente del servicio público que presenta la protesta. Puede utilizar el formulario de protesta adjunto o preparar un documento escrito con la información requerida anteriormente indicando que está protestando contra las tarifas propuestas. Solo se contará una protesta por escrito por propiedad afectada.

Las protestas por escrito pueden ser presentadas por correo o en persona durante la audiencia pública o antes de la conclusión de la audiencia pública el martes, 19 de marzo de 2024 a: Oxnard City Clerk's Office (Oficina de la Secretaría de la Ciudad de Oxnard), 300 West Third Street, 4th Floor, Oxnard, CA 93030

Las protestas por escrito deben ser recibidas por el Secretario de la Ciudad antes de la conclusión de la audiencia pública el martes, 19 de marzo de 2024. El Secretario no aceptará ni considerará ninguna protesta recibida después de la conclusión de la Audiencia Pública.

En la audiencia pública, el Consejo de la Ciudad aceptará y considerará todas las protestas escritas y escuchará todos los comentarios orales sobre los cambios propuestos. Al término de la audiencia pública, el Consejo de la Ciudad considerará la adopción de los cambios propuestos de la siguiente manera:

- (1) Si menos de la mayoría de los propietarios o contribuyentes presentan una protesta, el Consejo de la Ciudad considerará el aumento de las tasas de basura, a partir del 1 de julio de 2024.
- (2) Si la mayoría de los propietarios o contribuyentes afectados protestan contra el aumento, el Consejo de la Ciudad tiene prohibido por ley aumentar las tasas de residuos sólidos.

Si se aprueban, las tarifas de residuos sólidos propuestas entrarán en vigor el 1 de julio de 2024. Las razones de los ajustes de las tarifas, la base sobre la que se calcularon y el importe de las tarifas propuestas se describen con más detalle en el Informe del Estudio del Costo del Servicio, que está archivado en la Secretaría de la Ciudad y disponible en el sitio web de la Ciudad en www.oxnard.org/refuserates.

Para más información, consulte la Resolución de Oxnard No. 14.877 sobre los procedimientos para establecer y aumentar las tasas y los cargos en virtud de la Proposición 218, disponible en

www.oxnard.org/refuserates.

CONTACT ¿Preguntas?

Questions and comments may be submitted via email to refuse.rates@oxnard.org or by calling the Public Works Department at (805) 385-8060. *Las preguntas y comentarios pueden enviarse por correo electrónico a refuse.rates@oxnard.org o llamando al Departamento de Obras Públicas al (805) 385-8060.*



CITY OF OXNARD

Solid Waste Rate Study

January 24, 2024



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January 12, 2024

Mr. Brian Yanez
Assistant Public Works Director
City of Oxnard
305 West Third Street
Oxnard, CA 93030

Subject: **Environmental Resources Cost of Service and Rate Study Report**

Dear Mr. Yanez:

Raftelis is pleased to provide this Environmental Resources Rate Study report for the City of Oxnard (City) to address current financial challenges the City is facing and to establish the Environmental Resources Enterprise Fund (ER Fund) solid waste system (System) rates and fees that are equitable and align with Proposition 218. The major objectives of the study include the following:

- Develop a financial plan for the ER Fund to ensure financial sufficiency, meet operation and maintenance costs, provide funding for capital replacement and renewal (R&R) needs, and improve the financial health of the enterprise;
- Review the current rate structure for the ER Fund and propose recommended changes based on the cost of service; and
- Perform a cost allocation and prepare a recommended five-year schedule of rates and fees for the ER Fund.

The report summarizes the key findings and recommendations related to the development of the financial plans for the solid waste enterprise and the development of the updated solid waste (refuse) Enterprise Fund rates and fees.

It has been a pleasure working with you and we thank you and the City staff for the support provided during the course of this study.

Sincerely,

Thierry A. Boveri, CGFM
Vice President

Sarah Neely
Consultant

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1. Executive Summary

1.1. Background

The City of Oxnard (City) contracted with Raftelis Financial Consultants (Raftelis) to conduct an Environmental Resources Rate Study (Study) comprising a ten-year financial forecast, a cost-of-service analysis, and a proposed five-year schedule of rates and fees¹ for the Solid Waste System (System) of the Environmental Resources Department (Department). The Department is established as an Environmental Resources Enterprise Fund (ER Fund) meaning that the rates and fees for service must cover the cost of the service. This Study presents the financial plans, the cost-of-service analysis, and the proposed rates and fees for the Environmental Resources Enterprise Fund.

This Executive Summary provides a detailed overview of the rate study process, financial plan, proposed rates and bill impacts, and a monthly residential bill comparison. Through this Rate Study the City desires to establish fair and equitable rates that:

- Fund the projected fiscal requirements of the ER Fund in terms of operation and maintenance costs, capital replacement and refurbishment (R&R) in order to maintain operations, cash reserve (Reserves) levels, and improve the financial health of the enterprise; and
- Recommend a proposed five-year schedule of solid waste rates that meet the statutory requirements set forth in Proposition 218.

The City's Department provides solid waste collection service to a population of over 201,000 customers in the City through approximately 34,480 residential customers and 5,082 commercial customers and apartment buildings. On an annual basis, the Department's customers generate over 295,000² tons of municipal solid waste. In addition, the Department processes approximately 24,900 tons of comingled recyclables and 22,000 tons of organic materials (green waste and food waste) at the Del Norte Regional Recycling and Transfer Station (Del Norte Facility). The Del Norte Facility includes a scale house to weigh materials, a tipping floor to unload materials, a transfer facility for outbound materials, and a materials recovery facility (MRF) to process recyclables. The Del Norte Facility also accepts certain household hazardous waste, bulky materials, and other household wastes. The combined waste/recycle tonnages managed by ER's collections amounts to approximately 317,100 tons annually as later presented in Table 29 within section 3 of this report. To dispose of outbound materials, the City has agreements with Waste Management for the disposal of solid waste at the Simi Valley Landfill, and with Agromin for the processing of organic materials.

1.2. Process

Raftelis developed a financial plan for the ER Fund which sets forth the total revenue adjustments needed to meet capital investments, operational expenses, debt service obligations, and increasing Reserve levels during the five-year rate-setting period. Raftelis worked with City staff to confirm key assumptions relied upon in the

¹ Reference is made to the City's rates and fees throughout this document to provide a distinction whereby "rates" refers to charges which are subject to Proposition 218 requirements while "fees" refers to optional services that are not subject to the Proposition 218 requirements.

² Represents the annual amount of material collected and landfilled by the Department and includes residuals from processing of recyclables, bulky items, and other wastes.

determination of the Study recommendations, including the analysis of the adequacy of the operational requirements and corresponding costs to meet the level of service requirements of the System. This work effort resulted in the development of preliminary financial plan options for consideration by the City Council as presented at the December 5, 2023 council meeting. After presenting the financial plans, and receiving direction from City Council, Raftelis performed the cost-of-service analysis to determine the System rates and fees based on the selected financial plan.

Raftelis also assisted the City in presenting the rate and fee setting processes at community meetings in November and December 2023, as well as in January 2024. These events were conducted to inform customers about the levels of service the City provides, the need for Revenue Adjustment and associated fees (Revenue Adjustments) in order to continue providing these services, and the Proposition 218 legal requirements. During the preparation of the cost of service analysis the work effort identified bill impacts above those estimates presented to City Council and the public at the first community meeting. Based on direction from City staff and consistent with City Council comments at the December 5th, 2023 City Council meeting, the financial plan was adjusted to reduce the additional bill impacts to maintain consistency with what had been presented to City Council for the standard residential three (3) cart service. The key adjustments made to the financial plan to accomplish this goal included: i) extending out in future fiscal years some of the capital spending for facilities; ii) reducing discretionary future operating expense escalations; and iii) targeting compliance with the City's current Reserve policy target over a longer period of time. Even though three key adjustments as stated above were made to the financial plan, the core objective of the plan to provide additional resources for fleet replacement and renew key facilities is still achieved.

1.3. Proposed ER Fund Financial Plan

Raftelis conducted a status quo scenario analysis to evaluate whether existing ER Fund rates and fees could adequately fund the City's solid waste operations over the ten-year forecast period (Rate Study Period). This analysis projected annual revenues, operation and maintenance expenses, debt service payments, capital expenditures, and Reserve requirements through the Fiscal Year 2032. Based on the key assumptions of the analysis, Raftelis determined that the existing rates for solid waste services will not be adequate to generate sufficient revenues to maintain operation levels and fund the projected needs of ER Fund Services.

After the status quo analysis was complete, Raftelis prepared different Revenue Adjustment scenarios, which were presented to the City Council. The City Council selected scenario 3, which fully funds the projected revenue requirements with greater near-term rate adjustments, but lower overall increases over the five year rate adjustment period relative to Scenario 4, which represented the phase-in option with the same funding requirements.

Table 1 shows the proposed Revenue Adjustments based on the financial plan selected by the City Council, for the initial five years of the Rate Study Period. To ensure that the residential 3 cart bill impacts were generally consistent with what had been presented to City Council on December 5th, 2023, and consistent with Council's comments and decisions, the overall Revenue Adjustment were lowered. Implementation for the proposed rate adjustments were assumed at the outset of each fiscal year, starting on July 1, 2024. It is recommended that the need for any future identified Revenue Adjustment be reviewed in the Fiscal Year 2028 or earlier in the event of a significant change in conditions during the recommendation period which would necessitate a change in rate revenues (i.e., changes in customer demands, regulations, cost of contracted disposal, etc.).

Table 1: Proposed ER Fund Rate Revenue and Associated Fee Adjustments

Effective Date	Preliminary Plan (Scenario 3)	Adjusted & Recommended Plan
July 1, 2024	50.00%	33.00%
July 1, 2025	8.00%	10.00%
July 1, 2026	5.50%	5.25%
July 1, 2027	5.00%	3.00%
July 1, 2028	3.00%	2.84%

Key factors influencing the need for proposed revenue adjustments include:

- City Council last approved a cost-of-service analysis in May 2012, resulting in rate adjustments effective January 2013. The authorized rate adjustments to the City's ER Fund rates represent a cumulative 2.1% increase to residential rates and a 2.7% increase to commercial rates since 2012. In contrast, the Consumer Price Index (CPI) has increased 33.9% over the same period since 2013 through 2023.
- The City is subject to current and future unfunded local, state, and federal mandates regarding solid waste handling, including several recycling and composting laws that are already in effect and had major financial and operational impacts on the System and the ER Fund. For additional information reference section 3.2.
- As shown in Figure 1, the status quo scenario analysis indicates an initial funding deficiency within the initial Fiscal Year 2025 that accounts for approximately 2/3 of the overall need to increase rate revenues through the Fiscal Year 2029.
- Planned implementation of an Infrastructure Use Fee, which will amount to approximately \$2 million annually and may begin in Fiscal Year 2025.

Addressing the funding deficiency and inflation will provide adequate funding for operations, capital funding, and support deposits to Reserves by the end of the initial five years of the Rate Study Period to help maintain compliance minimum Reserve targets.

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Figure 1 provides a breakdown of the projected revenue requirements of the System. As can be seen from the gap analysis, the ER Fund’s current period expenditures (stacked bar) exceeds existing revenues (solid green line), which only grows over time due to elevated inflation in the cost of operations, additional expenses to address unfunded State mandates, need for significant capital investments, and replacement of aging capital.

Figure 1: Proposed ER Fund Financial Plan

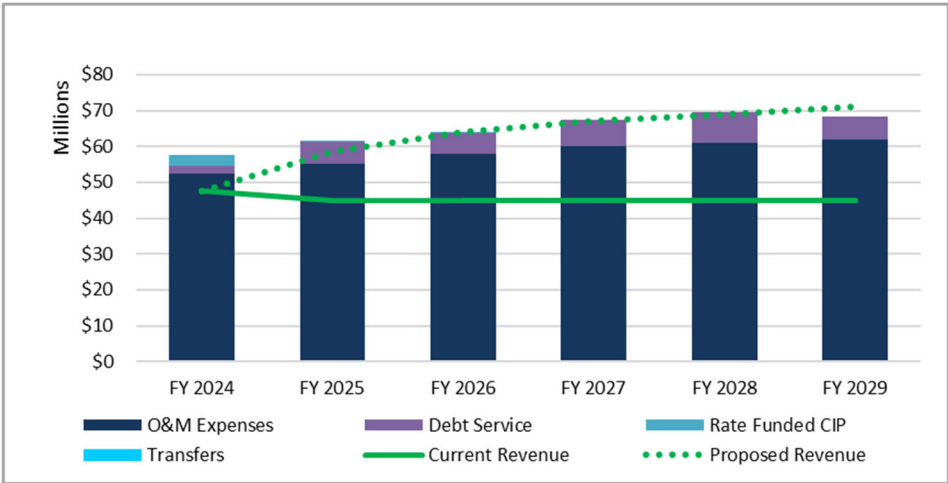


Figure 2 shows projected operating and capital ending balances over the initial five year of the Rate Study Period relative to the City’s total Reserve targets under the proposed financial plan selected by Council. Assuming implementation of the recommended rate adjustments, the City is projected to achieve 50% of the targeted minimum Reserve target and is projected to rebuild towards the Council policy such Reserves in the final year of the Rate Study Period.

Figure 2: Proposed ER Fund Financial Plan: Cash Reserves

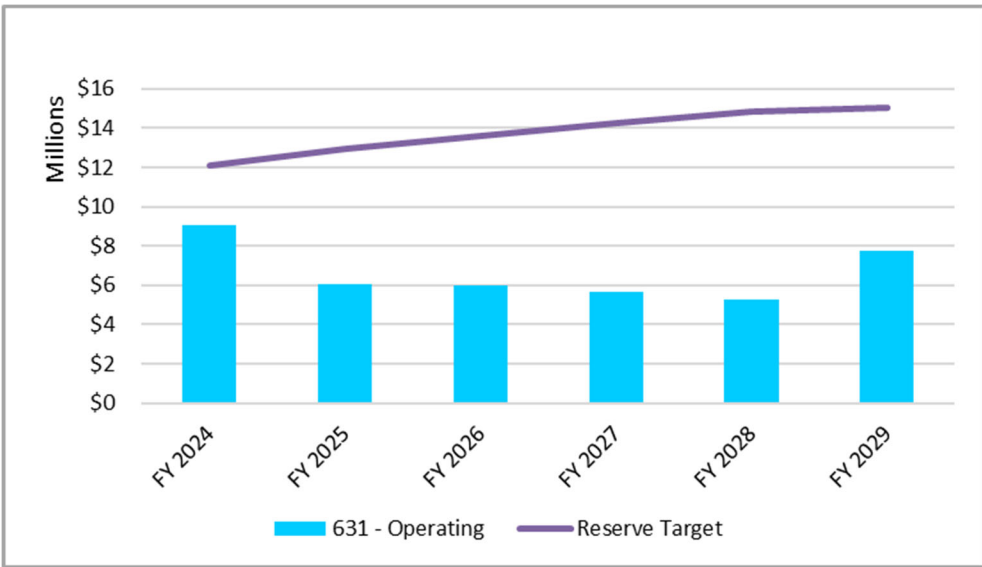
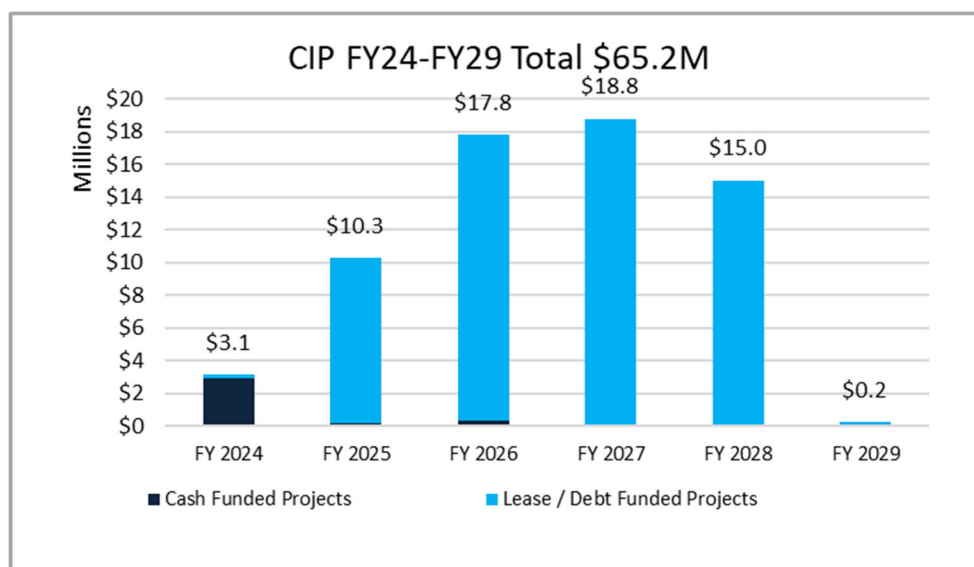


Figure 3 shows the proposed capital financing plan over the initial five years of the Rate Study Period. The Study assumes that capital is funded primarily through short term capital leases and debt. The capital improvement plan is composed of facility investments, followed by fleet-related expenses and equipment purchases.

Figure 3: ER Fund Capital Financing Plan



1.4. Proposed ER Fund Rates and Fees

The proposed five-year ER Fund rate schedule was developed based on the cost-of-service allocation and rate structure as discussed in greater detail in Section 5. The proposed rate and fee structure revises the current rate structure and incorporates new service level offerings including:

1. Combining single family and multifamily 3-cart curbside service into a single class of service recognizing that the service is the same for both classes. The City offers two (2) refuse service levels at 64 gallon and 96 gallon to meet the needs of smaller and larger household sizes;
2. Creation of a mobile home class of service and rate for 3-cart service that mirrors the standard residential 3-cart rate structure which has been reduced by approximately 8% to reflect the exclusion of IUF payments; which is not applicable to mobile home parks;
3. Addition of new commercial bin / container size service offerings of 1 cubic yard through 4 cubic yard organic bin collection and disposal service;
4. Separation of the commercial rate structure for recycling and green waste. While the City has very few commercial green waste bin service customers, the collection costs for recycling and green waste are equivalent, however, due to differences in the disposal costs separation of the rate structure for these services is warranted;

5. Simplifying the commercial and industrial rate structure for roll-off service, by charging a single “pull rate” for all customers regardless of bin size. In conjunction with this rate structure change an additional bin rental fee is recommended and this fee will vary based on the size and cost of the bin. Disposal costs will continue to be based on actual weight of material disposed of at the applicable tip fees proposed in the revised rate structure. This rate structure would also apply to and replace the City’s current commercial bin rental service fee structure for roll-off service where bins exceed 4 cubic yards; and
6. Addition of new tip fees at the Del Norte facility for single stream recyclables and organics. The recycling tip fee is only applicable to customers who do not currently pay a monthly collection rate for collection service within the City.

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Table 2: Proposed Five - Year Residential Monthly Solid Waste, Recycling, Organics / Green Waste 3-Cart Service (1x Week Collection)

Fiscal Year >		FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 Cart Service/64-gallon – per Unit ¹	\$24.70	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
3 Cart Service/96-gallon – per Unit ¹	\$30.78	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
Extra 96 Gallon Cart Service – Per Cart	\$11.34	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
Multi-Family Residential 2 Units	\$58.51	Proposing to eliminate these service rates as the multi-family 4 units and less 3-cart curbside collection rate structure is combined with residential curbside 3-cart service listed above.				
Multi-Family Residential 3 Units	\$84.73					
Multi-Family Residential 4 Units	\$109.43					
<i>1 Note that residential 3 cart service includes one (1) curbside bulky items collection (up to 5 items) per year at no additional charge.</i>						

Table 3: Proposed Five - Year Residential Mobile Home Monthly Solid Waste, Recycling, Organics / Green Waste 3-Cart Service (1x Week Collection)

Fiscal Year >		FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 Cart Service/64-gallon – per Unit ¹	N/A	\$36.06	\$39.63	\$41.69	\$42.93	\$43.16
3 Cart Service/96-gallon – per Unit ¹	N/A	\$43.45	\$47.76	\$50.25	\$51.74	\$52.10
Extra 96 Gallons Cart Service	N/A	\$22.41	\$24.63	\$25.92	\$26.70	\$26.96
<i>1 Note that residential mobile home 3 cart service includes one (1) curbside bulky items collection (up to 5 items) per year at no additional charge.</i>						

Table 4: Proposed Five-Year Schedule of SOLID WASTE Service Rates for Multi-Family Residential (MFR) >4 units and Commercial Service by Frequency and Container Size (in CY)

Fiscal Year >		FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd bin	1x/week	N/A	\$57.69	\$63.44	\$66.77	\$68.77
	2x/week	N/A	\$115.20	\$126.71	\$133.36	\$137.35
	3x/week	N/A	\$172.72	\$189.98	\$199.95	\$205.94
	4x/week	N/A	\$230.24	\$253.25	\$266.54	\$274.53
	5x/week	N/A	\$287.75	\$316.52	\$333.13	\$343.12
	6x/week	N/A	\$345.27	\$379.78	\$399.72	\$411.70
1 cu yd-shared	1x/week	N/A	\$28.84	\$31.72	\$33.38	\$34.38
	2x/week	N/A	\$57.60	\$63.36	\$66.68	\$68.68
	3x/week	N/A	\$86.36	\$94.99	\$99.97	\$102.97
	4x/week	N/A	\$115.12	\$126.62	\$133.27	\$137.26
	5x/week	N/A	\$143.88	\$158.26	\$166.56	\$171.56
	6x/week	N/A	\$172.63	\$189.89	\$199.86	\$205.85

Table 4 Continued to following page.

Table 4 Continued SOLID WASTE Service Rates for Multi-Family Residential (MFR) greater than 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd-compactor	1x/week	N/A	\$83.23	\$91.54	\$96.34	\$99.23	\$100.56
	2x/week	N/A	\$166.29	\$182.91	\$192.51	\$198.28	\$200.99
	3x/week	N/A	\$249.35	\$274.28	\$288.67	\$297.33	\$301.43
	4x/week	N/A	\$332.41	\$365.64	\$384.83	\$396.37	\$401.86
	5x/week	N/A	\$415.48	\$457.01	\$481.00	\$495.42	\$502.30
	6x/week	N/A	\$498.54	\$548.38	\$577.16	\$594.47	\$602.73
2 cu yd	1x/week	\$132.76	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
	2x/week	\$232.25	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
	3x/week	\$298.56	\$345.32	\$379.84	\$399.77	\$411.76	\$417.41
	4x/week	\$364.87	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
	5x/week	\$431.21	\$575.38	\$632.91	\$666.13	\$686.11	\$695.60
	6x/week	\$497.53	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
2 cu yd-shared	1x/week	\$66.44	\$57.63	\$63.38	\$66.71	\$68.71	\$69.61
	2x/week	\$116.18	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
	3x/week	\$149.37	\$172.66	\$189.92	\$199.89	\$205.88	\$208.70
	4x/week	\$182.51	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
	5x/week	\$215.67	\$287.69	\$316.46	\$333.07	\$343.06	\$347.80
	6x/week	\$248.83	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
2 cu yd-compactor	1x/week	\$284.35	\$166.34	\$182.96	\$192.56	\$198.34	\$200.99
	2x/week	\$497.34	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
	3x/week	\$639.64	\$498.59	\$548.43	\$577.22	\$594.53	\$602.73
	4x/week	\$781.75	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
	5x/week	\$923.88	\$830.83	\$913.90	\$961.88	\$990.73	\$1,004.48
	6x/week	\$1,065.99	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35
3 cu yd	1x/week	N/A	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76
	2x/week	N/A	\$345.37	\$379.89	\$399.83	\$411.82	\$417.41
	3x/week	N/A	\$517.92	\$569.70	\$599.60	\$617.58	\$626.05
	4x/week	N/A	\$690.47	\$759.50	\$799.37	\$823.35	\$834.69
	5x/week	N/A	\$863.02	\$949.31	\$999.14	\$1,029.11	\$1,043.33
	6x/week	N/A	\$1,035.57	\$1,139.11	\$1,198.91	\$1,234.87	\$1,251.98
3 cu yd-shared	1x/week	N/A	\$86.41	\$95.04	\$100.03	\$103.03	\$104.38
	2x/week	N/A	\$172.68	\$189.95	\$199.92	\$205.91	\$208.70
	3x/week	N/A	\$258.96	\$284.85	\$299.80	\$308.79	\$313.02
	4x/week	N/A	\$345.23	\$379.75	\$399.68	\$411.67	\$417.35
	5x/week	N/A	\$431.51	\$474.65	\$499.57	\$514.55	\$521.67
	6x/week	N/A	\$517.78	\$569.56	\$599.45	\$617.44	\$625.99

Table 4 Continued to following page.

Table 4 Continued *SOLID WASTE Service Rates for Multi-Family Residential (MFR) greater than 4 units and Commercial Service by Frequency and Container Size (in cubic yards)*

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 cu yd-compactor	1x/week	N/A	\$249.45	\$274.39	\$288.78	\$297.44	\$301.43
	2x/week	N/A	\$498.64	\$548.49	\$577.28	\$594.59	\$602.73
	3x/week	N/A	\$747.82	\$822.59	\$865.77	\$891.74	\$904.04
	4x/week	N/A	\$997.00	\$1,096.69	\$1,154.26	\$1,188.88	\$1,205.35
	5x/week	N/A	\$1,246.19	\$1,370.79	\$1,442.75	\$1,486.03	\$1,506.65
	6x/week	N/A	\$1,495.37	\$1,644.89	\$1,731.24	\$1,783.18	\$1,807.96
4 cu yd	1x/week	\$230.86	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
	2x/week	\$403.90	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
	3x/week	\$519.26	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
	4x/week	\$634.63	\$920.48	\$1,012.52	\$1,065.67	\$1,097.64	\$1,112.88
	5x/week	\$749.98	\$1,150.55	\$1,265.59	\$1,332.03	\$1,371.99	\$1,391.07
	6x/week	\$865.36	\$1,380.62	\$1,518.66	\$1,598.39	\$1,646.34	\$1,669.26
4 cu yd-shared	1x/week	\$115.47	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
	2x/week	\$202.02	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
	3x/week	\$259.70	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
	4x/week	\$317.36	\$460.24	\$506.26	\$532.84	\$548.82	\$556.44
	5x/week	\$375.06	\$575.27	\$632.80	\$666.01	\$685.99	\$695.54
	6x/week	\$432.71	\$690.31	\$759.33	\$799.19	\$823.17	\$834.63
4 cu yd-compactor	1x/week	\$464.93	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
	2x/week	\$813.53	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
	3x/week	\$1,045.93	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35
	4x/week	\$1,278.32	\$1,329.20	\$1,462.11	\$1,538.86	\$1,585.02	\$1,607.09
	5x/week	\$1,510.72	\$1,661.44	\$1,827.57	\$1,923.52	\$1,981.22	\$2,008.83
	6x/week	\$1,743.12	\$1,993.69	\$2,193.04	\$2,308.17	\$2,377.41	\$2,410.58

Table 5: Proposed Five-Year Schedule of RECYCLE Service Rates for Multi-Family Residential (MFR) > 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd bin	1x/week	N/A	\$27.28	\$30.00	\$31.57	\$32.51	\$32.90
	2x/week	N/A	\$54.39	\$59.82	\$62.95	\$64.84	\$65.69
	3x/week	N/A	\$81.51	\$89.64	\$94.34	\$97.17	\$98.47
	4x/week	N/A	\$108.62	\$119.47	\$125.73	\$129.50	\$131.25
	5x/week	N/A	\$135.73	\$149.29	\$157.12	\$161.83	\$164.04
	6x/week	N/A	\$162.84	\$179.11	\$188.51	\$194.16	\$196.82
1 cu yd-shared	1x/week	N/A	\$13.64	\$15.00	\$15.78	\$16.25	\$16.45
	2x/week	N/A	\$27.20	\$29.91	\$31.48	\$32.42	\$32.84
	3x/week	N/A	\$40.75	\$44.82	\$47.17	\$48.59	\$49.23
	4x/week	N/A	\$54.31	\$59.73	\$62.87	\$64.75	\$65.63
	5x/week	N/A	\$67.86	\$74.64	\$78.56	\$80.92	\$82.02
	6x/week	N/A	\$81.42	\$89.56	\$94.26	\$97.08	\$98.41
1 cu yd-compactor	1x/week	N/A	\$31.33	\$34.45	\$36.25	\$37.33	\$37.80
	2x/week	N/A	\$62.49	\$68.72	\$72.32	\$74.49	\$75.47
	3x/week	N/A	\$93.64	\$103.00	\$108.40	\$111.65	\$113.15
	4x/week	N/A	\$124.80	\$137.27	\$144.47	\$148.80	\$150.82
	5x/week	N/A	\$155.96	\$171.54	\$180.54	\$185.96	\$188.50
	6x/week	N/A	\$187.12	\$205.82	\$216.62	\$223.11	\$226.17
2 cu yd	1x/week	\$62.48	\$54.44	\$59.88	\$63.01	\$64.90	\$65.69
	2x/week	\$109.24	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
	3x/week	\$140.43	\$162.89	\$179.17	\$188.57	\$194.22	\$196.82
	4x/week	\$171.60	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
	5x/week	\$202.78	\$271.34	\$298.46	\$314.12	\$323.54	\$327.95
	6x/week	\$233.96	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
2 cu yd-shared	1x/week	\$31.29	\$27.22	\$29.94	\$31.51	\$32.45	\$32.84
	2x/week	\$54.68	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
	3x/week	\$70.28	\$81.45	\$89.58	\$94.28	\$97.11	\$98.41
	4x/week	\$85.85	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
	5x/week	\$101.45	\$135.67	\$149.23	\$157.06	\$161.77	\$163.98
	6x/week	\$117.03	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76
2 cu yd-compactor	1x/week	\$133.74	\$62.54	\$68.78	\$72.38	\$74.55	\$75.47
	2x/week	\$233.96	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
	3x/week	\$300.77	\$187.17	\$205.87	\$216.67	\$223.17	\$226.17
	4x/week	\$367.58	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
	5x/week	\$434.38	\$311.80	\$342.97	\$360.97	\$371.79	\$376.88
	6x/week	\$501.19	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23

Table 5 Continued to following page.

Table 5 Continued RECYCLE Service Rates for Multi-Family Residential (MFR) > 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 cu yd	1x/week	N/A	\$81.60	\$89.75	\$94.46	\$97.29	\$98.47
	2x/week	N/A	\$162.94	\$179.22	\$188.62	\$194.28	\$196.82
	3x/week	N/A	\$244.28	\$268.69	\$282.79	\$291.27	\$295.17
	4x/week	N/A	\$325.61	\$358.16	\$376.96	\$388.26	\$393.52
	5x/week	N/A	\$406.95	\$447.63	\$471.12	\$485.25	\$491.87
	6x/week	N/A	\$488.28	\$537.10	\$565.29	\$582.25	\$590.21
3 cu yd-shared	1x/week	N/A	\$40.80	\$44.88	\$47.23	\$48.64	\$49.23
	2x/week	N/A	\$81.47	\$89.61	\$94.31	\$97.14	\$98.41
	3x/week	N/A	\$122.14	\$134.35	\$141.40	\$145.64	\$147.58
	4x/week	N/A	\$162.81	\$179.08	\$188.48	\$194.13	\$196.76
	5x/week	N/A	\$203.47	\$223.81	\$235.56	\$242.63	\$245.93
	6x/week	N/A	\$244.14	\$268.55	\$282.65	\$291.12	\$295.11
3 cu yd-compactor	1x/week	N/A	\$93.74	\$103.11	\$108.51	\$111.76	\$113.15
	2x/week	N/A	\$187.22	\$205.93	\$216.73	\$223.23	\$226.17
	3x/week	N/A	\$280.69	\$308.75	\$324.95	\$334.70	\$339.20
	4x/week	N/A	\$374.17	\$411.57	\$433.17	\$446.16	\$452.23
	5x/week	N/A	\$467.64	\$514.39	\$541.39	\$557.63	\$565.26
	6x/week	N/A	\$561.11	\$617.21	\$649.61	\$669.10	\$678.28
4 cu yd	1x/week	\$108.58	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
	2x/week	\$189.95	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
	3x/week	\$244.80	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
	4x/week	\$298.40	\$434.01	\$477.40	\$502.46	\$517.53	\$524.65
	5x/week	\$352.63	\$542.46	\$596.69	\$628.01	\$646.85	\$655.78
	6x/week	\$406.87	\$650.90	\$715.98	\$753.57	\$776.17	\$786.91
4 cu yd-shared	1x/week	\$54.35	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
	2x/week	\$95.03	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
	3x/week	\$122.15	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76
	4x/week	\$149.26	\$217.00	\$238.70	\$251.23	\$258.76	\$262.32
	5x/week	\$176.39	\$271.23	\$298.35	\$314.01	\$323.42	\$327.89
	6x/week	\$203.48	\$325.45	\$357.99	\$376.78	\$388.08	\$393.46
4 cu yd-compactor	1x/week	\$218.62	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
	2x/week	\$382.51	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
	3x/week	\$491.77	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23
	4x/week	\$601.02	\$498.75	\$548.61	\$577.41	\$594.73	\$602.93
	5x/week	\$709.99	\$623.38	\$685.71	\$721.70	\$743.35	\$753.63
	6x/week	\$819.53	\$748.01	\$822.80	\$865.99	\$891.97	\$904.34

Table 6: Proposed Five-Year Schedule of GREENWASTE Service Rates for Multi-Family Residential (MFR) >4 units and Commercial Service by Frequency and Container Size (in CY)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd bin	1x/week	N/A	\$29.69	\$32.64	\$34.35	\$35.38	\$35.81
	2x/week	N/A	\$59.21	\$65.11	\$68.53	\$70.58	\$71.50
	3x/week	N/A	\$88.72	\$97.58	\$102.70	\$105.78	\$107.20
	4x/week	N/A	\$118.24	\$130.05	\$136.88	\$140.98	\$142.89
	5x/week	N/A	\$147.76	\$162.52	\$171.05	\$176.18	\$178.58
	6x/week	N/A	\$177.28	\$194.99	\$205.22	\$211.38	\$214.27
1 cu yd-shared	1x/week	N/A	\$14.84	\$16.32	\$17.18	\$17.69	\$17.91
	2x/week	N/A	\$29.60	\$32.56	\$34.26	\$35.29	\$35.75
	3x/week	N/A	\$44.36	\$48.79	\$51.35	\$52.89	\$53.60
	4x/week	N/A	\$59.12	\$65.03	\$68.44	\$70.49	\$71.44
	5x/week	N/A	\$73.88	\$81.26	\$85.52	\$88.09	\$89.29
	6x/week	N/A	\$88.64	\$97.50	\$102.61	\$105.69	\$107.14
1 cu yd-compactor	1x/week	N/A	\$36.14	\$39.74	\$41.82	\$43.07	\$43.61
	2x/week	N/A	\$72.11	\$79.31	\$83.47	\$85.97	\$87.11
	3x/week	N/A	\$108.08	\$118.88	\$125.11	\$128.86	\$130.60
	4x/week	N/A	\$144.05	\$158.44	\$166.76	\$171.76	\$174.10
	5x/week	N/A	\$180.02	\$198.01	\$208.40	\$214.65	\$217.59
	6x/week	N/A	\$215.99	\$237.58	\$250.05	\$257.54	\$261.09
2 cu yd	1x/week	\$62.48	\$59.26	\$65.17	\$68.58	\$70.64	\$71.50
	2x/week	\$109.24	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
	3x/week	\$140.43	\$177.33	\$195.05	\$205.28	\$211.44	\$214.27
	4x/week	\$171.60	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
	5x/week	\$202.78	\$295.40	\$324.93	\$341.98	\$352.24	\$357.04
	6x/week	\$233.96	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
2 cu yd-shared	1x/week	\$31.29	\$29.63	\$32.58	\$34.29	\$35.32	\$35.75
	2x/week	\$54.68	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
	3x/week	\$70.28	\$88.66	\$97.52	\$102.64	\$105.72	\$107.14
	4x/week	\$85.85	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
	5x/week	\$101.45	\$147.70	\$162.46	\$170.99	\$176.12	\$178.52
	6x/week	\$117.03	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
2 cu yd-compactor	1x/week	\$133.74	\$72.16	\$79.36	\$83.52	\$86.03	\$87.11
	2x/week	\$233.96	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
	3x/week	\$300.77	\$216.04	\$237.63	\$250.10	\$257.60	\$261.09
	4x/week	\$367.58	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
	5x/week	\$434.38	\$359.92	\$395.90	\$416.68	\$429.18	\$435.07
	6x/week	\$501.19	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05

Table 6 Continued to following page.

Table 6 Continued GREENWASTE Service Rates for Multi-Family Residential (MFR) > 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 cu yd	1x/week	N/A	\$88.82	\$97.69	\$102.82	\$105.90	\$107.20
	2x/week	N/A	\$177.38	\$195.10	\$205.34	\$211.50	\$214.27
	3x/week	N/A	\$265.93	\$292.51	\$307.86	\$317.09	\$321.35
	4x/week	N/A	\$354.48	\$389.92	\$410.39	\$422.69	\$428.43
	5x/week	N/A	\$443.04	\$487.33	\$512.91	\$528.29	\$535.51
	6x/week	N/A	\$531.59	\$584.74	\$615.43	\$633.89	\$642.58
3 cu yd-shared	1x/week	N/A	\$44.41	\$48.85	\$51.41	\$52.95	\$53.60
	2x/week	N/A	\$88.69	\$97.55	\$102.67	\$105.75	\$107.14
	3x/week	N/A	\$132.97	\$146.26	\$153.93	\$158.55	\$160.68
	4x/week	N/A	\$177.24	\$194.96	\$205.19	\$211.35	\$214.21
	5x/week	N/A	\$221.52	\$243.67	\$256.45	\$264.15	\$267.75
	6x/week	N/A	\$265.80	\$292.37	\$307.72	\$316.95	\$321.29
3 cu yd-compactor	1x/week	N/A	\$108.18	\$118.99	\$125.23	\$128.98	\$130.60
	2x/week	N/A	\$216.09	\$237.69	\$250.16	\$257.66	\$261.09
	3x/week	N/A	\$324.00	\$356.39	\$375.09	\$386.34	\$391.57
	4x/week	N/A	\$431.91	\$475.09	\$500.03	\$515.03	\$522.05
	5x/week	N/A	\$539.82	\$593.80	\$624.96	\$643.71	\$652.54
	6x/week	N/A	\$647.74	\$712.50	\$749.90	\$772.39	\$783.02
4 cu yd	1x/week	\$108.58	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
	2x/week	\$189.95	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
	3x/week	\$244.80	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
	4x/week	\$298.40	\$472.51	\$519.75	\$547.03	\$563.43	\$571.20
	5x/week	\$352.63	\$590.58	\$649.63	\$683.72	\$704.23	\$713.97
	6x/week	\$406.87	\$708.65	\$779.50	\$820.42	\$845.03	\$856.74
4 cu yd-shared	1x/week	\$54.35	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
	2x/week	\$95.03	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
	3x/week	\$122.15	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
	4x/week	\$149.26	\$236.25	\$259.87	\$273.51	\$281.72	\$285.60
	5x/week	\$176.39	\$295.29	\$324.81	\$341.86	\$352.12	\$356.98
	6x/week	\$203.48	\$354.33	\$389.75	\$410.21	\$422.52	\$428.37
4 cu yd-compactor	1x/week	\$218.62	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
	2x/week	\$382.51	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
	3x/week	\$491.77	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05
	4x/week	\$601.02	\$575.75	\$633.31	\$666.55	\$686.54	\$696.03
	5x/week	\$709.99	\$719.63	\$791.58	\$833.13	\$858.12	\$870.01
	6x/week	\$819.53	\$863.51	\$949.85	\$999.71	\$1,029.70	\$1,043.99

Table 7: Proposed Five-Year Schedule of ORGANICS Service Rates for Multi-Family Residential (MFR) greater than 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

			FY2025	FY2026	FY2027	FY2028	FY2029
Cart & Bin Size	Frequency	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
64-gallon	3x/week	\$63.80	\$79.01	\$86.90	\$91.45	\$94.19	\$95.39
1 cu yd	1x/week	N/A	\$83.11	\$91.40	\$96.20	\$99.08	\$100.34
2 cu yd	1x/week	N/A	\$166.09	\$182.69	\$192.27	\$198.04	\$200.56
3 cu yd	1x/week	N/A	\$249.08	\$273.97	\$288.35	\$297.00	\$300.77
4 cu yd	1x/week	N/A	\$332.06	\$365.26	\$384.43	\$395.96	\$400.99

Table 8: Proposed Five-Year Schedule of SOLID WASTE Service Rates for 1x/ Week Commercial Cart Service by Quantity of Carts

			FY2025	FY2026	FY2027	FY2028	FY2029
# of Carts / Size	Frequency	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 96-gallon cart	1x/week	\$43.53	\$36.51	\$40.15	\$42.26	\$43.52	\$44.01
2 96-gallon carts	1x/week	\$76.16	\$73.03	\$80.31	\$84.51	\$87.04	\$88.01
3 96-gallon carts	1x/week	\$97.94	\$109.54	\$120.46	\$126.77	\$130.56	\$132.02
4 96-gallon carts	1x/week	\$119.73	\$146.06	\$160.61	\$169.02	\$174.08	\$176.03
5 96-gallon carts	1x/week	\$141.51	\$182.57	\$200.77	\$211.28	\$217.60	\$220.04
6 96-gallon carts	1x/week	\$247.16	\$219.09	\$240.92	\$253.53	\$261.12	\$264.04

Table 9: Proposed Five-Year Schedule of SERVICE PULL Rates for Industrial Collection

		FY2025	FY2026	FY2027	FY2028	FY2029
Bin Size / Service	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
Up to 10 CY / Refuse	\$147.73	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 10 CY / Recycle & Green Waste	\$73.87	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 13.4 CY / Refuse	\$147.73	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 13.4 CY / Recycle & Green Waste	\$73.87	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 CY Bin	N/A	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 CY Compactor / Refuse	\$192.85	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 CY Compactor / Recycle & GW	\$96.32	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 30 CY Bin / Refuse	\$193.56	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 30 CY Bin / Recycle & GW	\$96.32	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 CY Compactor / Refuse	\$219.04	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 CY Compactor / Recycle & GW	\$109.52	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
(Two / 2) 30 CY Bins / Refuse	\$371.86	N/A	N/A	N/A	N/A	N/A
(Two / 2) 30 CY Bins / Recycle & GW	\$185.93	N/A	N/A	N/A	N/A	N/A
40 CY Bin / Refuse	\$238.84	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 CY Compactor / Refuse	\$269.98	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 CY Compactor / Recycle & GW	\$134.99	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79

Table 10: Proposed Five-Year Schedule of Monthly and Daily Rental Rates for Industrial Bins

			FY2025	FY2026	FY2027	FY2028	FY2029
Description	Frequency	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
10 cu yd Roll Off Bin	Monthly	N/A	\$46.45	\$47.84	\$49.28	\$50.76	\$52.28
	Daily	N/A	\$1.53	\$1.57	\$1.62	\$1.67	\$1.72
13.4 cu yd Roll Off Bin	Monthly	N/A	\$49.99	\$51.49	\$53.03	\$54.62	\$56.26
	Daily	N/A	\$1.64	\$1.69	\$1.74	\$1.80	\$1.85
20 cu yd Roll Off Bin	Monthly	N/A	\$67.30	\$69.32	\$71.40	\$73.54	\$75.75
	Daily	N/A	\$2.21	\$2.28	\$2.35	\$2.42	\$2.49
30 cu yd Roll Off Bin	Monthly	N/A	\$58.05	\$59.79	\$61.58	\$63.43	\$65.33
	Daily	N/A	\$1.91	\$1.97	\$2.02	\$2.09	\$2.15
40 cu yd Roll Off Bin	Monthly	N/A	\$66.48	\$68.47	\$70.52	\$72.64	\$74.82
	Daily	N/A	\$2.19	\$2.25	\$2.32	\$2.39	\$2.46
40 cu yd Sealed Roll Off Bin	Monthly	N/A	\$87.09	\$89.70	\$92.39	\$95.16	\$98.01
	Daily	N/A	\$2.86	\$2.95	\$3.04	\$3.13	\$3.22

Table 11: Proposed Five-Year Schedule of SOLID WASTE Walking Floor Transfer Trailer Rates for Industrial Service per Pull/Transfer

		FY2025	FY2026	FY2027	FY2028	FY2029
Description	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
53-Foot Walking Floor Trailer#	\$371.85	\$384.86	\$398.34	\$412.28	\$426.71	\$441.64

Note this service rate is limited to industrial customer(s) receiving direct haul service to the Simi Landfill from the Customers Property located within the City.

Table 12: Proposed Five-Year Schedule of Del Norte and Industrial TIPPING FEES per Ton of Weighed Material and the Security and Contamination Prevention Fee

Description	Material Type	Current	July 1, '24	July 1, '25	July 1, '26	July 1, '27	July 1, '28
Del Norte Tip Fee	Solid Waste	\$55.59	\$93.88	\$103.27	\$108.69	\$111.95	\$113.52
Del Norte Tip Fee	Recycling	N/A	\$44.26	\$48.68	\$51.24	\$52.77	\$53.51
Del Norte Tip Fee	Green Waste	\$62.81	\$105.53	\$116.08	\$122.18	\$125.84	\$127.61
Del Norte Tip Fee	Organics	N/A	\$171.95	\$189.14	\$199.08	\$205.05	\$207.92
Del Norte Tip Fee	C&D	\$66.92	\$130.98	\$131.78	\$139.92	\$143.48	\$147.13
Del Norte Tip Fee	Tires	\$180.00	\$272.00	\$280.16	\$288.56	\$297.22	\$306.14
Walking Floor Transfer Trailer Tip Fee ¹	Solid Waste	N/A	\$34.63	\$35.67	\$36.74	\$37.84	\$38.98
Security and Contamination Prevention Fee (Per Bin) ²	All Containers	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12

¹ Represents the tip fee for walking floor trailers direct hauled to the Simi Landfill. This rate is not applicable to refuse delivered to the Del Norte facility.

² The City charges a security and contamination prevention fee to all customers per bin per month.

Table 13: Proposed Five-Year Schedule of Commercial Bin Rental Service for Construction and Demolition Projects for 10 Cubic Yards and Larger Bins

CURRENT FEES						
Container	Material	Delivery	Per Empty	Initial Cost	Disposal Min.	Prepay
10 Cubic Yard	Dirt and Concrete Only	\$15.00	\$102.30	\$117.30	\$166.77	\$284.07
13.4 Cubic Yard	Roofing Materials / Green Waste	\$15.00	\$102.30	\$117.30	\$166.77	\$284.07
30 Cubic Yard	Roofing Materials / Green Waste	\$15.00	\$137.88	\$152.88	\$166.77	\$319.65
40 Cubic yard	Roofing Materials / Green Waste	\$15.00	\$172.35	\$187.35	\$166.77	\$354.12
<u>Other Related Fees:</u>						
\$15.00 rental fee/day if minimum pickup of 2 times per month is not met – for construction rental only						
\$55.59 per ton for household trash and green waste						
\$65.00 per ton for construction &/or demolition materials						
\$27.80 minimum charge for up to 1/2 ton						
PROPOSED FEES ¹						
		FY2025	FY2026	FY2027	FY2028	FY2029
Proposed Fees	Material	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
Fee Per Pull						
10 Cubic Yard	Dirt and Concrete Only	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
13.4 Cubic Yard	Roofing Materials / Green Waste	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 Cubic Yard	Roofing Materials / Green Waste	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 Cubic yard	Roofing Materials / Green Waste	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Bin Rental Fees²						
10 cu yd Roll Off Bin		\$1.53	\$1.57	\$1.62	\$1.67	\$1.72
13.4 cu yd Roll Off Bin		\$1.64	\$1.69	\$1.74	\$1.80	\$1.85
20 cu yd Roll Off Bin		\$2.21	\$2.28	\$2.35	\$2.42	\$2.49
30 cu yd Roll Off Bin		\$1.91	\$1.97	\$2.02	\$2.09	\$2.15
40 cu yd Roll Off Bin		\$2.19	\$2.25	\$2.32	\$2.39	\$2.46
40 cu yd Roll Off Bin Sealed		\$2.86	\$2.95	\$3.04	\$3.13	\$3.22
Del Norte Tip Fees³						
Refuse		\$93.88	\$103.27	\$108.69	\$111.95	\$113.52
Recycling		\$44.26	\$48.68	\$51.24	\$52.77	\$53.51
Green Waste		\$105.53	\$116.08	\$122.18	\$125.84	\$127.61
Organics		\$171.95	\$189.14	\$199.08	\$205.05	\$207.92
C&D		\$130.98	\$131.78	\$139.92	\$143.48	\$147.13
Minimum Disposal Charge		\$28.41	\$29.26	\$30.14	\$31.04	\$31.97
1 Contingent upon service availability by the City, additional service charges may apply such as collection of bulky items or tires.						
2 Amounts shown derived from Table 10 and represent daily rental rates.						
3 Amounts shown derived from Table 12.						

Table 14: Proposed Five-Year Schedule of Commercial Bin Rental Service for Construction and Demolition Projects for 2 and 4 Cubic Yard Bins

CURRENT FEES						
Container	Material	Delivery	Per Empty	Initial Cost	Disposal Min.	Prepay
2 Cubic Yard	Small items, green waste, lumber	\$15.00	\$50.00	\$65.00	n/a	n/a
4 Cubic Yard	Small items, green waste, lumber	\$15.00	\$75.00	\$90.00	n/a	n/a
PROPOSED FEES ¹						
		FY2025	FY2026	FY2027	FY2028	FY2029
Proposed Fees	Material	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
Fee Per Pull						
2 Cubic Yard	No furniture, no mattresses or appliances. No dirt or concrete.	\$43.87	\$46.25	\$48.12	\$49.73	\$51.14
4 Cubic Yard	Small items, green waste, lumber & miscellaneous okay.	\$56.83	\$60.50	\$63.12	\$65.18	\$66.81
Bin Rental Fees²						
2cu yd Bin		\$0.24	\$0.25	\$0.26	\$0.27	\$0.28
4 cu yd Bin		\$0.30	\$0.31	\$0.32	\$0.33	\$0.34

1 Contingent upon service availability by the City, additional service charges may apply such as collection of bulky items or tires.

2 Amounts shown are daily rental rates.

Table 15: Proposed Five-Year Schedule of Miscellaneous Service Fees

	Current	2025	2026	2027	2028	2029
Return Pick-up / Extra Pick-up - Automatic Side Loader	\$11.74	\$29.78	\$30.67	\$31.59	\$32.54	\$33.52
Return Pick-up / Extra Pick-up - Front Loader	\$40.00	\$42.64	\$43.92	\$45.24	\$46.60	\$48.00
Minimum Gate Fee	\$27.80	\$28.41	\$29.26	\$30.14	\$31.04	\$31.97
Bulky Pick-up (up to 5 items) ¹	\$35.00	\$45.00	\$46.35	\$47.74	\$49.17	\$50.65

¹ Please note that for Residential 3 cart service (including Mobile Homes) customers receive 1 bulky items pickup (up to 5 items) per year at no additional charge as noted in Tables 2 and 3.

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1.5. Proposed ER Fund Rate Bill Impacts

The proposed five-year ER Fund rate schedule was developed based on the cost-of-service allocation and rate structure as discussed in greater detail within Section 5. The following presents a bill impact comparison for the most common services offered by the City.

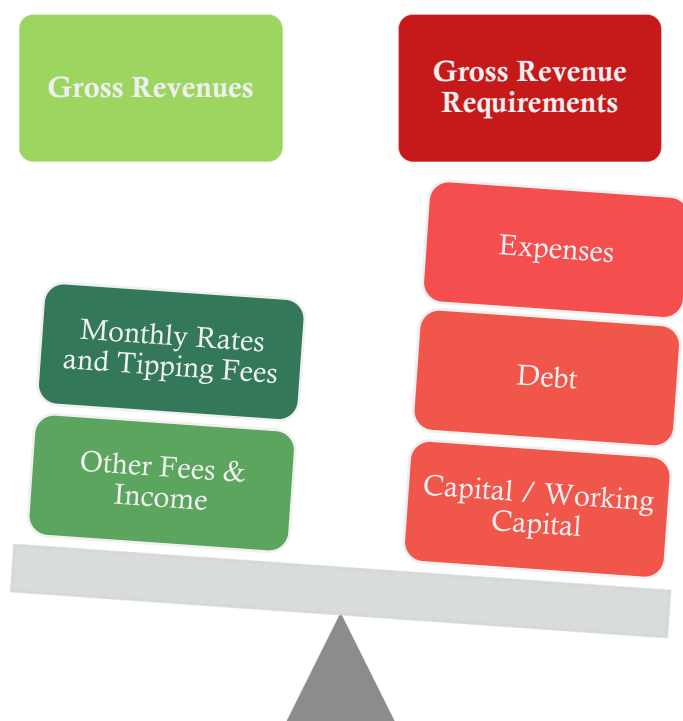
Table 16: Proposed Five-Year Schedule of Residential and Commercial Rate Impacts

Fiscal Year >		FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >	Current	7/1/2024	7/1/2025	7/1/2026	7/1/2027	7/1/2028
3 Cart Service/64-gallon – per Unit	\$24.70	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
\$ Change	n/a	\$14.63	\$3.90	\$2.25	\$1.35	\$0.29
3 Cart Service/96-gallon – per Unit	\$30.78	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
\$ Change	n/a	\$16.63	\$4.70	\$2.72	\$1.63	\$0.43
Extra 96 Gallon Cart Service – Per Cart	\$11.34	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
\$ Change	n/a	\$13.11	\$2.43	\$1.40	\$0.85	\$0.28
Multi-Family Residential 2 Units	\$58.51	Proposing to eliminate these service rates as the multi-family 4 units and less 3-cart curbside collection rate structure is combined with residential curbside 3-cart service listed above.				
Multi-Family Residential 3 Units	\$84.73					
Multi-Family Residential 4 Units	\$109.43					
Commercial						
2 cu yd bin - 1x/week - Refuse	\$132.76	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
\$ Change	n/a	(\$17.51)	\$11.51	\$6.65	\$4.00	\$1.80
2 cu yd bin - 2x/week - Refuse	\$232.25	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
\$ Change	n/a	(\$1.96)	\$23.02	\$13.29	\$7.99	\$3.72
2 cu yd bin - 3x/week - Refuse	\$298.56	\$345.32	\$379.84	\$399.77	\$411.76	\$417.41
\$ Change	n/a	\$46.76	\$34.52	\$19.94	\$11.99	\$5.64
4 cu yd bin - 1x/week - Refuse	\$230.86	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
\$ Change	n/a	(\$0.57)	\$23.02	\$13.29	\$7.99	\$3.72
4 cu yd bin - 2x/week - Refuse	\$403.90	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
\$ Change	n/a	\$56.45	\$46.02	\$26.58	\$15.98	\$7.56
4 cu yd bin - 3x/week - Refuse	\$519.26	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
\$ Change	n/a	\$171.16	\$69.03	\$39.86	\$23.98	\$11.40
64-gallon - 3x/week - Organics	\$63.80	\$79.01	\$86.90	\$91.45	\$94.19	\$95.39
\$ Change	n/a	\$15.21	\$7.89	\$4.56	\$2.74	\$1.20
Industrial - 30 CY - Refuse - Per Tip ⁽¹⁾	\$308.63	\$463.77	\$510.14	\$536.93	\$553.03	\$560.78
\$ Change	n/a	\$0.00	\$79.26	\$19.43	\$11.22	\$6.75
(1) Assumed a load of 138lbs per cubic yard based on EPA commercial refuse uncompacted waste density. Includes pull rate and tip fee.						

2. Methodology

The foundation of this Study and the primary objective of the ER Fund rates and fees are to reasonably recover the cost of providing service including the cost of infrastructure, equipment investment, and compliance with recommended fiscal targets, which is referred to as a Revenue Sufficiency Evaluation.

Ensuring adequate cash reserves and appropriate cash flows generally results in a sustainable long-term financial plan that can mitigate the financial and operating risk(s) from unanticipated or sudden events to financial operations (e.g., changes in market conditions affecting operations and recovered materials revenues, reduced growth or tonnages, unanticipated or extraordinary expenses, unfunded mandates, etc.). The identified revenue requirements to be funded from rates are then allocated based on the type and level of service.



In order to assess the sustainability of the City's rates for service, Raftelis developed a financial model capable of forecasting ER Fund operations over a ten-year Rate Study Period, which is necessary to assess the sustainability needs of the ER Fund for the proposed rate revenue and associated fee adjustment period for Fiscal Years 2025 through 2029. By forecasting operations over the ten-year Rate Study Period, the Study is able to capture non-annually recurring lifecycle costs such as vehicle and capital asset replacement, test sensitivity to potential changes in operations or costs, and examine projections of Reserves and net revenue margins to evaluate compliance with fiscal policies. Performing a thorough evaluation of the City's funding requirements provides the ability to offer sound rate recommendations to help ensure the City has sufficient resources to fund operations and achieve their objectives.

The following provides a brief overview of the key activities performed for the Study:

1. An evaluation of the service area requirements for the City was performed. This included a review of recent historical customers served and corresponding waste generation such that: i) a representative forecast of the ER Fund's needs from a financial standpoint could be prepared; and ii) a projection of rate revenues consistent with the projected service area needs could be developed.
2. Raftelis, with support of City staff, developed a projection of the System's "net revenue requirements" which is comprised of the components summarized below. The first five components are expenditure requirements of the system and are funded from monthly user rates
 - + Cost of Operation and Maintenance
 - + Debt Service (Vehicle Leases & Facility Debt)
 - + Indirect Cost Allocation and Administration Payments (Infrastructure Use Fees)
 - + Capital Funding Requirements
 - + Deposits to Reserves for Policy Compliance and Future Expenditures
 - Other Operating Revenue
 - Interest Income / Transfers from Operating Reserves
 - = Net Revenue Requirements (Funded from Rates)
3. The net revenue requirements were then allocated by function and service to the various customer and service classes as later described in Section 5. The customer billing statistical data was then adjusted to represent a common unit of measure referred herein and throughout the report as the Equivalent Billing Unit (EBU). The collection system EBUs are denominated in the number of gallons of capacity per the customer's cart or bin size times the annual number of pickups. The disposal system EBUs are denominated in the tonnage for the system. The allocated net revenue requirements were then divided by the EBUs to calculate the unit cost of collection and disposal services.
4. To determine the recommended rate, the calculated unit costs are factored based on the type and level of service. For example, to determine the residential monthly 3 cart service rates for 96 gallon service, the calculated unit costs for refuse / municipal solid waste collection, recycling, and organics were applied to the level of service parameters of once a week collection times the average number of weeks in a month times the number of gallons in the bin (i.e., unit cost x weekly collection frequency x average number of weeks in a month x the quantity of gallons of the cart).

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3. Key Inputs and Assumptions

Raftelis developed an ER Fund financial projection and rate calculation for a ten year period, which serves as the basis for the Rate Study Period as previously described. The City’s fiscal year spans from July 1 through June 30. Projections in future years were generally based on the budgeted Fiscal Year 2024 data using key assumptions outlined within Section 3 of this report. Assumptions generally include, but are not limited to, projections of growth and tonnage demands, expenditures as contained in the City’s budget, assumed changes in operations such as the addition of staffing, capital funding requirements, or other expectations of future cashflow projections. All assumptions were discussed with and reviewed by City staff. This section will detail all the key assumptions developed in support of the financial plan.

3.1. Key Inputs: Current Rates and Operations

The current ER Fund rates and fees for service were adopted pursuant to Ordinance No. 2861, which included a two-year schedule of rates with the current rates effective as of October 18 2012, and January 1, 2013. City Council last approved a cost-of-service analysis in May 2012, resulting in rate adjustments effective January 2013. The authorized rate adjustments to the City’s ER Fund rates represent a cumulative 2.1% increase to residential rates and a 2.7% increase to commercial rates. In contrast, the Consumer Price Index (CPI) has increased 33.9% over the same period.

As it relates to residential solid waste collection service, the City provides residents with weekly collection service utilizing a three-bin (or three-stream) system for their combined garbage, recycling, and organic waste. The monthly rate for residents includes one (1) cart for each of their household waste (garbage, recycling, and organic waste). Any additional carts are available for an additional fee. Table 17 shows the current adopted residential rates and fee schedule for single family residential service developed during the prior rate study.

Table 17: Current Residential Single Family ER Fund Rate Structure

Residential Collection Rates and Fees	Current
Initial 96-Gallon Cart Rate ⁽¹⁾	\$30.78
Initial 64-Gallon Cart Rate ⁽¹⁾	\$24.70
Extra Container Rate per Month	\$11.36
Extra Pickup Fee	\$11.74
Bulky Pickup Fee (up to 5 items) ⁽²⁾	\$35.00
(1) Charge for service includes garbage, recycling, organics collection every week. (2) Up to 5 items are included in the fee, however the City will collect up to 10 items for an additional charge. City staff recommends bin rental service for more than 10 items.	

The City also offers cart service to attached multi-family residential units 4 or less. This can include mobile homes, town homes, duplexes, triplexes, and quadraplexes. Table 18 shows the current adopted residential rate schedule developed during the prior rate study.

Table 18: Current Multi-Family (<4 Units) Residential ER Fund Rate Structure

Residential Collection Rates	Current
First Unit	\$30.78
Second Unit	\$27.73
Third Unit	\$26.22
Fourth Unit and Above	\$24.70
(1) Charge for service includes garbage, recycling, organics collection every week.	

Along with residential cart collection service, the City provides curbside cart collection service to its non-residential and commercial customers which varies in the level of service based on demand. The City also offers bin collection service to the non-residential and multi-family greater than four units (>4) receiving commercial-like bin service. Table 19 and Table 20 show the current adopted monthly rates for such service.

Table 19: Current Non-Residential ER Fund Rate Structure

Non-Residential & Commercial Cart Collection Rates	2024
Non-Residential & Commercial Cart Collection Rates – Refuse Only	
One 96-Gallon Cart	\$43.53
Two 96-Gallon Carts	\$76.16
Three 96-Gallon Carts	\$97.94
Four 96-Gallon Carts	\$119.73
Five 96-Gallon Carts	\$141.51
Six 96-Gallon Carts	\$247.16
Non-Residential Carts & Commercial Cart Collection Rate - Food Waste	
One 64 -Gallon Cart	\$63.80

Table 20: Current Non-Residential ER Fund Rate Structure

Non-Residential Bin Collection Rates		Current
Description	Solid Waste	GW&RCY
2 - Yard Bin:		
2 - Yard Bin 1/week	\$132.76	\$62.48
2 - Yard Bin 2/week	\$232.25	\$109.24
2 - Yard Bin 3/week	\$298.56	\$140.43
2 - Yard Bin 4/week	\$364.87	\$171.60
2 - Yard Bin 5/week	\$431.21	\$202.78
2 - Yard Bin 6/week	\$497.53	\$233.96
2 - Yard Bin Shared:		
2 - Yard Bin Shared 1/week	\$66.44	\$31.29
2 - Yard Bin Shared 2/week	\$116.18	\$54.68
2 - Yard Bin Shared 3/week	\$149.37	\$70.28
2 - Yard Bin Shared 4/week	\$182.51	\$85.85
2 - Yard Bin Shared 5/week	\$215.67	\$101.45
2 - Yard Bin Shared 6/week	\$248.83	\$117.03
2 - Yard Bin Compactor:		
2 - Yard Bin Compactor 1/week	\$284.35	\$133.74
2 - Yard Bin Compactor 2/week	\$497.34	\$233.96
2 - Yard Bin Compactor 3/week	\$639.64	\$300.77
2 - Yard Bin Compactor 4/week	\$781.75	\$367.58
2 - Yard Bin Compactor 5/week	\$923.88	\$434.38
2 - Yard Bin Compactor 6/week	\$1,065.99	\$501.19
4 - Yard Bin:		
4 - Yard Bin 1/week	\$230.86	\$108.58
4 - Yard Bin 2/week	\$403.90	\$189.95
4 - Yard Bin 3/week	\$519.26	\$244.18
4 - Yard Bin 4/week	\$634.63	\$298.40
4 - Yard Bin 5/week	\$749.98	\$352.63
4 - Yard Bin 6/week	\$865.36	\$406.87
4 - Yard Bin Shared:		
4 - Yard Bin Shared 1/week	\$115.47	\$54.35
4 - Yard Bin Shared 2/week	\$202.02	\$95.03
4 - Yard Bin Shared 3/week	\$259.70	\$122.15
4 - Yard Bin Shared 4/week	\$317.36	\$149.26
4 - Yard Bin Shared 5/week	\$375.06	\$176.39
4 - Yard Bin Shared 6/week	\$432.71	\$203.48
4 - Yard Bin Compactor:		
4 - Yard Bin Compactor 1/week	\$464.93	\$218.62
4 - Yard Bin Compactor 2/week	\$813.53	\$382.51
4 - Yard Bin Compactor 3/week	\$1,045.93	\$491.77
4 - Yard Bin Compactor 4/week	\$1,278.32	\$601.02
4 - Yard Bin Compactor 5/week	\$1,510.72	\$709.99
4 - Yard Bin Compactor 6/week	\$1,743.12	\$819.53

Table 21: Schedule of Industrial Collection Rates

Non-Residential Bin Collection Rates	Pick-Up Charge	Rate per Ton
10-Yard Refuse	\$147.73	\$55.59
10-Yard Recyclables and Green Waste	\$73.87	\$62.81
13.4-Yard Refuse	\$147.73	\$55.59
13.4-Yard Recyclables and Green Waste	\$73.87	\$62.81
20-Yard Compactor - Refuse	\$192.85	\$55.59
20-Yard Compactor - Recyclables and Green Waste	\$96.32	\$62.81
30-Yard Refuse	\$193.56	\$55.59
30-Yard Recyclables and Green Waste	\$96.79	\$62.81
30-Yard Compactor - Refuse	\$219.04	\$55.59
30-Yard Compactor - Recyclables and Green Waste	\$109.52	\$62.81
(2) 30-Yard Single Pick-up - Refuse	\$371.86	\$55.59
(2) 30-Yard Single Pick-up - Recyclables and Green Waste	\$185.93	\$62.81
40-Yard Refuse	\$238.84	\$55.59
40-Yard Compactor - Refuse	\$269.98	\$55.59
40-Yard Compactor - Recyclables and Green Waste	\$134.99	\$62.81

In addition to the charges for collection, the City also charges tipping fees to its disposal customers and has a listing of miscellaneous fees. Table 22 through Table 24 show the current adopted tipping fees and miscellaneous fees. It should be noted that the fees shown are not an exhaustive list, but represent the key charges and fees that were examined as part of the study.

Table 22: Current Tipping Fee ER Fund Structure

Tipping Fees	2023
Material	Rate per Ton
Refuse	\$55.59
Green Waste	\$62.81
Mixed Recyclables	\$0.00
Construction and Demolition (C&D) Debris	\$66.92
Hard to Handle or Bulky items	\$135.00
Special Handling	\$65.00
Special Pull Offs	\$75.00
Uncovered Loads Capable of Producing Litter (Per Ton)	\$5.00
Tires less than 36 inches	\$5.00
Tires 36 inches to 60 inches	\$30.00
Tires over 60 inches	\$200.00
Freon Extraction	\$20.00
Appliances	\$15.00

Table 23: Current Miscellaneous Fee ER Fund Structure

Miscellaneous Fees	Current
Service Above Basic Levels	\$12.00
Security and Contamination Fee	\$0.12
Change Service	\$5.00
Walking Floor Transfer Trailer	\$385.34
Special Collection Services (Bulky Waste 5 Items)	\$35.00
Delivery Fee For Mechanical Loading Containers	\$15.00
Mechanical Loading Special Services Container 2 Yard	\$50.00
Mechanical Loading Special Services Container 4 Yard	\$75.00
Mechanical Loading Special Services Container 10 Yard	\$102.30
Mechanical Loading Special Services Container 13.4 Yard	\$102.30
Mechanical Loading Special Services Container 30 Yards	\$137.88
Mechanical Loading Special Services Container 40 Yard	\$172.35
Mechanical Loading Special Services Container Two 2 Yard	\$275.76
2/4 CY Damaged Castor Wheels - Commercial	\$20.00
Damaged Castor Wheels - Industrial	\$30.00
Container Cleaning - 2CY	\$40.00
Container Cleaning - 4CY	\$60.00
Container Cleaning - Compactor	\$110.00
Security Locking Device - 2CY / 4CY	\$60.00
Tire Collection	
36 Inches and under	\$5.00
36 to 60 Inches	\$30.00
Over 60 Inches	\$200.00
Replacement for Neglect, Damaged, or Missing Containers	
Residential 96-gallon container	\$51.25
Residential 64-gallon containers	\$47.35
Commercial 2-cubic yard	\$445.12
Commercial 4-cubic yard	\$542.80
Industrial 1-cubic yard	\$585.00
Industrial 13.4-cubic yard	\$635.00
Industrial 30-cubic yard	\$700.00
Industrial 40-cubic yard	\$750.00

Table 24: Current Bin Rental Fees for ER Fund Structure

Container	Material	Delivery	Per Empty	Initial Cost	Disposal Min.	Prepay
2 Cubic Yard	Small items, green waste, lumber	\$15.00	\$50.00	\$65.00	n/a	n/a
4 Cubic Yard	Small items, green waste, lumber	\$15.00	\$75.00	\$90.00	n/a	n/a
10 Cubic Yard	Dirt and Concrete Only	\$15.00	\$102.30	\$117.30	\$166.77	\$284.07
13.4 Cubic Yard	Roofing Materials / Green Waste	\$15.00	\$102.30	\$117.30	\$166.77	\$284.07
30 Cubic Yard	Roofing Materials / Green Waste	\$15.00	\$137.88	\$152.88	\$166.77	\$319.65
40 Cubic yard	Roofing Materials / Green Waste	\$15.00	\$172.35	\$187.35	\$166.77	\$354.12

3.2. Key Assumptions: Regulations

The following section provides a summary overview of the legal and regulatory environment for solid waste management in the City. The summary is based on review of relevant State laws and regulations. This review should not be considered exhaustive and therefore should not be relied upon for any other purpose than the purposes of this Study to help provide relevant context concerning the level of service and operational requirements of the System. This section is not intended to represent or provide any legal opinions or interpretations on State law.

- **AB 341** Mandatory Commercial Recycling (MCR) – Adopted into California Law in 2012 and requires businesses that generate four or more cubic yards of commercial waste per week and multi-family complexes with five or more units to self-haul or subscribe to recycling services.
- **AB 1826** Mandatory Commercial Organics (MORE) – Effective January 1, 2016, and phases in the number of businesses and multi-family dwellings that are required to subscribe to commercial organic collection service.
- **AB 827** – Effective July 1, 2020, and expands upon requirements of MCR and MORE to include mandatory recycling and organics carts for businesses at the “front of house” (i.e. portion of the business open to the public) to collect waste generated by customers from products they purchase and consume on the premises.
- **SB 1383** Short-Lived Climate Pollutants (Organics Recycling) – Effective January 1, 2022, and requires jurisdictions to provide organic waste collection services to all residents and businesses so that they may divert all organic waste to a compost program.
- **Applicable California Air Resources Board (CARB) Regulations³**: The Advanced Clean Fleets (ACF) regulation is part of CARB’s overall approach to accelerate a large-scale transition to zero-emission medium- and heavy-duty vehicles. These requirements are pursuant to the Governor’s executive order N-79-20.
 - Advanced Clean Fleets (ACF) regulation applies to local government fleets with common ownership of more than 50 vehicles, which is applicable to the City of Oxnard. The regulation requires State and local government fleets, including city, county, special district, and State agency fleets, to ensure specified percentages of vehicle purchases and/or fleet totals are zero-emission beginning as early as 2024, or otherwise face penalties.

3.3. Key Assumptions: Customer Statistics

3.3.1. Customer Accounts

The forecast of solid waste service revenues relied upon a review of recent historical trends in solid waste customer account growth and tonnage statistics. Based on the California Department of Finance (DOF) population projections, no growth to either residential or commercial service was assumed for the forecast. The following tables provide a summary of the solid waste customer billing statistics:

³ <https://ww2.arb.ca.gov/resources/fact-sheets/advanced-clean-fleets-regulation-summary>

Table 25: Projected Customer Statistics: Carts

Description	Carts
Residential Single Family:	
3 Cart Service - 64 Gallon Trash ¹	4,136
3 Cart Service - 96 Gallon Trash	29,083
Extra Container	3,189
Subtotal Residential Carts	36,408
Multi-Family Residential 4<:	
3 Cart Service - 2 MF Units ¹	Reclassified
3 Cart Service - 3 MF Units ¹	Reclassified
3 Cart Service - 4 MF Units ¹	Reclassified
3 Cart Service - Mobile Homes ^{1 2}	2,000
Subtotal Multi-Family Carts	2,000
Commercial 96 Gallons (Trash):	
96 Gallon – 1 Trash Container (1x wk)	246
96 Gallon – 2 Trash Containers (1x wk)	42
96 Gallon – 3 Trash Containers (1x wk)	13
96 Gallon – 4 Trash Containers (1x wk)	5
96 Gallon – 5 Trash Containers (1x wk)	4
96 Gallon – 6 Trash Containers (1x wk)	1
64 Gallons – Organics / Food (3x wk)	170
96 Gallon – Green Waste (2x wk)	5
96 Gallon – Recyclables (2x wk)	58
Subtotal Commercial Carts	545
Total Carts	38,953
1 For purposes of this analysis and rate design the Multi-Family 4 Units or Less were reclassified to the Residential Single Family 3 Cart Service with 64 Gallon Refuse Service. The billing system data reported approximately 585 accounts comprising 1,324 residential units. 2 Mobile home units are billed at the same rate as multi-family 4 or less units. Raftelis estimated the total number of mobile home units based on a review of the service addresses and the quantity of residential units per account.	

Table 26: Projected Customer Statistics: Non-Residential Refuse Bins

Description	Refuse Bins
Multi-Family & Non-Residential (Refuse)	
2 - Yard Bin:	
2 - Yard Bin 1/week	656
2 - Yard Bin 2/week	402
2 - Yard Bin 3/week	163
2 - Yard Bin 4/week	57
2 - Yard Bin 5/week	23
2 - Yard Bin 6/week	21
2 - Yard Bin Shared:	
2 - Yard Bin Shared 1/week	17
2 - Yard Bin Shared 2/week	17
2 - Yard Bin Shared 3/week	19
2 - Yard Bin Shared 4/week	60
2 - Yard Bin Shared 5/week	5
2 - Yard Bin Shared 6/week	0
2 - Yard Bin Compactor:	
2 - Yard Bin Compactor 1/week	0
2 - Yard Bin Compactor 2/week	0
2 - Yard Bin Compactor 3/week	5
2 - Yard Bin Compactor 4/week	0
2 - Yard Bin Compactor 5/week	1
2 - Yard Bin Compactor 6/week	0
4 - Yard Bin:	
4 - Yard Bin 1/week	507
4 - Yard Bin 2/week	572
4 - Yard Bin 3/week	432
4 - Yard Bin 4/week	167
4 - Yard Bin 5/week	85
4 - Yard Bin 6/week	107
4 - Yard Bin Shared:	
4 - Yard Bin Shared 1/week	5
4 - Yard Bin Shared 2/week	17
4 - Yard Bin Shared 3/week	12
4 - Yard Bin Shared 4/week	7
4 - Yard Bin Shared 5/week	0
4 - Yard Bin Shared 6/week	4
4 - Yard Bin Compactor:	
4 - Yard Bin Compactor 1/week	0
4 - Yard Bin Compactor 2/week	4
4 - Yard Bin Compactor 3/week	3
4 - Yard Bin Compactor 4/week	0
4 - Yard Bin Compactor 5/week	0
4 - Yard Bin Compactor 6/week	0
Subtotal Multi-Family & Non-Residential (Refuse)	3,368

Table 27: Projected Customer Statistics: Non-Residential Recycling and Green Waste Bins

Description	Recycling	Green Waste
Multi-Family & Non-Residential (Recyclables & Green Waste)		
2 - Yard Bin:		
2 - Yard Bin 1/week	315	2
2 - Yard Bin 2/week	121	0
2 - Yard Bin 3/week	28	0
2 - Yard Bin 4/week	4	0
2 - Yard Bin 5/week	2	0
2 - Yard Bin 6/week	2	0
2 - Yard Bin Shared:		
2 - Yard Bin Shared 1/week	6	0
2 - Yard Bin Shared 2/week	0	0
2 - Yard Bin Shared 3/week	0	0
2 - Yard Bin Shared 4/week	0	0
2 - Yard Bin Shared 5/week	0	0
2 - Yard Bin Shared 6/week	0	0
2 - Yard Bin Compactor:		
2 - Yard Bin Compactor 1/week	1	0
2 - Yard Bin Compactor 2/week	0	0
2 - Yard Bin Compactor 3/week	3	0
2 - Yard Bin Compactor 4/week	0	0
2 - Yard Bin Compactor 5/week	0	0
2 - Yard Bin Compactor 6/week	0	0
4 - Yard Bin:		
4 - Yard Bin 1/week	261	5
4 - Yard Bin 2/week	128	0
4 - Yard Bin 3/week	83	0
4 - Yard Bin 4/week	19	0
4 - Yard Bin 5/week	22	0
4 - Yard Bin 6/week	17	0
4 - Yard Bin Shared:		
4 - Yard Bin Shared 1/week	3	0
4 - Yard Bin Shared 2/week	3	0
4 - Yard Bin Shared 3/week	1	0
4 - Yard Bin Shared 4/week	0	0
4 - Yard Bin Shared 5/week	0	0
4 - Yard Bin Shared 6/week	0	0
4 - Yard Bin Compactor:		
4 - Yard Bin Compactor 1/week	1	0
4 - Yard Bin Compactor 2/week	0	0
4 - Yard Bin Compactor 3/week	0	0
4 - Yard Bin Compactor 4/week	0	0
4 - Yard Bin Compactor 5/week	0	0
4 - Yard Bin Compactor 6/week	0	0
Total Multi-Family & Non-Residential (Recyclables & Green Waste)	1,020	7

Table 28: Projected Customer Statistics: Non-Residential Pick ups

Description	Pick-ups
Industrial Roll Off Container Pick-ups	
10 yard Refuse	0
10 yard Recyclables And Green Waste	0
13.4 yard Refuse	1,476
13.4 yard Recyclables And Green Waste	468
20 yard Compacted Refuse	36
20 yard Compacted Recyclables And Green Waste	0
30 yard Refuse	4,195
30 yard Recyclables And Green Waste	350
30 yard Compacted Refuse	892
30 yard compacted Recyclables And Green Waste	22
(2)30 yard Single Pickup Refuse	0
(2)30 yard Single Pickup Recyclables And Green Waste	0
40 yard Refuse	2,317
40 yard Compacted Refuse	1,182
40 yard Compacted Recyclables And Green Waste	59
Total Pick-ups	10,997

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3.3.2. Waste Generation

With respect to waste generation, the City currently collects approximately 317,100 tons of waste per year. The majority of tonnages can be attributed to the commercial and industrial customer classes. The City is responsible in working to achieve the required solid waste diversion goals set by the State of California, including compliance with organics diversion requirements from S.B. 1383 and A.B. 1826. The table shown below summarizes the City's tonnage reports by type of waste.

Table 29: Projected Waste Generation (units in Tons)

Description	2024	2025	2026	2027	2028
Refuse	244,639	244,639	244,639	244,639	244,639
Green Waste	22,021	22,021	22,021	22,021	22,021
Mixed Recyclables	24,872	24,872	24,872	24,872	24,872
Construction and Demolition Debris	64	64	64	64	64
Hard to Handle or Bulky items	378	378	378	378	378
Special Handling	356	356	356	356	356
Special Pull Offs	1,578	1,578	1,578	1,578	1,578
Uncovered Loads Capable of Producing Litter (Per Ton)	32	32	32	32	32
Tires less than 36 inches	0	0	0	0	0
tires 36 inches to 60 inches	18	18	18	18	18
tires over 60 inches	0	0	0	0	0
Freon Extraction	0	0	0	0	0
Appliances	28	28	28	28	28
Total Inbound at Del Norte	293,986	293,986	293,986	293,986	293,986
New Indy – Direct Haul*	23,133	23,133	23,133	23,133	23,133
Total	317,119	317,119	317,119	317,119	317,119

* City direct hauls waste from New Indy to the Simi Landfill. Such tons are not directly processed through the City's Del Norte facility.

3.4. Key Assumptions: Revenues

The projected revenues for the System are based on the assumed customer demands of the System as applied to the current rates and fees. Approximately 90% of ER Fund Service revenues are derived from Rate Revenue. To validate the customer billing statistics relied upon in the calculation of the rates and fees of the System, Raftelis compared the product of the customer billing statistics and current rates to the audited and unaudited revenues of the System. Based on a comparison of the City's calculated revenues (i.e., revenue calculated based on the product of customer billing statistics to rates and fees) to reported revenues revealed the amounts were within an approximate 1% variance and therefore no adjustments were reflected to the rate revenues in determination of the revenue sufficiency of the existing rates for service.

The rate revenue projections shown below assume that the current Fiscal Year 2024 rates as presented in section 3.1 are effective throughout the Rate Study Period and, therefore, represent estimated revenues in the absence of any rate increase. Table 30 through 35 presents the revenues under the current rates for ER Fund Services.

Table 30: Projected Cart Collection Revenues Under Current Rates

Existing Revenues	2024	2025	2026	2027	2028	2029
Residential Customers						
Initial 64-Gallon Cart	\$833,477	\$833,477	\$833,477	\$833,477	\$833,477	\$833,477
Initial 96-Gallon Cart	\$11,334,920	\$11,334,920	\$11,334,920	\$11,334,920	\$11,334,920	\$11,334,920
Each Extra Container (Trash, Recycling, or Green Waste)	\$449,266	\$449,266	\$449,266	\$449,266	\$449,266	\$449,266
Multi-Family Customers						
Multi-Family Residential First Unit	\$0	\$0	\$0	\$0	\$0	\$0
Multi-Family Residential Second unit	\$156,397	\$156,397	\$156,397	\$156,397	\$156,397	\$156,397
Multi-Family Residential Third Unit	\$23,913	\$23,913	\$23,913	\$23,913	\$23,913	\$23,913
Multi-Family Residential Each unit in Excess of Three	\$11,856	\$11,856	\$11,856	\$11,856	\$11,856	\$11,856
Non-Residential Customers						
One 96-Gallon Cart	\$128,501	\$128,501	\$128,501	\$128,501	\$128,501	\$128,501
Two 96-Gallon Carts	\$38,385	\$38,385	\$38,385	\$38,385	\$38,385	\$38,385
Three 96-Gallon Carts	\$15,279	\$15,279	\$15,279	\$15,279	\$15,279	\$15,279
Four 96-Gallon Carts	\$7,184	\$7,184	\$7,184	\$7,184	\$7,184	\$7,184
Five 96-Gallon Carts	\$6,792	\$6,792	\$6,792	\$6,792	\$6,792	\$6,792
Four 96-Gallon Carts (2 pickups / week)	\$2,966	\$0	\$0	\$0	\$0	\$0
One 96-Gallon Cart GW and Recyclables	\$16,443	\$16,443	\$16,443	\$16,443	\$16,443	\$16,443
One 64-Gallon Cart GW and Recyclables (3 pick-ups / week)	\$130,141	\$130,141	\$130,141	\$130,141	\$130,141	\$130,141
Total Cart Revenues	\$13,155,519	\$13,152,553	\$13,152,553	\$13,152,553	\$13,152,553	\$13,152,553

(1) To validate the customer statistics, a revenue model based on the application of the customer statistics and the City's current charges for service was developed.

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Table 31: Projected Non-Residential Refuse Collection Revenues Under Current Rates

Description	2024	2025	2026	2027	2028	2029
Commercial Customers- Refuse						
2 - Yard Bin:						
2 - Yard Bin 1/week	\$1,045,087	\$1,045,087	\$1,045,087	\$1,045,087	\$1,045,087	\$1,045,087
2 - Yard Bin 2/week	\$1,120,374	\$1,120,374	\$1,120,374	\$1,120,374	\$1,120,374	\$1,120,374
2 - Yard Bin 3/week	\$583,983	\$583,983	\$583,983	\$583,983	\$583,983	\$583,983
2 - Yard Bin 4/week	\$249,571	\$249,571	\$249,571	\$249,571	\$249,571	\$249,571
2 - Yard Bin 5/week	\$119,014	\$119,014	\$119,014	\$119,014	\$119,014	\$119,014
2 - Yard Bin 6/week	\$125,378	\$125,378	\$125,378	\$125,378	\$125,378	\$125,378
2 - Yard Bin Shared:						
2 - Yard Bin Shared 1/week	\$13,554	\$13,554	\$13,554	\$13,554	\$13,554	\$13,554
2 - Yard Bin Shared 2/week	\$23,701	\$23,701	\$23,701	\$23,701	\$23,701	\$23,701
2 - Yard Bin Shared 3/week	\$34,056	\$34,056	\$34,056	\$34,056	\$34,056	\$34,056
2 - Yard Bin Shared 4/week	\$131,407	\$131,407	\$131,407	\$131,407	\$131,407	\$131,407
2 - Yard Bin Shared 5/week	\$12,940	\$12,940	\$12,940	\$12,940	\$12,940	\$12,940
2 - Yard Bin Compactor:						
2 - Yard Bin Compactor 3/week	\$38,378	\$38,378	\$38,378	\$38,378	\$38,378	\$38,378
2 - Yard Bin Compactor 4/week	\$11,087	\$11,087	\$11,087	\$11,087	\$11,087	\$11,087
4 - Yard Bin:						
4 - Yard Bin 1/week	\$1,404,552	\$1,404,552	\$1,404,552	\$1,404,552	\$1,404,552	\$1,404,552
4 - Yard Bin 2/week	\$2,772,370	\$2,772,370	\$2,772,370	\$2,772,370	\$2,772,370	\$2,772,370
4 - Yard Bin 3/week	\$2,691,844	\$2,691,844	\$2,691,844	\$2,691,844	\$2,691,844	\$2,691,844
4 - Yard Bin 4/week	\$1,271,799	\$1,271,799	\$1,271,799	\$1,271,799	\$1,271,799	\$1,271,799
4 - Yard Bin 5/week	\$764,980	\$764,980	\$764,980	\$764,980	\$764,980	\$764,980
4 - Yard Bin 6/week	\$1,111,122	\$1,111,122	\$1,111,122	\$1,111,122	\$1,111,122	\$1,111,122
4 - Yard Bin Shared:						
4 - Yard Bin Shared 1/week	\$6,928	\$6,928	\$6,928	\$6,928	\$6,928	\$6,928
4 - Yard Bin Shared 2/week	\$41,212	\$41,212	\$41,212	\$41,212	\$41,212	\$41,212
4 - Yard Bin Shared 3/week	\$37,397	\$37,397	\$37,397	\$37,397	\$37,397	\$37,397
4 - Yard Bin Shared 4/week	\$26,658	\$26,658	\$26,658	\$26,658	\$26,658	\$26,658
4 - Yard Bin Shared 5/week	\$0	\$0	\$0	\$0	\$0	\$0
4 - Yard Bin Shared 6/week	\$20,770	\$20,770	\$20,770	\$20,770	\$20,770	\$20,770
4 - Yard Bin Compactor:						
4 - Yard Bin Compactor 2/week	\$39,049	\$39,049	\$39,049	\$39,049	\$39,049	\$39,049
4 - Yard Bin Compactor 3/week	\$37,653	\$37,653	\$37,653	\$37,653	\$37,653	\$37,653
Total	\$13,734,864	\$13,734,864	\$13,734,864	\$13,734,864	\$13,734,864	\$13,734,864

Table 32: Projected Non-Residential Recycling and Green Waste Collection Revenues Under Current Rates

Description	2024	2025	2026	2027	2028	2029
Commercial Customers- Recycling and Green Waste						
2 - Yard Bin:						
2 - Yard Bin 1/week	\$237,674	\$237,674	\$237,674	\$237,674	\$237,674	\$237,674
2 - Yard Bin 2/week	\$158,616	\$158,616	\$158,616	\$158,616	\$158,616	\$158,616
2 - Yard Bin 3/week	\$47,184	\$47,184	\$47,184	\$47,184	\$47,184	\$47,184
2 - Yard Bin 4/week	\$8,237	\$8,237	\$8,237	\$8,237	\$8,237	\$8,237
2 - Yard Bin 5/week	\$4,867	\$4,867	\$4,867	\$4,867	\$4,867	\$4,867
2 - Yard Bin 6/week	\$5,615	\$5,615	\$5,615	\$5,615	\$5,615	\$5,615
2 - Yard Bin Shared:						
2 - Yard Bin Shared 1/week	\$2,253	\$2,253	\$2,253	\$2,253	\$2,253	\$2,253
2 - Yard Bin Shared 2/week	\$0	\$0	\$0	\$0	\$0	\$0
2 - Yard Bin Shared 3/week	\$0	\$0	\$0	\$0	\$0	\$0
2 - Yard Bin Shared 4/week	\$0	\$0	\$0	\$0	\$0	\$0
2 - Yard Bin Shared 5/week	\$0	\$0	\$0	\$0	\$0	\$0
2 - Yard Bin Shared 6/week	\$0	\$0	\$0	\$0	\$0	\$0
2 - Yard Bin Compactor:						
2 - Yard Bin Compactor 3/week	\$1,605	\$1,605	\$1,605	\$1,605	\$1,605	\$1,605
2 - Yard Bin Compactor 4/week	\$10,828	\$10,828	\$10,828	\$10,828	\$10,828	\$10,828
4 - Yard Bin:						
4 - Yard Bin 1/week	\$345,284	\$345,284	\$345,284	\$345,284	\$345,284	\$345,284
4 - Yard Bin 2/week	\$291,763	\$291,763	\$291,763	\$291,763	\$291,763	\$291,763
4 - Yard Bin 3/week	\$243,203	\$243,203	\$243,203	\$243,203	\$243,203	\$243,203
4 - Yard Bin 4/week	\$68,035	\$68,035	\$68,035	\$68,035	\$68,035	\$68,035
4 - Yard Bin 5/week	\$93,094	\$93,094	\$93,094	\$93,094	\$93,094	\$93,094
4 - Yard Bin 6/week	\$83,001	\$83,001	\$83,001	\$83,001	\$83,001	\$83,001
4 - Yard Bin Shared:						
4 - Yard Bin Shared 1/week	\$1,957	\$1,957	\$1,957	\$1,957	\$1,957	\$1,957
4 - Yard Bin Shared 2/week	\$3,421	\$3,421	\$3,421	\$3,421	\$3,421	\$3,421
4 - Yard Bin Shared 3/week	\$1,466	\$1,466	\$1,466	\$1,466	\$1,466	\$1,466
4 - Yard Bin Shared 4/week	\$0	\$0	\$0	\$0	\$0	\$0
4 - Yard Bin Shared 5/week	\$0	\$0	\$0	\$0	\$0	\$0
4 - Yard Bin Shared 6/week	\$0	\$0	\$0	\$0	\$0	\$0
4 - Yard Bin Compactor:						
4 - Yard Bin Compactor 1/week	\$2,623	\$2,623	\$2,623	\$2,623	\$2,623	\$2,623
4 - Yard Bin Compactor 2/week	\$0	\$0	\$0	\$0	\$0	\$0
Total	1,610,728	1,610,728	1,610,728	1,610,728	1,610,728	1,610,728

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Table 33: Projected Non-Residential Collection Revenues by Pick-up Under Current Rates

Description	2024	2025	2026	2027	2028	2029
Non-Residential Customers by Pick-up						
Industrial Roll Pass-through 30 CY	\$1,326,247	\$1,326,247	\$1,326,247	\$1,326,247	\$1,326,247	\$1,326,247
Industrial Walking Floor Trailers Pass-through	\$674,043	\$674,043	\$674,043	\$674,043	\$674,043	\$674,043
Industrial Roll Pass-through 13.4 CY	\$203,530	\$203,530	\$203,530	\$203,530	\$203,530	\$203,530
Transfer Trailers	\$472,993	\$472,993	\$472,993	\$472,993	\$472,993	\$472,993
10-yard Refuse	\$0	\$0	\$0	\$0	\$0	\$0
10-yard Recyclables And Green Waste	\$0	\$0	\$0	\$0	\$0	\$0
13.4-yard Refuse	\$218,049	\$218,049	\$218,049	\$218,049	\$218,049	\$218,049
13.4-yard Recyclables And Green Waste	\$34,571	\$34,571	\$34,571	\$34,571	\$34,571	\$34,571
20-yard Compacted Refuse	\$6,943	\$6,943	\$6,943	\$6,943	\$6,943	\$6,943
20-yard Compacted Recyclables And Green Waste	\$0	\$0	\$0	\$0	\$0	\$0
30-yard Refuse	\$811,984	\$811,984	\$811,984	\$811,984	\$811,984	\$811,984
30-yard Recyclables And Green Waste	\$33,877	\$33,877	\$33,877	\$33,877	\$33,877	\$33,877
30-yard Compacted Refuse	\$195,384	\$195,384	\$195,384	\$195,384	\$195,384	\$195,384
30-yard compacted Recyclables And Green Waste	\$2,409	\$2,409	\$2,409	\$2,409	\$2,409	\$2,409
30-yard Single Pickup Refuse	\$0	\$0	\$0	\$0	\$0	\$0
30-yard Single Pickup Recyclables And Green Waste	\$0	\$0	\$0	\$0	\$0	\$0
40-yard Refuse	\$553,392	\$553,392	\$553,392	\$553,392	\$553,392	\$553,392
40-yard Compacted Refuse	\$319,116	\$319,116	\$319,116	\$319,116	\$319,116	\$319,116
40-yard Compacted Recyclables And Green Waste	\$7,964	\$7,964	\$7,964	\$7,964	\$7,964	\$7,964
Total Pick-ups	\$4,860,503	\$4,860,503	\$4,860,503	\$4,860,503	\$4,860,503	\$4,860,503

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Table 34: Projected Gate Fees Under Current Rates

Description	2024	2025	2026	2027	2028	2029
Refuse	\$5,586,795	\$5,586,795	\$5,586,795	\$5,586,795	\$5,586,795	\$5,586,795
Green Waste	\$518,308	\$518,308	\$518,308	\$518,308	\$518,308	\$518,308
Mixed Recyclables	\$0	\$0	\$0	\$0	\$0	\$0
Construction and Demolition Debris	\$5,755	\$5,755	\$5,755	\$5,755	\$5,755	\$5,755
Hard to Handle or Bulky items	\$301,320	\$301,320	\$301,320	\$301,320	\$301,320	\$301,320
Special Handling	\$650	\$650	\$650	\$650	\$650	\$650
Special Pull Offs	\$179,025	\$179,025	\$179,025	\$179,025	\$179,025	\$179,025
Uncovered Loads Capable of Producing Litter (Per Ton)	\$360	\$360	\$360	\$360	\$360	\$360
Tires less than 36 inches	\$0	\$0	\$0	\$0	\$0	\$0
Tires 36 inches to 60 inches	\$240	\$240	\$240	\$240	\$240	\$240
Tires over 60 inches	\$0	\$0	\$0	\$0	\$0	\$0
Freon Extraction	\$0	\$0	\$0	\$0	\$0	\$0
Appliances	\$225	\$225	\$225	\$225	\$225	\$225
Additional Revenues from Min. Gate Fee	\$602,232	\$602,232	\$602,232	\$602,232	\$602,232	\$602,232
Total	\$7,194,910	\$7,194,910	\$7,194,910	\$7,194,910	\$7,194,910	\$7,194,910

Table 35: Projected Total Revenue Under Current Rates

Description	2024	2025	2026	2027	2028	2029
Collection						
Residential	\$12,168,396	\$12,168,396	\$12,168,396	\$12,168,396	\$12,168,396	\$12,168,396
Multi-Family	\$641,432	\$641,432	\$641,432	\$641,432	\$641,432	\$641,432
Commercial / Non-Residential						
Non-Residential Carts	\$345,690	\$342,724	\$342,724	\$342,724	\$342,724	\$342,724
Non-Residential Refuse Bins	\$13,734,864	\$13,734,864	\$13,734,864	\$13,734,864	\$13,734,864	\$13,734,864
Non-Residential Recycling and Green Waste Bins	\$1,610,728	\$1,610,728	\$1,610,728	\$1,610,728	\$1,610,728	\$1,610,728
Industrial	\$4,860,503	\$4,860,503	\$4,860,503	\$4,860,503	\$4,860,503	\$4,860,503
Disposal						
Gate Fees	\$7,194,930	\$7,194,930	\$7,194,930	\$7,194,930	\$7,194,930	\$7,194,930
Other						
Miscellaneous Revenues ⁽¹⁾	\$6,367,917	\$3,685,141	\$3,675,533	\$3,682,891	\$3,688,332	\$3,706,119
Total	\$46,924,461	\$44,238,719	\$44,229,111	\$44,236,469	\$44,241,910	\$44,259,697

(1) Amounts shown are presented in greater detail within Table 36.

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3.4.1. Other Revenues

Other ER Fund Service revenues include miscellaneous revenues, MRF recycle material sales, and investment income. The revenue from MRF recycle material sales is held constant throughout the forecast. Investment income was calculated by taking 1.25% of the average of the beginning and ending cash balances. As mentioned previously these other revenues equate to approximately 10% of gross revenues throughout the Rate Study Period.

Table 36: Projected Miscellaneous Under Current Rates

Description	2024	2025	2026	2027	2028	2029
ER Security and Contamination Prevention	\$177,441	\$177,441	\$177,441	\$177,441	\$177,441	\$177,441
MRF Recycle Material Sale	\$2,778,510	\$2,778,510	\$2,778,510	\$2,778,510	\$2,778,510	\$2,778,510
Rental Charges / Day Industrial	\$83,603	\$86,529	\$88,692	\$90,909	\$93,182	\$95,512
Del Norte Host Fee	\$27,718	\$28,688	\$29,405	\$30,140	\$30,894	\$31,666
Construction & Demolition Debris Fee	\$172,971	\$179,025	\$183,501	\$188,089	\$192,791	\$197,611
Mattress Recycling	\$50,000	\$51,750	\$53,044	\$54,370	\$55,729	\$57,122
Residential Split Container Fee	\$4,934	\$5,107	\$5,235	\$5,366	\$5,500	\$5,638
Residential Green Waste Container Fee	\$6,324	\$6,545	\$6,709	\$6,877	\$7,049	\$7,225
Residential Split Container Truck Fee	\$5,284	\$5,469	\$5,606	\$5,746	\$5,890	\$6,037
Residential Green Waste Truck Fee	\$11,582	\$11,987	\$12,287	\$12,594	\$12,909	\$13,232
Commercial Front End Loader Truck Fee	\$9,401	\$9,730	\$9,973	\$10,222	\$10,478	\$10,740
Investment Income	\$176,021	\$94,378	\$75,148	\$72,644	\$67,976	\$75,402
IUF Reimbursements	\$2,614,128	\$0	\$0	\$0	\$0	\$0
Late Payment / Penalties Allowance	\$250,000	\$249,982	\$249,982	\$249,982	\$249,982	\$249,982
Total	6,367,917	3,685,141	3,675,533	3,682,891	3,688,332	3,706,119

3.5. Expenditures

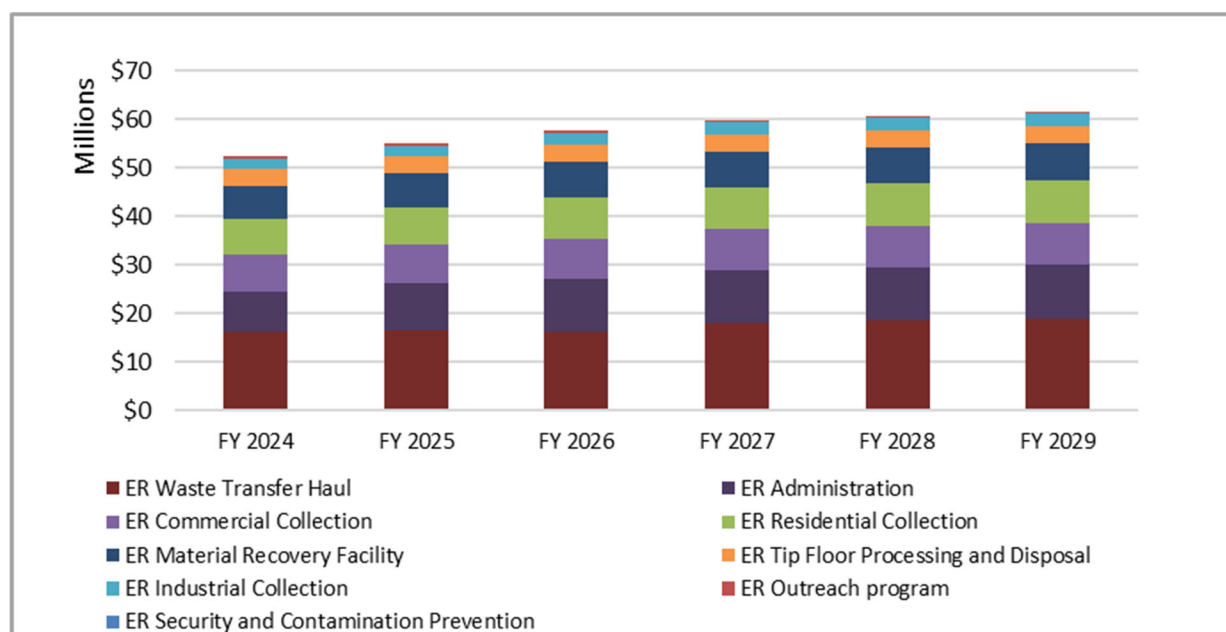
The expenditures during the Rate Study Period are primarily comprised of (1) operation and maintenance (operating) expenses; and (2) capital outlays.

3.5.1. Assumptions: Operating Expenses

The forecast of operating expenses was developed based upon: i) a review of the recent trends in historical expenses; ii) the Fiscal Year 2024 budget, iii) assumed growth rates and escalation factors to account for inflation in the costs of operation; and iv) discussions with the City staff. Figure 4 shown below presents an overview of the operating expense projections by cost center.

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Figure 4: Operating Expense Forecast by Budgetary Cost Center



The ER Waste Transfer Haul cost center is the largest cost center for the System and is primarily comprised of disposal, supplementary waste hauling contracts, and personnel costs. Table 37 shown below presents a forecast of the operating expenses for the System by major category of expense. Overall, the single greatest expense is personnel, which has a growth rate of 2.9% per year. The assumed reduction in contractual operations during the Fiscal Year 2026 is related to the elimination of contracted waste hauling service due to the planned addition of two new collection routes. The forecast assumes incremental operating costs to account for the additional labor, fuel, maintenance, and vehicle purchases.

Table 37: Operating Expense Summary

Description	2024	2025	2026	2027	2028	2029	Growth
Personnel Expenses	\$23,847,782	\$24,563,218	\$25,937,342	\$26,694,291	\$26,694,291	\$26,694,291	2.9%
Fleet	\$1,344,235	\$1,391,283	\$1,426,065	\$1,461,716	\$1,498,258	\$1,535,714	3.4%
Disposal	\$11,975,304	\$12,343,707	\$12,705,492	\$13,077,542	\$13,459,886	\$13,855,604	3.7%
Other Contracted Services	\$3,255,682	\$3,369,631	\$2,522,373	\$2,585,431	\$2,650,068	\$2,716,320	(4.4%)
Maintenance / Fuel	\$4,624,184	\$4,855,394	\$5,283,398	\$6,054,380	\$6,205,740	\$6,360,885	8.3%
Indirect Cost & IUF Payments	\$2,107,536	\$3,205,534	\$4,284,301	\$4,340,197	\$4,397,491	\$4,456,217	20.6%
Utilities	\$220,869	\$228,599	\$234,314	\$240,171	\$246,175	\$252,329	3.4%
Supplies	\$1,702,295	\$1,769,944	\$1,839,367	\$1,911,535	\$1,986,552	\$2,064,535	4.9%
Professional Services	\$2,619,183	\$2,710,855	\$2,778,627	\$2,848,092	\$2,919,296	\$2,992,279	3.4%
Insurance	\$800,761	\$828,789	\$849,507	\$870,745	\$892,514	\$914,826	3.4%
Total	\$52,497,831	\$55,266,954	\$57,860,786	\$60,084,101	\$60,950,272	\$61,843,001	4.2%

Disposal costs represent the next largest funding requirement accounting for 18% of the total operating expenditures of the System. The disposal fees are calculated for the System through the waste tonnage reports

and tipping fees charged by Simi Landfill. Tipping fees increased by 5.06% from Fiscal Year 2022 and Fiscal Year 2023, and it is assumed that these tipping fees will increase by a more modest 3% rate throughout the Rate Study Period. Table 38 details the calculation of the disposal costs for the Rate Study Period.

Table 38: Projected Disposal Costs

Description	2024	2025	2026	2027	2028	2029
Refuse Disposal Costs - Simi Landfill						
Cost per Ton	\$33.62	\$34.63	\$35.67	\$36.74	\$37.84	\$38.98
Refuse Tons	294,938	294,938	294,938	294,938	294,938	294,938
Calculated Costs	\$9,915,672	\$10,213,703	\$10,520,438	\$10,836,022	\$11,160,454	\$11,496,683
Organics and Other Disposal Costs	\$347,049	\$357,480	\$368,215	\$379,261	\$390,616	\$402,384
Total	\$10,262,720	\$10,571,183	\$10,888,654	\$11,215,283	\$11,551,070	\$11,899,067

Together, the disposal costs and personnel expenses account for approximately \$37.4 million or 64% of total operating expenses. The balance of operating expenses is for maintenance, repayment of indirect services, and other expenses.

As shown in Table 37, pursuant to the discussions with City staff, an annual transfer of approximately \$2 million to the General Fund is assumed to reinstate the Infrastructure Use Fees (IUF) beginning midyear in the Fiscal Year 2025.

In aggregate, the total operating expenses for Solid Waste Services are estimated to increase by 4.2% annually. For additional details concerning the projection of operating expenses please reference Appendix B at the end of this Study.

3.5.2. Assumptions: Escalation Factors

The operating expenses as presented in section 3.5.1 relied upon the application of inflation factors to the Fiscal Year 2024 operating expense budget and are presented in the following table. To ensure that future costs are reasonably projected, Raftelis worked with City staff to confirm the inflation escalation assumptions below.

Table 39: Inflationary Assumptions

Description	2025	2026	2027	2028	2029
Inflation - General	3.50%	2.50%	2.50%	2.50%	2.50%
Salary/Benefits	3.00%	3.00%	0.00%	0.00%	0.00%
Material and Supplies	4.00%	4.00%	4.00%	4.00%	4.00%
Utility	3.50%	3.50%	3.50%	3.50%	3.50%
Professional Services	3.50%	2.50%	2.50%	2.50%	2.50%
Maintenance / Fuel	5.00%	4.00%	2.50%	2.50%	2.50%
Supplemental Fleet Hauling	3.50%	-57.50%	2.50%	2.50%	2.50%
Capital	3.30%	3.30%	3.30%	3.30%	3.30%
Indirect Costs	3.50%	2.50%	2.50%	2.50%	2.50%
Customer Growth	0.00%	0.00%	0.00%	0.00%	0.00%

3.5.3. Assumptions: Capital Expenditures

The City has developed a comprehensive Capital Improvement Plan (CIP) over the course of the Rate Study Period. Over the next five (5) years (Fiscal Year 2024 – Fiscal Year 2029) the City has allocated \$65.2 million into capital funding. The following table presents a summary of the planned annual capital spending over the Rate Study Period, which is expected to average approximately \$10.9 million annually. The capital needs are primarily related to the need to replace the materials recovery facility (MRF) and MRF equipment that has reached the end of its useful life. Overall, the replacement facilities and facility improvements including equipment accounts for 72% of total capital expenditures between Fiscal Year 2024 – Fiscal Year 2029.

Fleet comprises the remaining 28% of the total CIP expenditure, and the City has planned to purchase additional vehicles to address planned level of service needs. Raftelis worked with City staff to develop a fleet replacement plan generally assuming 8- year service life for residential automatic side loaders, commercial front loaders, commercial roll-offs, commercial transfer trucks, and commercial rear end loaders. Based on discussions with City staff, to meet service demands placed upon the City (explained in Section 3.2), level of service goals, additional fleet vehicles and routes were assumed by the Fiscal Year 2026.

The Solid Waste Services vehicle fleet is subject to the new Advanced Clean Fleets regulations. The Advanced Clean Fleets (ACF) regulation is part of CARB's overall approach to accelerate a large-scale transition to zero-emission medium- and heavy-duty vehicles. These requirements are pursuant to the Governor's executive order N-79-20. This applies to local government fleets with common ownership of more than 50 vehicles and requires State and local government fleets, including city, county, special district, and State agency fleets, are required to ensure 50 percent of vehicle purchases are zero-emission beginning in 2025 and 100 percent of vehicle purchases are zero-emission by 2027. It should be noted that due to the planned timing of vehicle additions in the Rate Study Period, the City will not be required to purchase electric vehicles until Fiscal Year 2029. The City is also planning to use the ZEV Milestone Option for regulatory compliance which allows for the phased purchase of zero emission vehicles as presented below.

Table 40: ZEV Milestone Options

Percentage of vehicles that must be zero-emission	10%	25%	50%	75%	100%
Milestone Group 1: Box trucks, vans, buses with two axles, yard tractors, light-duty package delivery vehicles	2025	2028	2031	2033	2035 and beyond
Milestone Group 2: Work trucks, day cab tractors, buses with three axles	2027	2030	2033	2036	2039 and beyond
Milestone Group 3: Sleeper cab tractors and specialty vehicles	2030	2033	2036	2039	2042 and beyond

Source: <https://ww2.arb.ca.gov/resources/fact-sheets/advanced-clean-fleets-regulation-summary>

Table 41: Capital Improvement Plan: Full Capital

Description	Funding Source	2024	2025	2026	2027	2028	2029
CIP Projects							
Compressed Natural Gas Facility Construction	Proposed Debt	\$0	\$0	\$1,317,075	\$0	\$0	\$0
Del Norte Facility Tip Fee Floor Construction	Reserves	\$693,141	\$0	\$0	\$0	\$0	\$0
Scale House Refurbishing	Reserves	\$2,251,675	\$0	\$0	\$0	\$0	\$0
Refuse Vehicles & Trucks	Existing Debt	\$0	\$0	\$0	\$0	\$0	\$0
Roof Replacement Del Norte Facility	Proposed Debt	\$0	\$0	\$3,750,000	\$3,750,000	\$0	\$0
Roof Repair Del Norte	Proposed Debt	\$0	\$200,000	\$0	\$0	\$0	\$0
Video Surveillance Del Norte	Proposed Debt	\$0	\$0	\$300,000	\$0	\$0	\$0
New/ R&R of Facilities							
MRF Replacement	Proposed Debt	\$0	\$0	\$3,000,000	\$15,000,000	\$15,000,000	\$0
Transfer Station - Tractor Grapple	Proposed Debt	\$0	\$275,000	\$0	\$0	\$0	\$0
Facility Improvements (Locker rooms, showers, weld shop, paint booth)	Proposed Debt	\$0	\$0	\$1,650,000	\$0	\$0	\$0
Model Assumed Capital Plan Replacement							
Equipment (Yellow Iron,	Lease	\$99,477	\$414,746	\$174,337	\$34,137	\$0	\$0
Replacement of Existing Containers / Roll-offs	Reserves	\$0	\$0	\$0	\$0	\$0	\$0
New Containers / Roll-offs	Reserves	\$0	\$0	\$0	\$0	\$0	\$0
Light Vehicles	Lease	\$0	\$243,122	\$130,822	\$0	\$0	\$115,660
Light Vehicles - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$127,226
Automatic Side Loader	Lease	\$0	\$2,472,000	\$5,092,320	\$0	\$0	\$0
Automatic Side Loader - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Automatic Side Loader New	Lease	\$0	\$1,828,250	\$0	\$0	\$0	\$0
Automatic Side Loader New - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Front-end Loaders	Lease	\$0	\$1,442,000	\$742,630	\$0	\$0	\$0
Front-end Loaders - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Front-end Loaders - New	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Front-end Loaders - New - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Roll-Offs	Lease	\$0	\$1,545,000	\$795,675	\$0	\$0	\$0
Roll-Offs - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Roll-Offs - New	Lease	\$0	\$247,715	\$0	\$0	\$0	\$0
Roll-Offs - New - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Rear-end Loader	Lease	\$0	\$339,900	\$0	\$0	\$0	\$0
Rear-end Loader - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Rear-end Loader - New	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Rear-end Loader - New - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Transfer Trucks	Lease	\$92,879	\$1,253,447	\$149,275	\$0	\$12,850	\$0
Transfer Trucks - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Transfer Trucks - New	Lease	\$0	\$0	\$700,194	\$0	\$0	\$0
Transfer Trucks - New - Electrification Markup	Lease	\$0	\$0	\$0	\$0	\$0	\$0
Total Capital Projects		\$3,137,172	\$10,261,180	\$17,802,329	\$18,784,137	\$15,012,850	\$242,886

3.5.4. Existing and Proposed Debt Service

The solid waste fund currently pays capital leases from 2018 and 2021. This expense is currently about \$2 million per year and is anticipated to decrease to about \$1,990,394 in Fiscal Year 2028 and then \$0 per year by the end of the Rate Study Period. The Study assumes additional debt funding to finance the capital improvement plan. Debt funding is assumed for the MRF replacement facility and the replacement equipment. Capital leases are assumed for new vehicles in the forecast.

Table 42: Existing and Proposed Debt Service

Description	2024	2025	2026	2027	2028	2029
Existing Debt						
Capital Lease – 2021	\$1,537,189	\$1,537,188	\$1,537,188	\$1,537,188	\$1,537,189	0
Capital Lease – 2018	\$584,075	\$584,075	\$584,075	\$584,075	\$584,075	0
Proposed Debt						
Loan Project Deposit	\$0	\$0	\$9,717,075	\$18,750,000	\$15,000,000	\$0
Issue Costs	\$0	\$0	\$97,171	\$187,500	\$150,000	\$0
Total Loan Amount	\$0	\$0	\$9,814,246	\$18,937,500	\$15,150,000	\$0
Finance Rate (%)	5.12%	5.12%	5.12%	5.12%	5.12%	5.12%
Term (years)	20	20	20	20	20	20
Issue Costs (%)	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>
Debt Service Payment	\$0	\$0	\$795,396	\$2,330,186	\$3,558,018	\$3,558,018
Proposed Capital Lease						
Loan Project Deposit	\$192,356	\$10,061,180	\$7,785,254	\$34,137	\$12,850	\$242,886
Issue Costs	\$1,924	\$100,612	\$77,853	\$341	\$128	\$2,429
Total Loan Amount	\$194,280	\$10,161,792	\$7,863,106	\$34,478	\$12,978	\$245,315
Finance Rate (%)	3.26%	3.26%	3.26%	3.26%	3.26%	3.26%
Term (years)	7	7	7	7	7	7
Issue Costs	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>	<u>1.00%</u>
Calculate Payments	\$31,495	\$1,678,837	\$2,953,537	\$2,959,126	\$2,961,230	\$3,000,998
Existing and Proposed Debt Payments	\$2,152,758	\$3,800,101	\$5,870,195	\$7,410,576	\$8,509,642	\$6,559,016

Pursuant to discussions with City staff, the Department is not expected to issue debt prior to the Fiscal Year 2026. City staff anticipates renting vehicles until the Fiscal Year 2026.

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Table 43 details the adjusted capital lease payments assuming garbage vehicles are rented on a long term basis.

Table 43: Capital Lease Payment Adjustments

Description	2024	2025	2026	2027	2028	2029
Existing Debt						
Capital Lease – 2021	\$1,537,189	\$1,537,188	\$1,537,188	\$1,537,188	\$1,537,189	0
Capital Lease – 2018	\$584,075	\$584,075	\$584,075	\$584,075	\$584,075	0
Proposed Debt						
Debt Service Payment	\$0	\$0	\$795,396	\$2,330,186	\$3,558,018	\$3,558,018
Proposed Capital Lease						
Calculate Payments	\$31,495	\$1,678,837	\$2,953,537	\$2,959,126	\$2,961,230	\$3,000,998
Rental Cost Adjustment	\$33,390	\$2,258,579	\$0	\$0	\$0	\$0
Adjusted Payment	\$64,885	\$3,937,416	\$2,953,537	\$2,959,126	\$2,961,230	\$3,000,998
Existing and Proposed Debt Payments	\$2,186,148	\$6,058,680	\$5,870,195	\$7,410,576	\$8,509,642	\$6,559,016

3.5.5. Minimum Cash Reserve Targets

Industry best practices recognize the need and benefit of maintaining minimum Reserves to provide necessary liquidity to fund day to day operations, provide resources to appropriate funds for expected capital outlays, and provide a reasonable allowance for unexpected needs. Pursuant to discussions with City staff the Study assumed the City's currently adopted Reserve policy pursuant to Resolution No.#15718:

- **Operating Fund Reserve Target:** the City Council will endeavor to maintain operating reserves equal to 25% of the operating budget reserve. This would be equivalent to 90 days of operating expenses, which was assumed to provide adequate liquidity for day-to-day operations and provide a reasonable allowance for unexpected costs.

Table 44 presents the forecast of minimum Reserve targets assumed for the Study.

Table 44: Operating Reserve Target

Description	2024	2025	2026	2027	2028	2029
Operating Reserves Target	\$12,944,671	\$13,627,468	\$14,267,043	\$14,815,258	\$15,028,834	\$15,248,959

As previously noted, based on direction from City staff, it was acceptable for Reserves to fall below the target noted in the City's policy in order to help mitigate rate adjustments to customers so long as the Reserves were rebuilding within the final years of the forecast. City Council was informed of this and agreed when making its recommendation at the December 5, 2023 meeting.

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4. Solid Waste Financial Plan

This section combines the assumptions previously discussed in the Study to determine the overall revenue adjustments and total amount of revenue required from rates. The revenue covers operating and maintenance (O&M), debt service, and capital expenses as well as Reserve funding. Revenue adjustments represent the average rate increase for the City as a whole; rate changes for individual customers will depend on the cost-of-service analysis described in the following chapter.

Financial plan assumptions previously discussed in Section 3 were reviewed in detail by City staff. To develop the financial plan, Raftelis projected annual expenses and revenues, modeled Reserves, and planned capital expenditures. The City is anticipating financing the capital improvements through capital leases and debt. It is important to note that the most recently audited financial statements reported a \$4 million net revenue loss for Fiscal Year 2022, and that the Fiscal Year 2024 adopted budget assumed net revenue losses. Due to the deficit in the ER Fund and need for significant rate increases, the Study contemplated four scenarios and two sensitivities ranging in capital expenditures and revenue adjustments. Per direction from City Council on December 5th, 2023, the Department was to further explore “Scenario 3 Rate Adjustments with Full Capital Expenditures” as detailed in the following section.

4.1. Rate Adjustments and Full Capital Expenditures

This scenario assumes all funding requirements including operating, capital, and proposed capital lease and debt payments. The key assumptions include:

- Fiscal Year 2023/2024 Served as the baseline for the Rate Study Period as adjusted for actual operating results and known cost increases;
 - The operating expense growth is estimated at 4.2% per year;
- Assumes some additional collection routes to maintain regulatory compliance with AB1826, AB827, SB1383 and regular service demands;
- Assumes Infrastructure Use Fee (IUF) repayments from the City to ER ends in Fiscal Year 2024;
- Assumes resumption of IUF payments in Fiscal Year 2025 to the City;
- \$40.7M reinvestment to MRF including new equipment and roof Fiscal Year 2026 - Fiscal Year 2028
- Replacement of vehicles at end of life in Fiscal Year 2024/2025
- Compliance with CARB Zero Emissions Vehicle Requirements
- Primarily relies on use of capital leases and debt to fully fund capital expenditures

The following chart presents a forecast of the funding requirements assuming additional revenue adjustments. The debt assumptions observed to fully fund the CIP assume: i) \$43.9 million in 20-year loans at 5.1% interest for the facility capital improvements; and ii) approximately \$18.5 million in capital leases through the Fiscal Year 2029 with a 7-year repayment at 3.3% interest. Total debt and capital lease payments are expected to increase from approximately \$2 million a year to \$8.5 million a year at its highest level by the Fiscal Year 2028.

Figure 5: Proposed Solid Waste Financial Plan

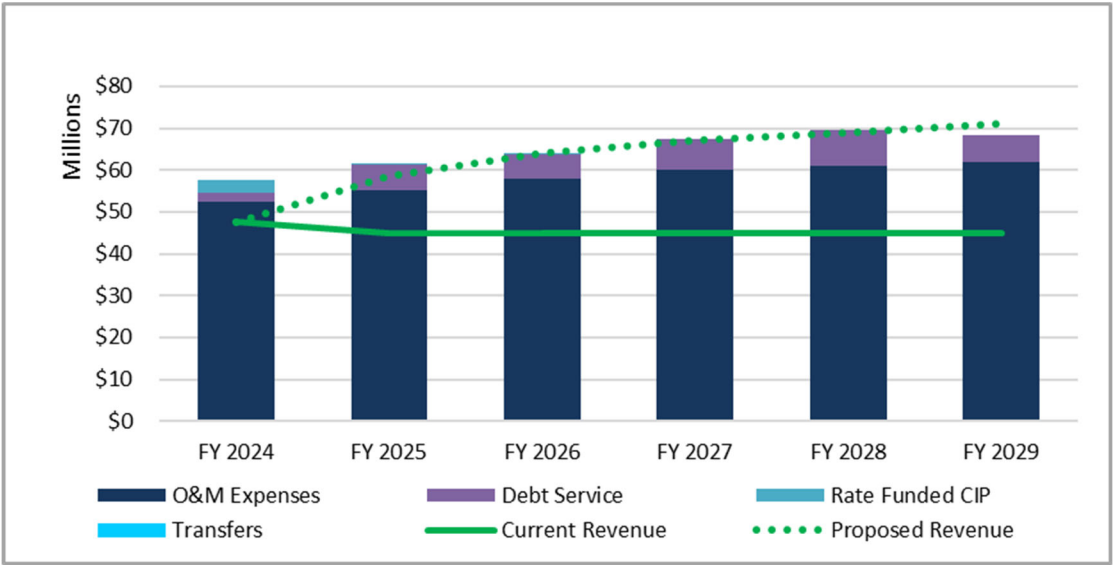


Table 45 shows proposed Revenue Adjustments for the Rate Study Period and highlights the five-year study recommendation period. Implementation for the proposed rate adjustments were assumed at the outset of each fiscal year beginning on July 1, 2024. As previously discussed, the Revenue Adjustments were reduced from the initial plan presented to City council on December 5th, 2023 to limit the bill impacts to customers associated with additional changes due to the cost of service allocation. Several Revenue Adjustment scenarios were presented to Council at the meeting and Council supported Scenario 3. Scenario 3 can be described as fully funding the projected revenue requirements with greater near-term rate adjustments, but lower overall increases over the five years relative to Scenario 4 which represented the phase-in option with the same funding requirements. It is recommended that the need for any future identified Revenue Adjustment be reviewed in the Fiscal Year 2028 or earlier in the event of a significant change in conditions during the recommendation period which would necessitate a change in rate revenues (i.e., changes in customer demands, regulations, cost of contracted disposal, etc.).

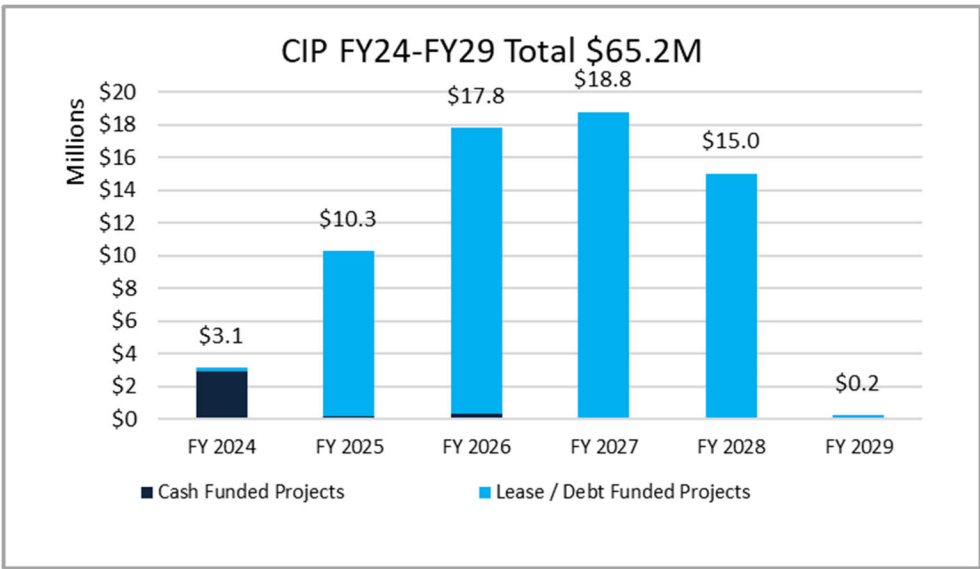
Table 45: Proposed ER Fund Rate Revenue and Associated Fee Adjustments

Effective Date	Preliminary Plan (Scenario 3)	Adjusted & Recommended Plan
July 1, 2024	50.00%	33.00%
July 1, 2025	8.00%	10.00%
July 1, 2026	5.50%	5.25%
July 1, 2027	5.00%	3.00%
July 1, 2028	3.00%	2.84%

The table below shows the proposed capital financing plan over the Rate Study Period. The Study assumes that capital is funded by Reserves, existing debt proceeds, proposed capital leases, and future proposed debt

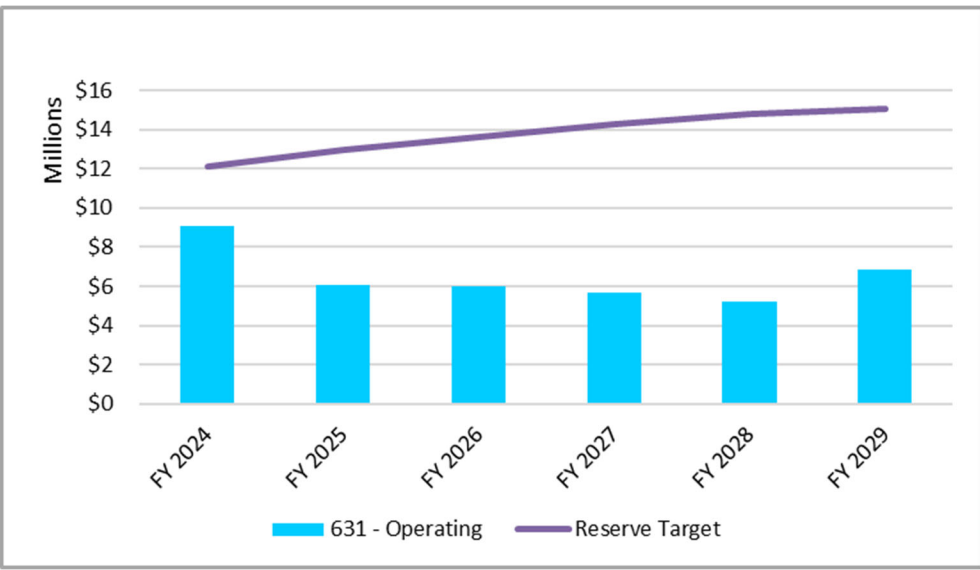
issuances. It is important to note that this proposed scenario assumes allowances for capital needs for going concern of operations (including a new MRF) and addresses the level needs associated with additional routes.

Figure 6: Solid Waste Capital Financing Plan



The Figure below shows projected operating ending balances over the Rate Study Period relative to the City’s total Reserve targets under the proposed financial plan. Based on the increases in budgeted operating expenses, capital, and existing revenues the operating fund has been depleted with minimal rate adjustments over a decade. Assuming implementation of the recommended rate revenue and fee adjustments, the City is projected to achieve 50% of the targeted minimum Reserve target and is projected to rebuild such Reserves in the final year of the Rate Study Period.

Figure 7: Proposed Ending Cash Reserves



5. Solid Waste Cost-of-Service Analysis

As previously described, the process of determining the cost-of-service begins with the allocation of revenue requirements and income and funds from other sources among the various services and customer classes. The main difference in costs among the customer classes relate to the frequency of collection, container size, waste generation, and services provided (i.e., residential customers receive collection services weekly for all three material streams). Additionally, the City relies on different fleet configurations to provide service for the various customer classes including the residential, commercial and industrial service classes. After costs are allocated by customer class, the equivalent units are then calculated based on the product of the container size and collection frequency, among other considerations, to calculate an equivalent unit cost. The equivalent unit costs are then used to calculate the rates for service based on the size and frequency of collection. In development of the proposed rates, the cost-of-service presents the final year of the cost allocation as Fiscal Year 2029. The rate schedules developed for the Fiscal Year 2025 through the Fiscal Year 2029 are based on the cost allocation and the Revenue Adjustment previously discussed throughout this Study. This section presents the details of the cost-of-service analysis conducted for the City's solid waste services to customers.

5.1. Allocations of Net Revenue Requirement

Net revenue requirements are defined as the net costs to be recovered from the proposed rates or fees for service. They are calculated by taking the gross revenue requirements comprising the operating expenses, debt service, and other costs, less income and funds from other sources resulting in the net revenue requirements. A cost-of-service analysis distributes a utility's net revenue requirement (net costs) based on service to each customer class. Pursuant to the City's budget, operating costs are functionalized by cost center and function. Table 46 provides an overview of the operating budget cost center functions. These costs were then allocated to the customer classes based on several factors such as tonnages, Equivalent billing units (EBU) primarily determined by the service frequency times the number and size of containers, number of containers, capital costs of vehicles by service type and other factors as presented in Table 51. Appendix E provides details concerning the assumptions in development of the allocation factors and Appendix B provides details concerning the line item allocation of costs.

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The cost allocation factors were developed after review of the City's operating budgets and interviews with City staff. The factors were based on our understanding of the nature of the costs in order to assign reasonable appropriate cost allocation factors. Table 46 below provides a summary of the description and function of the City's current operating budget cost centers which account for the majority of the allocated costs.

Table 46: Operating Cost Center Descriptions

Budgetary Cost Center	Cost Center Description
ER Administration	Overhead costs and Infrastructure Use Fees (IUF) Payments. Note IUF Payments are charged to residential single family residences only and do not apply to other residential units such as apartment complexes and mobile home parks.
ER Cleanup and Illegal Dumping	This cost center includes bulky collection staff for residential service. Costs in the study only reflect bulky collection service costs.
ER Commercial Collection	Collection and disposal services for commercial can and 2/4 cy bin trash, recycling, green waste, and organics
ER Industrial Collection	Collection and disposal services for industrial >4 cy bin trash, recycling, green waste, and organic
ER Material Recovery Facility	Reflects cost to operate the Del Norte MRF predominantly related to recycling, however also includes the green waste disposal cost
ER Outreach program	Provides general public education related to the City's solid waste programs
ER Residential Collection	Collection and disposal services for residential 3 cart service
ER Tip Floor Processing and Disposal	Reflects cost of the Del Norte facility scale and tipping floor operations. All materials inbound to the facility are weighed and pass-through the scales.
ER Waste Transfer Haul	All materials for disposal are processed through this cost center, with exception to the green waste disposal costs which are separately accounted for within the ER MRF cost center. The primary cost accounted for in this cost center are the landfill disposal costs.

In addition to the operating expenses, the City's rates also recover the cost of debt service repayments which can be categorized into either capital lease payments for purchase of vehicles and facility debt service payments issued to fund major facilities such as the Del Norte facility.

The City charges a variety of service fees, receives revenues from the sale of recoverable materials, and generates income from late payment fees and investment on Reserves. Together this income helps offset the cost recovered from rates, which is also referred to as the net revenue requirements as previously discussed.

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Table 47 presents a summary of the allocation percentages based on the various cost allocation metrics relied upon for the study. The allocation factors are calculated and presented in Appendix E. These factors were developed specifically for the format of the City's operating budget and costs. Since the forecast assumes no growth the statistical data relied upon for the cost allocations remains constant during the Rate Study Period.

Table 47: COS Allocations for Residential, Commercial, & Industrial

Collection & Disposal COS Allocations	Residential (96-gallon Cans)			Commercial				Industrial	Disposal			
	Trash	Recycling	Green Waste	Trash (96 gal)	Trash (2/4 CY)	Organic (64 gal)	Recycle & GW	Roll-off	Refuse	Recycling ¹	Green Waste	Organics
Residential EBU Allocator	34.1%	33.0%	33.0%	0.0%	0.0%	0.0%	0.0%	0.0%	n/a	n/a	n/a	n/a
Commercial EBU Allocator	0.0%	0.0%	0.0%	0.6%	80.0%	0.5%	18.8%	0.0%	n/a	n/a	n/a	n/a
Recycling EBUs	0.0%	73.4%	0.0%	0.0%	0.0%	0.0%	25.0%	1.7%	n/a	n/a	n/a	n/a
EBU Allocator	19.7%	19.1%	19.1%	0.2%	27.8%	0.2%	6.5%	7.3%	n/a	n/a	n/a	n/a
Customer Accounts/Bins	28.8%	28.8%	28.8%	0.8%	9.0%	0.5%	2.9%	0.4%	n/a	n/a	n/a	n/a
Available Recycling + Available MSW Diversion Tons	37.6%	0.0%	0.0%	0.4%	52.8%	0.0%	0.0%	9.2%	n/a	n/a	n/a	n/a
Direct Residential Trash	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	n/a	n/a	n/a	n/a
Direct Industrial / Roll-Off Collection	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%	n/a	n/a	n/a	n/a
Containers	31.9%	31.9%	31.9%	0.4%	2.9%	0.1%	0.9%	0.1%	n/a	n/a	n/a	n/a
Composite Expense	10.7%	6.1%	6.1%	0.1%	16.8%	0.1%	2.7%	5.1%	45.4%	2.1%	3.7%	0.0%
Facility Debt Service Allocator	1.3%	63.0%	1.3%	0.0%	1.9%	0.0%	21.4%	1.9%	8.0%	0.4%	0.6%	0.0%
Collection Route Vehicle Costs	17.9%	17.9%	13.9%	2.0%	17.9%	4.0%	4.0%	10.8%	10.4%	0.5%	0.7%	0.0%
SIMI Disposal Cost Tons	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	96.1%	0.0%	0.0%	0.0%
Tip Floor Tons	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	84.0%	8.5%	6.3%	0.0%
Total Tons	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	85.2%	7.8%	5.9%	0.0%
Transfer Trailer Tonnage Allocator	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	88.3%	4.5%	6.1%	0.0%
Direct GW Disposal	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0.0%	0.0%	100.0%	0.0%

1) Allocation factor shown reflects the estimated recyclables within the MSW stream based on State Mandates and Cal Recycle's waste composition estimates plus actual tons recycled by customer class. The allocation factor is used in the COS to allocate the MRF facility costs which was constructed to recover all recyclables from the MSW waste stream.

Shown in Table 47 are the facility debt service allocator and capital lease payment allocator. These two respective allocation factors were based on the existing and proposed debt proceed uses. With respect to the facility debt allocator, the majority of the debt is issued for the Del Norte MRF and was allocated to the recycling function. With respect to the capital lease payments, such costs were allocated based on the cost of the fleet assignable to the various services and functions by cost category and customer class. The allocation factors were then applied to the projected revenue requirements of the Division including a detailed allocation of the operating expenses by cost center and object code line item. Table 48 presents a summary of this allocation, which is presented in greater detail within Appendix C.

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Table 48: Fiscal Year 2029 Revenue Requirements Allocation Assumptions

Description	Allocation Factor	FY 2029	Notes
Operating Expenses			
Administration	Tons	\$11,016,635	IUF Franchise Fee Payments are allocated by Residential EBUS
Cleanup and Illegal Dumping	Res Bulky	\$279,519	Direct to Residential Trash
Commercial Collection	Com EBUs	\$8,610,823	Direct to Commercial EBU's
Industrial Collection	Direct Ind	\$2,530,088	Direct to Industrial EBU's
Material Recovery Facility	Avail Rcyc Tons	\$7,497,376	Available Recycling Tons and Green Waste Disposal was allocated by Green Waste EBUS
Outreach program	EBUs	\$466,255	Equivalent Billing Unit across Services
Residential Collection	Res EBUs	\$8,836,036	Direct to Residential EBU's
Tip Floor Processing & Disposal	Tip Floor Tons	\$3,693,600	Tip Floor Tonnages
Waste Transfer Haul	Transfer Tons	\$18,912,669	Transfers Tonnages and Disposal allocated by Simi Tonnages
		<u>\$61,843,001</u>	
Debt Service			
Proposed Debt Service	Facility Debt	\$3,558,018	Facility Debt Allocator
Proposed Capital Leases	Fleet Capital/Debt	\$3,000,998	Fleet Capital Debt Service Allocator
Other Revenues			
Other Miscellaneous Revenues	Tons	\$424,783	Total System Tonnages
Breakout Security & Contamination	Container	\$177,441	Containers
MRF Recycle Material Sale	Rcyc EBUs	\$2,778,510	Recycling EBU's
Investment Income	Tons	\$75,402	Total System Tonnages
Grants / Reimbursements	Tons	\$0	Total System Tonnages
IUF Reimbursements	Res EBUs	\$0	Direct to Residential EBU's
Late Payment / Penalties Allowance	Res Bulky	\$249,982	Direct to Residential Trash
Net Funding Requirements		<u>\$64,695,898</u>	
Deposits to / from Working Capital	CompExp	\$1,589,513	Composite of Total Expenses
Cost Recovery for Rate Design		<u>\$66,285,411</u>	

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Table 49: Cost Allocations and Unit Cost Summary for Collection Services

	Collection Rate Unit Costs FY29							
	Residential Containers			Commercial Containers				Industrial
	Trash	Recycling	Green Waste	Trash Service (96 gal)	Trash	Organic (64 gal)	Recycling & GW	Roll-off Collection Only
Collection								
Operating Expenses	\$6,625,303	\$3,745,040	\$3,745,040	\$81,936	\$10,403,803	\$48,195	\$1,661,020	\$3,152,831
Debt	\$584,182	\$2,778,318	\$463,503	\$60,127	\$603,635	\$119,609	\$881,993	\$390,391
Less Income & Funds from Other Sources	\$306,565	\$2,095,332	\$56,583	\$622	\$5,059	\$255	\$695,133	\$46,384
Net Funding Requirements	\$6,902,920	\$4,428,026	\$4,151,960	\$141,441	\$11,002,379	\$167,548	\$1,847,880	\$3,496,838
Deposits to / from Working Capital	\$169,598	\$108,792	\$102,009	\$3,475	\$270,317	\$4,116	\$45,401	\$85,914
Collection Cost Recovery	\$7,072,518	\$4,536,818	\$4,253,969	\$144,916	\$11,272,697	\$171,665	\$1,893,281	\$3,582,752
Collection EBU's & Industrial Pulls	180,997,839	174,968,572	174,968,572	2,068,768	255,203,844	1,697,141	59,412,455	10,997
Collection: Unit Cost Per Gallon/per pickup	\$0.0391	\$0.0259	\$0.0243	\$0.0700	\$0.0442	\$0.1011	\$0.0319	\$325.7936
Disposal Cost Recovery for Collection Routes								
MSW	\$5,673,068	\$0	\$0	\$73,335	\$9,046,595	\$0	\$0	\$0
Recycling	\$0	\$976,597	\$0	\$0	\$0	\$0	\$332,242	\$22,117
Green Waste	\$0	\$0	\$1,328,281	\$0	\$0	\$0	\$2,094	\$0
C&D	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Organics	\$0	\$0	\$358,102	\$0	\$0	\$22,669	\$0	\$0
Total	\$5,673,068	\$976,597	\$1,686,382	\$73,335	\$9,046,595	\$22,669	\$334,336	\$0
Disposal EBU's	\$180,997,839	\$174,968,572	\$174,968,572	\$2,068,768	\$256,338,130	\$1,697,141	\$59,668,212	\$0
Disposal: Unit Cost Per Gallon/per pickup	\$0.0313	\$0.0056	\$0.0096	\$0.0354	\$0.0353	\$0.0134	\$0.0056	\$0.00
Recycling - Unit Cost Per Gallon/per pickup	n/a	n/a	n/a	n/a	n/a	n/a	\$0.0056	n/a
Green Waste - Unit Cost Per Gallon/per pickup	n/a	n/a	n/a	n/a	n/a	n/a	\$0.0089	n/a
Total Unit Cost Collection / Disposal	\$0.0704	\$0.0315	\$0.0340	\$0.1055	\$0.0795	\$0.1145	\$0.0375	n/a

Table 50: Disposal Tip Fee Unit Cost Allocations for Commercial, Industrial, and Cash Customers

	Disposal				
	MSW	Recycling	Green Waste	C&D ¹	Organics
Disposal Cost Recovery for Collection Routes					
Total	\$28,942,006	\$1,330,956	\$2,381,492	\$4,153	\$698,188
Disposal EBU's	254,954	24,872	18,663	64	3,358
Unit Cost Per Gallon/per pickup	\$113.52	\$53.51	\$127.61	n/a	\$207.92
Recycling - Unit Cost Per Gallon/per pickup	n/a	n/a	n/a	n/a	n/a
Green Waste - Unit Cost Per Gallon/per pickup	n/a	n/a	n/a	n/a	n/a
Total Unit Cost Collection / Disposal	n/a	n/a	n/a	n/a	n/a
<i>1 The City does not receive a significant amount of construction and demolition (C&D) material. While the cost allocation was performed, such allocation were immaterial and the C&D disposal cost of service was developed using a direct cost calculation method as shown in Table 53.</i>					

Table 51 presents the projected unit costs developed from the projected Revenue Adjustments in Table XX and the unit costs from Table XX.

Table 51: Combined Collection & Disposal Projected Unit Costs

Description	FY2025	FY2026	FY2027	FY2028	FY2029
Revenue Adjustments	33.00%	10.00%	5.25%	3.00%	1.40%
Residential Containers - Trash	\$0.0582	\$0.0641	\$0.0674	\$0.0694	\$0.0704
Residential Containers - Recycling	\$0.0261	\$0.0287	\$0.0302	\$0.0311	\$0.0315
Residential Containers - Green Waste	\$0.0281	\$0.0309	\$0.0325	\$0.0335	\$0.0340
Commercial Container Trash Service (96 gal)	\$0.0872	\$0.0960	\$0.1010	\$0.1040	\$0.1055
Commercial Refuse Bin Service	\$0.0657	\$0.0723	\$0.0761	\$0.0784	\$0.0795
Commercial Container Organic (64 gal)	\$0.0947	\$0.1042	\$0.1096	\$0.1129	\$0.1145
Commercial Recycling & GW Bin Service	\$0.0310	\$0.0341	\$0.0359	\$0.0369	\$0.0375

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5.2. Calculated Equivalent Billing Units (EBUs)

Equivalent billing units (EBU) are necessary to calculate the unit cost for the purpose of calculating the proposed rates. EBUs are developed by customer class and are generally calculated by taking the customer's container volume times the frequency of collection. Special adjustments are made for specific rates where service levels are not equivalent such as for additional carts, weekend service, and compactor service. Table 52 provides a detailed accounting of the EBUs.

Table 52: Calculated Equivalent Billing Units (EBU)

Description	2029	Annual Tips /Pulls	Capacity (gal)	Calculated EBU (gal/yr collected)	% EBU Allocation
Residential 3-Cart Service:					
3 Cart Service - 64 Gallon Trash	4,136	52	256	55,061,760	6.00%
3 Cart Service - 96 Gallon Trash	29,083	52	288	435,544,665	47.49%
Extra Container	3,189	52	96	15,920,736	1.74%
Extra Pickup	391	1	96	37,536	0.00%
Multi-Family Residential 4<:					
3 Cart Service - 2 MF Units	Amounts shown were reclassified to the residential 3-cart service class.				
3 Cart Service - 3 MF Units	Amounts shown were reclassified to the residential 3-cart service class.				
3 Cart Service - 4 MF Units	Amounts shown were reclassified to the residential 3-cart service class.				
3 Cart Service - Mobile Homes ¹	2,000	52	256	24,370,286 ¹	2.66%
Commercial 96 Gallons (Trash):					
96 Gallon – 1 Trash Container (1x wk)	246	52	96	1,230,528	0.13%
96 Gallon – 2 Trash Containers (1x wk)	42	52	192	420,160	0.05%
96 Gallon – 3 Trash Containers (1x wk)	13	52	288	188,448	0.02%
96 Gallon – 4 Trash Containers (1x wk)	5	52	384	99,840	0.01%
96 Gallon – 5 Trash Containers (1x wk)	4	52	480	99,840	0.01%
96 Gallon – 6 Trash Containers (1x wk)	1	52	576	29,952	0.00%
64 Gallons – Organics / Food (3x wk)	170	156	64	1,697,141	0.19%
96 Gallon – Green Waste (2x wk)	5	104	96	52,527	0.01%
96 Gallon – Recyclables (2x wk)	58	104	96	582,456	0.06%
¹ Amounts shown were reduced by approximately 8% to reflect the reduction in equivalency of service since Mobile Homes are not applied the IUF expenditures in design of the rates.					

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Description	2029	Annual Tips /Pulls	Capacity (gal)	Calculated EBU (gal/yr collected)	% EBU Allocation
Multi-Family & Non-Residential (Refuse)					
2 - Yard Bin:					
2 - Yard Bin 1/week	656	52	404	13,779,474	1.50%
2 - Yard Bin 2/week	402	104	404	16,888,258	1.84%
2 - Yard Bin 3/week	163	156	404	10,271,590	1.12%
2 - Yard Bin 4/week	57	208	404	4,789,207	0.52%
2 - Yard Bin 5/week	23	260	404	2,415,609	0.26%
2 - Yard Bin 6/week	21	312	404	2,646,667	0.29%
2 - Yard Bin Shared:					
2 - Yard Bin Shared 1/week	17	52	202	178,545	0.02%
2 - Yard Bin Shared 2/week	17	104	202	357,090	0.04%
2 - Yard Bin Shared 3/week	19	156	202	598,651	0.07%
2 - Yard Bin Shared 4/week	60	208	202	2,520,636	0.27%
2 - Yard Bin Shared 5/week	5	260	202	262,566	0.03%
2 - Yard Bin Shared 6/week	0	312	202	0	0.00%
2 - Yard Bin Compactor:					
2 - Yard Bin Compactor 1/week	0	52	404	0	0.00%
2 - Yard Bin Compactor 2/week	0	104	404	0	0.00%
2 - Yard Bin Compactor 3/week	5	156	404	315,079	0.03%
2 - Yard Bin Compactor 4/week	0	208	404	0	0.00%
2 - Yard Bin Compactor 5/week	1	260	404	105,026	0.01%
2 - Yard Bin Compactor 6/week	0	312	404	0	0.00%
4 - Yard Bin:					
4 - Yard Bin 1/week	507	52	808	21,299,370	2.32%
4 - Yard Bin 2/week	572	104	808	48,060,117	5.24%
4 - Yard Bin 3/week	432	156	808	54,445,727	5.94%
4 - Yard Bin 4/week	167	208	808	28,063,075	3.06%
4 - Yard Bin 5/week	85	260	808	17,854,502	1.95%
4 - Yard Bin 6/week	107	312	808	26,970,800	2.94%
4 - Yard Bin Shared:					
4 - Yard Bin Shared 1/week	5	52	404	105,026	0.01%
4 - Yard Bin Shared 2/week	17	104	404	714,180	0.08%
4 - Yard Bin Shared 3/week	12	156	404	756,191	0.08%
4 - Yard Bin Shared 4/week	7	208	404	588,148	0.06%
4 - Yard Bin Shared 5/week	0	260	404	0	0.00%
4 - Yard Bin Shared 6/week	4	312	404	504,127	0.05%
4 - Yard Bin Compactor:					
4 - Yard Bin Compactor 1/week	0	52	808	0	0.00%
4 - Yard Bin Compactor 2/week	4	104	808	336,085	0.04%
4 - Yard Bin Compactor 3/week	3	156	808	378,095	0.04%
4 - Yard Bin Compactor 4/week	0	208	808	0	0.00%
4 - Yard Bin Compactor 5/week	0	260	808	0	0.00%
4 - Yard Bin Compactor 6/week	0	312	808	0	0.00%

Description	2029	Annual Tips /Pulls	Capacity (gal)	Calculated EBU (gal/yr collected)	% EBU Allocation
Multi-Family & Non-Residential (Recyclables)					
2 - Yard Bin:					
2 - Yard Bin 1/week	315	52	404	6,625,653	0.72%
2 - Yard Bin 2/week	121	104	404	5,080,831	0.55%
2 - Yard Bin 3/week	28	156	404	1,759,193	0.19%
2 - Yard Bin 4/week	4	208	404	357,090	0.04%
2 - Yard Bin 5/week	2	260	404	186,422	0.02%
2 - Yard Bin 6/week	2	312	404	272,369	0.03%
2 - Yard Bin Shared:					
2 - Yard Bin Shared 1/week	6	52	202	63,016	0.01%
2 - Yard Bin Shared 2/week	0	104	202	0	0.00%
2 - Yard Bin Shared 3/week	0	156	202	0	0.00%
2 - Yard Bin Shared 4/week	0	208	202	0	0.00%
2 - Yard Bin Shared 5/week	0	260	202	0	0.00%
2 - Yard Bin Shared 6/week	0	312	202	0	0.00%
2 - Yard Bin Compactor:					
2 - Yard Bin Compactor 1/week	1	52	404	24,699	0.00%
2 - Yard Bin Compactor 2/week	0	104	404	0	0.00%
2 - Yard Bin Compactor 3/week	3	156	404	189,048	0.02%
2 - Yard Bin Compactor 4/week	0	208	404	0	0.00%
2 - Yard Bin Compactor 5/week	0	260	404	0	0.00%
2 - Yard Bin Compactor 6/week	0	312	404	0	0.00%
4 - Yard Bin:					
4 - Yard Bin 1/week	261	52	808	10,955,195	1.19%
4 - Yard Bin 2/week	128	104	808	10,728,106	1.17%
4 - Yard Bin 3/week	83	156	808	10,475,350	1.14%
4 - Yard Bin 4/week	19	208	808	3,136,324	0.34%
4 - Yard Bin 5/week	22	260	808	4,707,520	0.51%
4 - Yard Bin 6/week	17	312	808	4,322,190	0.47%
4 - Yard Bin Shared:					
4 - Yard Bin Shared 1/week	3	52	404	63,016	0.01%
4 - Yard Bin Shared 2/week	3	104	404	126,499	0.01%
4 - Yard Bin Shared 3/week	1	156	404	63,016	0.01%
4 - Yard Bin Shared 4/week	0	208	404	0	0.00%
4 - Yard Bin Shared 5/week	0	260	404	0	0.00%
4 - Yard Bin Shared 6/week	0	312	404	0	0.00%
4 - Yard Bin Compactor:					
4 - Yard Bin Compactor 1/week	1	52	808	42,011	0.00%
4 - Yard Bin Compactor 2/week	0	104	808	0	0.00%
4 - Yard Bin Compactor 3/week	0	156	808	0	0.00%
4 - Yard Bin Compactor 4/week	0	208	808	0	0.00%
4 - Yard Bin Compactor 5/week	0	260	808	0	0.00%
4 - Yard Bin Compactor 6/week	0	312	808	0	0.00%

Description	2029	Annual Tips /Pulls	Capacity (gal)	Calculated EBU (gal/yr collected)	% EBU Allocation
Multi-Family & Non-Residential (Green Waste)					
2 - Yard Bin:					
2 - Yard Bin 1/week	2	52	404	39,210	0.00%
2 - Yard Bin 2/week	0	104	404	0	0.00%
2 - Yard Bin 3/week	0	156	404	0	0.00%
2 - Yard Bin 4/week	0	208	404	0	0.00%
2 - Yard Bin 5/week	0	260	404	0	0.00%
2 - Yard Bin 6/week	0	312	404	0	0.00%
2 - Yard Bin Shared:					
2 - Yard Bin Shared 1/week	0	52	202	0	0.00%
2 - Yard Bin Shared 2/week	0	104	202	0	0.00%
2 - Yard Bin Shared 3/week	0	156	202	0	0.00%
2 - Yard Bin Shared 4/week	0	208	202	0	0.00%
2 - Yard Bin Shared 5/week	0	260	202	0	0.00%
2 - Yard Bin Shared 6/week	0	312	202	0	0.00%
2 - Yard Bin Compactor:					
2 - Yard Bin Compactor 1/week	0	52	404	0	0.00%
2 - Yard Bin Compactor 2/week	0	104	404	0	0.00%
2 - Yard Bin Compactor 3/week	0	156	404	0	0.00%
2 - Yard Bin Compactor 4/week	0	208	404	0	0.00%
2 - Yard Bin Compactor 5/week	0	260	404	0	0.00%
2 - Yard Bin Compactor 6/week	0	312	404	0	0.00%
4 - Yard Bin:					
4 - Yard Bin 1/week	5	52	808	195,699	0.02%
4 - Yard Bin 2/week	0	104	808	0	0.00%
4 - Yard Bin 3/week	0	156	808	0	0.00%
4 - Yard Bin 4/week	0	208	808	0	0.00%
4 - Yard Bin 5/week	0	260	808	0	0.00%
4 - Yard Bin 6/week	0	312	808	0	0.00%
4 - Yard Bin Shared:					
4 - Yard Bin Shared 1/week	0	52	404	0	0.00%
4 - Yard Bin Shared 2/week	0	104	404	0	0.00%
4 - Yard Bin Shared 3/week	0	156	404	0	0.00%
4 - Yard Bin Shared 4/week	0	208	404	0	0.00%
4 - Yard Bin Shared 5/week	0	260	404	0	0.00%
4 - Yard Bin Shared 6/week	0	312	404	0	0.00%
4 - Yard Bin Compactor:					
4 - Yard Bin Compactor 1/week	0	52	808	0	0.00%
4 - Yard Bin Compactor 2/week	0	104	808	0	0.00%
4 - Yard Bin Compactor 3/week	0	156	808	0	0.00%
4 - Yard Bin Compactor 4/week	0	208	808	0	0.00%
4 - Yard Bin Compactor 5/week	0	260	808	0	0.00%
4 - Yard Bin Compactor 6/week	0	312	808	0	0.00%

Description	2029	Capacity (gal)	Calculated EBU (gal/yr collected)	% EBU Allocation
Commercial Roll Off Container Accounts:				
10 yard Refuse	0	2,020	0	0.00%
10 yard Recyclables And Green Waste	0	2,020	0	0.00%
13.4 yard Refuse	1,476	2,706	3,994,723	0.44%
13.4 yard Recyclables And Green Waste	468	2,706	1,266,619	0.14%
20 yard Compacted Refuse	36	4,039	145,421	0.02%
20 yard Compacted Recyclables And Green Waste	0	4,039	0	0.00%
30 yard Refuse	4,195	6,059	25,418,428	2.77%
30 yard Recyclables And Green Waste	350	6,059	2,120,727	0.23%
30 yard Compacted Refuse	892	6,059	5,404,824	0.59%
30 yard compacted Recyclables And Green Waste	22	6,059	133,303	0.01%
(2)30 yard Single Pickup Refuse	0	6,059	0	0.00%
(2)30 yard Single Pickup Recyclables And Green Waste	0	6,059	0	0.00%
40 yard Refuse	2,317	8,079	18,718,950	2.04%
40 yard Compacted Refuse	1,182	8,079	9,549,331	1.04%
40 yard Compacted Recyclables And Green Waste	59	8,079	476,659	0.05%

5.3. Rate Design

This section on the rate design will present how we calculate the cost of service rates for the Fiscal Years 2025-2029. The methodology starts with the cost of service for the Fiscal Year 2029, then the Revenue Adjustment are applied to determine the prior fiscal year rates and develop the rate schedule. Table 52 presents a recap of the calculated unit cost per EBU for the residential and commercial class as was represented earlier in Table 49.

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Table 53: Calculated Unit Cost per Equivalent Billing Unit (EBU)

Collection Categories	OpEx	Debt	Income & Funds	Net Revenue Req	EBU's	Unit Costs
Residential Containers - Trash	\$6,625,303	\$584,182	\$476,163	\$7,072,518	181,561,267	\$0.0391
Residential Containers - Recycling	\$3,745,040	\$2,778,318	\$2,204,124	\$4,536,818	175,813,715	\$0.0259
Residential Containers - Green Waste	\$3,745,040	\$463,503	\$158,592	\$4,253,969	175,813,715	\$0.0243
Commercial Container Trash Service (96 gal)	\$81,936	\$60,127	\$4,097	\$144,916	2,068,768	\$0.0700
Commercial Container Trash	\$10,403,803	\$603,635	\$275,376	\$11,272,697	255,203,844	\$0.0442
Commercial Container Organic (64 gal)	\$48,195	\$119,609	\$4,372	\$171,665	1,697,141	\$0.1011
Commercial Container Recycling & GW	\$1,661,020	\$881,993	\$740,534	\$1,893,281	60,047,438	\$0.0319
Industrial Roll-off Collection Only	\$3,152,831	\$390,391	\$132,298	\$3,582,752	67,228,985	\$325.79
Disposal Categories						
MSW	\$28,076,417	\$597,682	\$1,120,141	\$28,942,006	254,954	\$113.52
Recycling	\$1,307,558	\$30,713	\$71,148	\$1,330,956	24,872	\$53.51
Green Waste	\$2,312,532	\$41,291	\$86,546	\$2,381,492	18,663	\$127.61
C&D ¹	\$4,013	\$142	\$201	\$4,153	n/a	n/a
Organics	\$679,313	\$7,429	\$22,039	\$698,188	3,358	\$207.92
Total	\$61,843,001	\$6,559,016	\$5,295,631	\$66,285,411		

¹ The City doesn't process significant quantities of C&D and therefore an alternative methodology based on the average cost per ton of the ER tipping floor and waste haul transfer plus the direct cost of disposal at the City's disposal site was developed.

The unit costs calculated in Table 53 are factored based on the various rates proposed within this study based on the associated type of service and level of service. Example calculations of the rate design methodology in support of the proposed rates is included in Appendix D.

Table 54: C&D Tipping Fee Determination

Description	Current	FY2025 7/1/24	FY2026 7/1/25	FY2027 7/1/26	FY2028 7/1/27	FY2029 7/1/28
ER Tip Floor Processing ¹		\$3,487,261	\$3,593,792	\$3,626,069	\$3,659,329	\$3,693,600
ER Waste Transfer Haul		\$6,099,887	\$5,359,083	\$6,828,516	\$6,919,916	\$7,013,602
Total Cost		\$9,587,148	\$8,952,875	\$10,454,585	\$10,579,245	\$10,707,202
Total Tip Floor Tons		293,968	293,968	293,968	293,968	293,968
Average Unit Cost		\$32.61	\$30.46	\$35.56	\$35.99	\$36.42
Simi Landfill Disposal Cost ²	\$95.5	\$98.37	\$101.32	\$104.36	\$107.49	\$110.71
Proposed Fee	N/A	\$130.98	\$131.78	\$139.92	\$143.48	\$147.13

Current C&D Fee **\$66.92**

¹ Excludes disposal costs and reflects the tip floor processing costs only.

² Costs allocated at 3% annually for inflation.

5.4. Proposed 5-Year Solid Waste (refuse) Enterprise Fund Rate Schedule

Tables 55– 68 show the proposed 5-year schedule of solid waste rates.

Table 55: Proposed Five - Year Residential Monthly Solid Waste, Recycling, Organics / Green Waste 3-Cart Service (1x Week Collection)

Fiscal Year >		FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 Cart Service/64-gallon – per Unit	\$24.70	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
3 Cart Service/96-gallon – per Unit	\$30.78	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
Extra 96 Gallon Cart Service – Per Cart	\$11.34	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
Multi-Family Residential 2 Units	\$58.51	Proposing to eliminate these service rates as the multi-family 4 units and less 3-cart curbside collection rate structure is combined with residential curbside 3-cart service listed above.				
Multi-Family Residential 3 Units	\$84.73					
Multi-Family Residential 4 Units	\$109.43					

Table 56: Proposed Five - Year Residential Mobile Home Monthly Solid Waste, Recycling, Organics / Green Waste 3-Cart Service (1x Week Collection)

Fiscal Year >		FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 Cart Service/64-gallon – per Unit	N/A	\$36.06	\$39.63	\$41.69	\$42.93	\$43.16
3 Cart Service/96-gallon – per Unit	N/A	\$43.45	\$47.76	\$50.25	\$51.74	\$52.10
Extra 96 Gallons Cart Service	N/A	\$22.41	\$24.63	\$25.92	\$26.70	\$26.96

Table 57: Proposed Five-Year Schedule of SOLID WASTE Service Rates for Multi-Family Residential (MFR) >4 units and Commercial Service by Frequency and Container Size (in CY)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd bin	1x/week	N/A	\$57.69	\$63.44	\$66.77	\$68.77	\$69.67
	2x/week	N/A	\$115.20	\$126.71	\$133.36	\$137.35	\$139.22
	3x/week	N/A	\$172.72	\$189.98	\$199.95	\$205.94	\$208.76
	4x/week	N/A	\$230.24	\$253.25	\$266.54	\$274.53	\$278.31
	5x/week	N/A	\$287.75	\$316.52	\$333.13	\$343.12	\$347.86
	6x/week	N/A	\$345.27	\$379.78	\$399.72	\$411.70	\$417.41
1 cu yd-shared	1x/week	N/A	\$28.84	\$31.72	\$33.38	\$34.38	\$34.83
	2x/week	N/A	\$57.60	\$63.36	\$66.68	\$68.68	\$69.61
	3x/week	N/A	\$86.36	\$94.99	\$99.97	\$102.97	\$104.38
	4x/week	N/A	\$115.12	\$126.62	\$133.27	\$137.26	\$139.16
	5x/week	N/A	\$143.88	\$158.26	\$166.56	\$171.56	\$173.93
	6x/week	N/A	\$172.63	\$189.89	\$199.86	\$205.85	\$208.70

Table 56 Continued to following page.

Table 57 Continued SOLID WASTE Service Rates for Multi-Family Residential (MFR) greater than 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd-compactor	1x/week	N/A	\$83.23	\$91.54	\$96.34	\$99.23	\$100.56
	2x/week	N/A	\$166.29	\$182.91	\$192.51	\$198.28	\$200.99
	3x/week	N/A	\$249.35	\$274.28	\$288.67	\$297.33	\$301.43
	4x/week	N/A	\$332.41	\$365.64	\$384.83	\$396.37	\$401.86
	5x/week	N/A	\$415.48	\$457.01	\$481.00	\$495.42	\$502.30
	6x/week	N/A	\$498.54	\$548.38	\$577.16	\$594.47	\$602.73
2 cu yd	1x/week	\$132.76	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
	2x/week	\$232.25	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
	3x/week	\$298.56	\$345.32	\$379.84	\$399.77	\$411.76	\$417.41
	4x/week	\$364.87	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
	5x/week	\$431.21	\$575.38	\$632.91	\$666.13	\$686.11	\$695.60
	6x/week	\$497.53	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
2 cu yd-shared	1x/week	\$66.44	\$57.63	\$63.38	\$66.71	\$68.71	\$69.61
	2x/week	\$116.18	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
	3x/week	\$149.37	\$172.66	\$189.92	\$199.89	\$205.88	\$208.70
	4x/week	\$182.51	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
	5x/week	\$215.67	\$287.69	\$316.46	\$333.07	\$343.06	\$347.80
	6x/week	\$248.83	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
2 cu yd-compactor	1x/week	\$284.35	\$166.34	\$182.96	\$192.56	\$198.34	\$200.99
	2x/week	\$497.34	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
	3x/week	\$639.64	\$498.59	\$548.43	\$577.22	\$594.53	\$602.73
	4x/week	\$781.75	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
	5x/week	\$923.88	\$830.83	\$913.90	\$961.88	\$990.73	\$1,004.48
	6x/week	\$1,065.99	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35
3 cu yd	1x/week	N/A	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76
	2x/week	N/A	\$345.37	\$379.89	\$399.83	\$411.82	\$417.41
	3x/week	N/A	\$517.92	\$569.70	\$599.60	\$617.58	\$626.05
	4x/week	N/A	\$690.47	\$759.50	\$799.37	\$823.35	\$834.69
	5x/week	N/A	\$863.02	\$949.31	\$999.14	\$1,029.11	\$1,043.33
	6x/week	N/A	\$1,035.57	\$1,139.11	\$1,198.91	\$1,234.87	\$1,251.98
3 cu yd-shared	1x/week	N/A	\$86.41	\$95.04	\$100.03	\$103.03	\$104.38
	2x/week	N/A	\$172.68	\$189.95	\$199.92	\$205.91	\$208.70
	3x/week	N/A	\$258.96	\$284.85	\$299.80	\$308.79	\$313.02
	4x/week	N/A	\$345.23	\$379.75	\$399.68	\$411.67	\$417.35
	5x/week	N/A	\$431.51	\$474.65	\$499.57	\$514.55	\$521.67
	6x/week	N/A	\$517.78	\$569.56	\$599.45	\$617.44	\$625.99

Table 57 Continued to following page.

Table 57 Continued *SOLID WASTE* Service Rates for Multi-Family Residential (MFR) greater than 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 cu yd-compactor	1x/week	N/A	\$249.45	\$274.39	\$288.78	\$297.44	\$301.43
	2x/week	N/A	\$498.64	\$548.49	\$577.28	\$594.59	\$602.73
	3x/week	N/A	\$747.82	\$822.59	\$865.77	\$891.74	\$904.04
	4x/week	N/A	\$997.00	\$1,096.69	\$1,154.26	\$1,188.88	\$1,205.35
	5x/week	N/A	\$1,246.19	\$1,370.79	\$1,442.75	\$1,486.03	\$1,506.65
	6x/week	N/A	\$1,495.37	\$1,644.89	\$1,731.24	\$1,783.18	\$1,807.96
4 cu yd	1x/week	\$230.86	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
	2x/week	\$403.90	\$460.35	\$506.37	\$532.95	\$548.94	\$556.50
	3x/week	\$519.26	\$690.42	\$759.45	\$799.31	\$823.29	\$834.69
	4x/week	\$634.63	\$920.48	\$1,012.52	\$1,065.67	\$1,097.64	\$1,112.88
	5x/week	\$749.98	\$1,150.55	\$1,265.59	\$1,332.03	\$1,371.99	\$1,391.07
	6x/week	\$865.36	\$1,380.62	\$1,518.66	\$1,598.39	\$1,646.34	\$1,669.26
4 cu yd-shared	1x/week	\$115.47	\$115.14	\$126.65	\$133.30	\$137.29	\$139.16
	2x/week	\$202.02	\$230.18	\$253.19	\$266.48	\$274.47	\$278.25
	3x/week	\$259.70	\$345.21	\$379.72	\$399.66	\$411.64	\$417.35
	4x/week	\$317.36	\$460.24	\$506.26	\$532.84	\$548.82	\$556.44
	5x/week	\$375.06	\$575.27	\$632.80	\$666.01	\$685.99	\$695.54
	6x/week	\$432.71	\$690.31	\$759.33	\$799.19	\$823.17	\$834.63
4 cu yd-compactor	1x/week	\$464.93	\$332.46	\$365.70	\$384.89	\$396.43	\$401.86
	2x/week	\$813.53	\$664.71	\$731.17	\$769.55	\$792.63	\$803.61
	3x/week	\$1,045.93	\$996.95	\$1,096.64	\$1,154.20	\$1,188.83	\$1,205.35
	4x/week	\$1,278.32	\$1,329.20	\$1,462.11	\$1,538.86	\$1,585.02	\$1,607.09
	5x/week	\$1,510.72	\$1,661.44	\$1,827.57	\$1,923.52	\$1,981.22	\$2,008.83
	6x/week	\$1,743.12	\$1,993.69	\$2,193.04	\$2,308.17	\$2,377.41	\$2,410.58

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Table 58: Proposed Five-Year Schedule of RECYCLE Service Rates for Multi-Family Residential (MFR) > 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd bin	1x/week	N/A	\$27.28	\$30.00	\$31.57	\$32.51	\$32.90
	2x/week	N/A	\$54.39	\$59.82	\$62.95	\$64.84	\$65.69
	3x/week	N/A	\$81.51	\$89.64	\$94.34	\$97.17	\$98.47
	4x/week	N/A	\$108.62	\$119.47	\$125.73	\$129.50	\$131.25
	5x/week	N/A	\$135.73	\$149.29	\$157.12	\$161.83	\$164.04
	6x/week	N/A	\$162.84	\$179.11	\$188.51	\$194.16	\$196.82
1 cu yd-shared	1x/week	N/A	\$13.64	\$15.00	\$15.78	\$16.25	\$16.45
	2x/week	N/A	\$27.20	\$29.91	\$31.48	\$32.42	\$32.84
	3x/week	N/A	\$40.75	\$44.82	\$47.17	\$48.59	\$49.23
	4x/week	N/A	\$54.31	\$59.73	\$62.87	\$64.75	\$65.63
	5x/week	N/A	\$67.86	\$74.64	\$78.56	\$80.92	\$82.02
	6x/week	N/A	\$81.42	\$89.56	\$94.26	\$97.08	\$98.41
1 cu yd-compactor	1x/week	N/A	\$31.33	\$34.45	\$36.25	\$37.33	\$37.80
	2x/week	N/A	\$62.49	\$68.72	\$72.32	\$74.49	\$75.47
	3x/week	N/A	\$93.64	\$103.00	\$108.40	\$111.65	\$113.15
	4x/week	N/A	\$124.80	\$137.27	\$144.47	\$148.80	\$150.82
	5x/week	N/A	\$155.96	\$171.54	\$180.54	\$185.96	\$188.50
	6x/week	N/A	\$187.12	\$205.82	\$216.62	\$223.11	\$226.17
2 cu yd	1x/week	\$62.48	\$54.44	\$59.88	\$63.01	\$64.90	\$65.69
	2x/week	\$109.24	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
	3x/week	\$140.43	\$162.89	\$179.17	\$188.57	\$194.22	\$196.82
	4x/week	\$171.60	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
	5x/week	\$202.78	\$271.34	\$298.46	\$314.12	\$323.54	\$327.95
	6x/week	\$233.96	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
2 cu yd-shared	1x/week	\$31.29	\$27.22	\$29.94	\$31.51	\$32.45	\$32.84
	2x/week	\$54.68	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
	3x/week	\$70.28	\$81.45	\$89.58	\$94.28	\$97.11	\$98.41
	4x/week	\$85.85	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
	5x/week	\$101.45	\$135.67	\$149.23	\$157.06	\$161.77	\$163.98
	6x/week	\$117.03	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76
2 cu yd-compactor	1x/week	\$133.74	\$62.54	\$68.78	\$72.38	\$74.55	\$75.47
	2x/week	\$233.96	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
	3x/week	\$300.77	\$187.17	\$205.87	\$216.67	\$223.17	\$226.17
	4x/week	\$367.58	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
	5x/week	\$434.38	\$311.80	\$342.97	\$360.97	\$371.79	\$376.88
	6x/week	\$501.19	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23

Table 58 Continued to following page.

Table 58 Continued RECYCLE Service Rates for Multi-Family Residential (MFR) > 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 cu yd	1x/week	N/A	\$81.60	\$89.75	\$94.46	\$97.29	\$98.47
	2x/week	N/A	\$162.94	\$179.22	\$188.62	\$194.28	\$196.82
	3x/week	N/A	\$244.28	\$268.69	\$282.79	\$291.27	\$295.17
	4x/week	N/A	\$325.61	\$358.16	\$376.96	\$388.26	\$393.52
	5x/week	N/A	\$406.95	\$447.63	\$471.12	\$485.25	\$491.87
	6x/week	N/A	\$488.28	\$537.10	\$565.29	\$582.25	\$590.21
3 cu yd-shared	1x/week	N/A	\$40.80	\$44.88	\$47.23	\$48.64	\$49.23
	2x/week	N/A	\$81.47	\$89.61	\$94.31	\$97.14	\$98.41
	3x/week	N/A	\$122.14	\$134.35	\$141.40	\$145.64	\$147.58
	4x/week	N/A	\$162.81	\$179.08	\$188.48	\$194.13	\$196.76
	5x/week	N/A	\$203.47	\$223.81	\$235.56	\$242.63	\$245.93
	6x/week	N/A	\$244.14	\$268.55	\$282.65	\$291.12	\$295.11
3 cu yd-compactor	1x/week	N/A	\$93.74	\$103.11	\$108.51	\$111.76	\$113.15
	2x/week	N/A	\$187.22	\$205.93	\$216.73	\$223.23	\$226.17
	3x/week	N/A	\$280.69	\$308.75	\$324.95	\$334.70	\$339.20
	4x/week	N/A	\$374.17	\$411.57	\$433.17	\$446.16	\$452.23
	5x/week	N/A	\$467.64	\$514.39	\$541.39	\$557.63	\$565.26
	6x/week	N/A	\$561.11	\$617.21	\$649.61	\$669.10	\$678.28
4 cu yd	1x/week	\$108.58	\$108.67	\$119.52	\$125.79	\$129.56	\$131.25
	2x/week	\$189.95	\$217.11	\$238.81	\$251.34	\$258.88	\$262.38
	3x/week	\$244.80	\$325.56	\$358.11	\$376.90	\$388.20	\$393.52
	4x/week	\$298.40	\$434.01	\$477.40	\$502.46	\$517.53	\$524.65
	5x/week	\$352.63	\$542.46	\$596.69	\$628.01	\$646.85	\$655.78
	6x/week	\$406.87	\$650.90	\$715.98	\$753.57	\$776.17	\$786.91
4 cu yd-shared	1x/week	\$54.35	\$54.33	\$59.76	\$62.89	\$64.78	\$65.63
	2x/week	\$95.03	\$108.56	\$119.41	\$125.67	\$129.44	\$131.19
	3x/week	\$122.15	\$162.78	\$179.05	\$188.45	\$194.10	\$196.76
	4x/week	\$149.26	\$217.00	\$238.70	\$251.23	\$258.76	\$262.32
	5x/week	\$176.39	\$271.23	\$298.35	\$314.01	\$323.42	\$327.89
	6x/week	\$203.48	\$325.45	\$357.99	\$376.78	\$388.08	\$393.46
4 cu yd-compactor	1x/week	\$218.62	\$124.85	\$137.32	\$144.53	\$148.86	\$150.82
	2x/week	\$382.51	\$249.48	\$274.42	\$288.82	\$297.48	\$301.53
	3x/week	\$491.77	\$374.12	\$411.52	\$433.11	\$446.10	\$452.23
	4x/week	\$601.02	\$498.75	\$548.61	\$577.41	\$594.73	\$602.93
	5x/week	\$709.99	\$623.38	\$685.71	\$721.70	\$743.35	\$753.63
	6x/week	\$819.53	\$748.01	\$822.80	\$865.99	\$891.97	\$904.34

Table 59: Proposed Five-Year Schedule of GREENWASTE Service Rates for Multi-Family Residential (MFR) >4 units and Commercial Service by Frequency and Container Size (in CY)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 cu yd bin	1x/week	N/A	\$29.69	\$32.64	\$34.35	\$35.38	\$35.81
	2x/week	N/A	\$59.21	\$65.11	\$68.53	\$70.58	\$71.50
	3x/week	N/A	\$88.72	\$97.58	\$102.70	\$105.78	\$107.20
	4x/week	N/A	\$118.24	\$130.05	\$136.88	\$140.98	\$142.89
	5x/week	N/A	\$147.76	\$162.52	\$171.05	\$176.18	\$178.58
	6x/week	N/A	\$177.28	\$194.99	\$205.22	\$211.38	\$214.27
1 cu yd-shared	1x/week	N/A	\$14.84	\$16.32	\$17.18	\$17.69	\$17.91
	2x/week	N/A	\$29.60	\$32.56	\$34.26	\$35.29	\$35.75
	3x/week	N/A	\$44.36	\$48.79	\$51.35	\$52.89	\$53.60
	4x/week	N/A	\$59.12	\$65.03	\$68.44	\$70.49	\$71.44
	5x/week	N/A	\$73.88	\$81.26	\$85.52	\$88.09	\$89.29
	6x/week	N/A	\$88.64	\$97.50	\$102.61	\$105.69	\$107.14
1 cu yd-compactor	1x/week	N/A	\$36.14	\$39.74	\$41.82	\$43.07	\$43.61
	2x/week	N/A	\$72.11	\$79.31	\$83.47	\$85.97	\$87.11
	3x/week	N/A	\$108.08	\$118.88	\$125.11	\$128.86	\$130.60
	4x/week	N/A	\$144.05	\$158.44	\$166.76	\$171.76	\$174.10
	5x/week	N/A	\$180.02	\$198.01	\$208.40	\$214.65	\$217.59
	6x/week	N/A	\$215.99	\$237.58	\$250.05	\$257.54	\$261.09
2 cu yd	1x/week	\$62.48	\$59.26	\$65.17	\$68.58	\$70.64	\$71.50
	2x/week	\$109.24	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
	3x/week	\$140.43	\$177.33	\$195.05	\$205.28	\$211.44	\$214.27
	4x/week	\$171.60	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
	5x/week	\$202.78	\$295.40	\$324.93	\$341.98	\$352.24	\$357.04
	6x/week	\$233.96	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
2 cu yd-shared	1x/week	\$31.29	\$29.63	\$32.58	\$34.29	\$35.32	\$35.75
	2x/week	\$54.68	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
	3x/week	\$70.28	\$88.66	\$97.52	\$102.64	\$105.72	\$107.14
	4x/week	\$85.85	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
	5x/week	\$101.45	\$147.70	\$162.46	\$170.99	\$176.12	\$178.52
	6x/week	\$117.03	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
2 cu yd-compactor	1x/week	\$133.74	\$72.16	\$79.36	\$83.52	\$86.03	\$87.11
	2x/week	\$233.96	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
	3x/week	\$300.77	\$216.04	\$237.63	\$250.10	\$257.60	\$261.09
	4x/week	\$367.58	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
	5x/week	\$434.38	\$359.92	\$395.90	\$416.68	\$429.18	\$435.07
	6x/week	\$501.19	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05

Table 59 Continued to following page.

Table 59 Continued GREENWASTE Service Rates for Multi-Family Residential (MFR) > 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

Fiscal Year >			FY2025	FY2026	FY2027	FY2028	FY2029
Effective Date >		Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
3 cu yd	1x/week	N/A	\$88.82	\$97.69	\$102.82	\$105.90	\$107.20
	2x/week	N/A	\$177.38	\$195.10	\$205.34	\$211.50	\$214.27
	3x/week	N/A	\$265.93	\$292.51	\$307.86	\$317.09	\$321.35
	4x/week	N/A	\$354.48	\$389.92	\$410.39	\$422.69	\$428.43
	5x/week	N/A	\$443.04	\$487.33	\$512.91	\$528.29	\$535.51
	6x/week	N/A	\$531.59	\$584.74	\$615.43	\$633.89	\$642.58
3 cu yd-shared	1x/week	N/A	\$44.41	\$48.85	\$51.41	\$52.95	\$53.60
	2x/week	N/A	\$88.69	\$97.55	\$102.67	\$105.75	\$107.14
	3x/week	N/A	\$132.97	\$146.26	\$153.93	\$158.55	\$160.68
	4x/week	N/A	\$177.24	\$194.96	\$205.19	\$211.35	\$214.21
	5x/week	N/A	\$221.52	\$243.67	\$256.45	\$264.15	\$267.75
	6x/week	N/A	\$265.80	\$292.37	\$307.72	\$316.95	\$321.29
3 cu yd-compactor	1x/week	N/A	\$108.18	\$118.99	\$125.23	\$128.98	\$130.60
	2x/week	N/A	\$216.09	\$237.69	\$250.16	\$257.66	\$261.09
	3x/week	N/A	\$324.00	\$356.39	\$375.09	\$386.34	\$391.57
	4x/week	N/A	\$431.91	\$475.09	\$500.03	\$515.03	\$522.05
	5x/week	N/A	\$539.82	\$593.80	\$624.96	\$643.71	\$652.54
	6x/week	N/A	\$647.74	\$712.50	\$749.90	\$772.39	\$783.02
4 cu yd	1x/week	\$108.58	\$118.29	\$130.11	\$136.93	\$141.04	\$142.89
	2x/week	\$189.95	\$236.36	\$259.99	\$273.63	\$281.84	\$285.66
	3x/week	\$244.80	\$354.44	\$389.87	\$410.33	\$422.63	\$428.43
	4x/week	\$298.40	\$472.51	\$519.75	\$547.03	\$563.43	\$571.20
	5x/week	\$352.63	\$590.58	\$649.63	\$683.72	\$704.23	\$713.97
	6x/week	\$406.87	\$708.65	\$779.50	\$820.42	\$845.03	\$856.74
4 cu yd-shared	1x/week	\$54.35	\$59.15	\$65.05	\$68.47	\$70.52	\$71.44
	2x/week	\$95.03	\$118.18	\$129.99	\$136.82	\$140.92	\$142.83
	3x/week	\$122.15	\$177.22	\$194.93	\$205.16	\$211.32	\$214.21
	4x/week	\$149.26	\$236.25	\$259.87	\$273.51	\$281.72	\$285.60
	5x/week	\$176.39	\$295.29	\$324.81	\$341.86	\$352.12	\$356.98
	6x/week	\$203.48	\$354.33	\$389.75	\$410.21	\$422.52	\$428.37
4 cu yd-compactor	1x/week	\$218.62	\$144.10	\$158.50	\$166.81	\$171.81	\$174.10
	2x/week	\$382.51	\$287.98	\$316.77	\$333.39	\$343.39	\$348.08
	3x/week	\$491.77	\$431.86	\$475.04	\$499.97	\$514.97	\$522.05
	4x/week	\$601.02	\$575.75	\$633.31	\$666.55	\$686.54	\$696.03
	5x/week	\$709.99	\$719.63	\$791.58	\$833.13	\$858.12	\$870.01
	6x/week	\$819.53	\$863.51	\$949.85	\$999.71	\$1,029.70	\$1,043.99

Table 60: Proposed Five-Year Schedule of ORGANICS Service Rates for Multi-Family Residential (MFR) greater than 4 units and Commercial Service by Frequency and Container Size (in cubic yards)

			FY2025	FY2026	FY2027	FY2028	FY2029
Cart & Bin Size	Frequency	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
64-gallon	3x/week	\$63.80	\$79.01	\$86.90	\$91.45	\$94.19	\$95.39
1 cu yd	1x/week	N/A	\$83.11	\$91.40	\$96.20	\$99.08	\$100.34
2 cu yd	1x/week	N/A	\$166.09	\$182.69	\$192.27	\$198.04	\$200.56
3 cu yd	1x/week	N/A	\$249.08	\$273.97	\$288.35	\$297.00	\$300.77
4 cu yd	1x/week	N/A	\$332.06	\$365.26	\$384.43	\$395.96	\$400.99

Table 61: Proposed Five-Year Schedule of SOLID WASTE Service Rates for 1x/ Week Commercial Cart Service by Quantity of Carts

			FY2025	FY2026	FY2027	FY2028	FY2029
# of Carts / Size	Frequency	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
1 96-gallon cart	1x/week	\$43.53	\$36.51	\$40.15	\$42.26	\$43.52	\$44.01
2 96-gallon carts	1x/week	\$76.16	\$73.03	\$80.31	\$84.51	\$87.04	\$88.01
3 96-gallon carts	1x/week	\$97.94	\$109.54	\$120.46	\$126.77	\$130.56	\$132.02
4 96-gallon carts	1x/week	\$119.73	\$146.06	\$160.61	\$169.02	\$174.08	\$176.03
5 96-gallon carts	1x/week	\$141.51	\$182.57	\$200.77	\$211.28	\$217.60	\$220.04
6 96-gallon carts	1x/week	\$247.16	\$219.09	\$240.92	\$253.53	\$261.12	\$264.04

Table 62: Proposed Five-Year Schedule of SERVICE PULL Rates for Industrial Collection

		FY2025	FY2026	FY2027	FY2028	FY2029
Bin Size / Service	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
Up to 10 CY / Refuse	\$147.73	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 10 CY / Recycle & Green Waste	\$73.87	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 13.4 CY / Refuse	\$147.73	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 13.4 CY / Recycle & Green Waste	\$73.87	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 CY Bin	N/A	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 CY Compactor / Refuse	\$192.85	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 20 CY Compactor / Recycle & GW	\$96.32	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 30 CY Bin / Refuse	\$193.56	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Up to 30 CY Bin / Recycle & GW	\$96.32	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 CY Compactor / Refuse	\$219.04	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 CY Compactor / Recycle & GW	\$109.52	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
(Two / 2) 30 CY Bins / Refuse	\$371.86	N/A	N/A	N/A	N/A	N/A
(Two / 2) 30 CY Bins / Recycle & GW	\$185.93	N/A	N/A	N/A	N/A	N/A
40 CY Bin / Refuse	\$238.84	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 CY Compactor / Refuse	\$269.98	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 CY Compactor / Recycle & GW	\$134.99	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79

Table 63: Proposed Five-Year Schedule of Monthly and Daily Rental Rates for Industrial Bins

			FY2025	FY2026	FY2027	FY2028	FY2029
Description	Frequency	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
10 cu yd Roll Off Bin	Monthly	N/A	\$46.45	\$47.84	\$49.28	\$50.76	\$52.28
	Daily	N/A	\$1.53	\$1.57	\$1.62	\$1.67	\$1.72
13.4 cu yd Roll Off Bin	Monthly	N/A	\$49.99	\$51.49	\$53.03	\$54.62	\$56.26
	Daily	N/A	\$1.64	\$1.69	\$1.74	\$1.80	\$1.85
20 cu yd Roll Off Bin	Monthly	N/A	\$67.30	\$69.32	\$71.40	\$73.54	\$75.75
	Daily	N/A	\$2.21	\$2.28	\$2.35	\$2.42	\$2.49
30 cu yd Roll Off Bin	Monthly	N/A	\$58.05	\$59.79	\$61.58	\$63.43	\$65.33
	Daily	N/A	\$1.91	\$1.97	\$2.02	\$2.09	\$2.15
40 cu yd Roll Off Bin	Monthly	N/A	\$66.48	\$68.47	\$70.52	\$72.64	\$74.82
	Daily	N/A	\$2.19	\$2.25	\$2.32	\$2.39	\$2.46
40 cu yd Sealed Roll Off Bin	Monthly	N/A	\$87.09	\$89.70	\$92.39	\$95.16	\$98.01
	Daily	N/A	\$2.86	\$2.95	\$3.04	\$3.13	\$3.22

Table 64: Proposed Five-Year Schedule of SOLID WASTE Walking Floor Transfer Trailer Rates for Industrial Service per Pull/Transfer

		FY2025	FY2026	FY2027	FY2028	FY2029
Description	Current	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
53-Foot Walking Floor Trailer#	\$371.85	\$384.86	\$398.34	\$412.28	\$426.71	\$441.64
<i>Note this service rate is a specific rate for an industrial customer receiving direct haul service to the Simi Landfill from the Customers Property located within the City.</i>						

Table 65: Proposed Five-Year Schedule of Del Norte and Industrial TIPPING FEES per Ton of Weighed Material and the Security and Contamination Prevention Fee

Description	Material Type	Current	July 1, '24	July 1, '25	July 1, '26	July 1, '27	July 1, '28
Del Norte Tip Fee	Solid Waste	\$55.59	\$93.88	\$103.27	\$108.69	\$111.95	\$113.52
Del Norte Tip Fee	Recycling	N/A	\$44.26	\$48.68	\$51.24	\$52.77	\$53.51
Del Norte Tip Fee	Green Waste	\$62.81	\$105.53	\$116.08	\$122.18	\$125.84	\$127.61
Del Norte Tip Fee	Organics	N/A	\$171.95	\$189.14	\$199.08	\$205.05	\$207.92
Del Norte Tip Fee	C&D	\$66.92	\$130.98	\$131.78	\$139.92	\$143.48	\$147.13
Del Norte Tip Fee	Tires	\$180.00	\$272.00	\$280.16	\$288.56	\$297.22	\$306.14
Walking Floor Transfer Trailer Tip Fee ¹	Solid Waste	N/A	\$34.63	\$35.67	\$36.74	\$37.84	\$38.98
Security and Contamination Prevention Fee (Per Bin) ²	All Containers	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12

¹ Represents the tip fee for walking floor trailers direct hauled to the Simi Landfill. This rate is not applicable to refuse delivered to the Del Norte facility.

² The City charges a security and contamination prevention fee to all customers per bin per month. In most cases the fee is included in the collection rate for service, however for industrial customers the collection rate is per pull and in some cases the customer may own the bin. Therefore the rates shown are applied in instances where the industrial customer does not rent a bin from the City.

Table 66: Proposed Five-Year Schedule of Commercial Bin Rental Service for Construction and Demolition Projects for 10 Cubic Yards and Larger Bins

CURRENT FEES						
Container	Material	Delivery	Per Empty	Initial Cost	Disposal Min.	Prepay
10 Cubic Yard	Dirt and Concrete Only	\$15.00	\$102.30	\$117.30	\$166.77	\$284.07
13.4 Cubic Yard	Roofing Materials / Green Waste	\$15.00	\$102.30	\$117.30	\$166.77	\$284.07
30 Cubic Yard	Roofing Materials / Green Waste	\$15.00	\$137.88	\$152.88	\$166.77	\$319.65
40 Cubic yard	Roofing Materials / Green Waste	\$15.00	\$172.35	\$187.35	\$166.77	\$354.12
<u>Other Related Fees:</u>						
\$15.00 rental fee/day if minimum pickup of 2 times per month is not met – for construction rental only						
\$55.59 per ton for household trash and green waste						
\$65.00 per ton for construction &/or demolition materials						
\$27.80 minimum charge for up to 1/2 ton						
PROPOSED FEES ¹						
		FY2025	FY2026	FY2027	FY2028	FY2029
Proposed Fees	Material	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
Fee Per Pull						
10 Cubic Yard	Dirt and Concrete Only	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
13.4 Cubic Yard	Roofing Materials / Green Waste	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
30 Cubic Yard	Roofing Materials / Green Waste	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
40 Cubic yard	Roofing Materials / Green Waste	\$269.43	\$296.38	\$311.94	\$321.30	\$325.79
Bin Rental Fees²						
10 cu yd Roll Off Bin		\$1.53	\$1.57	\$1.62	\$1.67	\$1.72
13.4 cu yd Roll Off Bin		\$1.64	\$1.69	\$1.74	\$1.80	\$1.85
20 cu yd Roll Off Bin		\$2.21	\$2.28	\$2.35	\$2.42	\$2.49
30 cu yd Roll Off Bin		\$1.91	\$1.97	\$2.02	\$2.09	\$2.15
40 cu yd Roll Off Bin		\$2.19	\$2.25	\$2.32	\$2.39	\$2.46
40 cu yd Roll Off Bin Sealed		\$2.86	\$2.95	\$3.04	\$3.13	\$3.22
Del Norte Tip Fees³						
Refuse		\$93.88	\$103.27	\$108.69	\$111.95	\$113.52
Recycling		\$44.26	\$48.68	\$51.24	\$52.77	\$53.51
Green Waste		\$105.53	\$116.08	\$122.18	\$125.84	\$127.61
Organics		\$171.95	\$189.14	\$199.08	\$205.05	\$207.92
C&D		\$130.98	\$131.78	\$139.92	\$143.48	\$147.13
Minimum Disposal Charge		\$28.41	\$29.26	\$30.14	\$31.04	\$31.97
<i>1 Contingent upon service availability by the City, additional service charges may apply such as collection of bulky items or tires.</i>						
<i>2 Amounts shown derived from Table 62 and represent daily rental rates.</i>						
<i>3 Amounts shown derived from Table 64.</i>						

Table 67: Proposed Five-Year Schedule of Commercial Bin Rental Service for Construction and Demolition Projects for 2 and 4 Cubic Yard Bins

CURRENT FEES						
Container	Material	Delivery	Per Empty	Initial Cost	Disposal Min.	Prepay
2 Cubic Yard	Small items, green waste, lumber	\$15.00	\$50.00	\$65.00	n/a	n/a
4 Cubic Yard	Small items, green waste, lumber	\$15.00	\$75.00	\$90.00	n/a	n/a
PROPOSED FEES ¹						
		FY2025	FY2026	FY2027	FY2028	FY2029
Proposed Fees	Material	7/1/24	7/1/25	7/1/26	7/1/27	7/1/28
Fee Per Pull						
2 Cubic Yard	No furniture, no mattresses or appliances. No dirt or concrete.	\$43.87	\$46.25	\$48.12	\$49.73	\$51.14
4 Cubic Yard	Small items, green waste, lumber & miscellaneous okay.	\$56.83	\$60.50	\$63.12	\$65.18	\$66.81
Bin Rental Fees²						
2cu yd Bin		\$0.24	\$0.25	\$0.26	\$0.27	\$0.28
4 cu yd Bin		\$0.30	\$0.31	\$0.32	\$0.33	\$0.34

¹ Contingent upon service availability by the City, additional service charges may apply such as collection of bulky items or tires.

² Amounts shown are daily rental rates.

Table 68: Proposed Five-Year Schedule of Miscellaneous Service Fees

	Current	2025	2026	2027	2028	2029
Return Pick-up / Extra Pick-up - Automatic Side Loader	\$11.74	\$29.78	\$30.67	\$31.59	\$32.54	\$33.52
Return Pick-up / Extra Pick-up - Front Loader	\$40.00	\$42.64	\$43.92	\$45.24	\$46.60	\$48.00
Minimum Gate Fee	\$27.80	\$28.41	\$29.26	\$30.14	\$31.04	\$31.97
Bulky Pick-up (Up to 5 Items) ¹	\$35.00	\$45.00	\$46.35	\$47.74	\$49.17	\$50.65

¹ Please note that for Residential 3 cart service (including Mobile Homes) customers receive 1 bulky items pickup (up to 5 items) per year at no additional charge as noted in Tables 2 and 3.

Table 69: Proposed Fees for Replacement for Neglect, Damaged, or Missing Containers

Miscellaneous Fees	Current	2025	2026	2027	2028	2029
Residential 96-gallon container	\$51.25	\$65.04	\$66.99	\$69.00	\$71.07	\$73.20
Residential 64-gallon containers	\$47.35	\$57.50	\$59.23	\$61.01	\$62.84	\$64.73
Commercial 1-cubic yard (lined)	n/a	\$1,111.00	\$1,144.33	\$1,178.66	\$1,214.02	\$1,250.44
Commercial 2-cubic yard (lined)	\$445.12	\$1,269.00	\$1,307.07	\$1,346.28	\$1,386.67	\$1,428.27
Commercial 3-cubic yard (lined)	n/a	\$1,399.00	\$1,440.97	\$1,484.20	\$1,528.73	\$1,574.59
Commercial 4-cubic yard (lined)	\$542.80	\$1,620.00	\$1,668.60	\$1,718.66	\$1,770.22	\$1,823.33
Industrial 1-cubic yard (lined)	\$585.00	\$1,111.00	\$1,144.33	\$1,178.66	\$1,214.02	\$1,250.44
Industrial 10-cubic yard (lined)	n/a	\$8,179.00	\$8,424.37	\$8,677.10	\$8,937.41	\$9,205.53
Industrial 13.4-cubic yard (lined)	\$635.00	\$8,802.00	\$9,066.06	\$9,338.04	\$9,618.18	\$9,906.73
Industrial 20-cubic yard (lined)	n/a	\$11,850.00	\$12,205.50	\$12,571.67	\$12,948.82	\$13,337.28
Industrial 30-cubic yard (lined)	\$700.00	\$10,221.00	\$10,527.63	\$10,843.46	\$11,168.76	\$11,503.82
Industrial 40-cubic yard (lined)	\$750.00	\$11,706.00	\$12,057.18	\$12,418.90	\$12,791.47	\$13,175.21

APPENDIX:



Lkup			FY 2024	FY 2025	FY 2026	FY 2027	FY 2028	FY 2029
Beginning Cash Reserves			\$19,102,113	\$9,061,198	\$6,039,312	\$5,984,329	\$5,638,784	\$5,237,429
Rate Revenues								
User Fee Revenues	User Fee		\$41,219,962	\$41,216,996	\$41,216,996	\$41,216,996	\$41,216,996	\$41,216,996
Placeholder			\$0	\$0	\$0	\$0	\$0	\$0
Revenue Adjustment	Rate Rev Adjust.		\$0	\$0	\$0	\$0	\$0	\$0
Total Rate Revenue Under Existing / Adopted Rates			\$41,219,962	\$41,216,996	\$41,216,996	\$41,216,996	\$41,216,996	\$41,216,996
Revenue Adjustments								
Year	Effect. Months	% Adj.						
FY 2023	12.0	0.0%	\$0	\$0	\$0	\$0	\$0	\$0
FY 2024	12.0	0.0%	\$0	\$0	\$0	\$0	\$0	\$0
FY 2025	12.0	33.0%		\$13,601,609	\$13,601,609	\$13,601,609	\$13,601,609	\$13,601,609
FY 2026	12.0	10.0%			\$5,481,861	\$5,481,861	\$5,481,861	\$5,481,861
FY 2027	12.0	5.3%				\$3,165,774	\$3,165,774	\$3,165,774
FY 2028	12.0	3.0%					\$1,903,987	\$1,903,987
FY 2029	12.0	1.4%						\$915,183
FY 2030	12.0	8.0%						
FY 2031	12.0	3.0%						
FY 2032	12.0	3.0%						
Subtotal			\$0	\$13,601,609	\$19,083,469	\$22,249,244	\$24,153,231	\$25,068,414
Total Revenues from Rates			\$41,219,962	\$54,818,605	\$60,300,466	\$63,466,240	\$65,370,227	\$66,285,411
Other Revenues								
Interest Rate			1.25%	1.25%	1.25%	1.25%	1.25%	1.25%
Other Miscellaneous Revenues	Misc Fee		\$549,258	\$562,271	\$571,893	\$581,754	\$591,863	\$602,224
MRF Recycle Material Sale	RecyclingRev		\$2,778,510	\$2,778,510	\$2,778,510	\$2,778,510	\$2,778,510	\$2,778,510
Investment Income			\$176,021	\$94,378	\$75,148	\$72,644	\$67,976	\$75,402
Grants / Reimbursements			\$0	\$0	\$0	\$0	\$0	\$0
IUF Reimbursements			\$2,614,128	\$0	\$0	\$0	\$0	\$0
Late Payment / Penalties Allowance	%		\$250,000	\$249,982	\$249,982	\$249,982	\$249,982	\$249,982
Subtotal			\$6,367,917	\$3,685,141	\$3,675,533	\$3,682,891	\$3,688,332	\$3,706,119
Total Revenues			\$47,587,879	\$58,503,747	\$63,975,999	\$67,149,131	\$69,058,559	\$69,991,529
O&M Expenses								
Non-Department			\$0	\$0	\$0	\$0	\$0	\$0
Offsetting Adjustment to Non-Departmental Reclass of Capital Outlay			\$0	\$0	\$0	\$0	\$0	\$0
ER Administration			\$8,203,064	\$9,489,772	\$10,755,685	\$10,840,383	\$10,927,347	\$11,016,635
ER Outreach program			\$429,297	\$442,839	\$455,438	\$458,954	\$462,559	\$466,255
ER Residential Collection			\$7,347,662	\$7,607,700	\$8,508,654	\$8,614,839	\$8,723,938	\$8,836,036
ER Commercial Collection			\$7,852,639	\$8,114,912	\$8,359,596	\$8,441,086	\$8,524,807	\$8,610,823
ER Industrial Collection			\$2,096,117	\$2,170,416	\$2,446,494	\$2,473,596	\$2,501,454	\$2,530,088
ER Tip Floor Processing and Disposal			\$3,376,418	\$3,487,261	\$3,593,792	\$3,626,069	\$3,659,329	\$3,693,600
ER Material Recovery Facility			\$6,789,305	\$7,014,612	\$7,217,056	\$7,308,009	\$7,401,425	\$7,497,376
ER Waste Transfer Haul			\$16,142,972	\$16,671,070	\$16,247,737	\$18,043,799	\$18,470,986	\$18,912,669
ER Cleanup and Illegal Dumping			\$260,357	\$268,372	\$276,334	\$277,366	\$278,427	\$279,519
ER Security and Contamination Prevention			\$0	\$0	\$0	\$0	\$0	\$0
Solid Waste Developer Impact Fee Residential			\$0	\$0	\$0	\$0	\$0	\$0
Solid Waste Developer Impact Fee Commercial			\$0	\$0	\$0	\$0	\$0	\$0
Placeholder			\$0	\$0	\$0	\$0	\$0	\$0
Total O&M Expenses			\$52,497,831	\$55,266,954	\$57,860,786	\$60,084,101	\$60,950,272	\$61,843,001
Capital								
Projects - Solid Waste Operating Fund	631		\$2,944,815	\$200,000	\$0	\$0	\$0	\$0
Projects - Environmental Resources Sec & Contamination	638		\$0	\$0	\$300,000	\$0	\$0	\$0
Total Capital			\$2,944,815	\$200,000	\$300,000	\$0	\$0	\$0
Debt								
Existing Debt Service	631		\$2,121,263	\$2,121,263	\$2,121,263	\$2,121,264	\$1,990,394	\$0
Proposed Debt Service			\$0	\$0	\$795,396	\$2,330,186	\$3,558,018	\$3,558,018
Proposed Capital Leases			\$64,885	\$3,937,416	\$2,953,537	\$2,959,126	\$2,961,230	\$3,000,998
Proposed Interfund Loan			\$0	\$0	\$0	\$0	\$0	\$0
Total Debt Service			\$2,186,148	\$6,058,680	\$5,870,195	\$7,410,576	\$8,509,642	\$6,559,016
Transfers								
Placeholder			\$0	\$0	\$0	\$0	\$0	\$0
Placeholder			\$0	\$0	\$0	\$0	\$0	\$0
Placeholder			\$0	\$0	\$0	\$0	\$0	\$0
Subtotal Transfers			\$0	\$0	\$0	\$0	\$0	\$0
Net Cash Flow			(\$10,040,915)	(\$3,021,887)	(\$54,983)	(\$345,545)	(\$401,354)	\$1,589,513
Interfund Loan Proceeds			\$0	\$0	\$0	\$0	\$0	\$0
Ending Cash Reserves			\$9,061,198	\$6,039,312	\$5,984,329	\$5,638,784	\$5,237,429	\$6,826,942
Operating Reserve Target	90	Y	\$12,944,671	\$13,627,468	\$14,267,043	\$14,815,258	\$15,028,834	\$15,248,959
Net Above / (Below) Target			(\$3,883,473)	(\$7,588,156)	(\$8,282,714)	(\$9,176,474)	(\$9,791,405)	(\$8,422,017)

City of Oxnard, California Rate Study for Environmental Resources Expense Forecast Appendix B							Budget Input	Adjustments	Adjusted FY 2024	Projected FY 2025	Projected FY 2026	Projected FY 2027	Projected FY 2028	Projected FY 2029	
Expense Summary by Cost Center															
Cost Center Description	HTC Account Code	Munis Org Code	Munis Object Code	Department	Cost Category	Escalation Factor	Budget Input	Adjustments	Adjusted FY 2024	Projected FY 2025	Projected FY 2026	Projected FY 2027	Projected FY 2028	Projected FY 2029	
Inflation Factors															
Inflation - General							Gen - Inf			5.00%	3.50%	2.50%	2.50%	2.50%	2.50%
Salary/Benefits							Supplies			3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
Material and Supplies							Supplies			4.00%	4.00%	4.00%	4.00%	4.00%	4.00%
Utility							Utility			3.50%	3.50%	3.50%	3.50%	3.50%	3.50%
Professional Services							ProfServ			3.00%	3.00%	2.50%	2.50%	2.50%	2.50%
Maintenance / Fuel							Maint. / Fuel			5.00%	4.00%	3.00%	2.50%	2.50%	2.50%
Fleet							Fleet			4.00%	3.00%	3.00%	3.00%	3.00%	3.00%
Supplemental Fleet Hauling Expense							Sup Fleet Haul			3.00%	3.00%	-57.50%	2.50%	2.50%	2.50%
Capital							Capital			3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
Non-Inflated							Constant			0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Indirect Costs							Indirect			5.00%	3.50%	2.50%	2.50%	2.50%	2.50%
Cost Center Description	HTC Account Code	Munis Org Code	Munis Object Code	Department	Cost Category	Escalation Factor	Budget Input	Adjustments	Adjusted FY 2024	Projected FY 2025	Projected FY 2026	Projected FY 2027	Projected FY 2028	Projected FY 2029	
Salaries and Wages															
DIRECT LABOR REGULAR	631-6303-841.80-01	6301	6313630	50000	ER Administration	Personnel Expenses	Labor	\$2,996,880	\$0	\$2,996,880	\$3,179,390	\$3,179,390	\$3,179,390	\$3,179,390	
DIRECT LABOR TEMPORARY	631-6303-841.80-02	6301	6313630	50100	ER Administration	Personnel Expenses	Labor	\$56,461	\$0	\$56,461	\$58,155	\$59,900	\$59,900	\$59,900	
OVERTIME	631-6303-841.80-03	6301	6313630	50200	ER Administration	Personnel Expenses	Labor	\$100,328	\$0	\$100,328	\$103,327	\$106,251	\$106,251	\$106,251	
SALARY CONTIN/WORKER COM	631-6303-841.80-05	6301	6313630	51300	ER Administration	Personnel Expenses	Labor	\$198,653	\$0	\$198,653	\$204,633	\$210,751	\$210,751	\$210,751	
PERSONAL SERVICES / AUTO ALLOWANCE	631-6303-841.80-21	6301	6313630	51400	ER Administration	Personnel Expenses	Labor	\$1,050	\$0	\$1,050	\$1,082	\$1,114	\$1,114	\$1,114	
PARS	631-6303-841.80-40	6301	6313630	51500	ER Administration	Personnel Expenses	Labor	\$95,965	\$0	\$95,965	\$98,844	\$101,809	\$101,809	\$101,809	
EMPLOYEE BENEFITS	631-6303-841.80-41	6301	6313630	51160	ER Administration	Personnel Expenses	Labor	\$963,831	\$0	\$963,831	\$997,246	\$1,031,528	\$1,031,528	\$1,031,528	
PERF	631-6303-841.80-43	6301	6313630	51000	ER Administration	Personnel Expenses	Labor	\$306,965	\$0	\$306,965	\$316,734	\$325,659	\$325,659	\$325,659	
PARS - ERIP	631-6303-841.80-47	6301	6313630	51040	ER Administration	Personnel Expenses	Labor	\$14,381	\$0	\$14,381	\$14,812	\$15,256	\$15,256	\$15,256	
DIRECT LABOR REGULAR	631-6303-841.80-01	6302	6313631	50000	ER Outreach program	Personnel Expenses	Labor	\$181,746	\$0	\$181,746	\$187,398	\$192,814	\$192,814	\$192,814	
EMPLOYEE BENEFITS	631-6303-841.80-41	6302	6313631	51160	ER Outreach program	Personnel Expenses	Labor	\$61,346	\$0	\$61,346	\$63,186	\$65,082	\$65,082	\$65,082	
WORKERS COMP INSURANCE	631-6303-841.80-42	6302	6313631	51300	ER Outreach program	Personnel Expenses	Labor	\$11,817	\$0	\$11,817	\$12,172	\$12,537	\$12,537	\$12,537	
PERF	631-6303-841.80-43	6302	6313631	51000	ER Outreach program	Personnel Expenses	Labor	\$18,920	\$0	\$18,920	\$19,488	\$20,073	\$20,073	\$20,073	
DIRECT LABOR REGULAR	631-6307-841.80-01	6307	6313632	50000	ER Residential Collection	Personnel Expenses	Labor	\$1,997,756	\$0	\$1,997,756	\$2,097,689	\$2,119,420	\$2,119,420	\$2,119,420	
OVERTIME	631-6307-841.80-03	6307	6313632	50200	ER Residential Collection	Personnel Expenses	Labor	\$289,341	\$0	\$289,341	\$298,021	\$306,962	\$306,962	\$306,962	
SALARY CONTIN/WORKER COM	631-6307-841.80-05	6307	6313632	51300	ER Residential Collection	Personnel Expenses	Labor	\$1,173,562	\$0	\$1,173,562	\$1,146,689	\$1,146,940	\$1,146,940	\$1,146,940	
PARS	631-6307-841.80-40	6307	6313632	51500	ER Residential Collection	Personnel Expenses	Labor	\$183,832	\$0	\$183,832	\$189,347	\$195,027	\$195,027	\$195,027	
EMPLOYEE BENEFITS	631-6307-841.80-41	6307	6313632	51160	ER Residential Collection	Personnel Expenses	Labor	\$660,156	\$0	\$660,156	\$679,961	\$700,360	\$700,360	\$700,360	
PERF	631-6307-841.80-43	6307	6313632	51000	ER Residential Collection	Personnel Expenses	Labor	\$213,598	\$0	\$213,598	\$218,736	\$225,545	\$225,545	\$225,545	
PARS - ERIP	631-6307-841.80-47	6307	6313632	51040	ER Residential Collection	Personnel Expenses	Labor	\$5,423	\$0	\$5,423	\$5,586	\$5,754	\$5,754	\$5,754	
DIRECT LABOR REGULAR	631-6308-841.80-01	6308	6313633	50000	ER Commercial Collection	Personnel Expenses	Labor	\$252,165	\$0	\$252,165	\$258,860	\$267,626	\$267,626	\$267,626	
OVERTIME	631-6308-841.80-03	6308	6313633	50200	ER Commercial Collection	Personnel Expenses	Labor	\$345,619	\$0	\$345,619	\$356,154	\$366,880	\$366,880	\$366,880	
SALARY CONTIN/WORKER COM	631-6308-841.80-05	6308	6313633	51300	ER Commercial Collection	Personnel Expenses	Labor	\$180,883	\$0	\$180,883	\$186,390	\$191,898	\$191,898	\$191,898	
PARS	631-6308-841.80-40	6308	6313633	51500	ER Commercial Collection	Personnel Expenses	Labor	\$165,887	\$0	\$165,887	\$170,864	\$175,990	\$175,990	\$175,990	
EMPLOYEE BENEFITS	631-6308-841.80-41	6308	6313633	51160	ER Commercial Collection	Personnel Expenses	Labor	\$994,332	\$0	\$994,332	\$1,024,162	\$1,054,887	\$1,054,887	\$1,054,887	
PERF	631-6308-841.80-43	6308	6313633	51000	ER Commercial Collection	Personnel Expenses	Labor	\$266,943	\$0	\$266,943	\$272,282	\$282,455	\$282,455	\$282,455	
PARS - ERIP	631-6308-841.80-47	6308	6313633	51040	ER Commercial Collection	Personnel Expenses	Labor	\$4,813	\$0	\$4,813	\$4,957	\$5,106	\$5,106	\$5,106	
PERMIA-RETIREE	631-6308-841.80-48	6308	6313633	51210	ER Commercial Collection	Personnel Expenses	Labor	\$52,141	\$0	\$52,141	\$53,705	\$55,316	\$55,316	\$55,316	
DIRECT LABOR REGULAR	631-6309-841.80-01	6309	6313634	50000	ER Industrial Collection	Personnel Expenses	Labor	\$622,003	\$0	\$622,003	\$641,590	\$660,838	\$660,838	\$660,838	
OVERTIME	631-6309-841.80-03	6309	6313634	50200	ER Industrial Collection	Personnel Expenses	Labor	\$95,000	\$0	\$95,000	\$97,885	\$100,786	\$100,786	\$100,786	
SALARY CONTIN/WORKER COM	631-6309-841.80-05	6309	6313634	51300	ER Industrial Collection	Personnel Expenses	Labor	\$44,157	\$0	\$44,157	\$44,482	\$44,846	\$44,846	\$44,846	
PARS	631-6309-841.80-40	6309	6313634	51500	ER Industrial Collection	Personnel Expenses	Labor	\$70,057	\$0	\$70,057	\$72,159	\$74,324	\$74,324	\$74,324	
EMPLOYEE BENEFITS	631-6309-841.80-41	6309	6313634	51160	ER Industrial Collection	Personnel Expenses	Labor	\$221,790	\$0	\$221,790	\$228,444	\$235,297	\$235,297	\$235,297	
PERF	631-6309-841.80-43	6309	6313634	51000	ER Industrial Collection	Personnel Expenses	Labor	\$64,844	\$0	\$64,844	\$66,789	\$68,793	\$68,793	\$68,793	
DIRECT LABOR REGULAR	631-6312-841.80-01	6312	6313635	50000	ER Tip Floor Processing and Disposal	Personnel Expenses	Labor	\$1,146,861	\$0	\$1,146,861	\$1,216,705	\$1,216,705	\$1,216,705	\$1,216,705	
OVERTIME	631-6312-841.80-03	6312	6313635	50200	ER Tip Floor Processing and Disposal	Personnel Expenses	Labor	\$300,000	\$0	\$300,000	\$309,000	\$318,270	\$318,270	\$318,270	
SALARY CONTIN/WORKER COM	631-6312-841.80-05	6312	6313635	51300	ER Tip Floor Processing and Disposal	Personnel Expenses	Labor	\$78,365	\$0	\$78,365	\$80,727	\$83,159	\$83,159	\$83,159	
EMPLOYEE BENEFITS	631-6312-841.80-41	6312	6313635	51160	ER Tip Floor Processing and Disposal	Personnel Expenses	Labor	\$470,086	\$0	\$470,086	\$484,189	\$498,715	\$498,715	\$498,715	
PERF	631-6312-841.80-43	6312	6313635	51000	ER Tip Floor Processing and Disposal	Personnel Expenses	Labor	\$121,315	\$0	\$121,315	\$124,954	\$128,703	\$128,703	\$128,703	
DIRECT LABOR REGULAR	631-6313-841.80-01	6313	6313636	50000	ER Material Recovery Facility	Personnel Expenses	Labor	\$1,180,875	\$1,907,431	\$1,180,875	\$1,904,654	\$1,964,654	\$1,964,654	\$1,964,654	
OVERTIME	631-6313-841.80-03	6313	6313636	50200	ER Material Recovery Facility	Personnel Expenses	Labor	\$80,000	\$1,200,000	\$80,000	\$1,210,770	\$1,220,770	\$1,220,770	\$1,220,770	
EMPLOYEE BENEFITS	631-6313-841.80-41	6313	6313636	51160	ER Material Recovery Facility	Personnel Expenses	Labor	\$741,354	\$786,595	\$741,354	\$786,595	\$786,595	\$786,595	\$786,595	
WORKERS COMP INSURANCE	631-6313-841.80-42	6313	6313636	51300	ER Material Recovery Facility	Personnel Expenses	Labor	\$125,858	\$0	\$125,858	\$129,634	\$133,523	\$133,523	\$133,523	
PERF	631-6313-841.80-43	6313	6313636	51000	ER Material Recovery Facility	Personnel Expenses	Labor	\$156,246	\$0	\$156,246	\$160,561	\$165,065	\$165,065	\$165,065	
DIRECT LABOR REGULAR	631-6314-841.80-01	6314	6313637	50000	ER Waste Transfer Haul	Personnel Expenses	Labor	\$1,142,253	\$1,171,817	\$1,142,253	\$1,211,817	\$1,211,817	\$1,211,817	\$1,211,817	
OVERTIME	631-6314-841.80-03	6314	6313637	50200	ER Waste Transfer Haul	Personnel Expenses	Labor	\$200,000	\$0	\$200,000	\$200,000	\$212,180	\$212,180	\$212,180	
PARS	631-6314-841.80-40	6314	6313637	51500	ER Waste Transfer Haul	Personnel Expenses	Labor	\$152,858	\$157,444	\$152,858	\$162,167	\$162,167	\$162,167	\$162,167	
EMPLOYEE BENEFITS	631-6314-841.80-41	6314	6313637	51160	ER Waste Transfer Haul	Personnel Expenses	Labor	\$462,911	\$416,988	\$462,911	\$477,448	\$477,448	\$477,448	\$477,448	
WORKERS COMP INSURANCE	631-6314-841.80-42	6314	6313637	51300	ER Waste Transfer Haul	Personnel Expenses	Labor	\$81,996	\$88,456	\$81,996	\$86,990	\$86,990	\$86,990	\$86,990	
PERF	631-6314-841.80-43	6314	6313637	51000	ER Waste Transfer Haul	Personnel Expenses	Labor	\$210,835	\$124,460	\$210,835	\$218,194	\$218,194	\$218,194	\$218,194	
ACCURSED SALARY & BENEFITS	631-6314-841.80-23	6314	6313637	51210	ER Waste Transfer Haul	Personnel Expenses	Labor	\$2,865	\$0	\$2,865	\$3,004	\$3,004	\$3,004	\$3,004	
PARS - ERIP	631-6320-841.80-50	6301	6313630	51040	ER Administration	Personnel Expenses	Labor	\$417,263	\$0	\$417,263	\$429,781	\$442,674	\$442,674	\$442,674	
WORKERS COMP/SAFETY	631-6320-841.80-50	6302	6313630	51300	ER Outreach program	Personnel Expenses	Labor	\$22,855	\$0	\$22,855	\$23,541	\$24,247	\$24,247	\$24,247	
PARS - ERIP	631-6307-841.80-50														

Cost Center Description	HTE Account Code	Munis Org Code	Munis Object Code	Department	Cost Category	Escalation Factor	Budget Input	Adjustments	Adjusted FY 2024	Projected FY 2025	Projected FY 2026	Projected FY 2027	Projected FY 2028	Projected FY 2029		
DATA PROCESSING-OPERATIO	631-6308-846.85-22	6308	6313633	59200	ER Commercial Collection	Other Contracted Services	Gen - Inf	\$383,360	\$0	\$383,360	\$396,778	\$406,697	\$416,864	\$427,286	\$437,968	
LIABILITY INSURANCE	631-6308-846.85-25	6308	6313633	59300	ER Commercial Collection	Insurance	Gen - Inf	\$166,449	\$0	\$166,449	\$172,275	\$176,582	\$180,997	\$185,522	\$190,160	
INDIRECT PRORATED CST CH	631-6308-846.85-32	6308	6313633	59600	ER Commercial Collection	Indirect Cost Allocation	Gen - Inf	\$308,068	\$0	\$308,068	\$318,850	\$326,821	\$334,992	\$343,767	\$351,951	
CUSTOMER BILLING CHARGES	631-6309-846.85-21	6309	6313634	59100	ER Industrial Collection	Disposal	Gen - Inf	\$118,636	\$0	\$118,636	\$122,788	\$125,858	\$129,004	\$132,229	\$135,535	
DATA PROCESSING-OPERATIO	631-6309-846.85-22	6309	6313634	59200	ER Industrial Collection	Other Contracted Services	Gen - Inf	\$80,992	\$0	\$80,992	\$83,827	\$86,523	\$89,071	\$90,273	\$92,530	
LIABILITY INSURANCE	631-6309-846.85-25	6309	6313634	59300	ER Industrial Collection	Insurance	Gen - Inf	\$40,635	\$0	\$40,635	\$42,057	\$43,108	\$44,186	\$45,291	\$46,423	
INDIRECT PRORATED CST CH	631-6309-846.85-32	6309	6313634	59600	ER Industrial Collection	Indirect Cost Allocation	Gen - Inf	\$90,047	\$0	\$90,047	\$93,189	\$95,529	\$97,917	\$100,365	\$102,874	
SOFTWARE APPLICATIONS	631-6312-846.81-18	6312	6313635	53000	ER Tip Floor Processing and Disposal	Supplies	Gen - Inf	\$5,000	\$0	\$5,000	\$5,175	\$5,304	\$5,437	\$5,573	\$5,712	
TAXES AND FILING FEES	631-6312-846.83-71	6312	6313635	57110	ER Tip Floor Processing and Disposal	Professional Services	Gen - Inf	\$75,000	\$0	\$75,000	\$77,625	\$79,566	\$81,555	\$83,594	\$85,684	
DATA PROCESSING-OPERATIO	631-6312-846.85-22	6312	6313635	59200	ER Tip Floor Processing and Disposal	Other Contracted Services	Gen - Inf	\$179,981	\$0	\$179,981	\$186,280	\$190,917	\$195,710	\$200,603	\$205,618	
LIABILITY INSURANCE	631-6312-846.85-25	6312	6313635	59300	ER Tip Floor Processing and Disposal	Insurance	Gen - Inf	\$72,134	\$0	\$72,134	\$74,659	\$76,325	\$78,038	\$79,799	\$81,609	
INDIRECT PRORATED CST CH	631-6312-846.85-32	6312	6313635	59600	ER Tip Floor Processing and Disposal	Indirect Cost Allocation	Gen - Inf	\$242,192	\$0	\$242,192	\$250,669	\$256,936	\$263,359	\$269,943	\$276,692	
RENTAL-VEHIC & EQUIPMENT	631-6313-846.83-21	6313	6313636	57000	ER Material Recovery Facility	Fleet	Gen - Inf	\$50,000	\$0	\$50,000	\$51,750	\$53,044	\$54,370	\$55,729	\$57,122	
DATA PROCESSING-OPERATIO	631-6313-846.85-22	6313	6313636	59200	ER Material Recovery Facility	Other Contracted Services	Gen - Inf	\$278,971	\$0	\$278,971	\$288,735	\$295,953	\$303,352	\$310,936	\$318,709	
LIABILITY INSURANCE	631-6313-846.85-25	6313	6313636	59300	ER Material Recovery Facility	Insurance	Gen - Inf	\$115,817	\$0	\$115,817	\$119,871	\$122,868	\$125,940	\$129,089	\$132,316	
INDIRECT PRORATED CST CH	631-6313-846.85-32	6313	6313636	59600	ER Material Recovery Facility	Indirect Cost Allocation	Gen - Inf	\$430,503	\$0	\$430,503	\$445,571	\$456,710	\$468,128	\$479,831	\$491,827	
DATA PROCESSING-OPERATION	631-6314-846.85-22	6314	6313637	59200	ER Waste Transfer Haul	Other Contracted Services	Gen - Inf	\$143,385	\$0	\$143,385	\$149,024	\$152,750	\$156,569	\$160,483	\$164,495	
LIABILITY INSURANCE	631-6314-846.85-25	6314	6313637	59300	ER Waste Transfer Haul	Insurance	Gen - Inf	\$75,455	\$0	\$75,455	\$78,086	\$80,048	\$82,049	\$84,100	\$86,203	
INDIRECT PRORATED CST CHG	631-6314-846.85-32	6314	6313637	59600	ER Waste Transfer Haul	Indirect Cost Allocation	Gen - Inf	\$203,243	\$0	\$203,243	\$210,357	\$215,616	\$221,006	\$226,531	\$232,194	
CLAIMS & JUDGEMENTS	631-6301-846.83-21	6301	6313630	58400	ER Administration	Indirect Cost Allocation	Gen - Inf	\$70,000	\$0	\$70,000	\$72,450	\$74,261	\$76,118	\$78,021	\$79,972	
IT & DATA PROCESSING CHGS	631-6311-846.85-22	6317	6313638	59200	ER Cleanup and Illegal Dumping	Other Contracted Services	Gen - Inf	\$89,991	(\$71,993)	\$17,998	\$18,828	\$19,094	\$19,571	\$20,060	\$20,562	
Subtotal									\$7,053,093	(\$121,993)	\$6,931,100	\$7,173,689	\$7,351,031	\$7,530,855	\$7,725,279	\$7,918,412
Repairs and Maintenance																
FACILITY CHG-MAINTENANCE	631-6301-847.85-35	6301	6313630	59700	ER Administration	Maintenance / Fuel	Gen - Inf	\$55,462	(\$55,462)	\$0	\$0	\$0	\$0	\$0	\$0	
BUILDINGS AND IMPROVEMENT	631-6313-847.83-01	6313	6313636	55010	ER Material Recovery Facility	Maintenance / Fuel	Maint. / Fuel	\$150,000	\$0	\$150,000	\$157,500	\$163,800	\$167,895	\$172,092	\$176,394	
FACILITY CHG-MAINTENANCE	631-6313-847.85-35	6313	6313636	59700	ER Material Recovery Facility	Maintenance / Fuel	Maint. / Fuel	\$69,991	\$0	\$69,991	\$74,431	\$78,342	\$80,301	\$82,309	\$84,367	
Subtotal									\$275,453	(\$55,462)	\$219,991	\$230,991	\$240,231	\$246,237	\$252,393	\$258,703
Capital Outlay																
MACHINERY & EQUIP-NEW	631-6309-891.86-06	6309	6313634	56040	ER Industrial Collection	Capital Outlay	Capital	\$65,000	(\$65,000)	\$0	\$0	\$0	\$0	\$0	\$0	
MACHINERY & EQUIP-NEW	631-6313-891.86-06	6313	6313636	56040	ER Material Recovery Facility	Capital Outlay	Capital	\$450,000	(\$450,000)	\$0	\$0	\$0	\$0	\$0	\$0	
Subtotal									\$515,000	(\$515,000)	\$0	\$0	\$0	\$0	\$0	\$0
Other - New Munis Codes																
PRINCIPAL PAYMENTS	n/a	6313630-57500	6313630	57500	ER Administration	Debt Service	Constant	\$1,928,565	(\$1,928,565)	\$0	\$0	\$0	\$0	\$0	\$0	
INTEREST PAYMENT	n/a	6313630-57510	6313630	57510	ER Administration	Debt Service	Constant	\$174,885	(\$174,885)	\$0	\$0	\$0	\$0	\$0	\$0	
FLEET EQUIP MAINT INF CHG	n/a	6313632-59500	6313632	59500	ER Residential Collection	Maintenance / Fuel	Maint. / Fuel	\$1,324,721	\$0	\$1,324,721	\$1,390,957	\$1,446,595	\$1,482,760	\$1,519,829	\$1,557,825	
FLEET EQUIP MAINT INF CHG	n/a	6313633-59500	6313633	59500	ER Commercial Collection	Maintenance / Fuel	Maint. / Fuel	\$725,630	\$0	\$725,630	\$761,912	\$792,388	\$821,198	\$849,203	\$876,316	
FLEET EQUIP MAINT INF CHG	n/a	6313634-59500	6313634	59500	ER Industrial Collection	Maintenance / Fuel	Maint. / Fuel	\$428,157	\$0	\$428,157	\$449,565	\$467,548	\$482,237	\$497,218	\$501,498	
FLEET EQUIP MAINT INF CHG	n/a	6313637-59500	6313637	59500	ER Waste Transfer Haul	Maintenance / Fuel	Maint. / Fuel	\$1,680,685	\$0	\$1,680,685	\$1,764,719	\$1,831,308	\$1,883,191	\$1,928,221	\$1,976,427	
SUPPLIES SAFETY	n/a	6313638-52610	6313638	52610	ER Cleanup and Illegal Dumping	Supplies	Supplies	\$4,000	\$0	\$4,000	\$4,160	\$4,326	\$4,499	\$4,679	\$4,866	
MISC SUPPLIES	n/a	6313638-52900	6313638	52900	ER Cleanup and Illegal Dumping	Supplies	Supplies	\$2,000	\$0	\$2,000	\$2,080	\$2,163	\$2,250	\$2,340	\$2,434	
ADVERTISING	n/a	6313638-53250	6313638	53250	ER Cleanup and Illegal Dumping	Professional Services	Prof/Bus	\$4,000	\$0	\$4,000	\$4,140	\$4,244	\$4,350	\$4,459	\$4,570	
IUF - Franchise Fee back to General Fund	n/a	6313630	6313630		ER Administration	Indirect Cost Allocation	Constant	\$2,048,468	\$0	\$0	\$1,024,234	\$2,048,468	\$2,048,468	\$2,048,468	\$2,048,468	
LIABILITY INSURANCE CHGS	N/A	6313638	6313638	59300	ER Cleanup and Illegal Dumping	Insurance	Gen - Inf	\$0	\$7,116	\$7,116	\$7,365	\$7,549	\$7,738	\$7,931	\$8,129	
PROJECT ASSIST	n/a	6313632	6313632	52350	ER Residential Collection	Indirect Cost Allocation	Gen - Inf	\$0	\$80,500	\$80,500	\$83,318	\$85,401	\$87,536	\$89,724	\$91,967	
Incremental Expenses									\$8,321,111	(\$2,015,834)	\$4,256,809	\$5,492,450	\$6,693,990	\$6,810,227	\$6,929,372	\$7,051,500
ER Residential Collection																
Routes		6313632							8.25	8.25	9.25	9.25	9.25	9.25	9.25	
Personnel		6313632			ER Residential Collection	Personnel Expenses		\$0	\$0	\$488,739	\$488,739	\$488,739	\$488,739	\$488,739	\$488,739	
Maintenance		6313632			ER Residential Collection	Maintenance / Fuel		\$0	\$0	\$175,345	\$179,728	\$184,222	\$188,827			
ER Commercial Collection																
Routes		6313633							11.17	11.17	11.17	11.17	11.17	11.17	11.17	
Personnel		6313633			ER Commercial Collection	Personnel Expenses		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Maintenance		6313633			ER Commercial Collection	Maintenance / Fuel		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
ER Industrial Collection																
Routes		6313634							8.00	8.00	9.00	9.00	9.00	9.00	9.00	
Personnel		6313634			ER Industrial Collection	Personnel Expenses		\$0	\$0	\$148,487	\$148,487	\$148,487	\$148,487	\$148,487	\$148,487	
Maintenance		6313634			ER Industrial Collection	Maintenance / Fuel		\$0	\$0	\$58,444	\$59,905	\$61,402	\$62,937			
ER Transfer Trucks																
Routes		6313637							8.83	8.83	8.83	11.83	11.83	11.83	11.83	
Personnel		6313637			ER Waste Transfer Haul	Personnel Expenses		\$0	\$0	\$0	\$0	\$756,950	\$756,950	\$756,950	\$756,950	
Maintenance		6313637			ER Waste Transfer Haul	Maintenance / Fuel		\$0	\$0	\$0	\$0	\$638,895	\$654,868	\$671,239		
Subtotal Incremental Expenses									\$0	\$0	\$0	\$871,014	\$2,272,704	\$2,294,667	\$2,317,179	
Total Operating Expenses									\$60,005,290	(\$5,458,991)	\$52,497,831	\$55,266,954	\$57,860,786	\$60,084,101	\$60,950,272	\$61,843,001

Description	Allocation Factor	FY 2029	Residential Cans -				Commercial Cans Trash (96 gal)	Commercial Container Trash (2/4 CY)	Collection		Green Waste	Organics	Transfer Trailers
			Residential Cans - Trash (96 Gal)	Residential Cans - Recycling (96 Gal)	Green Waste (96 Gal)				Commercial Container Organic (64 gal)	Commercial Container Recycling & GW			
Allocation Factors													
Residential EBU Allocator	Res EBU's	100.0%	34.1%	33.0%	33.0%								
Units		533,188,697	181,561,267	175,813,715	175,813,715								
Commercial EBU Allocator	Com EBU's	100.0%					0.6%	80.0%	0.5%	18.8%			
Units		319,017,191					2,068,768	255,203,844	1,697,141	60,047,438			
Recycling EBU's	Rcyc EBU's	100.0%		73.4%						25.0%			
Units		239,607,853		175,813,715						59,812,529			
EBU Allocator	EBU's	100.0%	19.7%	19.1%	19.1%		0.2%	27.8%	0.2%	6.5%			
Units		919,434,873	181,561,267	175,813,715	175,813,715		2,068,768	255,203,844	1,697,141	60,047,438			
Customer Accounts/Bins	Accounts	100.0%	28.8%	28.8%	28.8%		0.8%	9.0%	0.5%	2.9%			
Units		37,561	10,827	10,827	10,827		311	3,368	170	1,091			
Container Allocator	Container	100.0%	31.9%	31.9%	31.9%		0.4%	2.9%	0.1%	0.9%			
Units		118,128	37,669	37,669	37,669		414	3,368	170	1,027			
Available Recycling + Available MSW Diversion Tons	Avail Rcyc Tons	100.0%	37.6%	0.0%			0.4%	52.8%		0.0%			
Units		82,102	30,871				288	43,355					
Green Waste Tons	GW Tons	55.9%			55.8%		0.0%	0.0%	0.0%	0.1%			
Units		18,663			10,409		-	-	-	16			
Collection Cost Indirect Tonnage Alloc	Collect Indirect Ton	100.0%	24.6%	7.3%	6.0%		0.3%	39.2%	0.1%	3.9%			
Units		203,305	49,979	14,907	12,132		646	79,699	109	7,902			
Collection Route Vehicle Costs	Fleet Capital/Debt	100.0%	17.9%	17.9%	13.9%		2.0%	17.9%	4.0%	4.0%	0.5%	0.7%	0.1%
Typical Truck Cost (Today's \$)			\$355,000	\$355,000	\$355,000		\$355,000	\$355,000	\$355,000	\$355,000	\$9,977	\$13,413	\$2,413
Service Life			8.00	8.00	8.00		8.00	8.00	8.00	8.00	0.45	0.61	0.11
Average Annual Cost			\$44,375	\$44,375	\$44,375		\$44,375	\$44,375	\$44,375	\$44,375	\$998	\$1,341	\$241
Annual Fleet Cost		\$2,235,125	\$399,375	\$399,375	\$310,625		\$44,375	\$399,375	\$88,750	\$88,750	\$11,972	\$16,096	\$2,896
SIMI Disposal Cost Tons	SIMI Tons	100.0%									0.0%	0.0%	3.9%
SIMI Tons		298,296										3,358	298,296
SIMI Tip Fee Rate												113	144
Materials hauled		9,631,659									-	-	379,454
Tip Floor Tons	Tip Floor Tons	100.0%									8.5%	6.3%	1.1%
Total Tons		317,101									24,872	18,663	3,358
Less New Indy		(23,133)									0		(23,133)
Materials hauled		293,968									24,872	18,663	3,358
Total Tons	Tons	100.0%									7.8%	5.9%	1.1%
Total Tons		317,101									24,872	18,663	3,358
Transfer Trailer Tonnage Allocator	Transfer Tons	100.0%									4.5%	6.1%	1.1%
Total Tons		317,101									24,872	18,663	3,358
Less Recycling Material Sold		(10,990)									(10,990)		(10,990)
Materials hauled		306,111									13,882	18,663	3,358

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	2025	2026	2027	2028	2029
Residential Cart Service					
96 Gallon Service Refuse Cart					
Unit Cost per Gallon per Tip	\$0.058	\$0.064	\$0.068	\$0.070	\$0.070
Average Monthly Tips (52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	96.0	96.0	96.0	96.0	96.0
Calculated Rate	\$24.33	\$26.76	\$28.16	\$29.01	\$29.29
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Monthly Rate	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
64 Gallon Service Refuse Cart					
Unit Cost per Gallon per Tip	\$0.059	\$0.064	\$0.068	\$0.070	\$0.070
Average Monthly Tips (52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	64.0	64.0	64.0	64.0	64.0
Calculated Rate	\$16.25	\$17.88	\$18.81	\$19.38	\$19.53
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Monthly Rate	\$16.37	\$18.00	\$18.93	\$19.50	\$19.65
96 Gallon Recycling Cart					
Unit Cost per Gallon per Tip	\$0.026	\$0.029	\$0.030	\$0.031	\$0.032
Average Monthly Tips (52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	96.0	96.0	96.0	96.0	96.0
Calculated Rate	\$10.94	\$12.03	\$12.67	\$13.05	\$13.11
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Monthly Rate	\$11.06	\$12.15	\$12.79	\$13.17	\$13.23
96 Gallon Green Waste Cart					
Unit Cost per Gallon per Tip	\$0.028	\$0.031	\$0.033	\$0.034	\$0.034
Average Monthly Tips (52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	96.0	96.0	96.0	96.0	96.0
Calculated Rate	\$11.78	\$12.96	\$13.64	\$14.05	\$14.12
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Monthly Rate	\$11.90	\$13.08	\$13.76	\$14.17	\$14.24
96 Gallon Refuse 3 Cart Service	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
64 Gallon Refuse 3 Cart Service	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
Additional 96 Gallon Cart	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
Residential Mobile Home Cart Service					
96 Gallon Refuse 3 Cart Service	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
IUF Adjustment Factor	0.92	0.92	0.92	0.92	0.92
Adjusted Monthly Rate	\$43.45	\$47.76	\$50.25	\$51.74	\$52.10
64 Gallon Refuse 3 Cart Service	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
IUF Adjustment Factor	0.92	0.92	0.92	0.92	0.92
Adjusted Monthly Rate	\$36.06	\$39.63	\$41.69	\$42.93	\$43.16
Additional 96 Gallon Cart	\$24.45	\$26.88	\$28.28	\$29.13	\$29.41
IUF Adjustment Factor	0.92	0.92	0.92	0.92	0.92
Adjusted Monthly Rate	\$22.41	\$24.63	\$25.92	\$26.70	\$26.96
Commercial Refuse Cart Service					
Unit Cost per Gallon per Tip	\$0.087	\$0.096	\$0.101	\$0.104	\$0.105

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Average Monthly Tips (52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	96.0	96.0	96.0	96.0	96.0
Calculated Rate	\$36.39	\$40.03	\$42.14	\$43.40	\$43.89
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$36.51	\$40.15	\$42.26	\$43.52	\$44.01

Commercial ORGANIC Service

64 Gallon Cart Service

Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (3x wk / 52 weeks / 12)	13.00	13.00	13.00	13.00	13.00
Cart Capacity Gallons	64.0	64.0	64.0	64.0	64.0
Calculated Rate	\$78.89	\$86.78	\$91.33	\$94.07	\$95.27
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$79.01	\$86.90	\$91.45	\$94.19	\$95.39

1 Cubic Yard Bin Service

Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Container Capacity Gallons	202.0	202.0	202.0	202.0	202.0
Calculated Rate	\$82.99	\$91.28	\$96.08	\$98.96	\$100.22
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$83.11	\$91.40	\$96.20	\$99.08	\$100.34

2 Cubic Yard Bin Service

Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Container Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$165.97	\$182.57	\$192.15	\$197.92	\$200.44
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$166.09	\$182.69	\$192.27	\$198.04	\$200.56

3 Cubic Yard Bin Service

Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Container Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$248.96	\$273.85	\$288.23	\$296.88	\$300.65
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$249.08	\$273.97	\$288.35	\$297.00	\$300.77

4 Cubic Yard Bin Service

Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Container Capacity Gallons	807.9	807.9	807.9	807.9	807.9
Calculated Rate	\$331.94	\$365.14	\$384.31	\$395.84	\$400.87
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$332.06	\$365.26	\$384.43	\$395.96	\$400.99

Commercial REFUSE Bin Service

1 Cubic Yard Bin Service - 1x week

Unit Cost per Gallon per Tip	\$0.066	\$0.072	\$0.076	\$0.078	\$0.079
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	202.0	202.0	202.0	202.0	202.0

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Calculated Rate	\$57.57	\$63.32	\$66.65	\$68.65	\$69.55
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$57.69	\$63.44	\$66.77	\$68.77	\$69.67
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
1 Cubic Yard Shared Bin Service - 1x week					
1 Cubic Yard Bin Service - 1x week	\$57.69	\$63.44	\$66.77	\$68.77	\$69.67
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$28.84	\$31.72	\$33.38	\$34.38	\$34.83
1 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.037	\$0.040	\$0.042	\$0.044	\$0.044
Unit Cost per Gallon per Tip - Disposal	\$0.029	\$0.032	\$0.034	\$0.035	\$0.035
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.058	\$0.064	\$0.068	\$0.070	\$0.071
Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	202.0	202.0	202.0	202.0	202.0
Calculated Rate	\$83.11	\$91.42	\$96.22	\$99.11	\$100.44
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$83.23	\$91.54	\$96.34	\$99.23	\$100.56
2 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.066	\$0.072	\$0.076	\$0.078	\$0.079
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$115.13	\$126.65	\$133.29	\$137.29	\$139.10
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
2 Cubic Yard Shared Bin Service - 1x week					
2 Cubic Yard Bin Service - 1x week	\$115.25	\$126.77	\$133.41	\$137.41	\$139.22
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$57.63	\$63.38	\$66.71	\$68.71	\$69.61
2 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.037	\$0.040	\$0.042	\$0.044	\$0.044
Unit Cost per Gallon per Tip - Disposal	\$0.029	\$0.032	\$0.034	\$0.035	\$0.035
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.058	\$0.064	\$0.068	\$0.070	\$0.071
Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$166.22	\$182.84	\$192.44	\$198.22	\$200.87
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$166.34	\$182.96	\$192.56	\$198.34	\$200.99
3 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.066	\$0.072	\$0.076	\$0.078	\$0.079
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$172.70	\$189.97	\$199.94	\$205.94	\$208.64
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76

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	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76
3 Cubic Yard Shared Bin Service - 1x week					
3 Cubic Yard Bin Service - 1x week	\$172.82	\$190.09	\$200.06	\$206.06	\$208.76
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$86.41	\$95.04	\$100.03	\$103.03	\$104.38
3 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.037	\$0.040	\$0.042	\$0.044	\$0.044
Unit Cost per Gallon per Tip - Disposal	\$0.029	\$0.032	\$0.034	\$0.035	\$0.035
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.058	\$0.064	\$0.068	\$0.070	\$0.071
Unit Cost per Gallon per Tip	\$0.095	\$0.104	\$0.110	\$0.113	\$0.115
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$249.33	\$274.27	\$288.66	\$297.32	\$301.31
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$249.45	\$274.39	\$288.78	\$297.44	\$301.43

Commercial GREENWASTE Bin Service

1 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.034	\$0.037	\$0.039	\$0.040	\$0.041
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	202.0	202.0	202.0	202.0	202.0
Calculated Rate	\$29.57	\$32.52	\$34.23	\$35.26	\$35.69
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$29.69	\$32.64	\$34.35	\$35.38	\$35.81
	\$997.00	\$1,096.69	\$1,154.26	\$1,188.88	\$1,205.35
1 Cubic Yard Shared Bin Service - 1x week					
1 Cubic Yard Bin Service - 1x week	\$29.69	\$32.64	\$34.35	\$35.38	\$35.81
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$14.84	\$16.32	\$17.18	\$17.69	\$17.91
1 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.026	\$0.029	\$0.031	\$0.031	\$0.032
Unit Cost per Gallon per Tip - Disposal	\$0.007	\$0.008	\$0.009	\$0.009	\$0.009
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.015	\$0.016	\$0.017	\$0.018	\$0.018
Unit Cost per Gallon per Tip	\$0.041	\$0.045	\$0.048	\$0.049	\$0.050
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	202.0	202.0	202.0	202.0	202.0
Calculated Rate	\$36.02	\$39.62	\$41.70	\$42.95	\$43.49
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$36.14	\$39.74	\$41.82	\$43.07	\$43.61
2 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.034	\$0.037	\$0.039	\$0.040	\$0.041
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$59.14	\$65.05	\$68.46	\$70.52	\$71.38
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12

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Total Monthly Rate per Cart	\$59.26	\$65.17	\$68.58	\$70.64	\$71.50
2 Cubic Yard Shared Bin Service - 1x week					
2 Cubic Yard Bin Service - 1x week	\$59.26	\$65.17	\$68.58	\$70.64	\$71.50
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$29.63	\$32.58	\$34.29	\$35.32	\$35.75
2 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.026	\$0.029	\$0.031	\$0.031	\$0.032
Unit Cost per Gallon per Tip - Disposal	\$0.007	\$0.008	\$0.009	\$0.009	\$0.009
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.015	\$0.016	\$0.017	\$0.018	\$0.018
Unit Cost per Gallon per Tip	\$0.041	\$0.045	\$0.048	\$0.049	\$0.050
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$72.04	\$79.24	\$83.40	\$85.91	\$86.99
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$72.16	\$79.36	\$83.52	\$86.03	\$87.11
3 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.034	\$0.037	\$0.039	\$0.040	\$0.041
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$88.70	\$97.57	\$102.70	\$105.78	\$107.08
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$88.82	\$97.69	\$102.82	\$105.90	\$107.20
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
3 Cubic Yard Shared Bin Service - 1x week					
3 Cubic Yard Bin Service - 1x week	\$88.82	\$97.69	\$102.82	\$105.90	\$107.20
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$44.41	\$48.85	\$51.41	\$52.95	\$53.60
3 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.026	\$0.029	\$0.031	\$0.031	\$0.032
Unit Cost per Gallon per Tip - Disposal	\$0.007	\$0.008	\$0.009	\$0.009	\$0.009
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.015	\$0.016	\$0.017	\$0.018	\$0.018
Unit Cost per Gallon per Tip	\$0.041	\$0.045	\$0.048	\$0.049	\$0.050
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$108.06	\$118.87	\$125.11	\$128.86	\$130.48
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$108.18	\$118.99	\$125.23	\$128.98	\$130.60

Commercial RECYCLING Bin Service

1 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.031	\$0.034	\$0.036	\$0.037	\$0.037
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	202.0	202.0	202.0	202.0	202.0

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Calculated Rate	\$27.16	\$29.88	\$31.45	\$32.39	\$32.78
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$27.28	\$30.00	\$31.57	\$32.51	\$32.90
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
1 Cubic Yard Shared Bin Service - 1x week					
1 Cubic Yard Bin Service - 1x week	\$27.28	\$30.00	\$31.57	\$32.51	\$32.90
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$13.64	\$15.00	\$15.78	\$16.25	\$16.45
1 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.026	\$0.029	\$0.031	\$0.031	\$0.032
Unit Cost per Gallon per Tip - Disposal	\$0.005	\$0.005	\$0.005	\$0.006	\$0.006
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.009	\$0.010	\$0.011	\$0.011	\$0.011
Unit Cost per Gallon per Tip	\$0.036	\$0.039	\$0.041	\$0.043	\$0.043
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	202.0	202.0	202.0	202.0	202.0
Calculated Rate	\$31.21	\$34.33	\$36.13	\$37.21	\$37.68
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$31.33	\$34.45	\$36.25	\$37.33	\$37.80
2 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.031	\$0.034	\$0.036	\$0.037	\$0.037
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$54.32	\$59.76	\$62.89	\$64.78	\$65.57
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$54.44	\$59.88	\$63.01	\$64.90	\$65.69
2 Cubic Yard Shared Bin Service - 1x week					
2 Cubic Yard Bin Service - 1x week	\$54.44	\$59.88	\$63.01	\$64.90	\$65.69
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$27.22	\$29.94	\$31.51	\$32.45	\$32.84
2 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.026	\$0.029	\$0.031	\$0.031	\$0.032
Unit Cost per Gallon per Tip - Disposal	\$0.005	\$0.005	\$0.005	\$0.006	\$0.006
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.009	\$0.010	\$0.011	\$0.011	\$0.011
Unit Cost per Gallon per Tip	\$0.036	\$0.039	\$0.041	\$0.043	\$0.043
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	403.9	403.9	403.9	403.9	403.9
Calculated Rate	\$62.42	\$68.66	\$72.26	\$74.43	\$75.35
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$62.54	\$68.78	\$72.38	\$74.55	\$75.47
3 Cubic Yard Bin Service - 1x week					
Unit Cost per Gallon per Tip	\$0.031	\$0.034	\$0.036	\$0.037	\$0.037
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$81.48	\$89.63	\$94.34	\$97.17	\$98.35
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$81.60	\$89.75	\$94.46	\$97.29	\$98.47

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	\$488.28	\$537.10	\$565.29	\$582.25	\$590.21
3 Cubic Yard Shared Bin Service - 1x week					
3 Cubic Yard Bin Service - 1x week	\$81.60	\$89.75	\$94.46	\$97.29	\$98.47
Accounts	2.00	2.00	2.00	2.00	2.00
Calculated Monthly Fee per Account	\$40.80	\$44.88	\$47.23	\$48.64	\$49.23
3 Cubic Yard Bin Compactor Service - 1x week					
Unit Cost per Gallon per Tip - Collection	\$0.026	\$0.029	\$0.031	\$0.031	\$0.032
Unit Cost per Gallon per Tip - Disposal	\$0.005	\$0.005	\$0.005	\$0.006	\$0.006
Compactor Factor	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>	<u>2.00</u>
Adjusted Disposal Factor	\$0.009	\$0.010	\$0.011	\$0.011	\$0.011
Unit Cost per Gallon per Tip	\$0.036	\$0.039	\$0.041	\$0.043	\$0.043
Average Monthly Tips (1x wk / 52 weeks / 12)	4.33	4.33	4.33	4.33	4.33
Cart Capacity Gallons	605.9	605.9	605.9	605.9	605.9
Calculated Rate	\$93.62	\$102.99	\$108.39	\$111.64	\$113.03
Security and Prevention Fee	\$0.12	\$0.12	\$0.12	\$0.12	\$0.12
Total Monthly Rate per Cart	\$93.74	\$103.11	\$108.51	\$111.76	\$113.15

CITY COUNCIL OF THE CITY OF OXNARD

RESOLUTION NO. 14,877

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF OXNARD
ADOPTING PROCEDURES FOR ESTABLISHING AND INCREASING FEES AND
CHARGES UNDER PROPOSITION 218.

WHEREAS, Proposition 218 (Articles XIIC and XIID of the California Constitution) requires the City to comply with the substantive and procedural requirements set forth in section 6 of Article XIID of the California Constitution prior to imposing or increasing a fee or charge upon any parcel of property or upon any person as an incident of property ownership, including rates paid for water, wastewater and solid waste services; and

WHEREAS, Proposition 218 requires that the "record owner" of the parcel upon which the fee or charge is proposed for imposition or increase be notified of the amount of the fee or charge, the basis upon which the amount of the fee or charge was calculated, the reason for the fee or charge, and the date, time, and location of a public hearing on the fee or charge; and

WHEREAS, Proposition 218 requires that all protests against the fee or charge be considered at that public hearing; and

WHEREAS, Proposition 218 does not clearly set forth the meaning of "record owner" for purposes of the notice required by section 6 of Article XIID; and

WHEREAS, Proposition 218 does not clearly set forth who is allowed to submit protests, the procedure for submitting protests, or how the protests are to be tabulated; and

WHEREAS, the City Council wishes to set forth procedures for these two issues in this resolution which are intended to be consistent with both Proposition 218 and with the Proposition 218 Omnibus Implementation Act.

NOW, THEREFORE, the City Council of the City of Oxnard resolves that the following procedures shall be used when imposing or increasing a fee or charge subject to Article XIID of the California Constitution:

Section One. Fee or Charge. For the purposes of this resolution, "fee or charge" means any levy other than an ad valorem tax, a special tax, or an assessment, imposed by an agency upon a parcel or upon a person as an incident of property ownership, including a user fee or charge for a property-related service, and including rates paid for water, wastewater and solid waste services.

Section Two. Property-related Service. For purposes of this resolution, "property-related service" means a public service having a direct relationship to property ownership.

Section Three. Notice. Prior to imposing a fee or charge, each parcel upon which the fee or charge is proposed for imposition shall be identified. The City Clerk shall mail written notice at

least forty-five days prior to the date of the public hearing on the fee or charge to: (a) all owners whose names and addresses appear on the last equalized secured property tax assessment roll (as provided in Government Code § 53750(j)) at the address shown on the roll; and (b) all persons who have signed up to receive the service for which the fee or charge is imposed as reflected in the billing records of the City at the time the notice is given at the mailing address shown in the billing records of the City.

In addition to the persons identified in this section, the City Clerk shall also mail written notice to anyone who has submitted a request to receive notice of the imposition or increase of a fee or charge.

Section Four. Protest Procedure.

A. Any owners whose names and addresses appear on the last equalized secured property tax assessment roll and any persons who have signed up to receive the property-related service for which the fee or charge may submit a written protest against the proposed fee or charge at the noticed public hearing or to the City Clerk, 305 West Third Street, Oxnard, CA 93030. Protests submitted by e-mail and verbal protests will not be considered by the City Council to determine whether a majority of the owners of the identified parcels have submitted protests. All members of the public are entitled to make comments at the public hearing whether or not they choose to submit a written protest.

B. All written protests must be submitted before the conclusion of the noticed public hearing. The City Clerk shall not accept or consider any protest that is received after the conclusion of the public hearing even if postmarked prior to that time.

C. Written protests must identify the affected property (by assessor's parcel number of street address) and must include the original signature of the person submitting the protest. The person signing the protest shall identify him or herself as either the owner of the parcel as shown on the last equalized secured property tax assessment roll or as the person who signed up to receive the property-related service for which the fee or charge is imposed.

D. One written protest per parcel, submitted by the owner of the parcel whose name appears on the last equalized property tax assessment roll or submitted by the person who signed up to receive the property-related service for which the fee or charge is imposed, shall be counted. If either the owner of the parcel whose name appears on the last equalized property tax assessment roll or the person who signed up to receive the property-related service for which the fee or charge is imposed submits a written protest, that protest shall be included in the calculation of whether there is a majority protest against the fee or charge. If more than one person or entity owns the parcel, if one of the owners submits a written protest, that protest shall be included in the calculation of whether there is a majority protest against the fee or charge. Under all circumstances, only one protest per parcel shall be counted.

E. Any person who submits a written protest may withdraw it by submitting a written request that the protest be withdrawn in person at the public hearing or to the City Clerk, 305 West Third Street, Oxnard, CA 93030, before the conclusion of the public hearing. The written withdrawal

of a protest shall identify the parcel and the name of either the owner whose name appears on the last equalized secured property tax assessment roll or the person who signed up to receive the property-related service for which the fee or charge is imposed and include a request that the protest be withdrawn. The withdrawal of a protest shall only be valid if it is submitted by the same person who submitted the protest.

F. The City Clerk, upon determining that a written protest has been submitted, shall securely store the written protest until the conclusion of the public hearing. Prior to the tabulation of the written protests, neither the protest nor the envelope in which it is submitted will be treated as a public record, pursuant to Government Code section 6254(c) and any other applicable law, in order to prevent potential unwarranted invasions of the submitter's privacy and to protect the integrity of the protest process. After the written protests have been tabulated, they shall constitute "public records" as defined in Government Code section 6252.

Section 5. Tabulation of Written Protests. The following procedures are hereby adopted for calculating whether a majority protest against a fee or charge has been received:

A. The City Clerk shall determine the validity of all protests. The City Clerk shall not accept as valid any protest if the City Clerk determines any of the following:

i. The protest does not identify a parcel which receives the property-related service for which the fee or charge is imposed.

ii. The protest does not bear an original signature of the person submitting the protest who is the owner of the parcel or the person who signed up to receive the property-related service for which the fee or charge is imposed, or both.

iii. The protest does not state its opposition to the proposed fee or charge which is the subject of the protest proceeding.

iv. The protest was not received by the City Clerk before the close of the public hearing on the proposed fee or charge.

v. A request to withdraw the protest was received prior to the close of the public hearing on the proposed fee or charge.

B. The City Clerk's decision regarding the validity of a protest shall constitute a final action of the City and shall not be subject to appeal to the City Council or to any other board or employee of the City.

C. The City Clerk shall begin tabulating written protests within twenty-four business hours following the close of the public hearing. The City Manager may retain a private firm with demonstrated experience in tabulating written protests to assist the City Clerk. If, at the conclusion of the public hearing, cursory review of the protests received demonstrates that the number received is manifestly less than one-half of the parcels served by the City with respect to

the fee or charge which is the subject of the protest, then the City Clerk may advise the City Council of the absence of a majority protest without tabulating the protests.

D. A majority protest exists if, with respect to a majority of the parcels subject to the proposed fee or charge, written protests which comply with each of the requirements of this resolution are timely submitted and not withdrawn.

BE IT FURTHER RESOLVED, that this activity is not a project and therefore is not subject to the California Environmental Quality Act pursuant to CEQA Guidelines section 15060(c)(3).

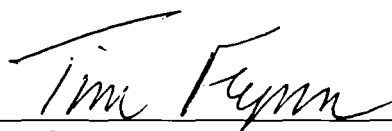
PASSED AND ADOPTED THIS 10th day of December, 2015, by the following vote:

AYES: Councilmembers Flynn, Ramirez, MacDonald, Padilla and Perello.

NOES: None.

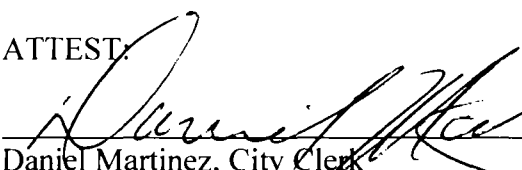
ABSENT: None.

ABSTAIN: None.



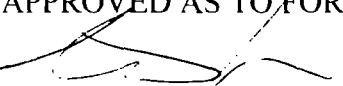
Tim Flynn, Mayor

ATTEST:



Daniel Martinez, City Clerk

APPROVED AS TO FORM:



Stephen M. Fischer, Interim City Attorney



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. M.2

DATE: December 5, 2023

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: Solid Waste (Refuse) Rates Discussion. (50 minutes)

RECOMMENDATION

That the City Council:

1. Provide comments and feedback on the goals, variable input, preliminary scenarios, and the process and timeline for solid waste rates; and
2. Direct staff to move forward to the next steps in the rate setting process on the basis of Scenario 4.

(Public Works and Transportation Committee approved 2-0)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/-SNEvyuWTKM>

Live presentation to be provided by a consultant.

BACKGROUND

Executive Summary

The City's Public Works Department Environmental Resources Division (ER) is an enterprise fund operation that provides Municipal Solid Waste (MSW) services to Oxnard residents and businesses, which includes collection, sorting, processing, and disposal for 34,154 residential properties and 16,378 commercial customers (includes apartment buildings) six days a week. Services include collection, sorting, transportation, and transferring material to the landfill to foster a clean, safe, and sustainable Oxnard. To provide our services while meeting all Local, State, and Federal requirements, ER:

- Recycles approximately 12,918 tons of material and 14,177 tons of organics (green waste & food waste);
- Handles approximately 294,938 tons (589,876,000 lbs) of MSW annually;
- Has a workforce of 179 essential workers fulfilling 529,443 hours per year; and
- Has invested approximately \$60 million in capital equipment, facilities, and land including the Del Norte Regional Recycling and Transfer Station and approximately 138 vehicles (109 heavy-duty trucks & 29 small vehicles)

The last time the City Council approved a cost of service analysis for ER was in May 2012, with a rate increase effective January 2013. Since January 2013 (when accounting for the removal of the Infrastructure Use Fee (IUF) in 2021) the residential rate (96-gallons) has gone from \$30.15 to \$30.78 per month and the most popular commercial rate (4-yard/once per week) has gone from \$224.81 to \$230.86 per month. This represents a 2.1% residential increase and a 2.7% commercial increase over 10 years. However, over that same decade, the consumer price index has increased by 33.9%. Separate from rates, service fees (e.g. per ton for disposal of refuse, greenwaste, construction and demolition, and self-

haul disposal rates at Del Norte) increased in FYs 2012/13, 2016/17, 2018/19 for pass-through costs and decreased in FY 21/22 for the removal of the IUF.

Clearly, during the 2010's, City Hall's discombobulation on the heels of the District Attorney investigations into the prior decade's activities, shocking financial audit findings, and frequent executive staff turnover had, at minimum, distracted City Hall from following best management practices, including the reviewing of rates every five years. In addition to that, the State has imposed many unfunded mandates on the solid waste industry, such as mandatory recycling and mandatory organics recycling waste programs, that require significant capital investment and operational costs not fully contemplated in previous rate studies.

Based upon the draft Fiscal Year 2023/24 cost-of-service study the ER division expenditures are anticipated to materially outpace revenues resulting in a negative ending cash balance no later than Fiscal Year 2024/25. The combination of minimal rate increases, unfunded State mandates, elevated inflation, and the need for significant capital investment to replace and rehabilitate assets is expected to result in a growing structural deficit.

Reliable and efficient solid waste service is a key component for the maintenance of solid waste services for the public health, safety, and welfare of the community. As mentioned, absent a rate adjustment, the draft cost-of-service study projects negative cash balances by FY 2024/25. Staff has provided some preliminary rate increase scenarios for discussion and consideration. Each of the scenarios assumes changes in key assumptions such as the level and timing of rate revenue adjustments, amount of capital spending, and proposed debt issuances. Based on the direction of City staff, several sensitivity analyses were performed such as examining the effects of no rate adjustment or no capital spending, among other sensitivities.

DISCUSSION

Cost of Service Study

As an industry best management practice, rates should cover the cost of providing services, establish an appropriate level of reserves, and a cost of service study (Study/Studies) should be performed every 3-5 years. This Study is critical to understanding the effectiveness and efficiencies of the operation and verifying existing rates are adequate, and providing a long-term path for the financing viability and sustainability for solid waste operations. The last ER Study was completed in 2012 and implemented in 2013. With respect to the solid waste industry in California, many things have changed over the past decade since this last review was completed. Operations must keep up with rising costs for goods and services, unfunded State mandates, and be able to implement critical capital outlay and projects that are mandated or necessary for the health and safety of our customers.

Performed regularly, Studies provide transparency into what the City can expect in the years ahead and ensure we have the financial resources to maintain our infrastructure, manage vehicles and equipment capacity for growth, implement new technologies, address existing and new state and federal regulations, and implement our capital improvement program (CIP). All of this is to provide reliable and safe service to our customers. The Study is the tool used to develop rates so that rates meet the cost of service plus the appropriate level of reserves.

Raftelis, placed under contract in December of 2022, is assisting the City with the calculations of the cost of service draft study and recommending changes to the current rates and fees structure.

Goals for the Cost of Service Study

When finalized, the cost of service study will determine the overall revenue adjustments and the total amount of revenue required from rates and fees to meet the cost of service over time. The revenue covers operating and maintenance (O&M), debt service, and capital expenses as well as reserve funding. Revenue adjustments represent the average rate increase for the City; rate changes for individual customers will depend on the cost of service analysis. Once complete, the Study will include rates and fees that promote and sustain a financially viable utility. As such, to provide guidance, staff in conjunction with Raftelis have relied upon identifying several goals in the development of preliminary rate options. These goals are important to consider when identifying rate-influencing items with variable options, such as a reserve fund balance target or Capital Improvement Program (CIP) projects. In general, the goals are to provide a safe, reliable, and sustainable solid waste service. Specific goals include:

1. Cover Operations and Maintenance Costs (O&M) - (G1)
2. Cover Capital Investments - (G2)
3. Legally Compliant - (G3)
4. Promote Financial Viability - (G4)
5. Community Values - (G5)
6. Provide Fairness and Equity - (G6)

Input and Considerations

The foundation of the Study and the primary objective of the solid waste rates are to reasonably recover the cost of providing service, the cost of infrastructure investment, implement known State unfunded mandates and comply with covenants of internal and external fiscal targets (referred to as the "Revenue Sufficiency" evaluation).

Ensuring adequate cash reserves and appropriate cash flows generally results in a sustainable long-term financial plan that can mitigate the financial and operating risk from unanticipated or sudden events to financial operations (e.g., changes in market conditions affecting operations and recovered materials revenues, reduced growth or tonnage, unanticipated or extraordinary expenses, unfunded mandates, etc.). The identified revenue requirements to be funded from rates are then allocated based on the type and level of service.

To assess the sustainability of the City's charges for service, Raftelis developed a draft financial model capable of forecasting solid waste operations over a ten-year Rate Study Period but with the recommended rate revenue adjustment period comprising the Fiscal Years 2024/25 through 2028/29. By forecasting operations over this period of time we can capture funding requirements that may not be annually occurring, test sensitivity to potential changes in operations or costs, and examine projections of cash reserves and net revenue margins to evaluate compliance with formal and informal fiscal policies. Performing a thorough evaluation of the City's funding requirements allows us to provide sound rate recommendations to help ensure the City has sufficient resources to fund operations and achieve its objectives. The following provides a brief overview of the key activities performed for the draft Study:

1. An evaluation of the service area requirements for the City was performed. This included a review of recent historical customers served and corresponding waste generation such that: i) a representative forecast of the Division's needs from a financial standpoint could be prepared, and ii) a projection of rate revenues consistent with the projected service area needs could be developed.

2. Raftelis, with the support of City staff, analyzed a projection of the Net Revenue Requirements from rates and fees, which equates to the expenditure requirements funded from rates and fees. An illustration of the components that make up the Net Revenue Requirements is summarized below:

+	Cost of Operation and Maintenance
+	Debt Service (Vehicle Leases)
+	Indirect Cost Allocation and Administration Payments
+	Capital Funding Requirements
+	Deposits to Reserves for Policy Compliance and Future Expenditures
-	Other Operating Revenue
-	Interest Income / Transfers from Operating Reserves
=	Net Revenue Requirements (Funded from Rates and Fees)

3. The annual cost of providing solid waste service to City customers was determined by identifying the gross revenue requirements, netting out all other applicable revenues resulting in a net revenue requirement to be funded from the respective fees. The applicable equivalent unit billing determinants, as presented within the cost of service analysis of the draft report, will be used to determine the unit cost of service to be presented in the final report. It should be noted that the information reported herein is accurate to the best of our knowledge and is assumed to be reasonable for the purposes of estimating the cost of service with rate recommendations pursuant to the Proposition 218 Omnibus Implementation Act at Gov. Code 53750. In addition to recommending rates and fees, the intent of providing this information is to help support a general understanding of the City's financial needs to support ER's programs, operations, and services.

4. Based on the identified funding requirements and the draft cost of service associated with providing service over the Rate Study Period, provide preliminary rate recommendations to ensure adequate funding for the City's needs and meet the City's rate goals and objectives.

The following items are key components of developing the draft rate study and have a direct influence on the actual rates. Staff has recommendations for each item, as noted. Because these factors influence any proposed rate change, staff is seeking feedback from the Public Works and Transportation Committee/City Council on each item separately. The summary of these factors with recommendations is listed below:

Factor	Staff Recommendation	Justification	Goal(s)
1. Rate Study Period	5-year period	Industry standard; long-range planning; fairness of rates; ensures planned operations and mitigates deferred maintenance	G6
2. Pass-Through Charge (to the extent allowed by State Code)	Include a pass-through for fees as allowed by California Government Code 53736 (c)	Avoids over or underestimating factors outside the City's control (e.g. disposal fees from third-party vendors)	G4, G5, G6
3. Capital Improvement Program (CIP) and Capital Outlay	Implement over an extended period to smooth rates; leases extended to 7 years, CIP over 20 years	Allows for CIP projects at a pace within existing resources; ensures an operable and safe facility; safe and reliable equipment and vehicles	G2, G4
4. Reserve Fund Balance Target	Endeavor to maintain 25% (per Resolution 15595)	Consistent with City Council Resolution; provides a funding source for emergencies and bond covenants (if/when required) and strong credit ratings	G1, G4, G5
5. Debt Coverage Ratio (when applicable)	Ensure funding of annual debt service and capital lease payments	Ensures the City is not in default of debt/lease repayment obligations	G4, G5

The draft cost of service study has evaluated and considered all revenues from both rates and fees, among other revenues. For purposes of this report and as referenced in the draft cost of service study, rate revenues are defined as the monthly property based charges for solid waste collection and disposal service that must follow the Proposition 218 noticing

requirements and process. Fees are defined as charges for solid waste services and while they do not require a Proposition 218 noticing procedure, they must be cost based and in compliance with Proposition 26.

Existing Rates and History (include a benchmark CPI theoretical scenario)

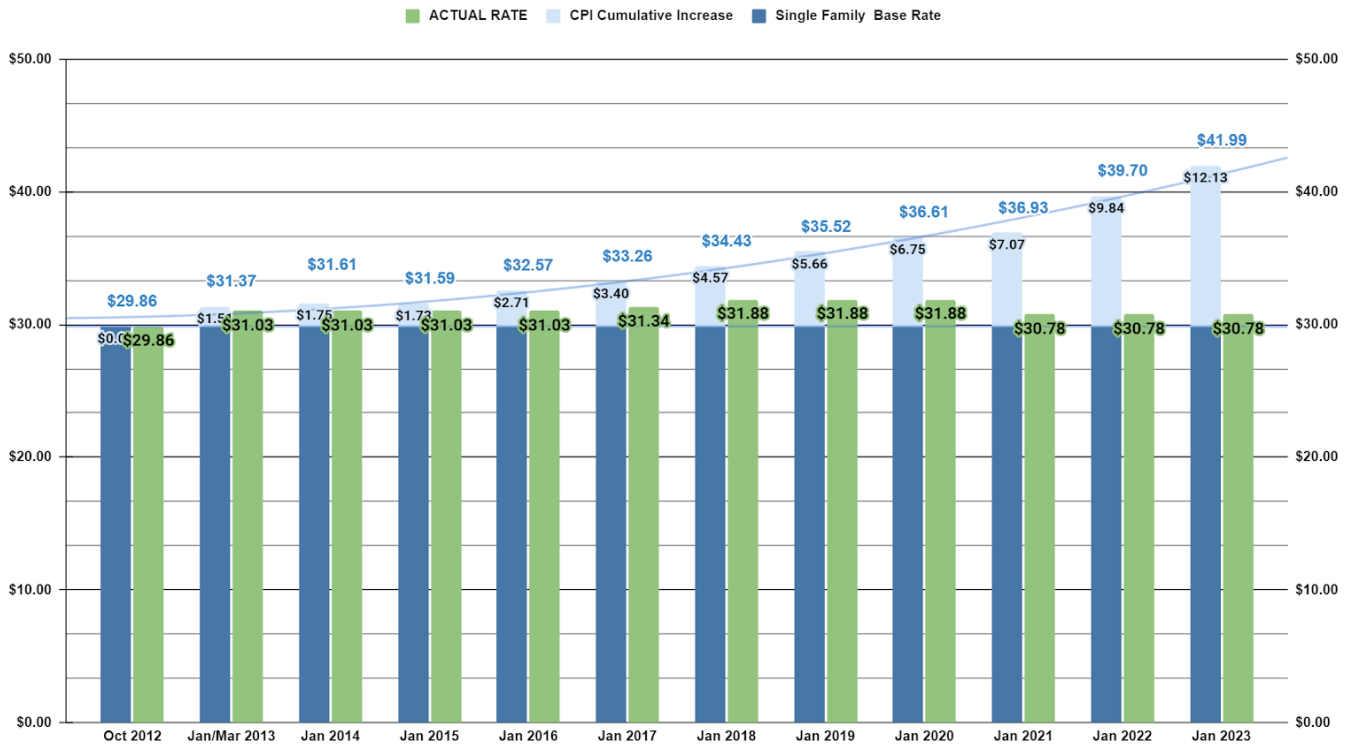
The City Council approved a cost of service analysis last in May 2012, resulting in rate increases effective January 2013. The authorized increases and decreases noted in the table below cumulatively represent a 2.1% increase in residential rates and a 2.7% increase in the most popular commercial rate since the last Study was approved and implemented in January 2013. However, over that same decade, the consumer price index has increased by 33.9%. The following tables provide the rate history for a residential customer (96-gallon cart):

Date	Oct 2012	Jan 2013	Mar 2013	Jan 2014	Jan 2015	Jan 2016	Jan 2017
Residential Rate (96-gallon)	\$29.86	\$30.15	\$31.03	\$31.03	\$31.03	\$31.03	\$31.34
Total Change	0.0%	1.0%	2.9%	0.0%	0.0%	0.0%	1.0%
<i>Notes</i>		<i>Rate Increase</i>	<i>Pass-Through</i>				<i>Pass-Through</i>

Date (cont.)	Jan 2018	Jan 2019	Jan 2020	Jan 2021	Jan 2022	Jan 2023
Residential Rate (96-gallon)	\$31.88	\$31.88	\$31.88	\$30.78	\$30.78	\$30.78
Total Change	1.7%	0.0%	0.0%	-3.5%	0.0%	0.0%
<i>Note</i>	<i>Pass-Through</i>			<i>IUF Reduced</i>		

The below graph shows the history of residential rates since 2012. The graph also includes a benchmark scenario, if rates were theoretically increased annually by the CPI (Los Angeles-Long Beach-Anaheim, California) at a minimum.

Single Family Base Rate with Annual CPI



Note: Residential rates increased in FY 12-13, FY 16-17, FY 18-19 and decreased in FY 21-22, reflecting the IUF reduction.

Efficiency Efforts

The ER staff is entrusted with managing enterprise funds based on an annual budget approved by the City Council. The City is committed to investing in meeting local, state, and federal regulations, ensuring safe and reliable solid waste collection services, and providing excellent customer service to residents and businesses. ER has made significant investments totaling approximately \$60 million to the Material Recycling Facility (MRF) at Del Norte Regional Recycling and Transfer Station and has acquired Compressed Natural Gas (CNG) automated collection refuse vehicles, replacing older vehicles to enhance efficiency and reduce costs.

ER staff actively informs residents and businesses about recycling programs and new organic recycling laws through various channels such as social media, billing inserts, radio broadcasts, and special events. Outreach and education staff are available to assist residents and businesses by providing information on recycling and mandated programs.

Despite efficient efforts to be cost-effective, the City needs rates that meet the actual cost to provide service. Oxnard Refuse customers pay less per person served for refuse service when compared to other nearby cities. The current average rate per person for trash service is compared with other agencies in the table below:

Average Monthly Refuse Cost per Person per Household¹

							
 Refuse Rate ¹	\$30.78 (2013)	\$37.59 (2021)	\$34.25 (2023)	\$28.67 (2023)	\$25.60 (2014)	\$34.82 (2021)	\$34.39 (2022)
 Number of Households ²	50,403	42,091	25,673	46,270	6,859	43,893	---
 Persons per Household ²	4.0	2.58	2.7	2.71	3.06	2.87	---
 Average Monthly Refuse Cost per person	\$7.70	\$14.57	\$12.69	\$10.58	\$8.37	\$12.13	---

¹ Average Refuse Rate for single family home for Fiscal Year 2023-2024. Utility rates retrieved from each city's official website.
² Data from U.S. Census Bureau.

Updated October 25, 2023

Challenges

There are many challenges ER faces with new and old unfunded Local, State, and Federal mandates. These include factors that are outside the control of the City (e.g. unfunded mandates and rising costs of labor, fuel, and disposal cost) and factors due to the post-pandemic (e.g. supply chain restrictions and increased cost to supplies and equipment). Another challenge is the aging vehicles, equipment, and infrastructure that need constant maintenance and repairs.

State Unfunded Mandates -

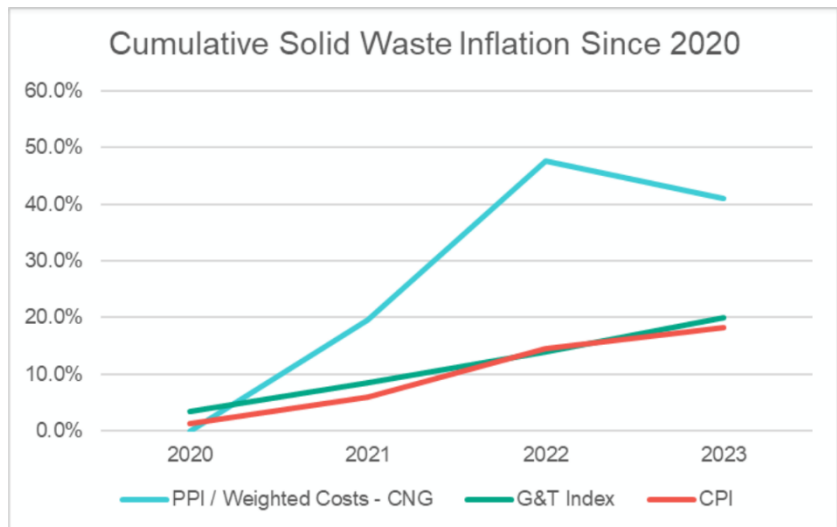
Several recycling and composting laws that took effect that had major financial and operational impacts on the solid waste collection system:

1. AB 341 Mandatory Commercial Recycling (MCR) – Adopted into California Law in 2012 and requires businesses that generate four or more cubic yards of commercial waste per week and multi-family complexes with five or more units to self-haul or subscribe to recycling services.
2. AB 1826 Mandatory Commercial Organics (MORE) – Effective January 1, 2016, and phases in the number of businesses and multi-family dwellings that are required to subscribe to commercial organic collection service.
3. AB 827 – Effective July 1, 2020, and expands upon requirements of MCR and MORE to include mandatory recycling and organics carts for businesses at the “front of house” (i.e. portion of the business open to the public) to collect waste generated by customers from products they purchase and consume on the premises.
4. SB 1383 Short-Lived Climate Pollutants (Organics Recycling) – Effective January 1, 2022, and requires jurisdictions to provide organic waste collection services to all residents and businesses so that they may divert all organic waste to a compost program.

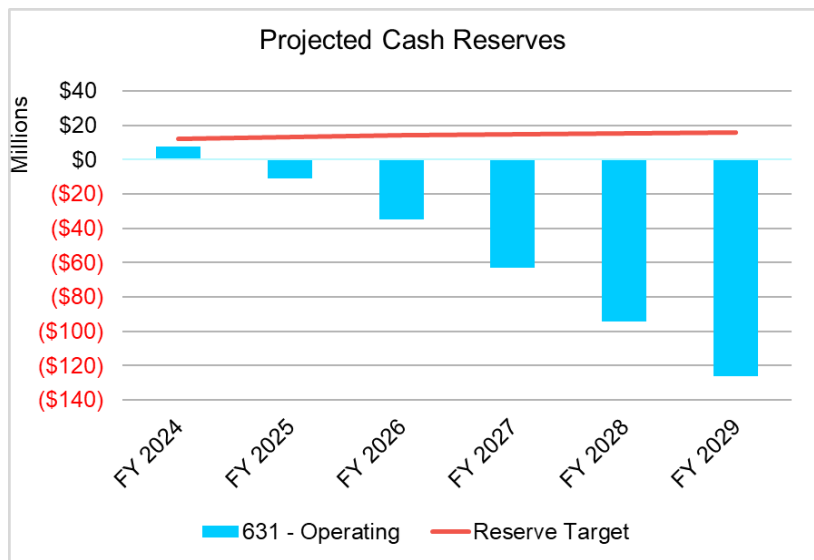
Inflation -

Of significant importance is that the cost to operate a full-service solid waste operation is not immune to inflation. As noted above, the industry has observed significant increases to the cost of solid waste collection, operations, and disposal.

The U.S. Bureau of Labor Statistics measures garbage bill increases nationally through a subcomponent to CPI in the Garbage and Trash Index (G&T). The Garbage and Trash Index has increased by 20% since 2020. Raftelis calculated a weighted Producer Price Index (PPI) of the cost inputs to garbage collection service and estimated cost increases may be closer to 40% since 2020 due to labor, capital cost, and fuel cost increases.



The City’s previous solid waste cost of service analysis was last completed in 2012. Costs for goods and services have increased since that year and continue to do so while the refuse utility rates have only slightly changed (2.1% increase in residential rates and a 2.7% increase in the most popular commercial rate). In addition, recent State unfunded mandates have increased the costs of operations to avoid penalties and/or non-compliance actions by the State. This has led to a structural deficit and impacts ER’s financial stability. Without a rate increase, the draft rate model shows a negative cash balance in FY 2024/25.



Note: FY 22-23 Cash Balance base is unaudited and FY 23-24 and beyond are estimates and subject to change.

Aging Infrastructure and Equipment -

As noted above, the ER handles over 589 million pounds of municipal solid waste each year. This takes a significant amount of equipment and infrastructure. ER has 138 vehicles, including 109 large heavy-duty trucks. Additionally, the MRF houses sorters and other associated equipment related to recycling. The sorting equipment, which is key to the 6-day-a-week operations, is 27 years old and requires constant maintenance to keep up with the recycling, greenwaste, food waste, and residual trash, produced by residential, commercial, and industrial customers. Furthermore, the MRF building is 27 years old and houses ER’s administrative staff, conveyors, walking floors, and sorting equipment. The MRF needs

significant improvements including a new roof. Here are some examples of operating and capital costs to address this aging equipment and infrastructure:

- Average annual maintenance cost for trash truck (front-end loader) is \$19,642
- Current price of a new trash truck (side-loader) is \$339,053 (Purchase of zero-emission vehicles is expected to cost up to 200% more than the current price of a new CNG refuse vehicle)
- Estimated roof replacement costs: \$7.5 million
- Annual maintenance and repairs costs for sorting equipment (we have 31 sorting belt lines made up of conveyors, walking floors and one bailer) which can cost between \$250K to \$1.5 million per repair
- Estimated cost for a like-for-like replacement of the MRF is \$36 million (this estimate can fluctuate with the price of steel, metal, inflationary factors, and the consumer price index)

Revenues and Expenditures

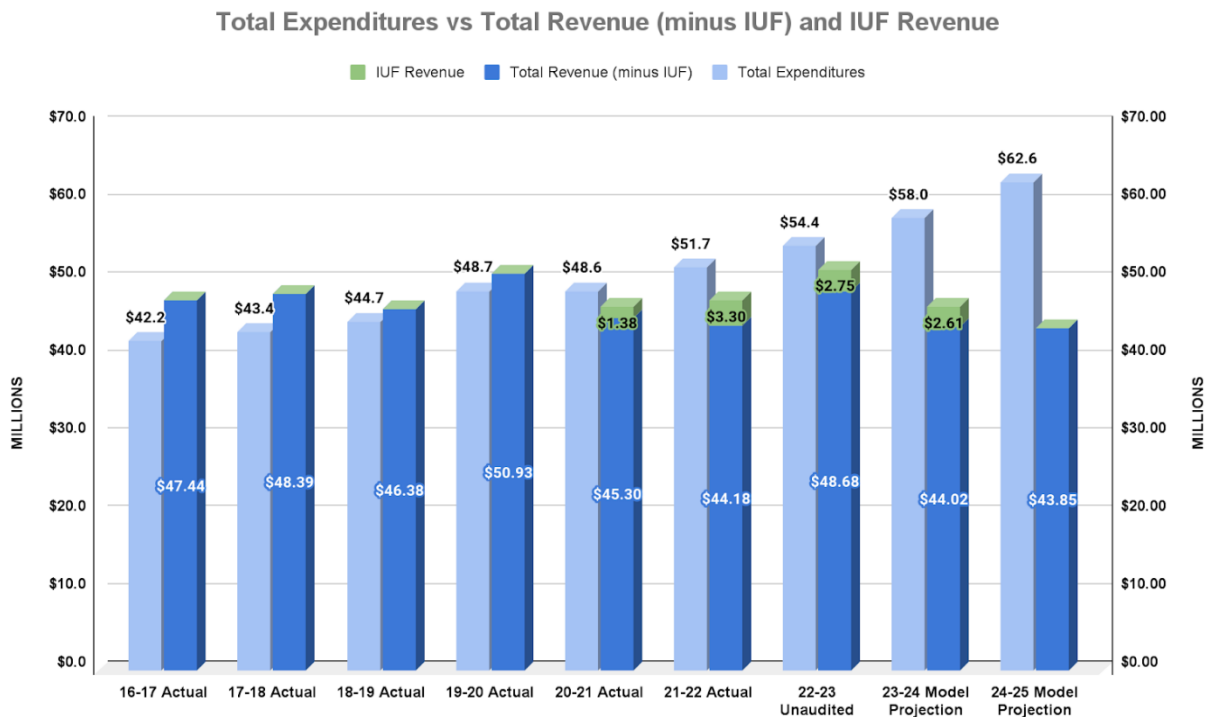
The revenue streams for ER have managed to remain relatively stable over time due to several key factors. One of the primary reasons is the consistent collection of solid waste services from both residential households and businesses within the city. Waste generation rates have remained relatively constant, ensuring a consistent flow of revenue for the city's solid waste programs (see table below). On average, revenues have averaged about \$47 million per fiscal year. Most recently, revenue has been impacted by the decline in sales of recycled material due to the COVID-19 pandemic, market fluctuation, and new/increased regulations on the cleanliness of the material. All of the other revenues, including the two largest, residential and commercial collections, have remained consistent. This would be consistent with a relatively stable number of customers for residential and commercial accounts.

The largest contributing expenditures to the solid waste program primarily include costs related to waste collection, transportation, and disposal. These expenditures encompass various essential activities and services involved in managing the city's solid waste effectively. Here's a breakdown of key operating expenses:

1. **Waste Collection Personnel:** A significant portion of the budget is allocated to employing waste collection personnel, including drivers and crew members responsible for collecting waste from residential areas, commercial establishments, and public spaces.
2. **Fleet and Equipment Maintenance:** Maintaining a fleet of waste collection vehicles, including garbage trucks and recycling vehicles, is crucial for day-to-day operations.
3. **Fuel:** Refuse heavy-duty and fleet vehicles operate on CNG, diesel, and unleaded fuel.
4. **Waste Transportation:** The transportation of collected waste to appropriate disposal facilities, recycling centers, or composting sites. This includes fuel costs, vehicle maintenance, and fees associated with using disposal facilities.
5. **Disposal Fees:** Paying fees to landfill and waste disposal facilities for the final disposal of non-recyclable waste. Landfill fees are often calculated based on the weight or volume of waste disposed of.
6. **Recycling Programs:** Funding recycling initiatives, including curbside recycling collection, recycling education campaigns, and the operation of recycling centers, is another significant expenditure. This includes costs related to sorting, processing, and transporting recyclable materials to recycling facilities.
7. **Organics Recycling:** With the implementation of organic waste recycling programs, costs associated with collecting and processing organic waste, such as food scraps and yard trimmings, contribute to the expenditures.
8. **Compliance and Regulatory Costs:** Meeting state and federal regulations related to waste management, including reporting requirements and environmental compliance, requires funding. Expenditures associated with ensuring adherence to these regulations are essential components of the solid waste program's budget.

9. **Personnel Training and Safety:** Providing training for waste management personnel and ensuring their safety through proper equipment and protocols. Safety measures are crucial to protect both the workers and the community as MRF processors, sorters, and workers are listed as the seventh most dangerous jobs in America.
10. **Community Outreach and Education:** Engaging in educational initiatives and outreach programs to inform residents and businesses about recycling practices, waste reduction, and proper disposal methods. This helps promote community participation and compliance with waste management guidelines.

ER’s expenditures have seen an increase over the past several years (see table below). In summary, the largest contributing expenditures to the solid waste program encompass waste collection personnel, fleet maintenance, waste transportation, disposal fees, recycling programs, organics recycling, compliance costs, personnel training, safety measures, and community outreach efforts. These expenditures are vital for ensuring efficient and environmentally responsible management of the city's solid waste.



Note: FYs 20-21 through 23-24 include revenue for payment to ER for the Infrastructure Use Fee which will end in FY 23-24.
 *1Forbes Advisor:<https://www.forbes.com/advisor/legal/workers-comp/most-dangerous-jobs-america/>

Rate Change Scenarios (Preliminary Calculations)

To provide revenue that will sustain critical operations and maintenance, debt service, and fund capital expenditures, an increase in rates is recommended. Raftelis began work on the cost of service draft rate study to develop a financial plan for the City’s solid waste utility that includes a ten-year rate model. Based upon the preliminary analysis, a rate adjustment is required to ensure full cost recovery of projected annual operating expenses, capital costs, and other factors including unfunded Local, State, and Federal mandates.

Updating the rates to keep up with the rising costs of providing safe and reliable services is a key component of maintaining the financial viability of the ER funds, including maintaining healthy fund balances/reserves for emergencies and applicable bond covenants (if/when required) and strong credit ratings. It is also more equitable since it looks at what customers should be paying based upon the level and type of service they receive.

To develop the financial plan, Raftelis estimated and projected annual expenses and revenues, existing and proposed debt service, modeled reserve balances, and added planned capital expenditures. The City is anticipating financing a portion of capital improvements through capital leases and debt. It is important to note that the draft cost of service study preliminarily calculates a net revenue loss for Fiscal Year 2023/24. Additionally, the draft cost of service study projects

that the structural deficit will continue in future years without an increase in rates.

Because of the projected deficit, staff has worked closely with Raftelis to develop a viable preliminary draft financial plan for the City's solid waste fund. Due to the existing structural deficit in the ER Fund and the need for rate increases, the draft Study contemplates scenarios. The following scenarios are estimates and are not to be seen as fixed. These are being presented to show the sensitivity of the draft rate model to certain inputs and to initiate the conversation with the Committee Members/Councilmembers to provide comments and feedback.

Based on a collaborative effort among City staff and Raftelis several preliminary sensitivity runs and scenarios were identified and evaluated for comparison and discussion as follows:

- **Model Sensitivity 1: No rate adjustment**

- Assumes all identified funding requirements including operating, capital, and proposed capital lease and debt payments. Key assumptions include:
 - Fiscal Year 2023/24 Served as Forecast Baseline as Adjusted for Actual Operating Results and known cost increases / operating expense growth estimated at 4.5% per year
 - Assumes some additional collection routes to maintain regulatory compliance with SB1383 and service demands
 - Assumes IUF repayments from the City to ER ends in FY 2023/24 Assumes Resumption of IUF payments in FY 2024/25 to the City
 - \$43.7 million Reinvestment to MRF including new equipment and roof FY 2025/26-FY 2027/28
 - Replacement of vehicles at end of life in FY 2024/25
 - Compliance with CARB Zero Emissions Vehicle Requirements
 - Primarily relies on use of capital leases and debt to fully fund capital expenditures
- Recognizes no rate adjustments to assess the adequacy of existing fees to cover the cost of service

- **Model Sensitivity 2: Rate Adjustments and No Capital Expenditures**

- Assumes all identified funding requirements except excludes capital spending
- Helps identify the necessary rate increases which are driven by the structural deficit among revenues and operating expenses.

- **Scenario 1: Rate Adjustments and Reduced Capital Expenditures**

- Assumes all identified funding requirements except reduces capital expenditures including minimal spending for the MRF rehabilitation and only funding 50% of projected new vehicle fleet requirements.
- Includes an allowance for rebuilding vehicles to extend the service life and minimize capital expenditures.
- Represents a moderate capital spending scenario, but doesn't fully address capital reinvestment needs of the system

- **Scenario 2: Phased Rate Adjustments and Reduced Capital Expenditures**

- Builds off of Scenario 1, but assumes a \$5 million interfund loan to assist ER in phasing the identified rate increases over three (3) years.
- Represents a moderate capital spending scenario with phased increases.

- **Scenario 3: Rate Adjustments and Full Capital Expenditures**

- Assumes all identified funding requirements as assumed in Model Sensitivity 1, including the full capital funding requirements.

- Represents necessary rate adjustments to fund a fiscally sustainable operation and maintain regulatory compliance.

- **Scenario 4: Phased Rate Adjustments and Full Capital Expenditures**

- Builds off of Scenario 3, but assumes a \$5 million interfund loan to assist ER in phasing the identified rate increases over three (3) years.
- Represents necessary rate adjustments to fund a fiscally sustainable operation and maintain regulatory compliance with phased increases.

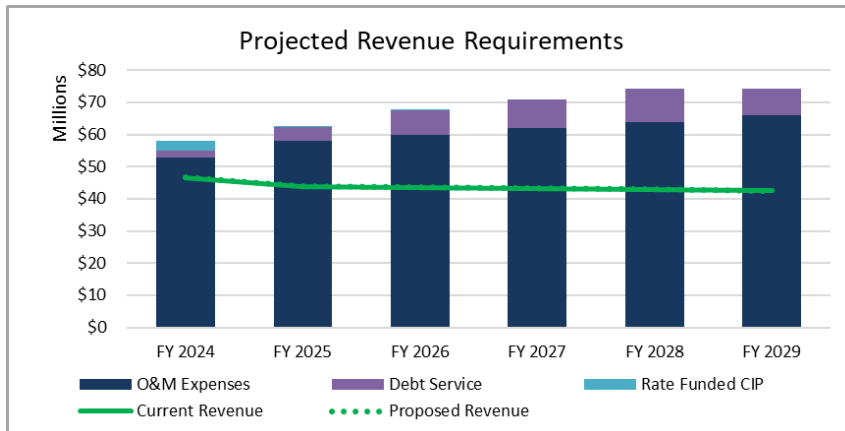
Baseline Model Assumptions

Assumes all identified funding requirements including operating, capital, and proposed capital lease and debt payments. Key assumptions include:

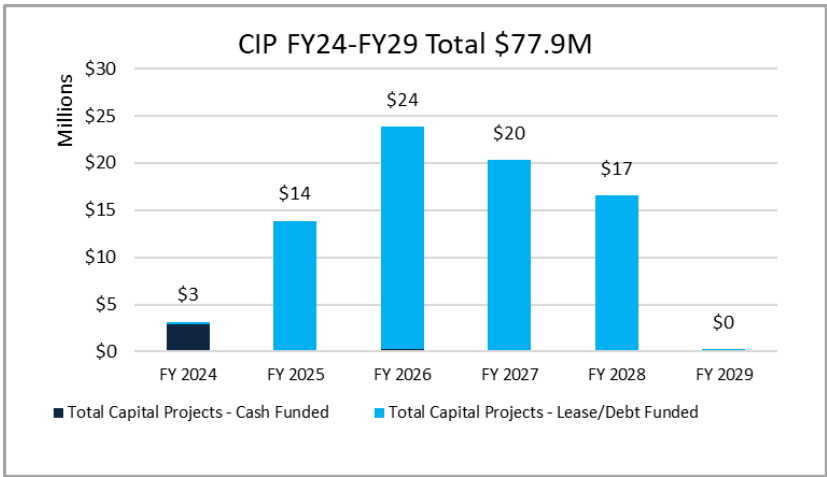
- Fiscal Year 2023/24 Served as Forecast Baseline as Adjusted for Actual Operating Results and known cost increases / operating expense growth estimated at 4.5% per year
- Assumes some additional collection routes to maintain regulatory compliance with SB1383 and service demands
- Assumes IUF repayments from the City to ER ends in FY 2023/24
- Assumes Resumption of IUF payments in FY 2024/25 to the City
- \$43.7 million Reinvestment to MRF including new equipment and roof FY 2025/26-FY 2027/28
- Replacement of vehicles at end of life in FY 2024/25
- Compliance with CARB Zero Emissions Vehicle Requirements
- Primarily relies on use of capital leases and debt to fully fund capital expenditures.
- Recognizes no rate adjustments to assess the adequacy of existing fees to cover the cost of service

Model Sensitivity 1: No rate adjustment

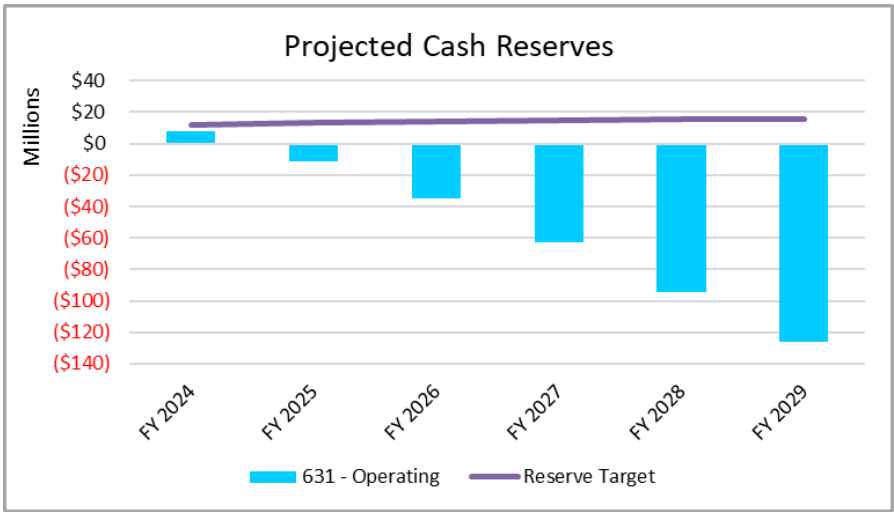
The following charts present a forecast of the cashflow requirements relative to a projection of existing revenues. As can be seen, the **solid green line** presents the projected revenues under existing rates. A decline in revenues is observed in FY 2024/25 due to the final repayment of IUF reimbursement from the City. Conversely, IUF payments to the City are assumed to begin in the Fiscal Year 2024/25. Operating expenses represented as the blue stacked bars are projected to increase by approximately 4.5% per year on average.



Capital expenditures funded directly from revenues are reflected as the blue stacked bar for FY 2023/24. Debt service requirements are represented as the purple stacked bar and reflect the addition of both existing capital lease payments and proposed capital lease and debt service payments associated with the funding of \$77.9 million in capital spending as shown below.

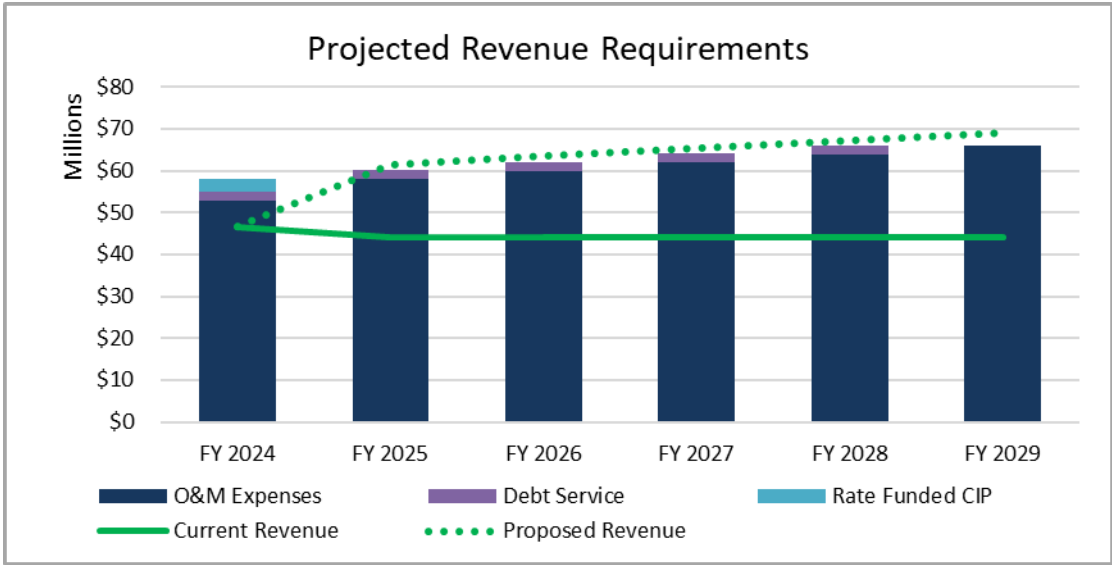


Assuming no rate adjustments ER system cash balances are estimated to fall negative by the Fiscal Year 2024/25 as shown below.



Model Sensitivity 2: Rate Adjustments and No Capital Expenditures

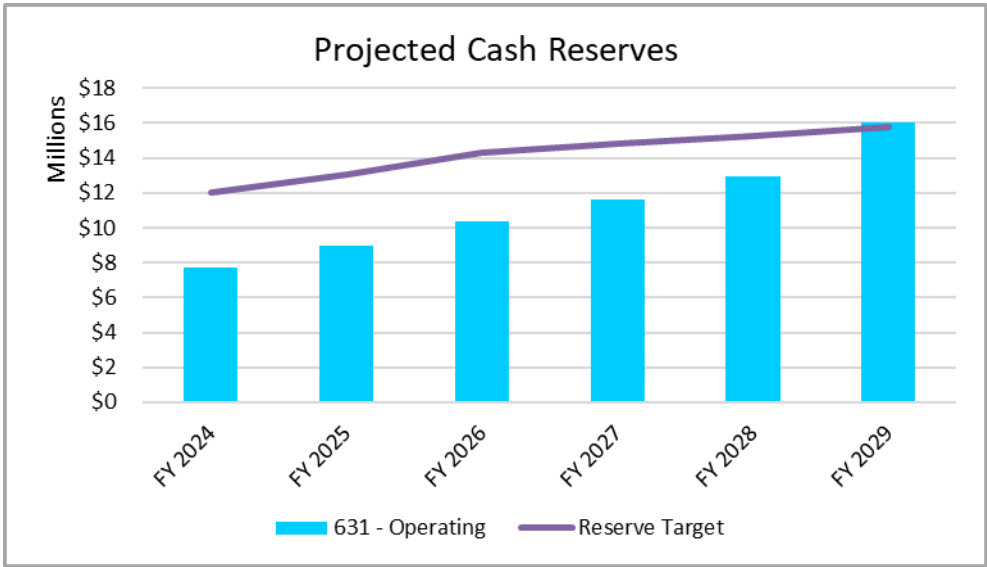
Assumes all identified funding requirements pursuant to Model Sensitivity 1 except it excludes capital spending to help identify the necessary rate increases to address the structural deficit among revenues and operating expenses. The following charts present a forecast of the cashflow requirements relative to a projection of existing revenues. As can be seen in this scenario in contrast with Model Sensitivity 1, the forecast does not reflect any increase in debt service payments.



To fully fund the revenue requirements of the scenario the following rate adjustments were recognized:

	FY 2023	FY 2024	FY 2025	FY 2026	FY 2027	FY 2028	FY 2029
Recognized Revenue Adjustments - All Customers	0.00%	0.00%	43.00%	3.50%	3.00%	3.00%	3.00%
96 Gallon Residential Monthly Increase	\$30.78	\$30.78	\$44.02	\$45.56	\$46.93	\$48.34	\$49.79
64 Gallon Residential Monthly Increase	\$24.70	\$24.70	\$35.32	\$36.56	\$37.66	\$38.79	\$39.95
4 Cubic Yard - 1x week Commercial Monthly Increase	\$230.86	\$230.86	\$330.13	\$341.68	\$351.93	\$362.49	\$373.36
		\$0.00	\$99.27	\$11.55	\$10.25	\$10.56	\$10.87

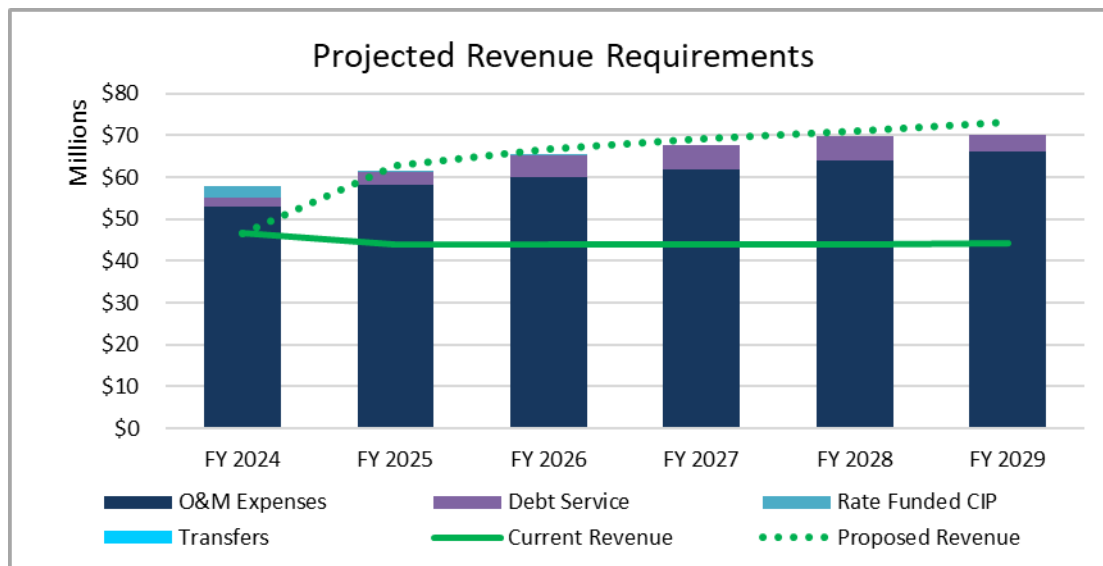
Assuming implementation of the identified rate adjustments the City is expected to maintain compliance with the minimum reserve target equivalent to 25% of operating expenditures.



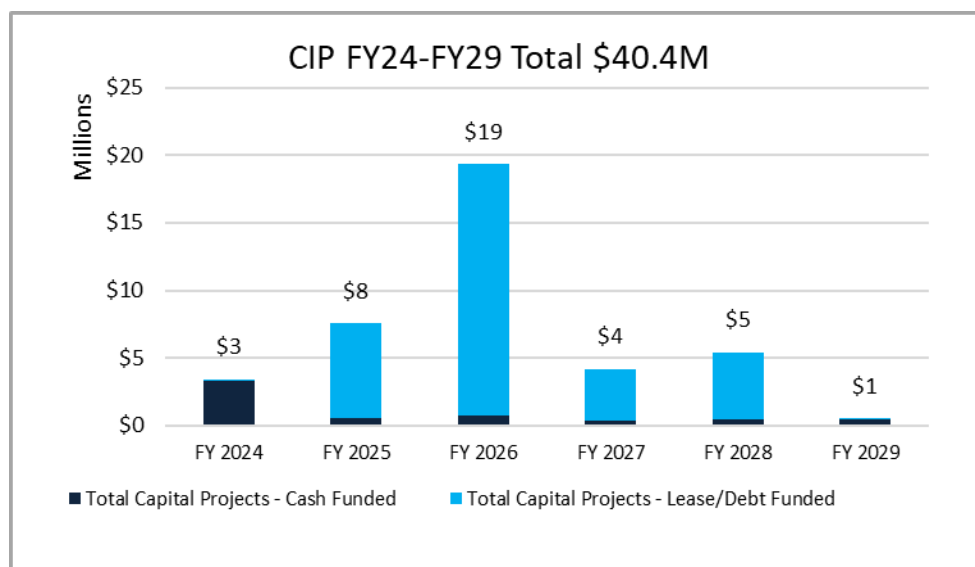
Scenario 1: Rate Adjustments and Reduced Capital Expenditures

Assumes all identified funding requirements pursuant to Model Sensitivity 1 except reduces capital expenditures including minimal spending for the MRF rehabilitation and only funding 50% of projected new vehicle fleet requirements. Includes an allowance for rebuilding vehicles to extend the service life and minimize capital expenditures. Represents a moderate capital spending scenario, but doesn't fully address capital reinvestment needs of the system. As can be seen in this scenario in contrast with Model Sensitivity 1, the forecast assumes less debt service associated with more moderate capital

spending assumptions.



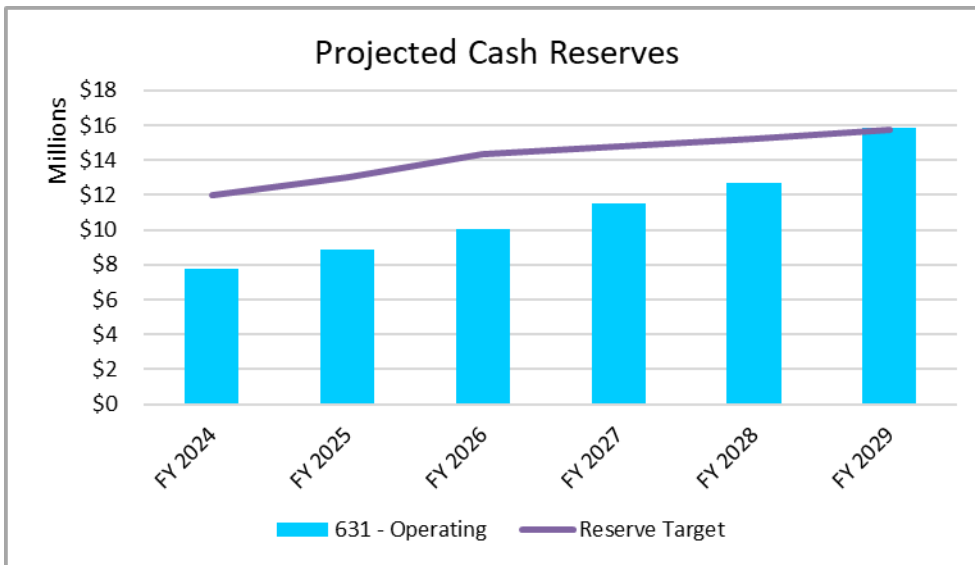
The following chart presents the total capital funding requirements assumed in this scenario. The majority of spending is assumed in the Fiscal Year 2025/26 associated with funding for the replacement of the MRF facility roof and partial equipment replacement. It is expected that the City will require further capital reinvestment to the MRF facility beyond the forecast period.



To fully fund the revenue requirements of the scenario the following rate adjustments were recognized:

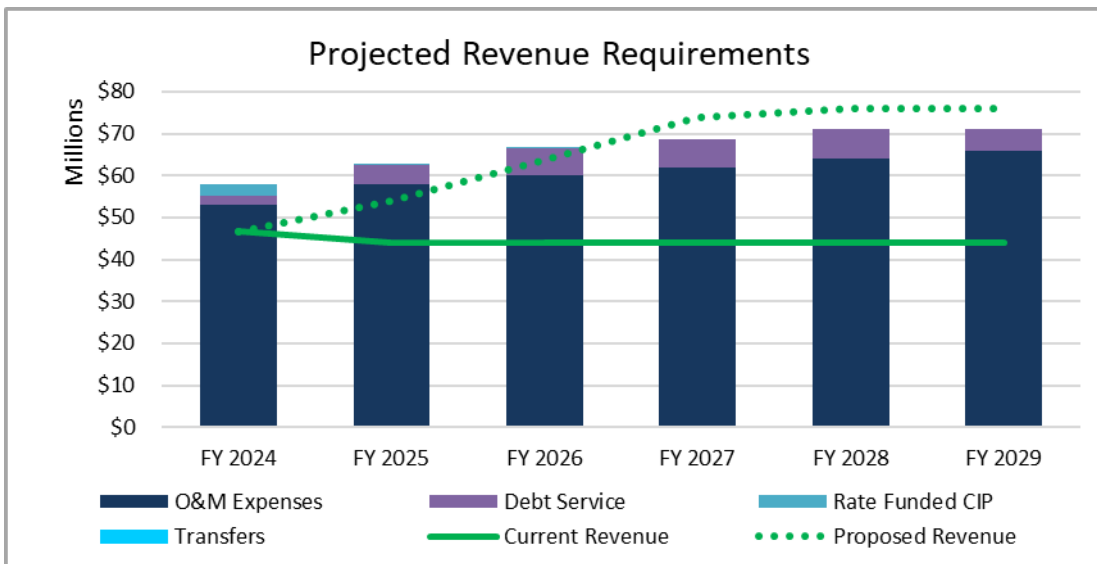
	FY 2023	FY 2024	FY 2025	FY 2026	FY 2027	FY 2028	FY 2029
Recognized Revenue Adjustments - All Customers	0.00%	0.00%	46.00%	7.00%	3.50%	3.00%	3.00%
Effective Months	12.0	12.0	12.0	12.0	12.0	12.0	12.0
96 Gallon Residential - Monthly Bill	\$30.78	\$30.78	\$44.94	\$48.09	\$49.77	\$51.26	\$52.80
\$ - Change		\$0.00	\$14.16	\$3.15	\$1.68	\$1.49	\$1.54
64 Gallon Residential	\$24.70	\$24.70	\$36.06	\$38.58	\$39.93	\$41.13	\$42.36
\$ - Change		\$0.00	\$11.36	\$2.52	\$1.35	\$1.20	\$1.23
4 Cubic Yard - 1x week Commercial	\$230.86	\$230.86	\$337.06	\$360.65	\$373.27	\$384.47	\$396.00
\$ - Change		\$0.00	\$106.20	\$23.59	\$12.62	\$11.20	\$11.53

Assuming implementation of the identified rate adjustments the City is expected to maintain compliance with the minimum reserve target equivalent to 25% of operating expenditures.



Scenario 2: Phased Rate Adjustments and Reduced Capital Expenditures

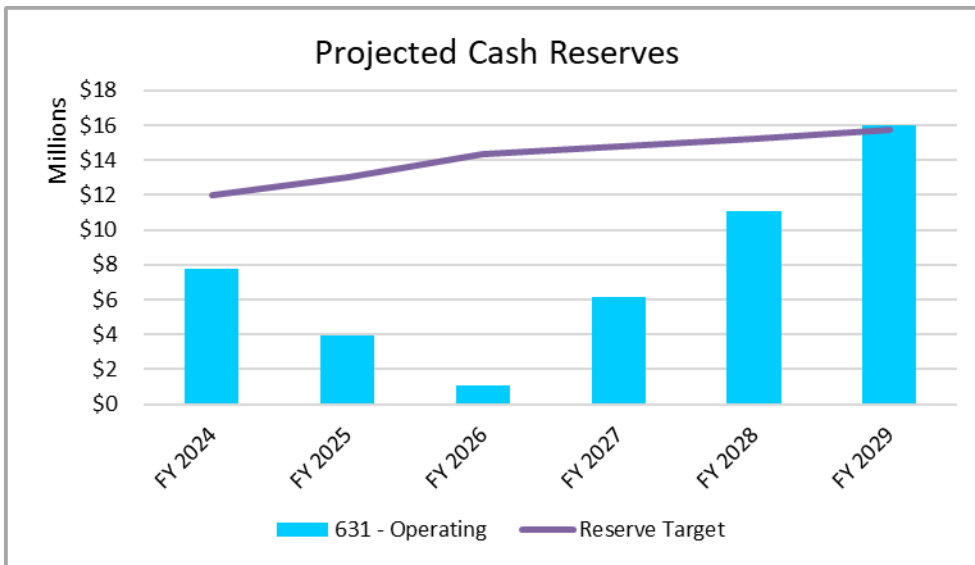
Builds off of Scenario 1, but assumes a \$5 million interfund loan to assist ER in phasing the identified rate increases over three (3) years. Represents a moderate capital spending scenario with phased increases. As can be seen in this scenario in contrast with Scenario 3, the forecast assumes a more moderate phase-in of the proposed system revenues.



The following rate adjustments were recognized:

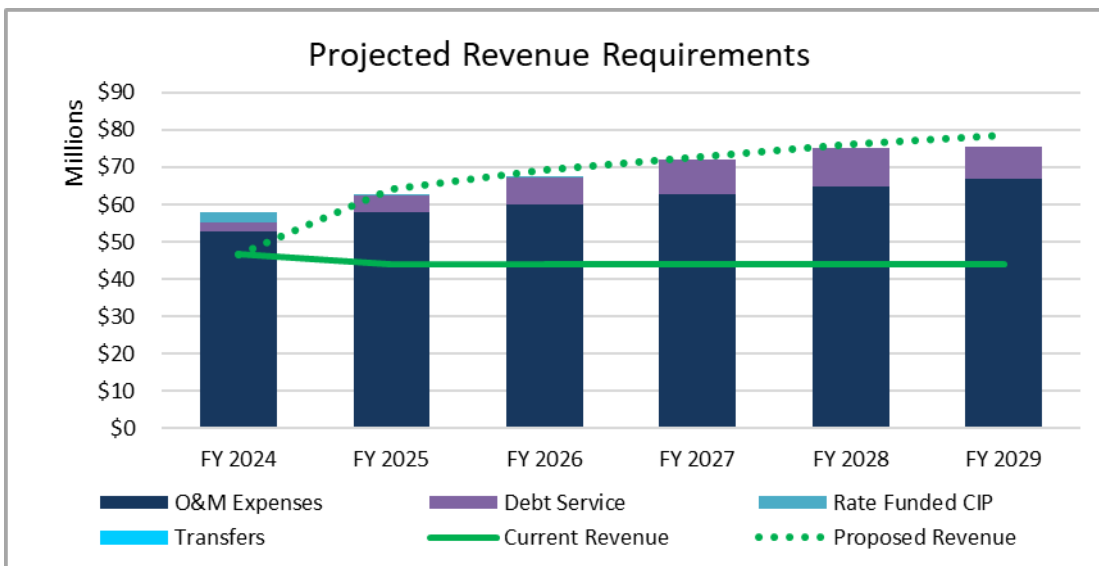
	FY 2023	FY 2024	FY 2025	FY 2026	FY 2027	FY 2028	FY 2029
Recognized Revenue Adjustments - All Customers	0.00%	0.00%	24.53%	19.70%	16.46%	3.00%	0.00%
Effective Months	12.0	12.0	12.0	12.0	12.0	12.0	12.0
96 Gallon Residential - Monthly Bill	\$30.78	\$30.78	\$38.33	\$45.88	\$53.43	\$55.03	\$55.03
\$ - Change		\$0.00	\$7.55	\$7.55	\$7.55	\$1.60	\$0.00
64 Gallon Residential	\$24.70	\$24.70	\$30.76	\$36.82	\$42.88	\$44.17	\$44.17
\$ - Change		\$0.00	\$6.06	\$6.06	\$6.06	\$1.29	\$0.00
4 Cubic Yard - 1x week Commercial	\$230.86	\$230.86	\$287.49	\$344.12	\$400.75	\$412.77	\$412.77
\$ - Change		\$0.00	\$56.63	\$56.63	\$56.63	\$12.02	\$0.00

Assuming implementation of the identified rate adjustments the City is expected to reach compliance with the minimum reserve target equivalent to 25% of operating expenditures, however, cash reserves are expected to be substantially depleted by the Fiscal Year 2025/26 resulting in an increased financial risk to other City funds.



Scenario 3: Rate Adjustments and Full Capital Expenditures

Assumes all identified funding requirements as assumed in Model Sensitivity 1, including the full capital funding requirements. Represents necessary rate adjustments to fund a fiscally sustainable operation and maintain regulatory compliance. The following chart presents a forecast of the funding requirements consistent with Model Sensitivity 1, however also assumes additional revenue adjustments. The debt assumptions observed to fully fund the CIP assume: i) \$46.5 million in 20-year loans at 5% interest for the facility capital improvements; and ii) approximately \$28 million in capital leases with a 7-year repayment at 3% interest. Total debt and capital lease payments are expected to increase from approximately \$2 million a year to \$10 million a year at its highest level by the Fiscal Year 2027/28.

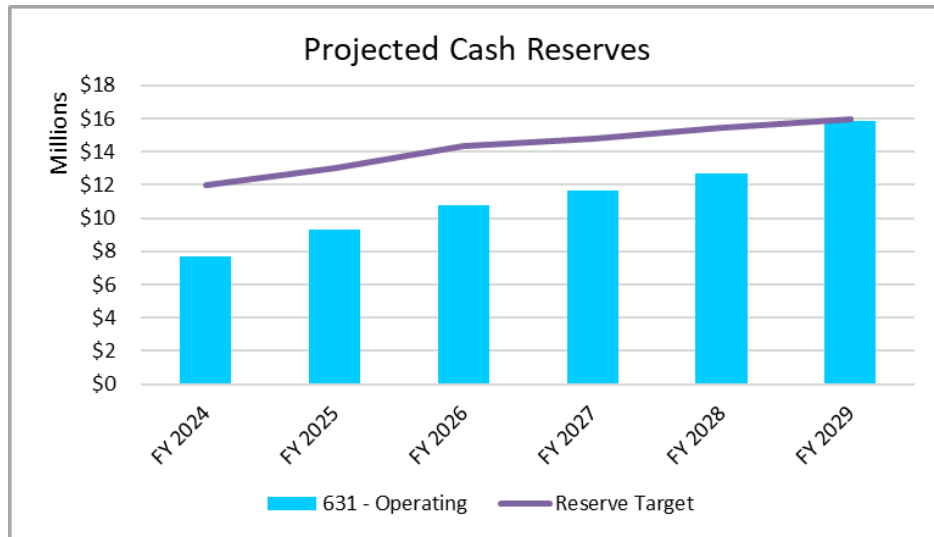


The following presents the proposed rate adjustments to fully fund the requirements of this scenario.

	FY 2023	FY 2024	FY 2025	FY 2026	FY 2027	FY 2028	FY 2029
Recognized Revenue Adjustments - All Customers	0.00%	0.00%	50.00%	8.00%	5.50%	5.00%	3.00%
Effective Months	12.0	12.0	12.0	12.0	12.0	12.0	12.0
96 Gallon Residential - Monthly Bill	\$30.78	\$30.78	\$46.17	\$49.86	\$52.60	\$55.23	\$56.89
\$ - Change		\$0.00	\$15.39	\$3.69	\$2.74	\$2.63	\$1.66
64 Gallon Residential	\$24.70	\$24.70	\$37.05	\$40.01	\$42.21	\$44.32	\$45.65
\$ - Change		\$0.00	\$12.35	\$2.96	\$2.20	\$2.11	\$1.33
4 Cubic Yard - 1x week Commercial	\$230.86	\$230.86	\$346.29	\$373.99	\$394.56	\$414.29	\$426.72
\$ - Change		\$0.00	\$115.43	\$27.70	\$20.57	\$19.73	\$12.43

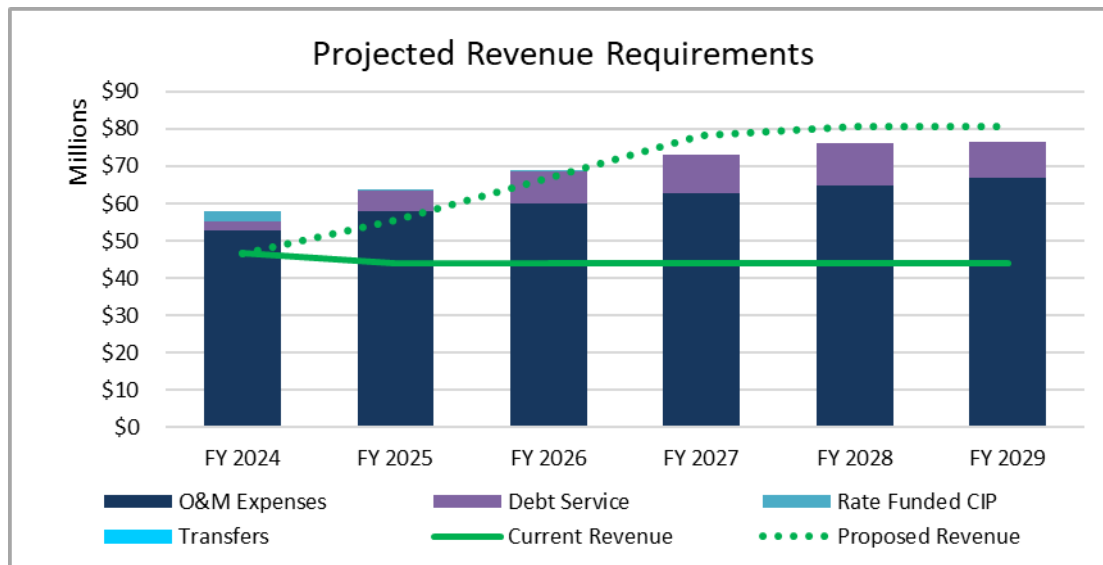
Assuming implementation of the identified rate adjustments the City is expected to maintain compliance with the

minimum reserve target equivalent to 25% of operating expenditures, however, cash reserves are expected to be substantially depleted by the Fiscal Year 2025/26 resulting in an increased financial risk to other City funds.



Scenario 4: Phased Rate Adjustments and Full Capital Expenditures

Assumes all identified funding requirements as stated in Model Sensitivity 1 and Scenario 3, including the full capital funding requirements. It only varies from Scenario 3 in that it phases the rate increase over a 3-year period through an assumed \$5 million interfund loan. As can be seen in this scenario in contrast with Scenario 3, the forecast assumes a more moderate phase-in of the proposed system revenues.

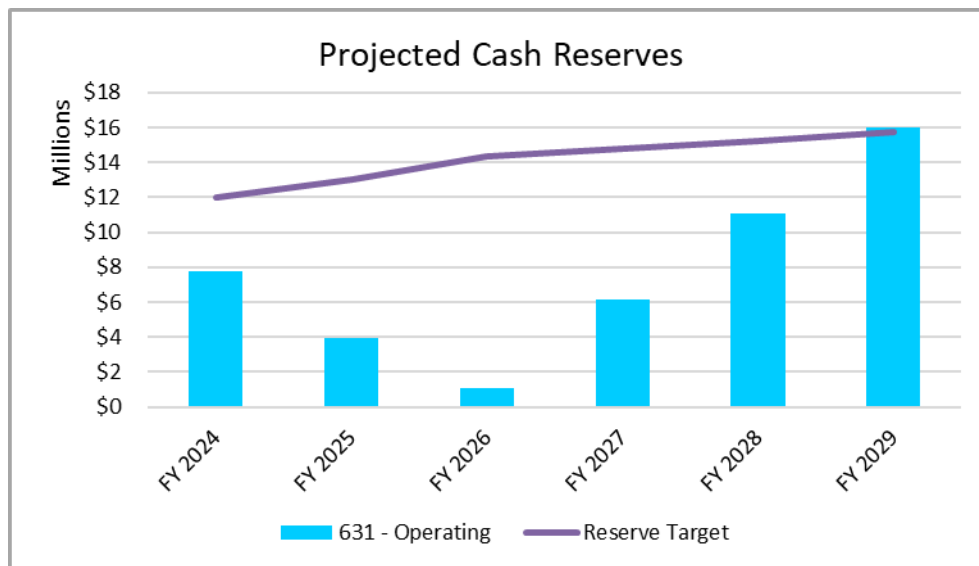


The following rate adjustments were recognized:

	FY 2023	FY 2024	FY 2025	FY 2026	FY 2027	FY 2028	FY 2029
Recognized Revenue Adjustments - All Customers	0.00%	0.00%	28.27%	22.04%	18.06%	3.00%	0.00%
Effective Months	12.0	12.0	12.0	12.0	12.0	12.0	12.0
96 Gallon Residential - Monthly Bill	\$30.78	\$30.78	\$39.48	\$48.18	\$56.88	\$58.59	\$58.59
\$ - Change		\$0.00	\$8.70	\$8.70	\$8.70	\$1.71	\$0.00
64 Gallon Residential	\$24.70	\$24.70	\$31.68	\$38.66	\$45.64	\$47.01	\$47.01
\$ - Change		\$0.00	\$6.98	\$6.98	\$6.98	\$1.37	\$0.00
4 Cubic Yard - 1x week Commercial	\$230.86	\$230.86	\$296.11	\$361.36	\$426.61	\$439.41	\$439.41
\$ - Change		\$0.00	\$65.25	\$65.25	\$65.25	\$12.80	\$0.00

Assuming implementation of the identified rate adjustments the City is expected to reach compliance with the minimum reserve target equivalent to 25% of operating expenditures, however, cash reserves are expected to be substantially

depleted by the Fiscal Year 2025/26 resulting in an increased financial risk to other City funds.



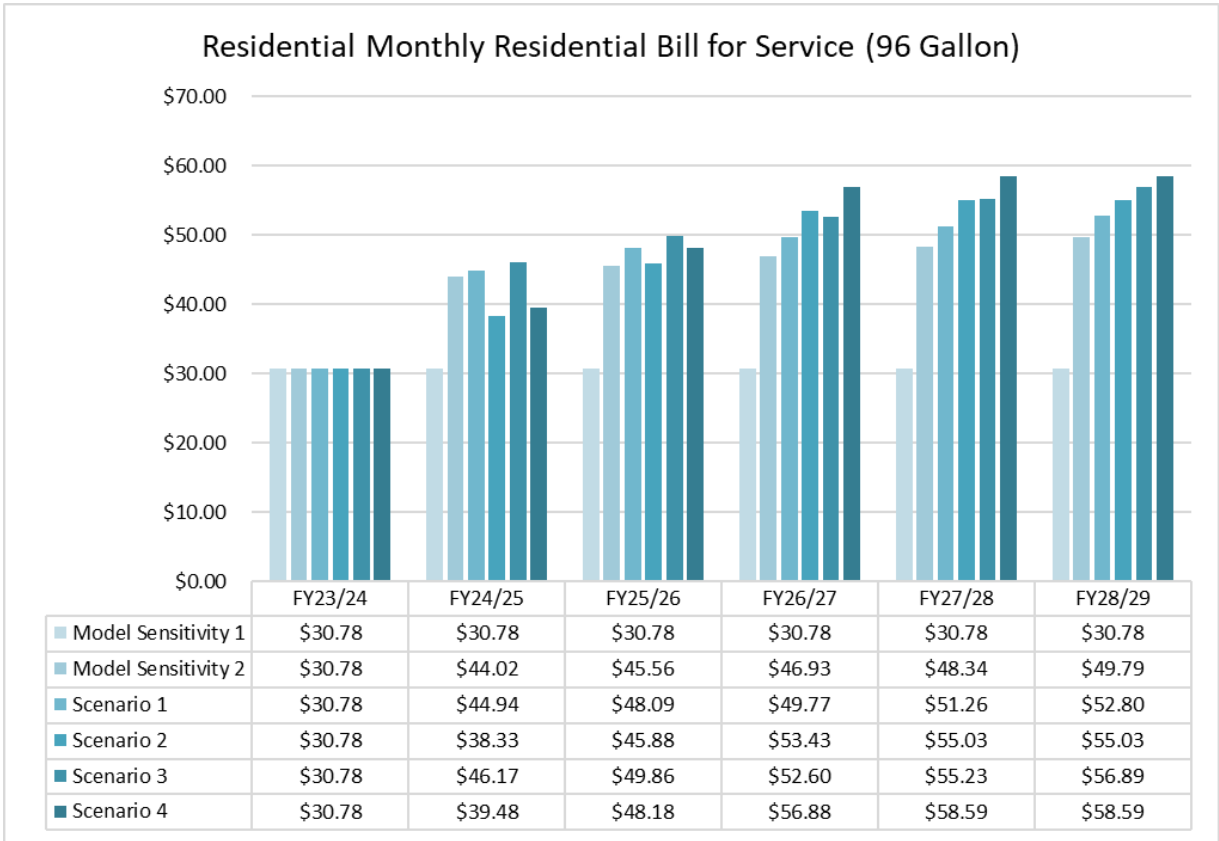
Scenario Comparisons and Key Observations:

Scenario Residential Bill Impact Comparison

As can be seen below, Scenario 1 and 3 represent the largest single rate revenue adjustments ranging from approximately \$14 to \$15 per month. Based on comparison Model Sensitivity 2 we can infer that 86% of the rate increase is to address the operating expenses / structural deficiency of the system. Of Scenarios 1 and 3, only Scenario 3 fully funds the total identified needs of the system.

Additionally, we performed a sensitivity with Scenarios 2 and 4, which phased in the rate increases over a three (3) year period. Scenario 2 represents the moderate capital funding at \$7.55/month while Scenario 4 represents the full capital funding requirements at \$8.70/month.

	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	FY 2028/29
Model Sensitivity 1	\$-	\$-	\$-	\$-	\$-
Model Sensitivity 2	\$13.24	\$1.54	\$1.37	\$1.41	\$1.45
Scenario 1	\$14.16	\$3.15	\$1.68	\$1.49	\$1.54
Scenario 2	\$7.55	\$7.55	\$7.55	\$1.60	\$-
Scenario 3	\$15.39	\$3.69	\$2.74	\$2.63	\$1.66
Scenario 4	\$8.70	\$8.70	\$8.70	\$1.71	\$0.00



Financial Assistance Programs

The City of Oxnard’s Utility Rate Assistance Program “Project Assist” is available for solid waste, water, and wastewater utility customers who qualify. This program was initially approved by City Council in May 2017 and has since been renewed annually. Project Assist grants financial relief equal to a monthly \$25.00 maximum credit per utility bill charged to customers who meet the eligibility requirements. For FY 2023/24, staff requested to direct \$241,500 from late penalty revenues to the Project Assist Program. The \$241,500 allocation estimates that approximately 805 eligible utility customers could participate in the program for a full fiscal year.

Outreach Information

Requirements for Prop 218 and Timeline:

Proposition 218 has very strict rules that govern the revenue of the solid waste utility. Specifically:

1. An agency cannot collect revenue beyond what is necessary to provide the service.
2. Revenues derived from the charge shall not be used for any other purpose other than that for which the charge was imposed.
3. The amount of the fee may not be used for any other purpose other than that for which the change was imposed.
4. The amount of the fee may not exceed the proportional cost of service for the parcel.
5. No charge may be imposed for a service unless that service is actually used or immediately available to the owner of the property.
6. A written notice of the proposed charge shall be mailed to the record owner of each parcel at least 45 days prior to the public hearing when the agency considers all written protests against the charge.

Estimated Timeline for Proposition

Early January 2024	Mail Proposition 218 Notices (English & Spanish) containing the Proposed Solid Waste Rate increase to Property Owners and Ratepayers
March 4, 2023	Public Hearing for Proposed Rate

In addition to the required notification listed above, the City has created a multi-faceted outreach campaign to raise awareness of the Refuse Cost of Service draft Study, which includes two Community Meetings scheduled for Wednesday, November 15, 2023, at 6:30 p.m. at the South Oxnard Center and Wednesday, December 13, 2023, at 6:30 p.m. at the Oxnard City Council Chambers - streamed virtually via the City's YouTube Channel.

Additionally, staff anticipates distributing a bilingual press release before the Community Meetings; running English and Spanish social media ads on Facebook and Instagram to inform residents and stakeholders; and publishing a bilingual print ad in VIDA newspaper. Paid outreach will be supplemented by including Refuse Cost of Service Study Community Meeting flyers in the neighborhood weekly packets and regularly posting on Nextdoor, Twitter, Facebook, and Instagram.

The City's consultant, Raftelis, created an informational Rates 101 video in English and Spanish, which will be shared by staff at Community Meetings, on the City website, and via the City's social media channels. Additionally, staff has created an ER Division video in English and Spanish, which details the operations and services provided by the division, and the economic challenges the division faces. The videos will be shared in a series of small clips on a YouTube playlist and social media channels for the community to access.

STRATEGIC PRIORITIES

This agenda item supports the Infrastructure and Natural Resources strategy. The purpose of the Infrastructure and Natural Resources strategy is to preserve and improve our roads, utilities, parks, trees, water supply and natural resources through effective planning, prioritization, and an equitable and efficient use of available funding.

FINANCIAL IMPACT

The solicitation of City Council input and feedback has no fiscal impact. Absent a rate adjustment, the draft cost of service study projects negative cash balances by FY 2024/25.

COMMITTEE OUTCOME

The Public Works and Transportation Committee approved 2-0 on November 14, 2023, to approve the staff recommendation and forward the item to the Council for input. The context of the staff report from Committee has been edited based on comments received at the November 14, 2023 meeting. In addition, the recommendation section has been updated to include comments that were received.

Prepared by: Brian Yanez, Assistant Public Works Director

ATTACHMENTS

1. City Presentation
2. Raftelis Presentation

Public Hearing on Proposed Solid Waste (Refuse) Rates and Introduction of an Ordinance Establishing New Solid Waste (Refuse) Rates and Fees

City Council
March 19, 2024

Brian Yanez
Assistant Public Works Director

Recommendation

That the City Council:

1. Hold a public hearing to receive public testimony and consider all protests concerning the adoption of an ordinance establishing new solid waste (refuse) user rates and fees;
2. Approve the first reading by title only and waive any further reading of an ordinance titled:

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD TO ESTABLISH NEW SOLID WASTE RATES AND FEES FOR CUSTOMER USE AND SERVICE FROM THE CITY'S ENVIRONMENTAL RESOURCES DIVISION; and

3. Find that the California Environmental Quality Act (CEQA) does not apply to the ordinance that modifies the City's solid waste (refuse) rates, according to Public Resource Code sections 21080(b)(8) and 21083 in conjunction with the exemption listed in Title 14 California Code of Regulations section 15273.

Background

Oxnard's Environmental Resources



34,000

Residential
customers



5,000

Commercial
properties



590,000,000 lbs.

of municipal solid waste handled*

+



49,800,000 lbs.

of recycled material*

sorted and diverted from the landfill

44,000,000 lbs.

of organic waste*



*In Fiscal Year 2022-2023. Numbers are approximate.

How Much **Waste** Is It?

THE WASTE WE COLLECT

590,000,000 LBS.*

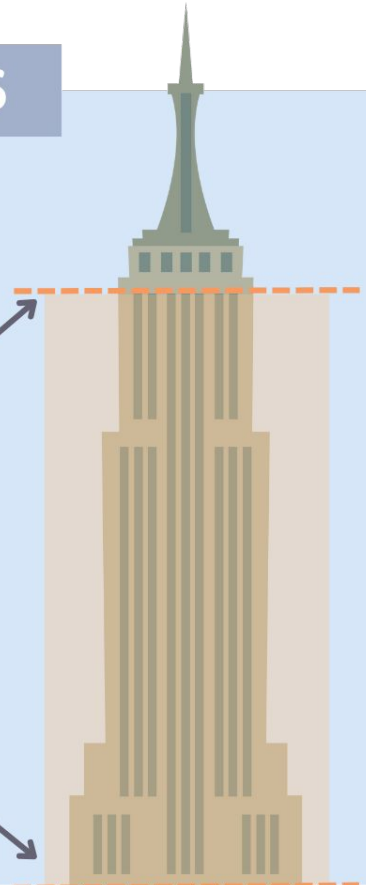


IS ABOUT AS HEAVY AS

**THE EMPIRE
STATE BUILDING**

(730,000,000 LBS.)

Oxnard's annual waste
(approximately)



*Municipal Solid Waste collected in Fiscal Year 2022-2023. Numbers are approximate.

Summary of Operations

ENSURE PROPER DISPOSAL OF WASTE

1

WEEKLY COLLECTION

40 residential, commercial and industrial routes



2

DEL NORTE REGIONAL RECYCLING & TRANSFER STATION

179 Employees 138 Vehicles

City staff sort and prepare materials for transfer



3

TRANSFER MATERIALS

City staff and contracted providers haul materials for recycling, reuse or landfill



RECYCLABLES:

Recyclables arrive at the MRF. Staff hand sorts to separate by type, removes contaminants, bales and prepares them to be sold.

YARD WASTE:

Green yard waste goes to the MRF. Staff hand sorts to separate by type, removes contaminants, and prepares to be transferred.

FOOD WASTE:

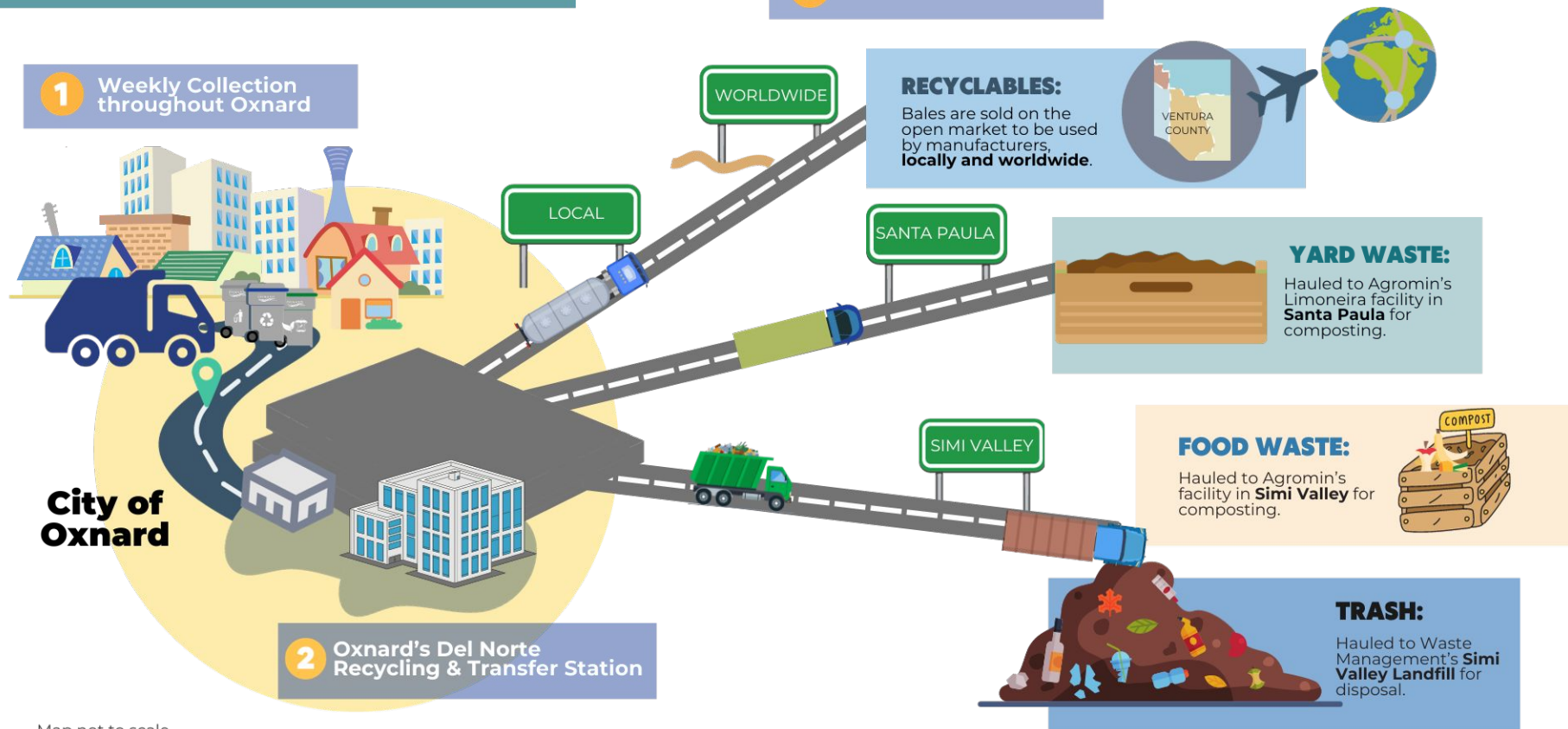
Food waste goes to the MRF. Staff removes plastic bags, hand sorts to removes contaminants, and prepares to be transferred.

TRASH:

Trash goes to the tipping floor, where transfer vehicles operated by Oxnard employees and contractors are filled to transfer.

Summary of Operations

TRAVEL PATHS OF OXNARD'S WASTE



Discussion - Proposed Rate Change

	Current	July 1, 2024	July 1, 2025	July 1, 2026	July 1, 2027	July 1, 2028
Rate Adjustments						
96 Gallon Residential	\$30.78	\$47.41	\$52.11	\$54.83	\$56.46	\$56.89
<i>Monthly Increase</i>		\$16.63	\$4.70	\$2.72	\$1.63	\$0.43
64 Gallon Residential	\$24.70	\$39.33	\$43.23	\$45.48	\$46.83	\$47.12
<i>Monthly Increase</i>		\$14.63	\$3.90	\$2.25	\$1.35	\$0.29
4 Cubic Yard 1 x week Commercial	\$230.86	\$230.29	\$253.30	\$266.59	\$274.59	\$278.31
<i>Monthly Increase</i>		-\$0.57	\$23.01	\$13.29	\$8.00	\$3.72

The cost-of-service study is available online: www.oxnard.org/refuserates

Discussion - Rates and Fees

The proposed rate and fee structure revises the current rate structure and incorporates new service-level offerings including:

1. Combining single-family and multifamily 3-cart curbside service into a single class of service, recognizing that the service is the same for both classes. The City offers two refuse service levels at 64-gallon and 96-gallon to meet the needs of smaller and larger household sizes;
2. Creation of a mobile home class of service and rate for 3-cart service that mirrors the standard residential 3-cart rate structure, which has been reduced by approximately 8% to reflect the exclusion of IUF payments; which does not apply to mobile home parks;

Discussion - Rates and Fees

Continued:

3. Addition of new commercial bin/container size service offerings of 1 cubic yard through 4 cubic yard organic bin collection and disposal service;
4. Separation of the commercial rate structure for recycling and green waste. While the City has very few commercial green waste bin service customers, the collection costs for recycling and green waste are equivalent, however, due to differences in the disposal costs separation of the rate structure for these services is warranted;

Discussion - Rates and Fees

Continued:

5. Simplifying the commercial and industrial rate structure for roll-off service, by charging a single “pull rate” for all customers regardless of bin size. In conjunction with this rate structure change, an additional bin rental fee is recommended and this fee will vary based on the size and cost of the bin. Disposal costs will continue to be based on the actual weight of material disposed of at the applicable tip fees proposed in the revised rate structure. This rate structure would also apply to and replace the City’s current commercial bin rental service fee structure for roll-off service where bins exceed 4 cubic yards;

Discussion - Rates and Fees

Continued:

6. Addition of new tip fees at the Del Norte facility for single-stream recyclables and organics. The recycling tip fee only applies to customers who do not currently pay a monthly collection rate for collection service within the City;
7. 1 and 3 cubic yard bins available for qualified commercial customers; and
8. One free curbside bulky item pick up per residential customer per year (up to five bulky household items)

Refuse Rate History

Year	Oct. 2012	Jan. 2013	Mar. 2013	Jan. 2014	Jan. 2015	Jan. 2016	Jan. 2017	Jan. 2018	Jan. 2019	Jan. 2020	Jan. 2021	Jan. 2022	Jan. 2023
Residential Rate (96 gallon)	\$29.86	\$30.15	\$31.03	\$31.03	\$31.03	\$31.03	\$31.34	\$31.88	\$31.88	\$31.88	\$30.78	\$30.78	\$30.78
Total Change	0.0%	1%	2.9%	0.0%	0.0%	0.0%	1.0%	1.7%	0.0%	0.0%	-3.5%	0.0%	0.0%
Notes		Rate Increase	Pass Through				Pass Through	Pass Through			IUF Reduction		

In September 2021, the City **REDUCED** its Environmental Resources rates by **3.5%** due to the removal of the Infrastructure Use Fee (IUF).

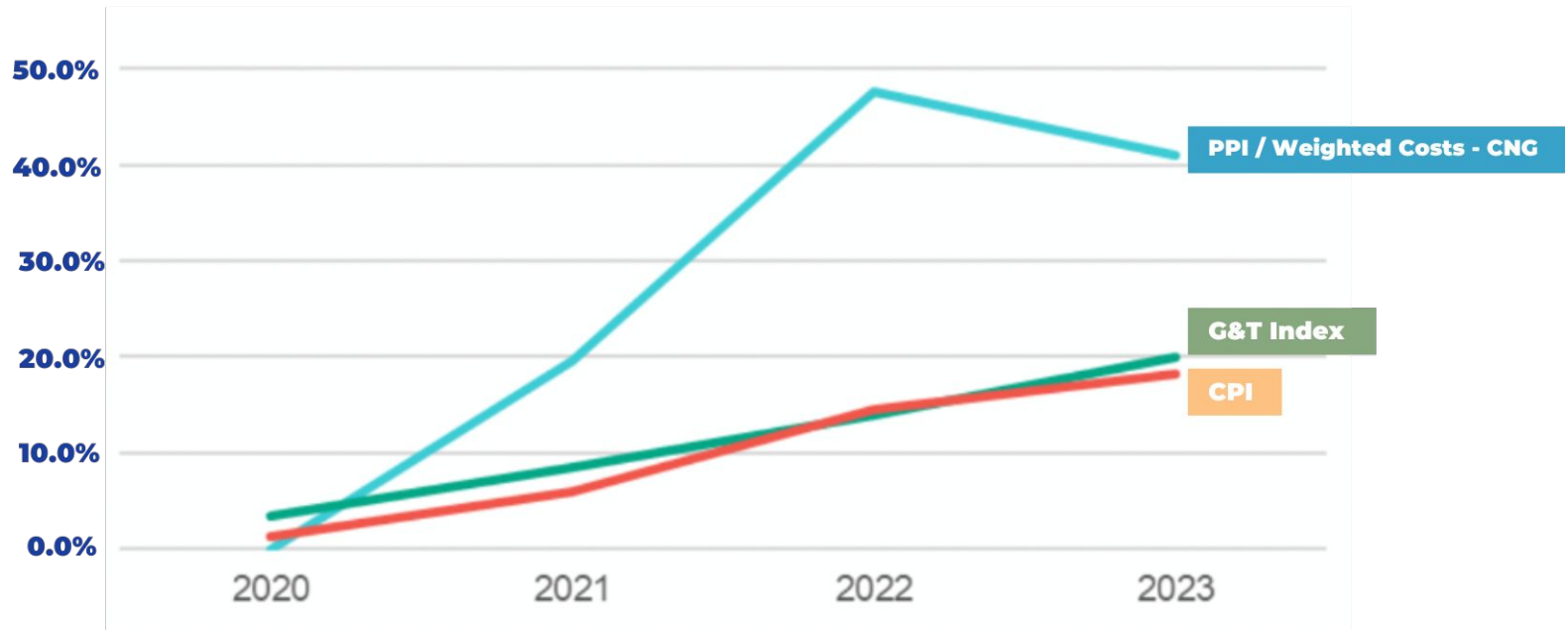


Based on **current rates**, a single family residence in Oxnard pays a monthly refuse bill of **\$30.78**.

Solid Waste Inflation

The Garbage and Trash Index has increased by 20% since 2020.

A weighted Producer Price Index (PPI) of the cost inputs to garbage collection service and estimated cost increases may be closer to 40% since 2020 due to labor, capital cost, and fuel cost increases.



As calculated by the City's Rate Study Consultant, Raftelis

Sample Item Cost Increase

January 2013 COST	SAMPLE ITEM:	January 2023 COST
\$3.53	 GALLON OF MILK	\$4.20 ↑ 19%
\$1.93	 1 DOZEN EGGS	\$4.82 ↑ 150%
\$3.35	 GALLON OF GAS	\$5.18* ↑ 55% (CA average Nov. 2023)

Source: U.S. Bureau of Labor Statistics-Consumer Price Index

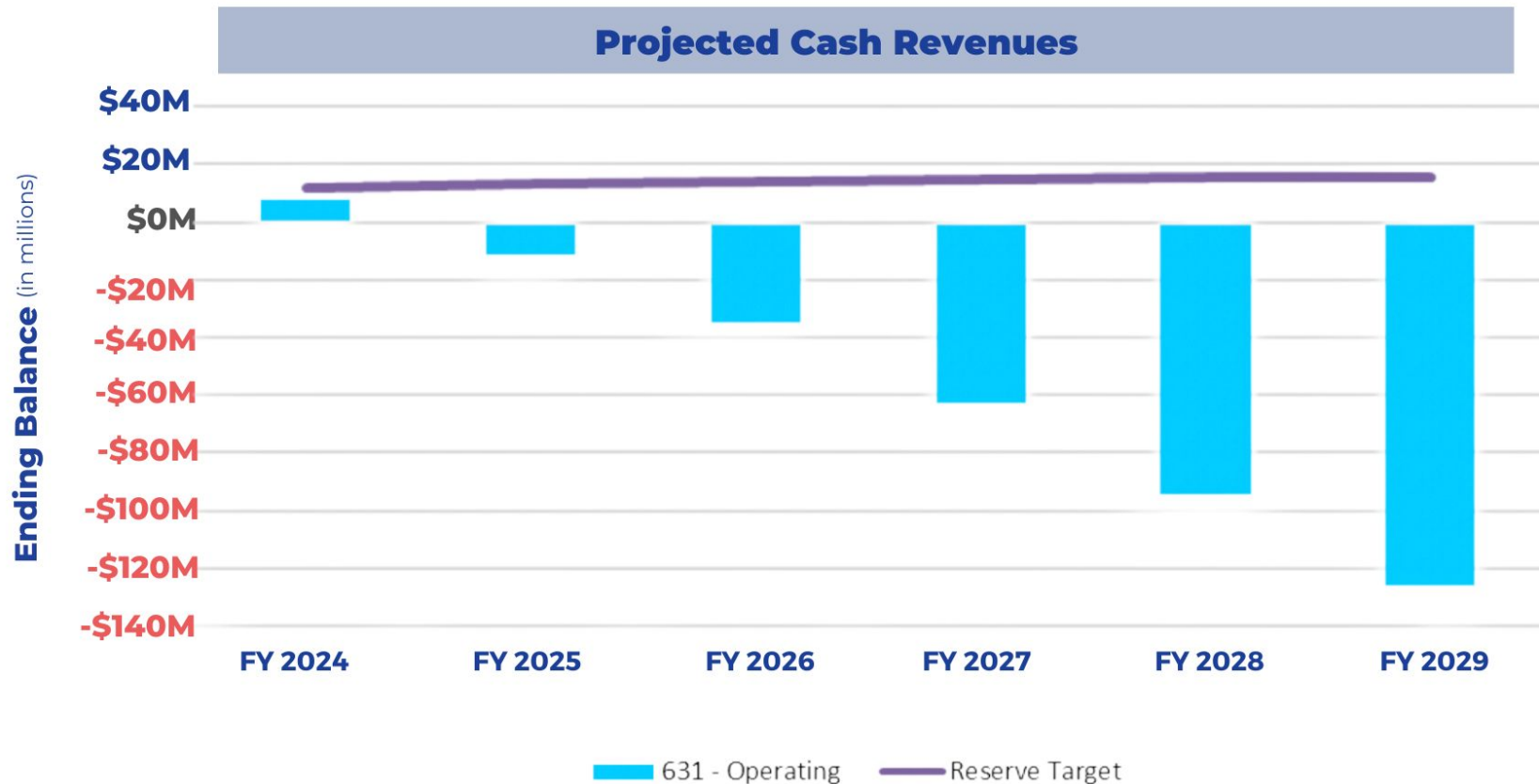
*AAA Gas Prices Report - Retrieved 11/03/2023 - <https://gasprices.aaa.com/?state=CA>

Sample Product Cost Increase

January 2013 COST	SAMPLE ITEM:	January 2023 COST
\$1.00	 NATURAL GAS (per therm)	\$1.82 
\$0.13	 ELECTRICITY (per kWh)	\$0.17 
\$26,175 (average cost)	 FORD F-150 TRUCK	\$33,695 (average cost) 

Sources: U.S. Bureau of Labor Statistics-Consumer Price Index

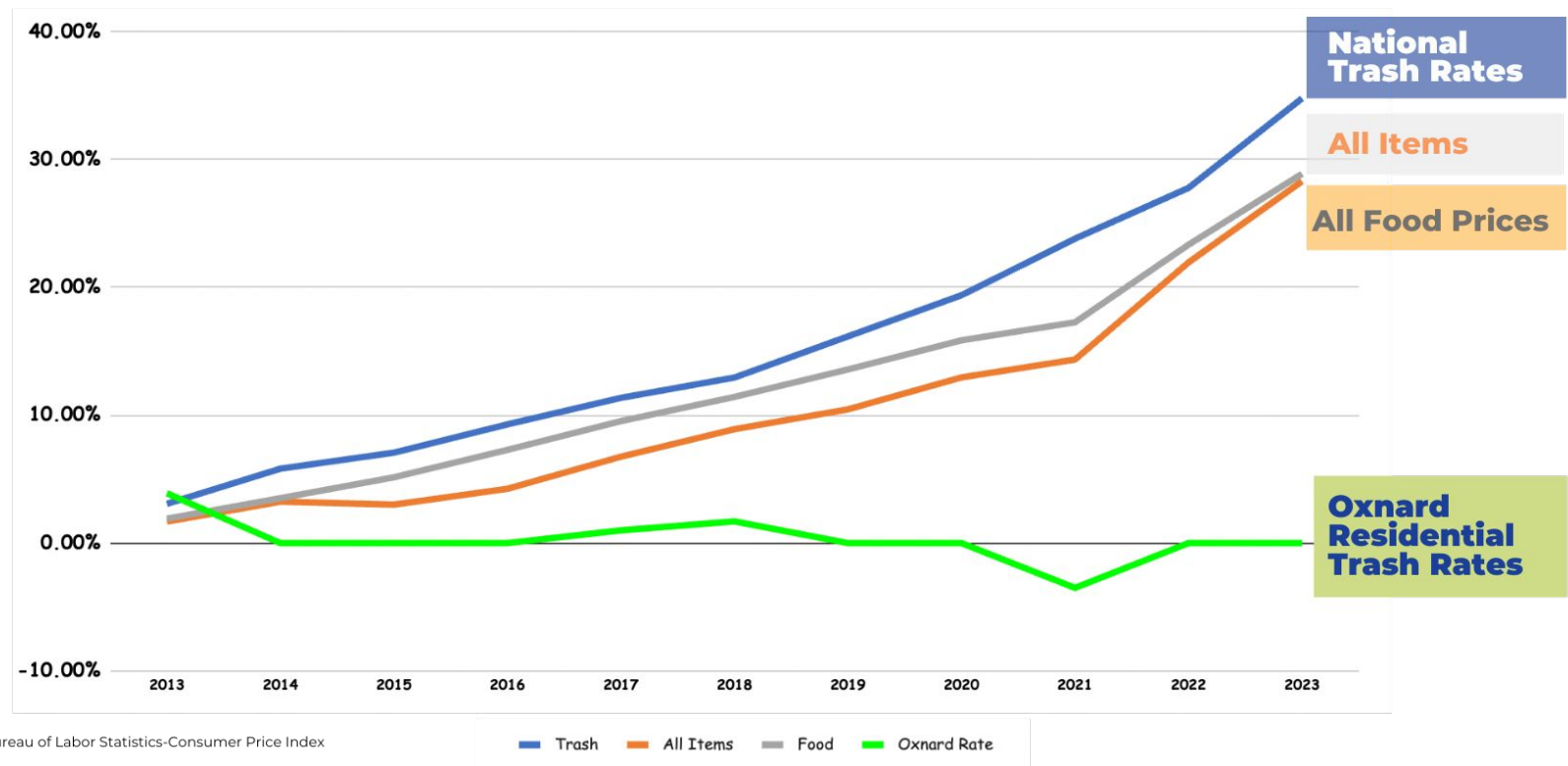
ER Cash Balance Estimate



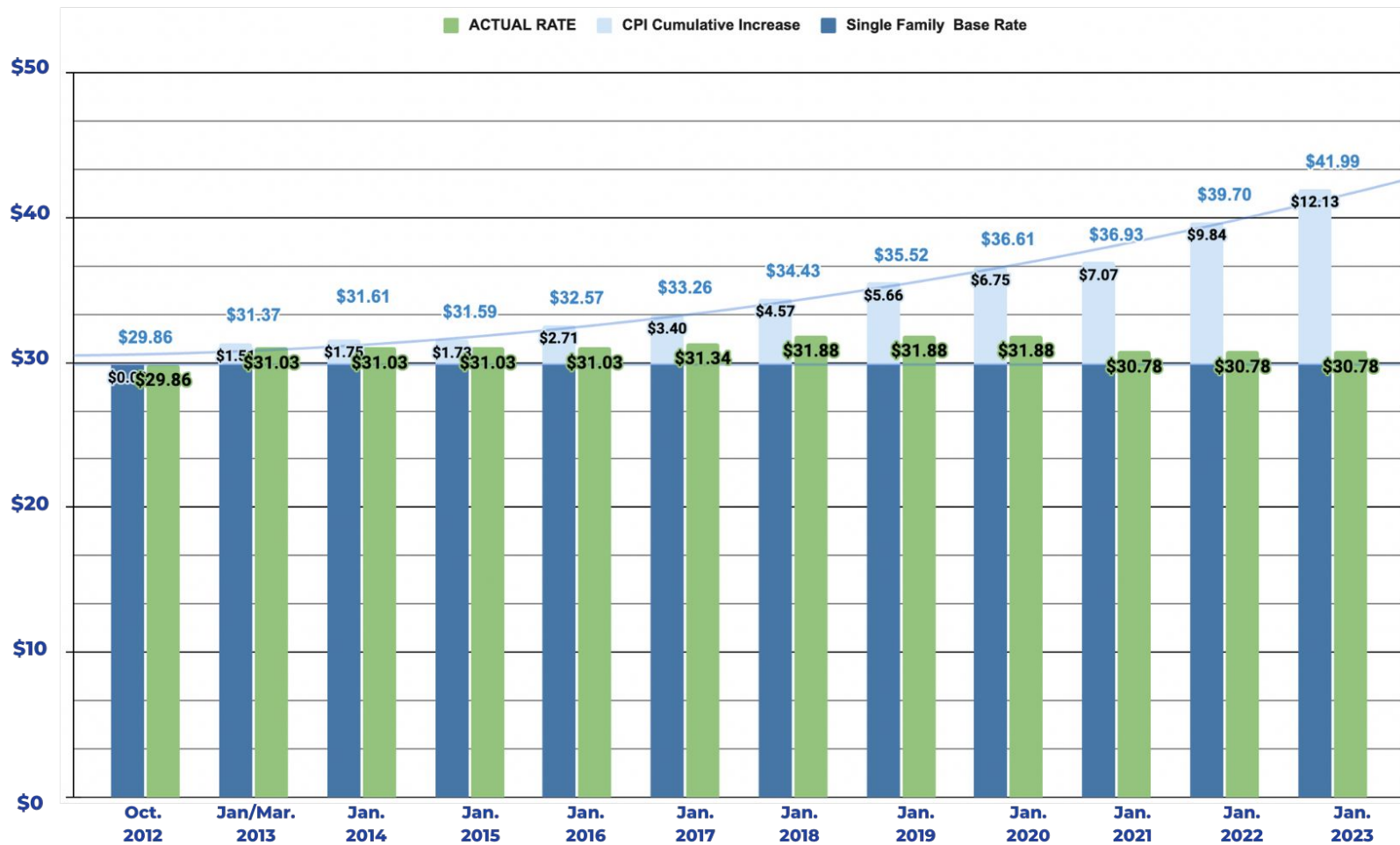
Consumer Price Index

Increases 2013-2023

What consumers pay for refuse service nationwide has increased faster than inflation for the price of food and other items; yet Oxnard rates have remained significantly lower



Single Family Rate with CPI, IUF, & Pass Throughs



State **Unfunded** Mandates

4 recycling and composting laws pose major financial and operational impacts on the solid waste collection system:

Mandatory Commercial Recycling (MCR)

Adopted by CA in 2012



Requires businesses* & multi-family complexes* to self-haul or subscribe to recycling services

*Applies to businesses that generate four+ cubic yards of commercial waste per week and multi-family complexes with five or more units

AB341

Mandatory Commercial Organics (MORE)

Effective January 1, 2016

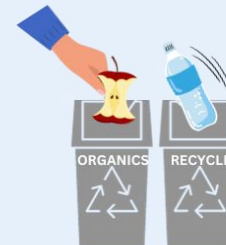
Phases in the businesses and multi-family dwellings required to subscribe to commercial organic collection service



AB1826

Expands MCR & MORE

Effective July 1, 2020



Mandatory recycling and organics carts for businesses

at the "front of house" to collect waste generated by customers from products purchased and consumed on premises

AB827

Mandatory Organics Recycling

Effective January 1, 2022

Requires Oxnard to provide organic waste collection services to all residents and businesses

Weekly collection of all three carts for residential customers implemented



Bagged food waste is sorted by Oxnard employees at the MRF

SB1383

Efficient Efforts

What we're doing to keep costs down

BUDGET VS. EXPENSES

Review budget and expenses on a quarterly basis



GRANTS

Apply for grants to reduce cost of state mandates



OUTREACH EDUCATION

Promote programs to engage and inform community members of appropriate services and proper disposal of materials



PARTNERSHIPS

Partnering with other departments and organizations to share costs when possible



BIDS

Use lowest qualified bid to obtain the lowest costs for materials and contracted services



Environmental Resources **Capital Investments**

Capital Investments including CIP

Compressed Natural
Gas Facility



Truck
Replacement



Roof Replacement
Del Norte Facility



Scale House & Truck
Weigh Scale



Video Surveillance
System - Phase II



Rate Setting - Goals



Input & Considerations



Rate Study Period

Recommendation: 5 year period



Pass Through Charge

Recommendation: Include a pass-through option to avoid over/under estimating factors outside the City's control



Capital Improvement Program (CIP) & Capital Outlay

Allows for CIP projects and capital outlay at a rate within existing resources



Reserve Fund Balance Budget

Recommendation: Aim to maintain 25% reserves



Debt Coverage Ratio

Recommendation: Maintain a minimum of 1.10

City Utility Comparison

Average Monthly Refuse Cost per Person per Household¹

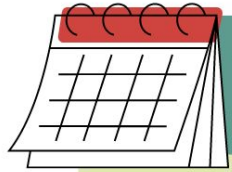
							
 Refuse Rate ¹	\$30.78 (2013)	\$37.59 (2021)	\$34.25 (2023)	\$28.67 (2023)	\$25.60 (2014)	\$34.82 (2021)	\$34.39 (2022)
 Number of Households ²	50,403	42,091	25,673	46,270	6,859	43,893	---
 Persons per Household ²	4.0	2.58	2.7	2.71	3.06	2.87	---
 Average Monthly Refuse Cost per person	\$7.70	\$14.57	\$12.69	\$10.58	\$8.37	\$12.13	---

¹ Average Refuse Rate for single family home for Fiscal Year 2023-2024. Utility rates retrieved from each city's official website.

² Data from U.S. Census Bureau.

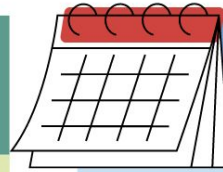
Updated October 25, 2023

Proposition 218



EARLY FEBRUARY 2024

Mailed Proposition 218 Notices
containing the Proposed Refuse
Rate Increases to Property
Owners and Ratepayers



MARCH 19, 2024

Public Hearing for
Proposed Rates



Discussion - Outreach

In addition to Proposition 218 requirements and City's Resolution 14,877, City staff and Raftelis presented at City Council and Community meetings on:

1. Nov 14, 2023, Public Works and Transportation Committee
2. Nov 15, 2023, Community Meeting at South Oxnard Center
3. Dec 5, 2023, City Council Meeting
4. Dec 13, 2023, Community Meeting at City Council Chambers
5. Jan 30, 2024, Community Meeting at City Council Chambers
6. Feb 27, 2024, Public Works and Transportation Committee
7. Feb 29, 2024, Community Meeting at South Oxnard Center
8. Mar 4, 2024, City Council Meeting
9. Mar 6, 2024, Inter-Neighborhood Council Organization Meeting

Financial Impact

1. Requesting a solid waste rate increase is taking the necessary steps to improve the financial performance and reduce the possibility of future bond rating downgrades
2. To strengthen the solid waste system's financial standing, rates must be increased
3. To provide revenue that will meet sustainable critical operations and maintenance, and fund capital expenditures, a corresponding rate adjustment is recommended



QUESTIONS?



CITY COUNCIL AGENDA REPORT

PUBLIC HEARINGS AGENDA ITEM NO. M.2

DATE: March 19, 2024

TO: City Council and Oxnard Housing Authority

FROM: Emilio Ramirez, Housing Director, (805) 385-8094, emilio.ramirez@oxnard.org

SUBJECT: 5-Year Public Housing Agency Plan (2020-2025), Annual Agency Plan and Capital Fund Program Five-Year Action Plan for Low Rent Public Housing. (10 Minutes)

RECOMMENDATION

That the Board of Commissioners for the Housing Authority of the City of Oxnard:

1. Hold a United States Department of Housing and Urban Development (HUD) public hearing to receive comments concerning the fifth year of the 5-Year Public Housing Agency Plan, the 2024 Capital Fund Budget, and the Capital Fund Five-Year Action Plan; and
2. Adopt a resolution:
 - a. Approving the 2024 Annual Agency Plan, 5-Year Public Housing Agency (PHA) Plan for the Housing Authority of the City of Oxnard;
 - b. Authorizing and directing the Chairman to execute the Department of Housing and Urban Development Public Housing Agency Certification Compliance Form certifying the Housing Authority's compliance with federal policies and procedures;
 - c. Authorizing the Housing Director to execute and submit all reports, documents and all other certifications required to comply with policies and procedures of the Department of Housing and Urban Development regarding the Annual Agency Plan and the 5-Year PHA Plan;
 - d. Approving the 2024 Capital Fund Budget and Capital Fund Five-Year Action Plan; and
 - e. Authorizing the Housing Director to accept and expend the funds as indicated in the 2024 Capital Fund Budget and Capital Fund Five-Year Action Plan.

(The Community Services, Public Safety, Housing & Development Committee approved 3-0 on February 27, 2024.)

Please click the following link to view the required Measure M pre-recorded presentation video: https://youtu.be/Wq_JlFdhjy8

BACKGROUND

The Quality Housing and Work Responsibility Act of 1998 (the Act) requires the Oxnard Housing Authority to submit a 5-Year PHA Plan and an Annual Agency Plan. The purpose of the 5-Year PHA Plan and Annual Agency Plan, along with the program policies for the Public Housing Program (Admissions and Continued Occupancy Policy) and the Housing Choice Voucher Program (Administrative Plan), is to provide a framework for local accountability, along with an easily-identifiable source document where public housing residents, housing voucher participants, and members of the public may locate basic information regarding a housing authority's policies, rules and regulations and services provided by the

agency.

The 5-Year PHA Plan covers fiscal years 2020-2025 and the Annual Agency Plan covers fiscal year 2024. Updates must be submitted to the HUD at least seventy-five days prior to the beginning of each fiscal year, and must include certifications that each Plan is consistent with the City of Oxnard's Consolidated Plan and in accordance with the Department of Housing and Urban Development's requirements.

DISCUSSION

The 5-Year PHA Plan and Annual Agency Plan identify the Oxnard Housing Authority's goals and objectives that will enable Oxnard to serve the needs of low-income, very low-income, and extremely low-income families. The current 5-year PHA Plan was adopted in fiscal year 2020. Revisions, new activities, progress towards goals and objectives, and updates are outlined in the Annual PHA Plan (Attachment 1). The proposed revisions include significant amendments to the Housing Choice Voucher Administrative plan and Admissions and Continued Occupancy Policy plan to incorporate the new federal requirements as published on Federal Register Notice 2/14/23 for Sections 102 and 104 of the Housing Opportunity through Modernization Act of 2016; undertaking of activities related to the conversion of public housing through the Rental Assistance Demonstration Program; applying for new programs, tenant based vouchers, and project-based voucher allocations. These activities were provided for in the 5-Year PHA plan.

Updates to Housing Choice Voucher Administrative plan and Admissions and Continued Occupancy Policy plan

The Housing Opportunity through Modernization Act (HOTMA) was signed into law on July 29, 2016, amending several aspects of the Department of Housing and Urban Development's (HUD) rental assistance programs, streamlining processes, and reducing burdens on housing providers. The HOTMA final rule which implements Sections 102 and 104 of the law, was published on February 14, 2023 and HUD's implementation guidance was issued (PIH 2023-27) on September 29, 2023. The updates pertain to regulations which affect income calculations, income reviews and asset limitations. HUD requires PHAs to comply no later than January 1, 2025. The Oxnard Housing Authority will select a compliance date based on when its database vendor has completed all necessary software upgrades and HUD has begun accepting certifications via the Housing Information Portal (HIP). "Comply" means, in this instance, utilizing the HOTMA rules as it applies to the affected programs and corresponding report in HIP. Until such time, the Oxnard Housing Authority will follow existing policies and families will be notified if their income determination is being conducted pre-HOTMA regulations or in accordance with the HOTMA final rule. The HOTMA changes which are being incorporated into each plan as appropriate, are:

1. "Assets" is now defined as all family assets with enumerated exclusions. New rules on how income from assets is calculated have been established by HUD.
2. Household annual income will be projected at new admissions, however; for reexaminations, the income will be based on previous 12 months.
3. New asset limitation: Families cannot participate in the program if they have >\$100,000 in total assets or ownership in a real property where the family can live (HUD will issue additional guidance on this regulation). The Oxnard Housing Authority will adopt any grace periods allotted by federal regulations for families who are no longer eligible.
4. HUD form 9886- Authorization for the Release of Information, will only require signature once. The Oxnard Housing Authority will deny admission or terminate assistance for revocation of consent.
5. PHAs will not be out of compliance solely due to minimis errors (defined as an error that results in a difference in the calculation of the family's adjusted income by the PHA from the correct income determination by not more than \$30 per month) in calculation a family's income but will need to take corrective action if a family is overcharged. Interim income reexaminations are not required for decreases in adjusted income of 10% or less. The Oxnard Housing Authority will conduct an interim reexamination for any reported changes by the family.
6. Interim income reexaminations are required when a family's unearned income increases by 10% or more, except for cases where annual recertification is due within 3 months. The Oxnard Housing Authority will not process interim income reexaminations if the annual recertification due within 3 months.

7. Eliminates the earned income disregard (EID), only families already participating may continue receiving the benefit up to 2 years from the date. The EID allowed families to have a portion of their earned income excluded from annual income for a maximum period of 24 consecutive months.
8. Mandatory deductions to be adjusted annually for families with dependents or elderly and disabled.
9. Establishes that the sum of unreimbursed health and medical care, reasonable attendant care, and auxiliary expenses that exceeds 10% (previously 3%) of the family's annual income can be deducted from the annual income. The Oxnard Housing Authority will adopt hardship exemptions for phase in families, families who face financial hardship as a result of this change and for continued child care expenses.
10. Participants may self-certify their assets if <\$50,000. The Oxnard Housing Authority will accept selfcertifications.
11. PHAs may use other means-tested federal public assistance program income determinations. When available and if applicable, the Oxnard Housing Authority will use other program income determinations as appropriate.
12. PHAs are no longer required to use Enterprise Income Verification income reports. The Oxnard Housing Authority will use Enterprise Income Verification reports as needed.

Involuntary Displacement Preference (Revised)

The Department of Housing and Urban Development's (HUD) regulations (24 CFR 982.207) permit PHAs to establish local preferences based on local housing needs and priorities. On December 20, 2023, the City of Oxnard was affected by rain from an offshore Pacific Ocean. Many residential areas with apartment complexes located in low income neighborhoods were flooded. In reviewing the existing local preferences adopted by the Oxnard Housing Authority, it was noted that the existing displacement preference only applies to families involuntarily displaced as a result of an improvement or development project in which the Oxnard Housing Authority has ownership interest and no suitable unit is available or if displaced by a federally declared disaster. Therefore, the recommendation is that the existing preference be revised to provide more flexibility to the PHA to respond. The proposed revision will be:

Families are considered to be involuntarily displaced if they are:

- a. displaced as a result of an improvement or development project to which the Oxnard Housing Authority has ownership interest and no suitable unit is available; or
- b. displaced by a government declaration of emergency, such as a natural disaster; or
- c. displaced as a result of an extenuating circumstance as certified by the Housing Director. An extenuating emergency does not include individuals whose tenancy was terminated for cause or individuals who do not have a legally binding landlord and tenant relationship.

These admissions must meet the Oxnard Housing Authority Residency Preference.

The proposed revision has been submitted to HUD for review, any modifications recommended will be adopted.

Undertaking of new activities related to conversion, Choice Neighborhoods, and project-based vouchers

In 2023, the Oxnard Housing Authority (OHA) procured a consultant to begin activities related to the repositioning of its public housing portfolio. The term "repositioning" refers to the removal of a property from the Public Housing subsidy platform to a Section 8 project-based subsidy, which provides a more stable stream of subsidy and allows for the rehabilitation of units. For the 2024 Annual PHA plan, the OHA will continue efforts in relation to repositioning activities which include completion of a physical needs assessment for each individual property, a financial analysis on the impact on both the development proformas and annual operating budget for each strategy option, environmental reviews, and development of a multiphase asset repositioning plan. Any conversion strategy adopted will require the OHA to apply for approval from HUD.

Secondly, staff will monitor for notices of funding opportunities and will undertake the appropriate activities to apply for grants that will enable the OHA to secure additional vouchers while expanding the types of housing assistance programs offered to the community. This includes but is not limited to, additional project-based vouchers, which are vouchers where the subsidized housing assistance is tied to a specific unit. The Choice Neighborhoods grant is another program of interest. The program leverages public and private dollars to support local strategies by PHAs that address struggling neighborhoods with distressed public or HUD-assisted housing through a comprehensive approach through neighborhood transformation. Several of the OHA's public housing projects are located in distressed neighborhoods which need critical improvements, including vacant property, housing, business, services and schools. An assessment will be completed to determine if any of the OHA projects are candidates for the FY 2024 Choice Neighborhoods Grant. If so, an application will be submitted to HUD for consideration.

5-Year PHA Plan, Annual Agency Plan, Capital Fund Budget and Capital Fund Program Process

The last component of the Annual Agency Plan and the 5-Year PHA Plan has to do with the adoption of an annual Capital Fund budget as well as a Capital Fund Program 5-Year Action Plan. The primary purpose of the Capital Fund Program is to preserve and maintain the integrity of the Oxnard Housing Authority's (OHA) public housing stock and to fund necessary improvements. For the coming fiscal year, the OHA is presenting a budget of \$1,057,000 for the Capital Fund Program activities. An estimated \$187,000 of the budget is allocated towards administrative expenses. The remaining \$870,000 is being used towards capital improvements, specifically, the American with Disabilities Act 504-conversion project and improvements. The 504-conversion project refers to a multi-phase conversion project that will bring the OHA into compliance with the American with Disabilities Act. These regulations establish a minimum number of dwelling units that must be accessible for individuals with disabilities. As a result of the demolition of units in the 31-1 (Colonia Village) project site, the OHA is deficient in 18 accessible units. The units have been selected from each project site based on ease of conversion, availability of space and cost effectiveness. In fiscal year 2024, the conversion of the units within the Colonia Village site (Agreement A-8544) will begin. To keep this multi phased project on schedule, staff will utilize available funds from the Housing Business Activities Fund (BAF). Once the annual Capital Fund Grant is received, those funds will be utilized rather than the BAF funds. Besides the 504-conversion project, the OHA will also make improvements to the main alley in the project which runs behind Colonia Road to Juanita Avenue. The final capital funding amount is yet to be determined and is based upon congressional appropriation. Any additional funding approved will be allocated towards the 504-conversion project. The proposed annual Capital Fund Program (CFP) budget and CFP Five-Year Action plan are set forth in Attachments 2 and 3.

A copy of the proposed Annual Agency Plan, the 2024 CFP Budget, and CFP Five-Year Action Plan was posted on Oxnard Housing Authority's website, at all Oxnard Housing Authority's site offices and the City Clerk's Office. The opportunity for suggestions or recommendations from the public was made available for public comment on November 16, 2023, for forty-five days (closed December 31, 2023).

The Oxnard Housing Authority conducted a public meeting on December 7, 2023, and January 18, 2024, and staff presented to the Resident Advisory Board (RAB) at those meetings and responded to inquiries from Resident Advisory Board members and others. The RAB consists of Tenant Commissioners, public housing residents, and housing choice voucher program participants. The RAB provides comments, suggestions, and feedback to the OHA. There were no objections and no written comments. The following verbal comment was received regarding the staff presentation:

Comment: Can a pie chart of the capital fund annual budget be created going forward?

Response: The capital fund annual budget must be formatted on the HUD-50075 form. For future fiscal years, the current format will be used, however; a pie chart will be included in the PowerPoint presentation for the RAB meetings.

STRATEGIC PRIORITIES

This agenda item supports the Quality of Life strategy. The purpose of the Quality of Life strategy is to restore and increase quality services and programs that enrich Oxnard's diverse community, promotes safe neighborhoods, encourages community engagement, and supports our residents in their efforts to improve their quality of life.

FINANCIAL IMPACT

This item has no impact on any City fund. The approval of the recommended action will enable the Oxnard Housing Authority to obtain various Housing and Urban Development funds, including approximately \$1,057,000 under the Capital Fund. Adoption of the 5-Year PHA Plan and the Annual Agency Plan is required for the Oxnard Housing Authority to receive the funds set forth in the Capital Fund Program Budget and Capital Fund Program Five-Year Action Plan.

COMMITTEE OUTCOME

The Community Services, Public Safety, Housing & Economic Development Committee approved 3-0 on February 27, 2024.

Prepared by: Emilio Ramirez, Housing Director

ATTACHMENTS

1. Annual PHA Plan for 2024
2. CFP Budget 2024
3. CFP Five Year Action Plan
4. Resolution
5. PowerPoint Presentation

Streamlined Annual PHA Plan <i>(High Performer PHAs)</i>	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 03/31/2024
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Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, including changes to these policies, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. The Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

- (1) **High-Performer PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers and was designated as a high performer on both the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.
- (2) **Small PHA** - A PHA that is not designated as PHAS or SEMAP troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) **Housing Choice Voucher (HCV) Only PHA** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) **Standard PHA** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) **Troubled PHA** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) **Qualified PHA** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined and is not PHAS or SEMAP troubled.

A.	PHA Information.																														
A.1	PHA Name: <u>Housing Authority of the City of Oxnard</u> PHA Code: <u>CA031</u> PHA Type: ☒ High Performer PHA Plan for Fiscal Year Beginning: (MM/YYYY): <u>2024</u> PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above) Number of Public Housing (PH) Units <u>522</u> Number of Housing Choice Vouchers (HCVs) <u>1904</u> Total Combined <u>2,426</u> PHA Plan Submission Type: ☒ Annual Submission ☐ Revised Annual Submission Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans. A copy of the PHA plan is located on the Housing Authority of the City of Oxnard’s website: https://www.oxnard.org/city-department/housing/agency-plan-reports/ ☐ PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below) <table border="1"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) in the Consortia</th> <th rowspan="2">Program(s) not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>Lead PHA:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>N/A</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>					Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program		PH	HCV	Lead PHA:						N/A											
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Lead PHA:																															
N/A																															

B.	Plan Elements
B.1	Revision of Existing PHA Plan Elements. (a) Have the following PHA Plan elements been revised by the PHA since its last Annual PHA Plan submission? Y N ☐ ☒ Statement of Housing Needs and Strategy for Addressing Housing Needs. ☐ ☒ Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. ☐ ☒ Financial Resources. ☐ ☒ Rent Determination. ☐ ☒ Homeownership Programs. ☐ ☒ Safety and Crime Prevention. ☐ ☒ Pet Policy. ☐ ☒ Substantial Deviation. ☒ ☐ Significant Amendment/Modification (b) If the PHA answered yes for any element, describe the revisions for each element below: The PHA has updated its Admissions and Continued Occupancy Policy and the Housing Choice Voucher Administrative Plan to incorporate the requirements and adopt discretionary policies of Federal Register Notice 2/14/23 for Sections 102 and 104 of the Housing Opportunity through Modernization Act (HOTMA) of 2016. The PHA will select an implementation date for the updated policies once the Housing information Portal (HIP) is operational and accepting certifications and the PHA's software has transitioned to HIP. The PHA will continue using its current policies until the implementation date has been selected and families will be notified under which policy their income is being calculated. A draft of the proposed changes is available on-line: https://www.oxnard.org/city-department/housing/section-8/admin-plan/ https://www.oxnard.org/city-department/housing/public-housing/acop/ (c) The PHA must submit its Deconcentration Policy for Field Office Review. The PHA's deconcentration policies are listed in Chapter 4: Applications, waiting list and tenant selection of the of the Admissions and Continued Occupancy Policy and the Housing Choice Voucher Administrative Plan which are available online: https://www.oxnard.org/city-department/housing/section-8/admin-plan/ https://www.oxnard.org/city-department/housing/public-housing/acop/
B.2	New Activities. (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year? Y N ☒ ☐ Hope VI or Choice Neighborhoods. ☐ ☒ Mixed Finance Modernization or Development. ☐ ☒ Demolition and/or Disposition. ☐ ☒ Conversion of Public Housing to Tenant Based Assistance. ☒ ☐ Conversion of Public Housing to Project-Based Rental Assistance or Project-Based Vouchers under RAD. ☒ ☐ Project Based Vouchers. ☐ ☒ Units with Approved Vacancies for Modernization. ☐ ☒ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants). (b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project-based units and general locations, and describe how project basing would be consistent with the PHA Plan. -The PHA will continue its efforts related to repositioning activities. This includes procuring the appropriate firms and consultants for the completion of a physical needs assessment for each individual property, a financial analysis on the impact on both the development pro formas and annual operating budget for each strategy option, environmental reviews, and development of a multiphase asset repositioning plan. -The PHA has almost reached the 20% program cap for conversion of tenant-based vouchers to project-based vouchers. The goal is to pursue funding opportunities that are for project-based voucher allocations that can be excluded from the program cap. -The PHA will apply to the FY24 Choice Neighborhoods Planning Grant (once the NOFO is published) to revitalize public housing units and revitalize the struggling surrounding neighborhood.

B.3	Progress Report. Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan. SEE ATTACHMENT B.3 Progress Report
B.4.	Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan in EPIC and the date that it was approved. See Capital Fund 5 Year Action Plan in EPIC approved by HUD June 7, 2022
B.5	Most Recent Fiscal Year Audit. (a) Were there any findings in the most recent FY Audit? Y N ☐ ☒ (b) If yes, please describe:
C.	Other Document and/or Certification Requirements.
C.1	Resident Advisory Board (RAB) Comments. (a) Did the RAB(s) have comments to the PHA Plan? Y N ☐ ☒ (b) If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.
C.2	Certification by State or Local Officials. Form HUD-50077-SL, <i>Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.3	Civil Rights Certification/Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. <i>Form 50077-ST-HCV-HP, PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed</i> must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.4	Challenged Elements. If any element of the PHA Plan is challenged, a PHA must include such information as an attachment with a description of any challenges to Plan elements, the source of the challenge, and the PHA's response to the public. (a) Did the public challenge any elements of the Plan? Y N ☐ ☒ If yes, include Challenged Elements.

D.	Affirmatively Furthering Fair Housing (AFFH).					
D.1	Affirmatively Furthering Fair Housing. Provide a statement of the PHA's strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5). Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at 24 CFR § 903.7(o) enacted prior to August 17, 2015. See Instructions for further detail on completing this item. <table border="1"> <tr> <td>Fair Housing Goal:</td> </tr> <tr> <td> <u><i>Describe fair housing strategies and actions to achieve the goal</i></u> N/A- PHA has not received request to submit an AFH at this time. The City of Oxnard Housing Authority will fulfill, nevertheless, the requirements at 24 CFR § 903.70 (o) enacted prior to August 17, 2015. </td> </tr> </table> <table border="1"> <tr> <td>Fair Housing Goal:</td> </tr> <tr> <td> <u><i>Describe fair housing strategies and actions to achieve the goal</i></u> </td> </tr> </table> <table border="1"> <tr> <td>Fair Housing Goal:</td> </tr> </table>	Fair Housing Goal:	<u><i>Describe fair housing strategies and actions to achieve the goal</i></u> N/A- PHA has not received request to submit an AFH at this time. The City of Oxnard Housing Authority will fulfill, nevertheless, the requirements at 24 CFR § 903.70 (o) enacted prior to August 17, 2015.	Fair Housing Goal:	<u><i>Describe fair housing strategies and actions to achieve the goal</i></u>	Fair Housing Goal:
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Instructions for Preparation of Form HUD-50075-HP Annual Plan for High Performing PHAs

A. PHA Information. All PHAs must complete this section. (24 CFR §903.4)

A.1 Include the full **PHA Name**, **PHA Code**, **PHA Type**, **PHA Fiscal Year Beginning** (MM/YYYY), **PHA Inventory**, **Number of Public Housing Units and or Housing Choice Vouchers (HCVs)**, **PHA Plan Submission Type**, and the **Availability of Information**, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. ([24 CFR §903.23\(4\)\(e\)](#))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. ([24 CFR §943.128\(a\)](#))

B. Plan Elements.

B.1 Revision of Existing PHA Plan Elements. PHAs must:

Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the “yes” box. If an element has not been revised, mark “no.”

☐ **Statement of Housing Needs and Strategy for Addressing Housing Needs.** Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA’s strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income); (ii) elderly families (iii) households with individuals with disabilities, and households of various races and ethnic groups residing in the jurisdiction or on the public housing and Section 8 tenant-based assistance waiting lists based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The statement of housing needs shall be based on information provided by the applicable Consolidated Plan, information provided by HUD, and generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Once the PHA has submitted an Assessment of Fair Housing (AFH), which includes an assessment of disproportionate housing needs in accordance with 24 CFR §5.154(d)(2)(iv), information on households with individuals with disabilities and households of various races and ethnic groups residing in the jurisdiction or on the waiting lists no longer needs to be included in the Statement of Housing Needs and Strategy for Addressing Housing Needs. (24 CFR §903.7(a).)

The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. ([24 CFR §903.7\(a\)\(2\)\(i\)](#)) Provide a description of the ways in which the PHA intends, to the maximum extent practicable, to address those housing needs in the upcoming year and the PHA’s reasons for choosing its strategy. ([24 CFR §903.7\(a\)\(2\)\(ii\)](#))

☐ **Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions.** Describe the PHA’s admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA’s policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. [24 CFR §903.7\(b\)](#) Describe the PHA’s procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. [24 CFR §903.7\(b\)](#) A statement of the PHA’s policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. ([24 CFR §903.7\(b\)](#)) Describe the unit assignment policies for public housing. [24 CFR §903.7\(b\)](#)

☐ **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA’s anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. ([24 CFR §903.7\(c\)](#))

☐ **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. ([24 CFR §903.7\(d\)](#))

☐ **Homeownership Programs.** A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. ([24 CFR §903.7\(k\)](#)) and 24 CFR §903.12(b).

☐ **Safety and Crime Prevention (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. ([24 CFR §903.7\(m\)\(5\)](#))

☐ **Pet Policy.** Describe the PHA's policies and requirements pertaining to the ownership of pets in public housing. ([24 CFR §903.7\(n\)](#))

☐ **Substantial Deviation.** PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. ([24 CFR §903.7\(r\)\(2\)\(i\)](#))

☐ **Significant Amendment/Modification.** PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. For modifications resulting from the Rental Assistance Demonstration (RAD) program, refer to the 'Sample PHA Plan Amendment' found in Notice PIH-2012-32 REV-3, successor RAD Implementation Notices, or other RAD Notices.

If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.

PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see [24 CFR 903.2](#). ([24 CFR §903.23\(b\)](#))

B.2 New Activities. If the PHA intends to undertake any new activities related to these elements or discretionary policies in the current Fiscal Year, mark "yes" for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark "no."

☐ **HOPE VI.** **1)** A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance on HUD's website at: https://www.hud.gov/program_offices/public_indian_housing/programs/ph/hope6. (Notice PIH 2011-47)

☐ **Mixed Finance Modernization or Development.** **1)** A description of any housing (including name, project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD's website at: https://www.hud.gov/program_offices/public_indian_housing/programs/ph/hope6/mfph#4

☐ **Demolition and/or Disposition.** With respect to public housing only, describe any public housing development(s), or portion of a public housing development projects, owned by the PHA and subject to ACCs (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition approval under section 18 of the 1937 Act (42 U.S.C. 1437p); and **2)** A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed as described in the PHA's last Annual and/or 5-Year PHA Plan submission. The application and approval process for demolition and/or disposition is a separate process. Approval of the PHA Plan does not constitute approval of these activities. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm. ([24 CFR §903.7\(h\)](#))

☐ **Conversion of Public Housing under the Voluntary or Mandatory Conversion programs.** Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>. ([24 CFR §903.7\(j\)](#))

☐ **Conversion of Public Housing under the Rental Assistance Demonstration (RAD) program.** Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA plans to voluntarily convert to Project-Based Assistance or Project-Based Vouchers under RAD. See additional guidance on HUD's website at: [Notice PIH 2012-32 REV-3, successor RAD Implementation Notices, and other RAD notices.](#)

☐ **Project-Based Vouchers.** Describe any plans to use HCVs for new project-based vouchers. ([24 CFR §983.57\(b\)\(1\)](#)) If using project-based vouchers, provide the projected number of project-based units and general locations and describe how project-basing would be consistent with the PHA Plan.

☐ **Units with Approved Vacancies for Modernization.** The PHA must include a statement related to units with approved vacancies that are undergoing modernization in accordance with [24 CFR §990.145\(a\)\(1\)](#).

☐ **Other Capital Grant Programs** (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

B.3 Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year PHA Plan. ([24 CFR §903.7\(r\)\(1\)](#))

B.4 Capital Improvements. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. ([24 CFR §903.7\(g\)](#)). To comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan in EPIC and the date that it was approved. PHAs can reference the form by including the following language in the Capital Improvement section of the appropriate Annual or Streamlined PHA Plan Template: "See Capital Fund 5 Year Action Plan in EPIC approved by HUD on XX/XX/XXXX."

B.5 Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark "yes" and describe those findings in the space provided. ([24 CFR §903.7\(p\)](#))

C. Other Document and/or Certification Requirements

- C.1 Resident Advisory Board (RAB) comments.** If the RAB had comments on the annual plan, mark “yes,” submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA’s decision made on these recommendations. (24 CFR §903.13(c), 24 CFR §903.19)
- C.2 Certification by State or Local Officials.** Form HUD-50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan. (24 CFR §903.15). Note: A PHA may request to change its fiscal year to better coordinate its planning with planning done under the Consolidated Plan process by State or local officials as applicable.
- C.3 Civil Rights Certification/ Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan.** Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 ST-HCV-HP, *PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed*. Form HUD-50077-ST-HCV-HP, *PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed* must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the certification requirement to affirmatively further fair housing if the PHA fulfills the requirements of §§ 903.7(o)(1) and 903.15(d) and: (i) examines its programs or proposed programs; (ii) identifies any fair housing issues and contributing factors within those programs, in accordance with 24 CFR 5.154; or 24 CFR 5.160(a)(3) as applicable (iii) specifies actions and strategies designed to address contributing factors, related fair housing issues, and goals in the applicable Assessment of Fair Housing consistent with 24 CFR 5.154 in a reasonable manner in view of the resources available; (iv) works with jurisdictions to implement any of the jurisdiction’s initiatives to affirmatively further fair housing that require the PHA’s involvement; (v) operates programs in a manner consistent with any applicable consolidated plan under 24 CFR part 91, and with any order or agreement, to comply with the authorities specified in paragraph (o)(1) of this section; (vi) complies with any contribution or consultation requirement with respect to any applicable AFH, in accordance with 24 CFR 5.150 through 5.180; (vii) maintains records reflecting these analyses, actions, and the results of these actions; and (viii) takes steps acceptable to HUD to remedy known fair housing or civil rights violations, impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction’s initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. (24 CFR §903.7(o)).
- C.4 Challenged Elements.** If any element of the Annual PHA Plan or 5-Year PHA Plan is challenged, a PHA must include such information as an attachment to the Annual PHA Plan or 5-Year PHA Plan with a description of any challenges to Plan elements, the source of the challenge, and the PHA’s response to the public.

D. Affirmatively Furthering Fair Housing.

D.1 Affirmatively Furthering Fair Housing.

The PHA will use the answer blocks in item D.1 to provide a statement of its strategies and actions to implement each fair housing goal outlined in its accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5) that states, in relevant part: “To implement goals and priorities in an AFH, strategies and actions shall be included in program participants’ ... PHA Plans (including any plans incorporated therein) Strategies and actions must affirmatively further fair housing” Use the chart provided to specify each fair housing goal from the PHA’s AFH for which the PHA is the responsible program participant – whether the AFH was prepared solely by the PHA, jointly with one or more other PHAs, or in collaboration with a state or local jurisdiction – and specify the fair housing strategies and actions to be implemented by the PHA during the period covered by this PHA Plan. If there are more than three fair housing goals, add answer blocks as necessary.

Until such time as the PHA is required to submit an AFH, the PHA will not have to complete section D., nevertheless, the PHA will address its obligation to affirmatively further fair housing by fulfilling the requirements at 24 CFR 903.7(o)(3) enacted prior to August 17, 2015, which means that it examines its own programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction’s initiatives to affirmatively further fair housing that require the PHA’s involvement; and maintain records reflecting these analyses and actions. Furthermore, under Section 5A(d)(15) of the U.S. Housing Act of 1937, as amended, a PHA must submit a civil rights certification with its Annual PHA Plan, which is described at 24 CFR 903.7(o)(1) except for qualified PHAs who submit the Form HUD-50077-CR as a standalone document.

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Public reporting burden for this information collection is estimated to average 7.02 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

Attachment B.3

Progress Report: PHA Goals and Objectives for 5-Year Plan Fiscal Years 2020-2025

Goals and Objectives that will enable the PHA to serve the needs of low-income, very low-income, and extremely low-income families for the next five years.

Strategic Goal: Advance Economic Opportunity

PHA Goal One: Expand the supply of assisted housing.

Objective: Apply for additional tenant based, project based, and special purpose vouchers

The Oxnard Housing Authority's (OHA) Veterans Affairs Supportive Housing (VASH) voucher allocation has increased by 25 additional vouchers for a total of 77. The OHA was awarded 40 Mainstream Vouchers and 46 Emergency Housing Vouchers. An additional allocation of 14 Housing Choice Vouchers were also awarded.

Objective: Development of additional rental housing

Las Cortes, Inc. a non-profit corporation was established with the purpose of creating affordable housing. To date, phase I and phase II of the Las Cortes project have been completed which involved the demolition and replacement of 260 public housing units. Currently, phase III of the project is in the planning phase which will result in additional rental housing units. The OHA is working in partnership with several developers constructing or rehabilitating affordable projects, to project base vouchers. This action will increase the number of low-income families who receive subsidized housing assistance but are successful in locating a unit.

Objective: Decrease Public Housing Vacancy Rate

The Public Housing vacancy rate was sustained. Having units ready for occupancy in decreased turn times has enabled OHA to house families who are in dire need of housing in a shorter time frame. The units designated for conversion to comply with the Department of Housing and Urban Development's ADA-Section 504 regulation, were not factored. The units are taken offline as they are vacated.

Objective: Increase Housing Choice Voucher Budget Utilization

As a result of the COVID-19 pandemic, the OHA's utilization rate was negatively impacted. The Department of Housing and Urban Development (HUD) requires for PHAs to use at least 95% of their budget authority or lease-up of baseline units. In order to increase utilization, the OHA deployed several strategies: payment standards were increased to 110% and landlord rent increases (which had been suspended) were resumed, these actions increased housing choices. Several selections from the Section 8 waitlist were completed, "selections" refers to the process of admitting new families into the program. Although the OHA has a voucher allocation remaining, the budget expenditure goal of 100% has been met.

Objective: Create a Housing Navigation team

A Housing Locator position was created to provide field-based housing location services to program participants. The Housing Locator works closely with participants and their case workers in the rental process. The Housing Locator maintains and coordinates communication, between participants,

landlords, property managers, case workers, community partners, and staff. In addition, a partnership with Ventura County United Way was established to leverage the various landlord incentive programs for homeless individuals and families they offer, which in turn helps OHA's voucher holders to secure units.

PHA Goal Two: Expand Family Self-Sufficiency (FSS) Program

Objective: Increase program participation

Fiscal years 2020 and 2021 presented a challenge to the OHA's efforts to increase FSS program participation. Offices were closed to the public making it necessary to move towards on-line recertifications. In fiscal year 2022, HUD published mandated updates to the FSS program which resulted in a temporary suspension of new admissions to the program. The OHA shifted focus to completing the necessary legwork to ensure the program meets compliance requirements and that all policies and procedures are up to date with new federal regulations. For fiscal year 2023, the OHA applied for and was awarded funding to hire an additional FSS Coordinator. Once the position is filled, marketing and outreach efforts will be revamped. The minimum number of participants required by HUD is 25, the Oxnard Housing Authority has 70 active program participants.

Objective: Expand the scope and types of services offered to participants. For example: job training and educational programs that will increase self-sufficiency and income generation

The OHA partnered with the Ventura County Credit Union and the Housing Authority of the City of San Buenaventura to offer money management workshops. The OHA facilitates ESL classes and Digital Literacy courses in partnership with Oxnard Adult School. In FY 2022, the OHA launched its scholarship program which has been awarding scholarships annually to youth who are pursuing a higher education and whose family is a Public Housing or Section 8 program participant. College preparation workshops are also offered in partnership with Oxnard College and California State University Channel Islands.

Objective: Build new partnerships with employers and service providers

The OHA has partnered with Amazon, Embassy Suites by Hilton, Boys & Girls Clubs of Greater Oxnard and Port Hueneme, Gold's Gym, and the United States Census 2020 to host a community wide job fair each year. In 2022, the OHA partnered with Southwest Carpenters, who will be offering paid apprenticeships, with employment guarantee, at no cost to interested Section 8 and Public Housing program participants. The OHA is also a participant of the Senior Community Service Employment Program, which provides on-the-job training for seniors.

Objective: Revamp marketing efforts of the FSS Program

FSS Coordinator has been participating in various local community events and resource fairs to provide education about the FSS program. In addition, a brief presentation is provided to newly admitted clients to the Public Housing and Section 8 program, during orientation.

Objective: Increase progress meetings with FSS program participants

Individualized in-person meetings with program participants have resumed and the frequency has been increased. This has helped to motivate participants to work on their self-sufficiency goals and

continue participation in the program as they are able to get resources to assist with any obstacles encountered while working on their FSS program participant goals.

PHA Goal Three: Supportive Services for Residents

Objective: Resident Opportunities and Self-Sufficiency (ROSS) program Coordinator to expand coordination of self-sufficiency services to each project site

The ROSS program has been expanded to the largest OHA project sites. The major activities involve annual community events, art workshops for youth, Boys & Girls Club programming, CalKIDS program education, and computer classes.

Objective: Increase collaboration between ROSS and FSS activities

The ROSS and the FSS program coordinators work closely to build new partnerships with local community service providers. The OHA established a Program Coordinating Committee in collaboration with the City of San Buena Ventura Housing Authority. The committee is made up of several community partners who provide services for low-income families. Each partner shares information of new programs, existing programs, and events available for the community.

Objective: Leverage capacity with resident advisory board teams to increase participation and outreach

The Resident Services Coordinator is facilitating training and guidance to each project site's Tenant Association. There are currently four tenant associations established, which represent all public housing sites. The OHA participates in meetings to provide information on program changes, requirements, and to gather feedback from residents. The Tenant Associations provide volunteer support to the OHA when carrying out events at their designated site.

Strategic Goal: Reduce Homelessness

PHA Goal One: Increase percentage of admissions to mainstream housing programs

Objective: Apply for Mainstream Vouchers

The OHA applied for and was awarded 40 mainstream vouchers. The mainstream vouchers are for individuals who are non-elderly/disabled and homeless. The OHA leased half of the allocation to applicants on the Section 8 waitlist and the other half have been committed to the Casa Aliento project. Casa Aliento is a rehabilitation project of a 70-room Vagabond Inn Motel to permanent supportive housing in Oxnard.

Objective: Establish partnerships with local Health and Human Service Agencies

The OHA is working in partnership with the Ventura County Human Services Agency to prioritize services to families who need emergency housing.

Objective: Partner with Community Service Agencies throughout the Ventura county that offer homeless programs

The OHA hired a full-time Homeless Assistance Program Coordinator who is working with community service agencies to provide an array of services to our homeless population. For example, OHA partnered with the Ventura County and Salvation Army to implement a “One Stop” program that provides services in areas frequented by non-housed homeless individuals.

Objective: Collaborate with Ventura County’s Continuum of Care to establish a referral system

The OHA has established a referral system with the Ventura County’s Continuum of Care (CoC) agency. The Emergency Housing Voucher program, which was a limited special purpose voucher program launched in response to COVID, was successfully fully leased in partnership with the CoC. The Casa Aliento (40 project based vouchers allocated), Central Terrace (35 project based vouchers allocated) and 2nd and B (55 project based vouchers allocated) projects will be leased via a referral system in collaboration with the CoC.

PHA Goal Two: Set Aside Homeless Voucher Program

Objective: Increase utilization of homeless set aside vouchers

The OHA has achieved 100% utilization of the homeless set aside voucher program. Including fiscal year 2023, the number of vouchers allocated towards the homeless set aside voucher program is 135. This includes an allocation of 19 project based vouchers which have been awarded to the Casa Aliento project.

Objective: Establish MOU with Supportive Services Provider

The OHA has established a referral system with the Ventura County’s Continuum of Care agency. In addition, the OHA works in partnership with United Way of Ventura County and CalAIM of Ventura County Health Care Agency to refer voucher holders who need housing navigation support.

Objective: Develop a plan to move homeless applicants from shelter to permanent housing

The OHA is currently working with Ventura County, the Salvation Army, the Ventura County Workforce Development Board, Mercy House and the Ventura County’s Continuum of Care to refer clients through the Pathways to Home program for services. The Pathways to home program is a network collaborative of 18 agencies in the Ventura County who assist individuals and families to access homeless services through coordinated process. There are 3 new developments underway which will house clients on the prioritization list managed by the CoC.

PHA Goal Three: VASH Program

Objective: Increase utilization of Veterans Affairs Supportive Housing (VASH) vouchers

The OHA is working with the U.S. Department of Veterans Affairs’ regional office to discuss ways in which referrals can be increased for the VASH program. In addition, the OHA has allocated 13 project based VASH vouchers to the Cabrillo Economic Development Corporation’s Dolores Huerta Gardens project. The project is a 58 affordable rental housing project that will be located at the intersection of Dolores Huerta Gardens and Pleasant Valley Road in Oxnard.

Objective: Increase collaboration efforts with local VA clinic to identify eligible Veterans

The City of Oxnard VA Clinic, which is the first stop for a Veteran to seek assistance with eligibility for the VASH program, temporarily suspended referrals due to capacity limitations and reprioritizing of program Objectives, during calendar year 2023. The OHA joined the Veterans Subcommittee Group, which is a committee led by the County of Ventura, County Executive Office to continue supporting efforts, actualizing program updates, and gather feedback on any support the OHA can provide. The referrals for the VASH program are expected to resume during the 2024 calendar year.

PHA Goal Four: Build a Navigation Center

Objective: Increase street outreach and case management

The OHA hired a Homeless Assistance Program Coordinator dedicated to street outreach and case management. In partnership with the Salvation Army, street outreach services have been expanded throughout the OHA's jurisdiction.

Objective: Lead the operation of the city owned navigation center

Construction of Navigation Center began in FY 2022 and is expected to be completed by end of calendar year 2024.

Objective: Establish partnerships with recuperative care, supportive service, and permanent housing providers

The OHA has established partnerships with multiple community service providers to assist in the efforts of connecting homeless individuals with supportive services. For example, the "One Stop" program which brings services to areas that are frequented by non-housed homeless individuals. The OHA also launched an encampment response plan to address a large homeless encampment site. A variety of services are being offered onsite including: hygiene services, outreach, and case management.

HUD Strategic Goal #3: Enhance Rental Assistance

PHA Goal One: Transition public housing to a more sustainable platform

Objective: Expand the rehabilitation of existing housing

Improvements to public housing units include:

- Rehabilitation of exterior walls for OHA's turn key units (Projects located on Fremont, Althea, Hill, Fashion Park, Concord and Cuesta Del Mar) which included scrapping all peeling paint, filling in cracks, paint exterior walls, fascia and all exterior doors as well as carport posts and trash enclosures; the removal and replacement of all cracked concrete in backyard patios
- Flooring replacement for the Felicia project (100 units located on Felicia Court)
- Toilet sensor project for the Felicia project (100 units located on Felicia Court) and the Colonia project (70 units located on Colonia Road) which involved installation of toilet sensors to detect leaks or running toilets

- Kitchen cabinet replacement project for the Palm Vista Project (100 units located at 801 S. C St) which included the replacement of cabinets, plumbing fixtures, sink and range hood
- Boiler replacement project for the Plaza Vista Project (100 units located at 401 S. C St) which included replacement of both heater and domestic hot water heater boilers, holding tank, and all plumbing up to the walls
- Alley way pavement repairs for the Fashion Park project (24 units located on Fashion Park Place)
- Replaced all of the common area lighting with LED light fixtures for both Palm Vista Project (100 units located at 801 S. C St) and the Plaza Vista Project (100 units located at 401 S. C St)
- Replaced fire sprinklers for both Palm Vista Project (100 units located at 801 S. C St) and the Plaza Vista Project (100 units located at 401 S. C St)
- Painted the interior common walls/hallways for the both Palm Vista Project (100 units located at 801 S. C St) and the Plaza Vista Project (100 units located at 401 S. C St)
- Replaced all windows in each unit for the Pleasant Valley Village Project (100 units)
- Kitchen cabinet replacement project for the OHA's turn key units (Projects located on Fremont, Althea, Hill, Fashion Park, Concord and Cuesta Del Mar)

Currently, the OHA is working on:

- Replacing the electrical panels and drain lines at the Plaza Vista Project (100 units located at 401 S. C St)
- Multiphase 504-compliance project (this project refers to number of units the OHA must have in its inventory that have been adapted to meet the needs of disabled persons). A total of 2 existing units at the Pleasant Valley project were converted into 4 accessible units and 5 units at the Palm Vista (located at 801 S. C St.) project were modified. In addition, 1 existing unit located on Althea Court was split into a 504 compliant unit and a regular unit. Currently, there are 3 additional conversions underway at other sites.

Objective: Increase value of OHA's real property asset portfolio

The OHA will continue monitoring the private market for opportunities to expand its current property asset portfolio. In fiscal year 2022, the OHA increased its portfolio by acquiring a 4-unit apartment complex located at 1250 Azalea St, a 4-unit apartment complex located at 2051 Mariposa St, and a single-family residence located at 3139 S. J St. All of the units are leased by low income families.

Objective: Merge Capital Fund and Maintenance Teams

The Capital Fund team has been realigned and now reports to the Maintenance Department. The synergies between both teams offer the added benefit of ensuring that the ongoing maintenance needs of projects are factored in when capital improvement decisions are made. In addition, the capital fund team now has access to additional project management support.

Objective: Transition Public Housing Units with significant unmet capital needs to Rental Assistance Demonstration Program (RAD) or Project Based Projects

The OHA launched the effort titled "Rethink and Renew" to restructure operations. As part of the effort,

the OHA submitted a technical assistance and capacity building engagement grant request to the Department of Housing and Urban Development (HUD). The request was approved. HUD assigned the consultant, CVR Associates, Inc. to complete an analysis and issue a repositioning report tailored to OHA. The term repositioning (also referred to as RAD) refers to changing the funding structure for the units currently owned by the OHA under the Public Housing Program to a Section 8 subsidy. This change will provide for a more stable stream of funding and also allow the OHA to leverage funds for rehabilitation of its units. The OHA has engaged a consultant to complete the assessment, application and award phases of RAD.

HUD Strategic Goal #4: Streamline Operations

PHA Goal One: Reduce administrative burden

Objective: Participate in HUD's Moving to Work Demonstration Cohort program

The Moving to Work Demonstration (MTW) Cohort program refers to a demonstration program for PHAs to design and test local innovative strategies with the use Federal dollars more efficiently, increase self-sufficiency for program families, and increase housing choices. Each year, the Department of Housing and Urban Development announces target areas and opportunities for PHAs to apply. The MTW allows participating agencies to be exempt from several regulations to provide flexibility on how they use Federal funds. The OHA will continue to monitor for Cohort opportunities that will be beneficial for the community served by the OHA.

Objective: Streamline processes and procedures for annual and re-certifications

The OHA has launched an on-line, web-based recertification portal for Public Housing residents. In FY 2022, the OHA moved to on-line recertifications for the Housing Choice Voucher program.

Objective: Implement consistent forms, letters, and notices for all programs

The OHA has begun standardizing forms for the Public Housing Program. A committee of staff members has been established to review notices before they are released to residents. Once finalized, forms will be standardized for the Housing Choice Voucher Program.

Objective: Develop strategies to reshape workforce capacity

The COVID-19 pandemic required for the OHA to shift focus from identifying streamlining opportunities to establishing immediate processes to minimize the impact to delivery of services. However; the OHA has resumed normal operations, filled all vacant positions, has training efforts underway, and is working on standardizing procedures.

Objective: Identify and eliminate administrative practices not required by HUD

The OHA's day to day operations for the Public Housing and Section 8 Program were assessed by CVR Associates, Inc. a report of observations and recommendations was issued. The report outlines the strengths and areas of improvement that will result in improved efficiency for the OHA. The management team is focusing on the policy, procedures, and form observation. This area will address updated standard operating procedures, standardized forms, and monitoring.

PHA Goal Two: Improve customer service

Objective: Deliver services more efficiently

The OHA launched the effort titled “Rethink and Renew” to restructure operations. The goal is to improve landlord and tenant relations and customer service. This is an ongoing goal as the improvement of delivery services is a byproduct of improved efficiencies which are currently underway.

Objective: Reduce turnaround time-frames for reasonable accommodation requests

Reasonable accommodation requests are reviewed and dispositioned within 48-72 hours from receipt. If an accommodation exceeds the approval authority level of the Program Supervisor, it is submitted within 24 hours to the Housing Programs Manager for review so that the response is not delayed.

Objective: Move towards on-line web-based applications

The OHA launched an on-line applications and resident’s web-based portal known as Rent Café. This system allows for applicants to update and monitor their application 24/7. For existing clients, they are able to submit their annual recertification forms and complete their eligibility on-online.

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan or
State Consolidated Plan
(All PHAs)**

U. S Department of Housing and Urban Development

Office of Public and Indian Housing

OMB No. 2577-0226

Expires 3/31/2024

**Certification by State or Local Official of PHA Plans
Consistency with the Consolidated Plan or State Consolidated Plan**

I, Emilio Ramirez, the Housing Director
Official's Name *Official's Title*

certify that the 5-Year PHA Plan for fiscal years 2020-2025 and/or Annual PHA Plan for fiscal year 2024 of the Housing Authority of the City of Oxnard is consistent with the
PHA Name

Consolidated Plan or State Consolidated Plan including the Analysis of Impediments (AI) to Fair Housing Choice or Assessment of Fair Housing (AFH) as applicable to the

City of Oxnard

Local Jurisdiction Name

pursuant to 24 CFR Part 91 and 24 CFR §903.15.

Provide a description of how the PHA Plan's contents are consistent with the Consolidated Plan or State Consolidated Plan.

The PHA's goals and objectives are aligned with the Consolidated Plan. Specifically, on section AP-20 of the plan. The PHA is working on improving supply of affordable rental housing inventory, reducing homelessness, and increase in availability of social services.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official:

Emilio Ramirez

Title:

Housing Director

Signature:

Date:

The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality. This information is collected to ensure consistency with the consolidated plan or state consolidated plan.

Public reporting burden for this information collection is estimated to average 0.16 hours per year per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Certifications of Compliance with PHA Plan and Related Regulations (Standard, Troubled, HCV-Only, and High Performer PHAs)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 3/31/2024

PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations including PHA Plan Elements that Have Changed

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairperson or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PHA Plan, hereinafter referred to as "the Plan", of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) for the PHA fiscal year beginning 2024, in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located (24 CFR § 91.2).
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments (AI) to Fair Housing Choice, or Assessment of Fair Housing (AFH) when applicable, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan (24 CFR §§ 91.2, 91.225, 91.325, and 91.425).
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Resident Advisory Board or Boards in developing the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were implemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d-4), the Fair Housing Act (42 U.S.C. 3601-19), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), title II of the Americans with Disabilities Act (42 U.S.C. 12101 et seq.), and other applicable civil rights requirements and that it will affirmatively further fair housing in the administration of the program. In addition, if it administers a Housing Choice Voucher Program, the PHA certifies that it will administer the program in conformity with the Fair Housing Act, title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, title II of the Americans with Disabilities Act, and other applicable civil rights requirements, and that it will affirmatively further fair housing in the administration of the program.
7. The PHA will affirmatively further fair housing, which means that it will take meaningful actions to further the goals identified in the Assessment of Fair Housing (AFH) conducted in accordance with the requirements of 24 CFR § 5.150 through 5.180, that it will take no action that is materially inconsistent with its obligation to affirmatively further fair housing, and that it will address fair housing issues and contributing factors in its programs, in accordance with 24 CFR § 903.7(o)(3). The PHA will fulfill the requirements at 24 CFR § 903.7(o) and 24 CFR § 903.15(d). Until such time as the PHA is required to submit an AFH, the PHA will fulfill the requirements at 24 CFR § 903.7(o) promulgated prior to August 17, 2015, which means that it examines its programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement; and maintains records reflecting these analyses and actions.
8. For PHA Plans that include a policy for site-based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2011-65);

- The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing; and
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR 903.7(o)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
 10. In accordance with 24 CFR § 5.105(a)(2), HUD's Equal Access Rule, the PHA will not make a determination of eligibility for housing based on sexual orientation, gender identify, or marital status and will make no inquiries concerning the gender identification or sexual orientation of an applicant for or occupant of HUD-assisted housing.
 11. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
 12. The PHA will comply with the requirements of Section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
 13. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
 14. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
 15. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
 16. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
 17. The PHA will keep records in accordance with 2 CFR 200.333 and facilitate an effective audit to determine compliance with program requirements.
 18. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
 19. The PHA will comply with the policies, guidelines, and requirements of 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Financial Assistance, including but not limited to submitting the assurances required under 24 CFR §§ 1.5, 3.115, 8.50, and 107.25 by submitting an SF-424, including the required assurances in SF-424B or D, as applicable.
 20. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
 21. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
 22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

Housing Authority of the City of Oxnard

PHA Name

CA031

PHA Number/HA Code

X Annual PHA Plan for Fiscal Year 2024

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20_____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).

Name of Executive Director

Name Board Chairman

Emilio Ramirez

Signature

Date

John C Zaragoza

Signature

Date

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Violence Against Women Act Statement

**THE HOUSING AUTHORITY OF THE CITY OF OXNARD
VAWA CERTIFICATION FY 2024**

THIS IS TO CERTIFY THAT THE PUBLIC HOUSING AGENCY OF THE CITY OF OXNARD (OHA) CA 031 HAS INCORPORATED THE VIOLENCE AGAINST WOMEN ACT (VAWA), ADOPTED AN EMERGENCY TRANSFER PLAN, AND UPDATED THE ADMISSIONS AND CONTINUED OCUPANCY POLICY (ACOP) AS FOLLOWS:

Chapter 16: Program Administration

Part-VII: Violence Against Women Act (VAWA):
Notification, Documentation and Confidentiality

IN ADDITION, IT IS ADOPTED IN THE ADMINISTRATIVE PLAN FOR THE HOUSING CHOICE VOUCHER PROGRAM (Admin Plan) AS FOLLOWS:

Chapter 16: Program Administration

Part-IX: Violence Against Women Act (VAWA):
Notification, Documentation and Confidentiality

In compliance with the Violence Against Women Act (VAWA) a federal law that provides protections for victims of domestic violence, dating violence, sexual assault and stalking. Although the statute does not specifically include human trafficking in the list of victims protected, this policy includes it, to mirror the Department of Housing and Urban Development's recent usage. The Housing Authority of the City of Oxnard will comply with all applicable provisions of VAWA. This summary of VAWA protections is not intended to limit the rights of victims provided by the Act.

The Housing Authority of the City of Oxnard recognizes the below statement as a more descriptive notification to PHA applicants on the VAWA, as compared to the existing paragraph outlined above.

Approved: by the Housing Authority of the City of Oxnard,

Emilio Ramirez, Housing Director

Date

Violence Against Women Act Statement

VIOLENCE AGAINST WOMEN ACT (VAWA)

For further clarification of Chapter 16 Part-VII (ACOP) and Chapter 16 Part-IX (ADMIN Plan)

The Housing Authority of the City of Oxnard (OHA) is responsible for public housing units and housing choice vouchers located in the City of Oxnard. Through our efforts to provide and maintain housing that is decent, safe, and sanitary, the Housing Authority strives for a high standard of property and voucher management.

The OHA's policy is to comply with the Violence Against Women Act (VAWA). The OHA shall not discriminate against an applicant, voucher participant, or public housing resident on the basis of the rights or privileges provided under the VAWA.

The OHA will request that an individual certify via HUD Form 5382, "Certification of Domestic Violence, Dating Violence, Sexual Assault, or Stalking", that the individual is a victim of domestic violence, dating violence, sexual assault, stalking, or human trafficking and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements set forth in Public Law 113-4 and 117-103. Such certification shall include the name of the perpetrator. The OHA will request the certification in writing and require that the resident come into the management office to pick up HUD Form 5382 "Certification of Domestic Violence, Dating Violence, Sexual Assault, or Stalking". In those cases where the victim of domestic violence, dating violence, sexual assault, stalking, or human trafficking, is unable to pick up the form due to imminent danger by the perpetrator, the OHA will arrange to safely provide the form to the victim. The individual shall provide such certification within 14 business days after the individual receives HUD Form 5382, "Certification of Domestic Violence, Dating Violence, Sexual Assault, or Stalking". If the individual does not provide the certification within 14 days of receiving the form, nothing in this subsection, in Public Law 113-4 Title VI or in 117-103 Division W, may be construed to limit the authority of the OHA to evict any tenant that commits violations of the Public Housing Lease Agreement. At the discretion of the OHA, the 14-day deadline may be extended.

An individual may also satisfy the certification requirement by producing a Federal, State, tribal, territorial, or local police or court record or providing the OHA with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence, or stalking, or the effects of the abuse. The professional must attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, sexual assault, or stalking has signed or attested to the documentation.

The OHA will not demand that an individual produce official documentation or physical proof of the individual's status as a victim of domestic violence, dating violence, sexual assault, stalking, or human trafficking in order to receive any of the benefits provided in this section. At the discretion of the OHA, an individual may be provided benefits based solely on the individual's statement or other corroborating evidence.

In the case where the OHA receives conflicting certification documents from two or more members of the household each claiming to be a victim and naming one or more of the other petitioning household members as the perpetrator, the OHA will determine which is the true victim by requiring third-party documentation. Additionally, the OHA will honor any court orders addressing rights of access or control of the property, including civil protection orders

Violence Against Women Act Statement

issued to protect the victim and to address the distribution or possession of property among the household.

All VAWA information provided to the OHA, including the fact that an individual is a victim of domestic violence, dating violence, sexual assault, stalking, or human trafficking, shall be retained in confidence, and will not be entered into any shared database or provided to any related entity, except to the extent that disclosure is-

- i. Requested or consented to by the individual in writing;
- ii. Required for use in an eviction proceeding under Public Law 113-4 or 117-103, referencing conforming amendments made to the United States Housing Act of 1937;
or
- iii. Otherwise required by applicable law.

Part I: Summary					
PHA Name: Oxnard Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16-P031-501-24 Replacement Housing Factor Grant No: Date of CFFP: 04/26/2004			FFY of Grant: 2024 FFY of Grant Approval:
Type of Grant: ☒ Original annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (Revision no:) ☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 25% of line 23) ³	\$ -			
3	1408 Management Improvements (Not to exceed 10% of line 23)	\$ 60,300.00			
4	1410 Administration (may not exceed 10% of line 23)	\$ 105,700.00			
5	1415 Liquidated Damages				
6	1480 Fees and Costs/ (A& E fees)	\$ 10,000.00			
7	1480 Advertising	\$ 1,000.00			
8	1480 Planning Salaries/ Benefits	\$ 10,000.00			
9	1480 Audit				
10	1440 Site Acquisition				
11	1480 Site Improvement	\$ 140,000.00			
12	1480 Dwelling Structures	\$ 730,000.00			
13	1480 Dwelling Equipment - Nonexpendable				
14	1480 Nondwelling Structures				
15	1480 Nondwelling Equipment (Computer Hardware)	\$ -			
16	1480 Construction Vehicle				
17	1480 Demolition				
18	1480 Moving to Work Demonstration				
19	1480 Relocation Costs				
20	1480 Development Activities ⁴				
21	9001 Collateralization or Debt Service paid by PHA	\$ -			
22	1480 Contingency (may not exceed 8% of line 23)	\$ -			
23	Amount of Annual Grant: (sum of lines 1 - 22)	\$ 1,057,000.00			

¹ To be completed for the Performance and Evaluation Report.
² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.
⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
 Capital Fund Financing Program

US Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226

Part I: Summary					
PHA Name: Oxnard Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16-P031-501-24 Replacement Housing Factor Grant No: Date of CFFP: 04/26/2004			FFY of Grant: 2024 FFY of Grant Approval:
Type of Grant: ☒ Original annual Statement ☐ Reserve for Disasters/Emergencies ☐ Revised Annual Statement (Revision no:) ☐ Performance and Evaluation Report for Period Ending: ☐ Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
24	Amount of line 23 Related to LBP Activities				
25	Amount of line 23 Related to Section 504 compliance	\$ 760,000.00			
26	Amount of line 23 Related to Security - Soft Costs	\$ -			
27	Amount of line 23 Related to Security - Hard Costs				
28	Amount of line 23 Related to Energy Conservation Measures				
Signature of Executive Director		Date	Signature of Public Housing Director		Date

Page 2 of 6

form HUD-50075.1

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Part II: Supporting Pages								
PHA Name: Oxnard Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16-P031-501-24 CFFP (Yes/No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2024		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
CAL 31-0	Operations	1406		\$ -				
PHA WIDE								
	Professional Development/							
	Professional Development /Training	1408		\$ 3,300.00				
	Consultants/							
	Consultants	1408		\$ 55,000.00				
	Disaster Preparedness	1408		\$ 2,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages								
PHA Name: Oxnard Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16-P031-501-24 CFFP (Yes/ No): Replacement Housing Factor Grant No:				Federal FFY of Grant: 2024		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
CAL 31-0								
PHA WIDE								
	Administration	1410		\$ 105,700.00				
	Fees & Costs	1480		\$ 10,000.00				
	Advertising	1480		\$ 1,000.00				
	Planning Salaries/Benefits	1480		\$ 10,000.00				
	Computer Hardware	1480		\$ -				
	Bond Payment	9001		\$ -				
	Contingency	1480		\$ -				
	Total 31-0:			\$ 187,000.00				

¹ To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

² To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report.

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: Oxnard Housing Authority		Grant Type and Number Capital Fund Program Grant No: CA16-P031-501-24 CFFP (Yes/ No): Yes Replacement Housing Factor Grant No:			Federal FFY of Grant: 2024
Development Number Name/HA-Wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Revised target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
CAL 31-0 PHA-Wide	4/16/2026		4/16/2028		
CAL 31-2 Felicia Court	4/16/2026		4/16/2028		
CAL 31-3 Colonia Road	4/16/2026		4/16/2028		
CAL 31-4 Pleasant Valley	4/16/2026		4/16/2028		
CAL 31-5 Plaza Vista	4/16/2026		4/16/2028		
CAL 31-7 Scattered Sites	4/16/2026		4/16/2028		
CAL 31-8 Palm Vista	4/16/2026		4/16/2028		

¹ Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Capital Fund Program Five-Year Action Plan					
Part I: Summary					
PHA Name: Oxnard Housing Authority				☒ Original 5-Year Plan ☐ Revision no:	
Development Number/Name/HA-Wide	Year 1 2024	Work Statement for Year 2 FFY Grant: 2025 PHA FY: 2026	Work Statement for Year 3 FFY Grant: 2026 PHA FY: 2027	Work Statement for Year 4 FFY Grant: 2027 PHA FY: 2028	Work Statement for Year 5 FFY Grant: 2028 PHA FY: 2029
	Annual Statement				
CAL 31-0 OHA-Wide		\$ 157,000.00	\$ 157,000.00	\$ 157,000.00	\$ 157,000.00
OHA Wide		\$ 100,000.00		\$ 313,000.00	
CAL 31-1 The Courts					
CAL 31-2 Felicia Court		\$ -	\$ -	\$ 287,000.00	\$ -
CAL 31-3 Colonia Road		\$ 800,000.00	\$ 600,000.00		\$ -
CAL 31-4 Pleasant Valley			\$ -	\$ -	\$ 300,000.00
CAL 31-5 Plaza Vista		\$ -	\$ -	\$ -	\$ 280,000.00
CAL 31-7 Scattered Sites		\$ -	\$ 300,000.00	\$ 300,000.00	\$ -
CAL 31-8 Palm Vista		\$ -	\$ -	\$ -	\$ 320,000.00
CFP Funds Listed for 5-year Planning		\$ 1,057,000.00	\$ 1,057,000.00	\$ 1,057,000.00	\$ 1,057,000.00
Replacement Housing Factor Funds					

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages - Work Activities						
Activities for Year 1 2024	Activities for Year: 2 FFY Grant: 2025 PHA FY: 2026			Activities for Year: 3 FFY Grant: 2026 PHA FY: 2027		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	PHA-Wide	Operations	\$ -	PHA-Wide	Operations	\$ -
Annual	Cal 31-0			Cal 31-0		
Statement						
		Management Improvement	\$ 11,300.00		Management Improvement	\$ 11,300.00
		Administration	\$ 105,700.00		Administration	\$ 105,700.00
		A&E Fees	\$ 20,000.00		A&E Fees	\$ 20,000.00
		Advertising	\$ 1,000.00		Advertising	\$ 1,000.00
		Planning Salaries/ Benefits	\$ 19,000.00		Planning Salaries/ Benefits	\$ 19,000.00
		Energy Audit			Computer Hardware	\$ -
		Debt. Service	\$ -		Physical Needs Assessment	\$ -
		Contingency	\$ -		Contingency	\$ -
	Cal 31-0 Subtotal:		\$ 157,000.00	Cal 31-0 Subtotal:		\$ 157,000.00

Capital Fund Program Five-Year Action Plan						
Part II: Supporting Pages - Work Activities						
Activities for Year 1 2024	Activities for Year: 2 FFY Grant: 2025 PHA FY: 2026			Activities for Year: 3 FFY Grant: 2026 PHA FY: 2027		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See	OHA Wide			OHA Wide		
Annual		RAD Preliminary Conversion	\$ 100,000.00			
Statement	OHA Wide Subtotal:		\$ 100,000.00	OHA Wide Subtotal:		\$ -
	Felicia Court			Colonia Village		
				CAL 31-3	504 Conversion	\$ 600,000.00
				CAL 31-2 Subtotal		\$ 600,000.00
			\$ -			
	Colonia Village			Pleasant Valley		
	CAL 31-3	504 Conversion	\$ 800,000.00	CAL 31-4		
	CAL 31-3 Subtotal:		\$ 800,000.00	CAL 31-4 Subtotal:		\$ -
	Plaza Vista					
				Scattered Sites		
	CAL 31-5 Subtotal		\$ -	CAL 31-7	Building Redevelopment-Althea	\$ 300,000.00
	Scattered Sites			CAL 31-7 Subtotal:		\$ 300,000.00
	CAL 31-7 Subtotal:		\$ -			
	Palm Vista			Palm Vista		
				CAL 31-8		
				CAL 31-8 Subtotal:		\$ -
	CAL 31-8 Subtotal:		\$ -			
	Total CFP Estimated Cost		\$ 1,057,000.00	Total CFP Estimated Cost		\$ 1,057,000.00

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages --- Work activities					
Activities for Year: 4 FFY Grant: 2027 PHA FY: 2028			Activities for Year: 5 FFY Grant: 2028 PHA FY: 2029		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
PHA-Wide	Operations	\$ -	PHA-Wide	Operations	\$ -
Cal 31-0			Cal 31-0		
	Management Improvement	\$ 11,300.00		Management Improvement	\$ 11,300.00
	Administration	\$ 105,700.00		Administration	\$ 105,700.00
	A&E Fees	\$ 20,000.00		A&E Fees	\$ 20,000.00
	Advertising	\$ 1,000.00		Advertising	\$ 1,000.00
	Planning Salaries/ Benefits	\$ 19,000.00		Planning Salaries/ Benefits	\$ 19,000.00
	Computer Hardware	\$ -		Computer Hardware	
	Contingency	\$ -		Contingency	\$ -
Cal 31-0 Subtotal:		\$ 157,000.00	Cal 31-0 Subtotal:		\$ 157,000.00

Capital Fund Program Five-Year Action Plan Part II: Supporting Pages --- Work activities					
Activities for Year: 4 FFY Grant: 2027 PHA FY: 2028			Activities for Year: 5 FFY Grant: 2028 PHA FY: 2029		
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
OHA Wide			OHA Wide		\$ -
	Window replacement	\$ 313,000.00			
OHA Wide Subtotal:		\$ 313,000.00	OHA Wide Subtotal:		\$ -
Felicia Court		\$ -			
CAL 31-2	Roofing	\$ 287,000.00			
CAL 31-2 Subtotal:		\$ 287,000.00	CAL 31-2 Subtotal:		
Colonia Village			Colonia Village		
CAL 31-3			CAL 31-3		
CAL 31-3 Subtotal			CAL 31-3 Subtotal		
Pleasant Valley			Pleasant Valley		
CAL 31-4	Flooring	\$ -	CAL 31-4	Flooring	\$ 300,000.00
CAL 31-4 Subtotal:		\$ -	CAL 31-4 Subtotal:		\$ 300,000.00
Plaza Vista			Plaza Vista		
CAL 31-5			CAL 31-5	Elevator	\$ 280,000.00
CAL 31-5 Subtotal:			CAL 31-5 Subtotal:		\$ 280,000.00
Scattered Sites			Scattered Sites		
CAL 31-7	Roofing	\$ 300,000.00	CAL 31-7		
CAL 31-7 Subtotal:		\$ 300,000.00	CAL 31-7 Subtotal:		\$ -
Palm Vista			Palm Vista		
				Elevator	\$ 320,000.00
CAL 31-8 Subtotal:			CAL 31-8 Subtotal:		\$ 320,000.00
Total CFP Estimated Cost		\$ 1,057,000.00	Total CFP Estimated Cost		\$ 1,057,000.00

HOUSING AUTHORITY OF THE CITY OF OXNARD

RESOLUTION NO. _____

A RESOLUTION OF THE BOARD OF COMMISSIONERS OF THE HOUSING AUTHORITY OF THE CITY OF OXNARD APPROVING THE 5-YEAR PUBLIC HOUSING AGENCY (PHA) PLAN, THE ANNUAL AGENCY PLAN, THE 2024 CAPITAL FUND PROGRAM (CFP) BUDGET, THE CFP FIVE-YEAR ACTION PLAN AND AUTHORIZING THE HOUSING DIRECTOR TO EXECUTE AND SUBMIT THE PLANS AND CAPITAL FUNDS STATEMENT

WHEREAS, the Housing Authority of the City of Oxnard (OHA) is required by the U.S. Department of Housing and Urban Development (HUD) to develop and adopt a 5-Year PHA Plan and Annual Agency Plan for HUD's review and approval in order to be eligible to receive HUD funding utilized for the OHA's operation; and

WHEREAS, The OHA adopted a 5-Year Agency Plan in 2020; and

WHEREAS, on March 19, 2024, the OHA conducted a public hearing on the proposed fifth year of the 2020-2025 Five-Year PHA Plan, the Annual Agency Plan, the 2024 CFP Budget and the CFP Five-Year Action Plan.

WHEREAS, the OHA wishes to adopt its Annual Agency Plan, the fifth year of the 5-Year PHA Plan for Fiscal Years 2020-2025, the CFP Five-Year Action Plan, and the 2024 CFP Budget.

NOW THEREFORE, the Board of Commissioners of the Housing Authority of the City of Oxnard resolves as follows:

1. The Board hereby approves the Annual Agency Plan, the fifth year of the 5-Year PHA Plan for Fiscal Years 2020-2025, the CFP Five-Year Action Plan, and the 2024 CFP Budget, as presented to the Board on March 19, 2024; and
2. The Board authorizes and directs the chairman to execute the HUD Public Housing Agency Certification Compliance Form certifying the Housing Authority's compliance with HUD's policies and procedures; and
3. The Board authorizes the Housing Director to execute and submit all reports and documents required to comply with HUD's policies and procedures regarding the Agency Plan; and
4. The Board authorizes the Housing Director to accept and expend the funds as indicated in the 2024 CFP Budget and the CFP Five-Year Action Plan.

APPROVED AND ADOPTED THIS 19TH DAY OF MARCH 2024 BY THE FOLLOWING
VOTE:

AYES:

NOES:

ABSENT:

ABSTAIN:

John C. Zaragoza, Chairman

Attest:

Rose Chaparro, Secretary-Designate

Approved as to form:

Stephen M. Fischer, General Counsel

5-YEAR AGENCY PLAN AND ANNUAL PLAN

Emilio Ramirez, Director
Housing Department
City Council
March 19, 2024

1. The 5-Year PHA and the Annual Agency Plan provide a description of the Housing Authority's basic regulations, requirements and operational program policies. The current 5-Year PHA plan was adopted in 2020. The Annual Agency plan outlines any revisions, new activities, and progress towards the PHA's goals and objectives.
2. Required by 24 CFR Part 903
3. Serves as the application for Capital Fund Grants which provide the funding for capital improvements for Public Housing
4. Due to HUD by April 16, 2024 – at least 75 days prior to the start of the fiscal year

Housing Opportunity through Modernization Act implementation guidance for new regulations was published 9/29/23, PHAs are required to be in compliance by 1/1/25. The proposed Amendments to the Housing Choice Voucher (Section 8) Administrative Plan & Admissions and Continued Occupancy Plan are as follows:

1. “Assets” defined as all family assets with enumerated exclusions. New rules on how income from assets is calculated.
2. Household income projected at new admissions; for reexaminations, the income will be based on previous 12 months.
3. Asset limitation: >\$100,000 in total assets or ownership in a real property (HUD will issue additional guidance on this regulation). The OHA will adopt any grace periods allotted by federal regulations for families who are no longer eligible.
4. HUD form 9886- Authorization for the Release of Information, will only require signature once. The OHA will deny admission or terminate assistance for revocation of consent.
5. PHAs will not be out of compliance solely due to minimis errors (PHA error resulting in a difference < \$30/month) but will need to take corrective action if a family is overcharged.
6. Interim income reexaminations not required for decreases of <10%, they are required for increases of >10%. The OHA will conduct an interim reexamination for any reported changes by the family and will not conduct for increases in income if annual reexamination is due within 3 months.

Proposed Amendments to the Housing Choice Voucher (Section 8) Administrative Plan & Admissions and Continued Occupancy Plan (continued):

7. Eliminates the earned income disregard (EID), only current participants may continue until end of term.
8. Mandatory deductions to be adjusted annually for families with dependents or elderly and disabled.
9. Sum of unreimbursed health & medical care, reasonable attendant care, & auxiliary expenses >10% (previously 3%) of annual income can be deducted. The OHA will adopt hardship exemptions for phase in families, families who face financial hardship as a result of this change and for continued child care expenses.
10. Participants may self-certify their assets if <\$50,000. The OHA will accept self-certifications.
11. PHAs may use other means-tested federal public assistance program income determinations. When available and if applicable, the OHA will use other program income determinations.
12. PHAs are no longer required to use Enterprise Income Verification (EIV) income reports. The OHA will use EIV reports as needed.

PHAs are permitted to establish local preferences based on local housing needs and priorities.

Involuntary Displacement Preference (Revised*):

Families are considered to be involuntarily displaced if they are:

- a) displaced as a result of an improvement or development project to which the Oxnard Housing Authority has ownership interest and no suitable unit is available; or
- b) displaced by a government declaration of emergency, such as a natural disaster; or
- c) displaced as a result of an extenuating circumstance as certified by the Housing Director. An extenuating emergency does not include individuals whose tenancy was terminated for cause or individuals who do not have a legally binding landlord/tenant relationship.

These admissions must meet the Oxnard Housing Authority Residency Preference.

*The proposed revision has been submitted to HUD for review, any modifications recommended will be adopted.

The OHA intends to undertake the following new activities related to the following in the 2024 Annual PHA Plan:

1. **Conversion of Public Housing to Project Based:** Last year, the OHA initiated new activities related to the repositioning of its public housing inventory (conversion). This action will provide a more stable stream of subsidy, is more conducive to raising debt/equity, and will allow OHA to leverage funding for rehabilitation of its public housing units. In 2024, the OHA will continue to with a physical needs assessments, financial analysis, and environmental reviews, and development of an asset repositioning plan.
2. **Project-based vouchers:** The OHA will undertake efforts to identify and apply for new voucher allocations for project-based vouchers. The project-based voucher is subsidized housing assistance that is tied to a specific unit. The goal is to increase the number of affordable units available to low-income families.
3. **Choice Neighborhoods Grant:** The OHA will complete an analysis to determine if the OHA is in the position to compete for the Department of Housing and Urban Development's (HUD) FY 2024 Choice Neighborhoods Grant. If so, the OHA will submit an application to HUD. The grant leverages public and private dollars to support local strategies by PHAs that address struggling neighborhoods with distressed public HUD-assisted housing through a comprehensive approach that transforms not only the housing units, but the neighborhood as well.

1. Purpose of the capital fund program is to maintain and preserve the integrity of the Oxnard Housing Authority's public housing units and to fund necessary improvements
2. The Fiscal Year 2024 requested capital fund budget is: \$1,057,000
3. Approximately \$870,000 will be used towards capital improvements:
 - a) 504-conversion project which refers to the multi-phase project through which 18 existing units are being converted to ensure they accessible to individuals with disabilities. This is a requirement from the Department of Housing and Urban Development.
 - b) Alley repairs at Colonia Village Project.
4. The remaining funds will be allocated towards administrative expenses.

1. The forty-five day public comment period commenced on November 16, 2023.
2. Staff held public meetings on December 7, 2023 and January 18, 2024.
3. There were no objections or written comments. The following verbal comment was received (summarized):
 - a) Question: Can a pie chart of the annual capital fund program (CFP) budget be created?
 - b) Response: CFP must be created using form HUD-50075. However; staff will create a pie chart for the PowerPoint presentation when conducting public meetings.

That the Community Services, Public Safety, Housing & Economic Development Committee recommend that the Board of Commissioners:

1. Hold a public hearing to receive comments concerning the fifth year of the 5-Year Public Housing Agency Plan, the 2024 Capital Fund Budget, and the Capital Fund Five-Year Action Plan; and
2. Adopt a resolution:
 - a. Approving the 2024 Annual Agency Plan, 5-Year Public Housing Agency Plan for the Housing Authority of the City of Oxnard;
 - b. Authorizing and directing the Chairman to execute the Department of Housing and Urban Development Public Housing Agency Certification Compliance Form certifying the Housing Authority's compliance with federal policies and procedures;
 - c. Authorizing the Housing Director to execute and submit all reports, documents and all other certifications required to comply with policies and procedures of the Department of Housing and Urban Development regarding the Annual Agency Plan and the 5-Year Public Housing Agency Plan;
 - d. Approving the 2024 Capital Fund Budget and Capital Fund Five-Year Action Plan; and
 - e. Authorizing the Housing Director to accept and expend the funds as indicated in the 2024 Capital Fund Budget and Capital Fund Five-Year Action Plan.



End of Presentation



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.1

DATE: March 19, 2024

TO: City Council

FROM: Javier Chagoyen-Lazaro, Chief Financial Officer, (805) 200-5400, javier.chagoyenlazaro@oxnard.org

SUBJECT: FY22-23 Annual Comprehensive Financial Report and Summary Presentation. (10 Minutes)

RECOMMENDATION

That the City Council receive the FY 22-23 Annual Comprehensive Financial Report (ACFR) and summary presentation from the City's external financial auditors, Eadie + Payne, LLP.

(The Finance and Governance Committee approved 3-0 on March 12, 2024.)

(Presentation will be live upon consultant's request.)

BACKGROUND

Eadie + Payne, LLP will present its audit opinion of the City of Oxnard's financial statements, which consists of the respective financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information as of June 30, 2023, and the respective changes in financial position.

STRATEGIC PRIORITIES

This agenda item is a routine operational item or does not relate to the five strategic priorities adopted by the City Council on March 16, 2021.

FINANCIAL IMPACT

There is no financial impact.

COMMITTEE OUTCOME

The staff report on page 9 of the Eadie + Payne, LLP auditor presentation has been updated with respect to the one included in the Finance and Governance Committee package to correct transpose legends in the chart. The Finance and Governance Committee approved 3-0 on March 12, 2024.

Prepared by: Eadie + Payne

ATTACHMENTS

1. City of Oxnard - Issued Financial Statements
2. City Council Meeting Presentation 3-19-24



Annual COMPREHENSIVE FINANCIAL REPORT

FISCAL YEAR ENDED
JUNE 30, 2023



CITY OF
OXNARD
CALIFORNIA

CITY OF OXNARD, CALIFORNIA
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 JUNE 30, 2023

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INTRODUCTORY SECTION



Alexander Nguyen
City Manager



Office of the City Manager

300 West Third Street
Oxnard, CA 93030
(805) 285-7430
Fax (805) 385-7595
www.oxnard.org

March 5, 2024

Honorable Mayor, Members of the City Council and Residents of Oxnard:

I am pleased to present the Annual Comprehensive Financial Report (ACFR) of the City of Oxnard, California for the fiscal year ended June 30, 2023. The format and content of this ACFR comply with the principles and standards of accounting and financial reporting adopted by the Governmental Accounting Standards Board (GASB). The report contains information needed for readers to gain a reasonable understanding of the City's financial affairs.

The accuracy of the City's financial statements and the completeness and fairness of their presentation are the responsibility of City management. The City strives for transparency and accountability to the public by maintaining a system of internal accounting controls designed to provide reasonable assurance that assets are safeguarded against loss or unauthorized use and the financial records can be relied upon to produce financial statements in accordance with accounting principles generally accepted in the United States of America (GAAP). The concept of reasonable assurance recognizes that the cost of maintaining the system of internal accounting controls should not exceed benefits likely to be derived.

Eadie and Payne LLP, Certified Public Accountants, has issued an unmodified or "clean" opinion on the City's financial statements for the fiscal year ended June 30, 2023. The independent auditor's report is located at page 1 in the Financial Section of this report.

GAAP requires management to provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of a Management's Discussion and Analysis (MD&A). This letter of transmittal is designed to complement the MD&A and should be read in conjunction with it. The City's MD&A is located on page 5 immediately following the independent auditor's report.

ECONOMIC RECOVERY FROM GLOBAL PANDEMIC

The fiscal year ended June 30, 2023, saw many of the unprecedented challenges brought by the COVID-19 pandemic left behind us and the City continued its pace towards economic recovery, albeit inflation pressures, higher interest rates, the continuing tight labor market, and recent litigation that prevented the City to issue new debt to address critical projects. Fiscal year 2022/23 ACFR is the first one generated with financial data for the newly implemented financial module of the Enterprise Resource Planning (ERP).

CITY OF OXNARD PROFILE

The City of Oxnard is a full-service city located on the "Gold Coast" of California, approximately halfway between Los Angeles and Santa Barbara. It is the gateway to the Channel Islands National Park. Oxnard was founded in 1903 near the sugar beet processing facility owned by the Oxnard brothers. Henry T. Oxnard, the founder of Crystal Sugar, led the effort to incorporate the City. Shortly after incorporation, the City opened the Oxnard Library.

The adjacent Oxnard Plain is one of the most productive agricultural areas in the country. It produces one-third of the California strawberry crop that represents 85% of the U.S. market. Other high value crops produced nearby include avocados, lemons, Brussels sprouts, and artichokes, so Oxnard remains a leading agricultural and food center.

The City is home to the West Coast operations of Procter and Gamble and Sysco. Port Hueneme, the only navigable port between Los Angeles and San Francisco, and the Naval Base Ventura County have attracted defense and aerospace businesses that served as a foundation for Oxnard's aerospace, technology, and manufacturing sectors. Oxnard's harbor and beaches support a strong tourism industry in normal times. When not afflicted by a Statewide or national recession, the local economy is diverse and robust.

Oxnard is the most populous of Ventura County's ten cities. The last US Census Bureau data available as of July 1, 2022 estimates a population above 200,000 residents, and approximately 75% of its residents consider themselves Hispanic or Latino. Oxnard's housing cost is rising, but remains less expensive than the County average. Lower cost and available flat land near the Pacific coast have prompted major housing developments in recent years.

The City operates under a City Council - City Manager form of government. The Council is responsible for policy and approves the annual budget. The City Manager is responsible for the daily operations of the City. The City Council appoints the City Manager and City Attorney.

The City Council consists of the Mayor and six district-elected Councilmembers. The term of office is four years for all elected officials, with elections held every two years for three City Council seats at a time. The Mayor is elected at large during presidential election years. The City Treasurer, who is elected Citywide at the same time as the Mayor, invests idle cash and manages the investment portfolio. The City Clerk, also elected citywide at the same time as the Mayor, manages the City Council and Committee meeting agenda process, official records, and elections.

Names and photographs of the Mayor, City Councilmembers, City Treasurer and City Clerk, plus an organization chart with the names of appointed City officials as of June 30, 2023, are included at the back of the Introductory Section of the ACFR.

The City provides the following government and business-type services:

Government Activities	Business-Type Activities
Governance, including finance, human resources, risk management, information technology, City Attorney, City Treasurer, City Clerk and City Manager	Water – Planning, source and supply, treatment, distribution, and conservation, and administration and operation of the recycled water program
Police – including records, dispatch, patrol, investigations, traffic enforcement and animal safety	Wastewater – Collection, treatment of wastewater (sewer) and administration and operation of storm water programs for drainage and surface runoff
Fire – including hazardous materials storage regulation and incident response, prevention, suppression, medical rescue, and emergency response	Environmental Resources – collection and disposal of solid waste and operation of recycling collection and marketing programs
Community Development – including land use/planning, building inspection, zoning, code enforcement, and successor agency obligations	Housing Authority – administration of Section 8 rental assistance program, affordable housing, and Community Development Block Grant administration
Public Works – including engineering and capital asset planning, streets and roads, rights of way, park and landscape maintenance, special districts administration and service management, facilities and fleet maintenance, and management of utility enterprise operations	Golf Course – operation and maintenance of the City's River Ridge golf course
Culture, Leisure, and Libraries – including recreation, after school, youth employment, Performing Arts & Convention Center, and the library system	

LONG-TERM ECONOMIC OUTLOOK

The largest employers in the City of Oxnard include Amazon, Haas Automation, Reiter Affiliated, the Oxnard School District and the Oxnard Union High School District, St. John's Regional Medical Center, and the City of Oxnard. Other major employers in and near the City include the Naval Base Ventura County, which employs over 16,000 people, the County of Ventura, which employs more than 8,000, household names such as Procter and Gamble, and agricultural beacons such as Boskovich Farms, Gill's Onions, and Mission Produce.

Four districts provide K-8 education to Oxnard residents; with the Oxnard Union High School District, they educate more than 45,000 students combined. Oxnard is also home to Oxnard College, which is part of the Ventura County Community College District and has an enrollment of approximately 7,000 students. In addition, many Oxnard students continue their post-secondary education at nearby universities such as California State University Channel Islands, University of California, Santa Barbara, California Lutheran University, and universities with satellite campuses in Oxnard such as National University and Azusa Pacific University. The City developed the Oxnard Employee Pipeline in conjunction with the Chamber of Commerce and Oxnard College to provide specialized training to underemployed residents enabling them to provide our local companies with a pipeline of skilled employees.

The excellent climate we enjoy, coupled with our harbor, beaches, parks, and vibrant cultural events and festivals, make the City of Oxnard a top tourist destination and support many tourist-serving businesses in normal circumstances. In addition, Oxnard's mix of agricultural, manufacturing, and retail sectors provide a strong and diverse economic base on which to build the continued recovery from the COVID-19 recession. Businesses located in Oxnard are further strengthened by the steady increase in residential and commercial development throughout the City.

Oxnard's economic growth is driven by its diverse economic base, strategic location, and investments in key sectors. The City continues to support initiatives to foster economic development, attract investment, and enhance the quality of life for residents and businesses alike, such as continued development of Sakioka Business Park, residential construction in downtown Oxnard, and the renovation campaign for Zachari Dunes on Mandalay Beach.

Central to our economic development effort is the drive to make our residents more employable at our many higher-paying businesses. To accomplish this requires the City to work closely with Oxnard Community College to provide the necessary education and training to up-skill many of our residents.

The City has embarked in a multiyear process to update its information systems through the implementation of a new ERP system, that includes Finance, Human Capital Management Permitting and Licensing, Asset Management and Utility Billing, to streamline operations, improve data visibility and accuracy, and enhance collaboration and communication among departments.

FISCAL CONDITION

The City's voters have supported restoring service levels by approving a 1.5% supplemental transactions and use tax on November 3, 2020, for the General Fund. The new citywide tax rate became effective on April 1, 2021. The supplemental transactions and use tax, known as Measure E, generated \$12.6 million in additional General Fund revenue in fiscal year 2020-21, and has generate \$56.6 million in fiscal year 2021-22, and \$56.8 million in fiscal year 2022-23, to support the restoration of pre-pandemic service levels. In 2008, the City's voters approved 20-year 0.5% supplemental transactions and use tax, known as Measure O, that sunsets in March 2029. The Measure O supplemental transactions and use tax generated revenue of \$19.0 million in fiscal year 2022-23 and is projected to generate \$19.5 million fiscal year 2023-24.

Fiscal year 2022-23 has been the first full year of operations for the new Amazon fulfillment center with a significant source of new employment for the City. As part of the review process for the new business, the City identified business license adjustments to bring the new center in full compliance with City Ordinances and generated one-time payment.

The Amazon center and the pandemic recovery has increased the commercial activity and has had a positive impact on the economic growth of the City. The City will continue to monitor the impact on future sales tax proceeds of potential changes in tax apportionment rules, as well as the opening of competing commercial business in neighboring communities.

Standard and Poor's Global Ratings upgraded the City's issuer Credit Rating From "A" to "A+" on October 26, 2022. The report also revised its long-term rating on the City's lease revenue bonds from "A-" to "A", the City's Gas Tax Bond from "A+" to "AA-" and assigned a "stable" outlook to all three.

The City has been challenged in its authority to issue municipal bonds, limiting access to capital markets and preventing the City from accessing funds that most American cities and counties rely on to pay for major capital improvements. While the litigation is ongoing the City will be cash funding major capital infrastructure projects.

In fiscal year 2022-23 the General Fund Group total fund balance increased by \$33.2 million due to the increase in sales tax revenue driven by the Amazon fulfillment center and the recovery to pre-pandemic levels. The improvement in fund balance will need to be dedicated to support the execution of the much needed capital improvement projects in the upcoming fiscal years until the bond litigation is resolved.

Final Comments

I wish to express my appreciation to the City Council, the staff members in the Finance Department and other departments, and Eadie and Payne's audit staff in assuring that the City's Annual Comprehensive Financial Report meets the highest standard of reporting and provides useful information about our City.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read 'Alexander Nguyen', with a stylized, flowing script.

Alexander Nguyen
City Manager



Government Finance Officers Association

**Certificate of
Achievement
for Excellence
in Financial
Reporting**

Presented to

**City of Oxnard
California**

For its Annual Comprehensive
Financial Report
For the Fiscal Year Ended

June 30, 2022

Christopher P. Morill

Executive Director/CEO

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Elected Officials as of June 30, 2023



John Zaragoza,
Mayor



Bryan A. MacDonald,
Mayor Pro Tem, District 4



Bert Perello,
Councilmember,
District 1



Gabe Teran,
Councilmember,
District 2



Oscar Madrigal,
Councilmember,
District 3



Gabriela Basua,
Councilwoman,
District 5



**Arthur
Valenzuela Zavala,**
Councilmember, District 6

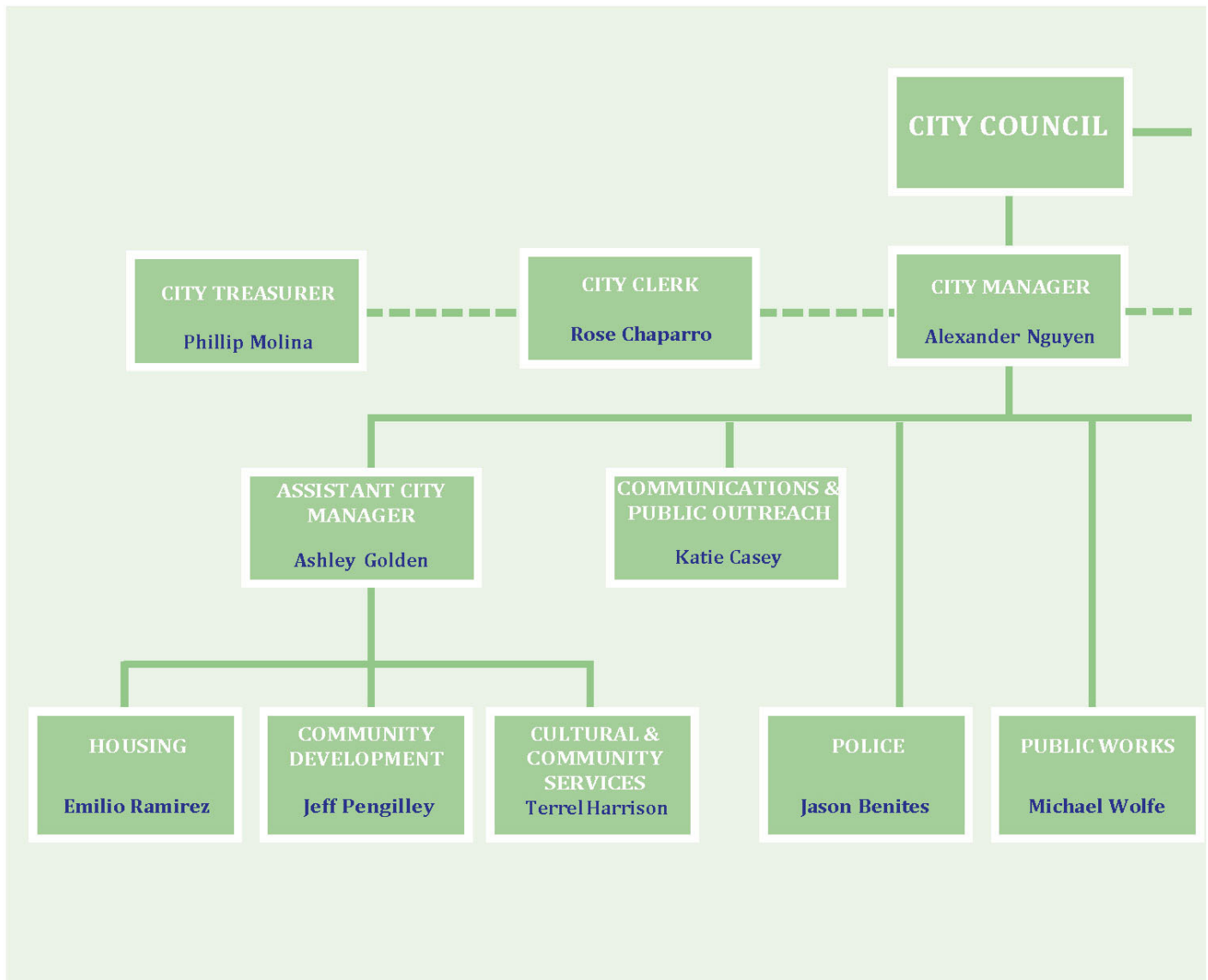


Rose Chaparro,
City Clerk

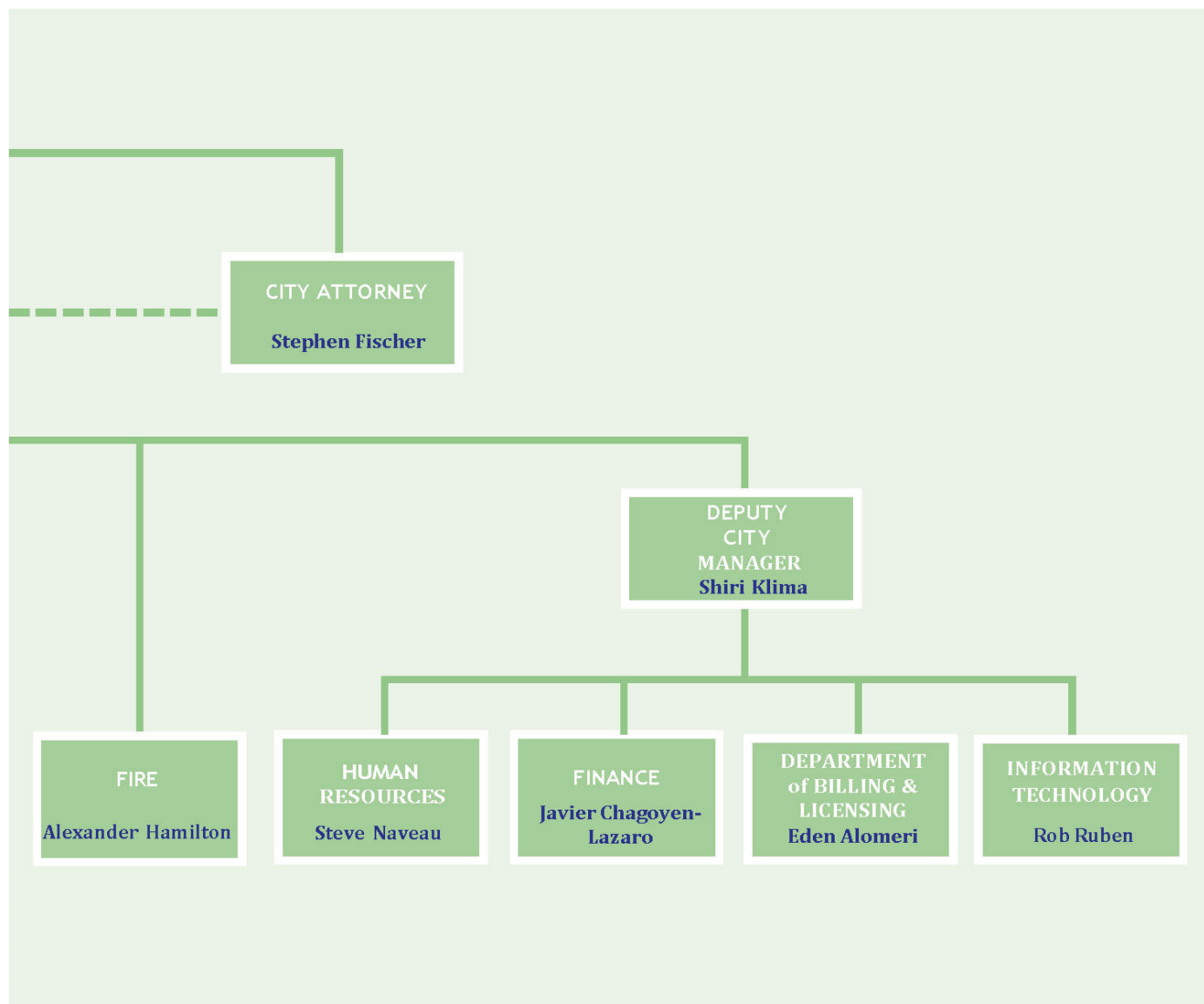


Phillip Molina,
City Treasurer

City of Oxnard Citywide Organizational Chart



City of Oxnard Citywide Organizational Chart



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FINANCIAL SECTION





 3880 Lemon St., Ste. 300
Riverside, CA 92501

 P.O. Box 1529
Riverside, CA 92502-1529

 951-241-7800

 www.eadiepaynellp.com

INDEPENDENT AUDITOR'S REPORT

To the City Council
City of Oxnard
Oxnard, California

Report on the Audit of the Financial Statements

Opinions

We have audited the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Oxnard, California (City), as of and for the year ended June 30, 2023, and the related notes to the financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

In our opinion, based on our audit and the report of the other auditor, the accompanying financial statements present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City, as of June 30, 2023, and the respective changes in financial position and, where applicable, cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

We did not audit the financial statements of Oxnard Housing Authority, a blended component unit, which represent 1.38% and 7.81%, respectively, of the government-wide assets and revenues. Those financial statements were audited by other auditors whose report thereon has been furnished to us, and our opinion, insofar as it relates to the amounts included for Oxnard Housing Authority, is based solely on the report of the other auditors.

Basis for Opinions

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS) and the standards applicable to financial audit contained in *Government Auditing Standards*, issued by the Comptroller general of the United States. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of City of Oxnard, California, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Responsibilities of Management for the Financial Statements

The City's management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the City's ability to continue as a going concern for one year after the date that the financial statements are available to be issued.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinions. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.

- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about the City's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis, budgetary comparison information for the General Fund Group, Affordable Housing Fund, Development Fees Fund, State and Federal Grants Fund and Capital Improvement Fund, the schedules of changes in net pension liability and related ratios, the schedules of proportionate share of the net pension liability and related ratios, the schedules of plan contributions, and the schedule of changes in total OPEB liability and related ratios on pages 5 through 26 and 149 through 160 be presented to supplement the basic financial statements. Such information is the responsibility of management and, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Supplementary Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City's basic financial statements. The combining and individual General Fund Group, nonmajor funds, internal service funds and fiduciary funds financial statements are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The combining and individual General Fund Group, nonmajor funds, internal service funds and fiduciary funds financial statements are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements.

Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the combining and individual General Fund Group nonmajor funds, internal service funds and fiduciary funds financial statements are fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Information Included in the Annual Comprehensive Financial Report

Management is responsible for the other information included in the Annual Comprehensive Financial Report. The other information comprises the introductory and statistical sections but does not include the financial statements and our auditor's report thereon. Our opinions on the financial statements do not cover the other information, and we do not express an opinion or any form of assurance thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and consider whether a material inconsistency exists between the other information and the financial statements, or the other information otherwise appears to be materially misstated. If, based on the work performed, we conclude that an uncorrected material misstatement of the other information exists, we are required to describe it in our report.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we will also issue our report on our consideration of the City of Oxnard, California's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City of Oxnard, California's internal control over financial reporting and compliance.

Eadie and Payne, LLP

Riverside, California
February 23, 2024

MANAGEMENT'S DISCUSSION AND ANALYSIS

MANAGEMENT'S DISCUSSION AND ANALYSIS

As management of the City of Oxnard (City), we offer readers of the City's financial statements this narrative overview and analysis of the financial activities of the City for the fiscal year ended June 30, 2023. We encourage readers to consider the information presented here in conjunction with additional information that we have furnished in our Letter of Transmittal, which can be found on pages i-iv of this report. Comparative data on the government-wide financial statements are only presented in the Management's Discussion and Analysis.

FINANCIAL HIGHLIGHTS

Government-Wide

- On June 30, 2023, the City's assets and deferred outflows exceeded liabilities and deferred inflows by \$2.3 billion (net position). Of this amount, \$2.2 billion is invested in capital assets and \$177.5 million is restricted, offset by \$(39.6) million that is unrestricted (Page 10).
- The City's total net position increased \$47.3 million (2.1 percent), during the fiscal year. Governmental activities net position increased by \$38.9 million. Business-type activities increased by \$8.4 million (Page 13).
- Beginning net position – governmental activities was restated to record deferred revenue of \$6.9 million related to PILOT loans initiated in fiscal year 2020 and 2021. In addition, the City changed its method of allocating pension and OPEB liabilities and related deferred outflows and inflows of resources to governmental activities, business-type activities, and internal service funds. As a result, governmental net position was increased and business-type net position was decreased by \$14.5 million. The net effect of these two adjustments was an increase of governmental net position by \$7.6 million and a decrease of business-type net position by \$14.5 million. Refer to Note II. L on page 111 for further details.

Fund Based

- At the close of fiscal year 2022-23, governmental funds reported a combined ending fund balance of \$205.9 million, an increase of \$17.4 million from the prior year. The ending fund balance is primarily identified as: \$0.4 million nonspendable (0.2%), \$113.6 million restricted (55.2%), \$44.7 million committed (21.7%), \$27.8 million assigned (13.5%), and \$19.4 million unassigned (9.4%). (Page 19).
- At the close of the fiscal year, fund balance for the General Fund group of funds was \$91.2 million consisting primarily of \$0.4 million nonspendable (0.4%), \$43.6 million committed (47.8%), \$27.8 million assigned (30.5%), and \$19.4 million unassigned (21.3%). (Page 19).

- General Fund group revenues for the year were \$240.5 million compared to FY22 of \$220.7 million. The City's General Fund group revenues comprise of a wide variety of sources, the two largest revenue sources are sales tax, which represent slightly more than half (53%), and property tax, which represent close to one-third (28%) of all General Fund group revenue. The General Fund sales tax revenues, including 1% Bradley Burns and 1.5% Measure E sales and use tax, is largely generated from three industry categories – auto, fuel, and transportation, general consumer goods, and restaurants and hotels. Total revenues increased by \$19.8 million or 9 percent from the prior year's revenue of \$220.7 million (Page 20), is mainly driven by higher sales tax generated. Based on strong growth experienced in FY22, the City continues to experience higher sales tax revenues generated from retail consumption as consumer behavior largely returned to normal surpassing the pre-pandemic period. Secondly, demand for auto sales continue to increase along with higher fuel prices along with increased activities in the building and supplies.
- General Fund group expenditures were \$191.3 million. Total expenditures increased by \$20.5 million or 12 percent compared to the prior year's expenditures of \$170.8 million (Page 21), primarily due to the rise in costs related to new negotiated labor contracts, increased public safety staffing with less vacancies in FY2023 compared to prior year FY2022, expansion of homeless programs and contribution to homeless shelter acquisition, increased maintenance and fixed charges relating to IT central support services and vehicle and capital leasing, and investment efforts on deferred maintenance of parks, median, and streets and alleyways related projects.
- At the close of the fiscal year, the Water Fund's net position was \$135.6 million, a decrease of \$6.1 million over the prior fiscal year (Page 23), primarily due to State and local water supplier's strict watering restrictions and abundance of rain in December 2022 and January of 2023, causing a drop in residential and commercial water sales coupled with the City's outreach of conservation and drought efforts, and increase in pension and OPEB liabilities.
- At the close of the fiscal year, the Wastewater Fund's net position was \$199.9 million, an increase of \$8.5 million over the prior fiscal year (Page 23), primarily attributed to steady revenue exceeding spending, which is lower particularly on multi-year capital improvement projects that will be carried over to the following fiscal year, offset by \$1.7 million increase of net pension liability.
- At the close of the fiscal year, the Environmental Resources Fund's net position was \$21.0 million, a decrease of \$15.0 million from the prior fiscal year (Page 23), primarily due to \$5.5 million net operating loss with expenses exceeding revenue, which is lower compared to budget due to less demand for recycle sales and prior year's reallocation of net pension liability increase by \$9.3 million.
- At the close of the fiscal year, the Golf Course Fund's net position was \$48.7 million, an increase of \$0.6 million from the prior year (Page 23), primarily driven by increase in golf course revenues or net operating gain of \$0.6 million in FY2023.

OVERVIEW OF THE FINANCIAL STATEMENTS

This discussion and analysis is intended to serve as an introduction to the City's basic financial statements. The City's basic financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to basic financial statements. This report also contains other supplementary information in addition to the basic financial statements themselves.

Government-wide financial statements

The government-wide financial statements are designed to provide readers with a broad overview of the City's finances, in a manner similar to a private-sector business financial statement. The focus of these statements is to report all financial resources and obligations of the City.

The statement of net position presents information on all of the City's assets and deferred outflows, and liabilities and deferred inflows, with the difference reported as net position. Generally, deferred inflows and outflows represent amounts related to differences in timing of recognition of revenues and expenses compared to the timing of related financial resources. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the City is improving or deteriorating.

The statement of activities presents information showing how the City's net position changed during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, all of the fiscal year's revenues and expenses are taken into account regardless of when cash is received or paid. Examples include earned but unused vacation leave or taxes collected by other entities not yet remitted to the City.

Government-wide financial statements distinguish City governmental activities, principally supported by taxes and intergovernmental revenues, from business-type activities that are intended to recover all or a significant portion of their costs through user fees and charges. Governmental activities of the City include general government, public safety, transportation, community development, culture, leisure, and library services. Business-type activities of the City include water, wastewater, solid waste management, golf course, and the Oxnard Housing Authority component unit. The government-wide financial statements can be found on pages 27-29 of this report.

Fund financial statements

Fund financial statements are designed to report information about groupings of related accounts used to maintain control over resources that have been segregated for specific activities or objectives. The City, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. City funds can be divided into three categories: governmental funds, proprietary funds, and fiduciary funds.

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements.

However, unlike the government-wide financial statements, governmental funds financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating a government's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the government's near-term financing decisions. A reconciliation between governmental funds and governmental activities in the government-wide financial statements is provided for both the governmental funds balance sheet and the governmental funds statement of revenues, expenditures, and changes in fund balances.

The City maintains many individual governmental funds organized by their type (special revenue, debt service, and capital improvement funds). The City has five governmental funds classified as major funds requiring separate presentation in the basic financial statements: the General Fund, the Affordable Housing Fund, the Development Fees Fund, the State and Federal Grants Fund, and Capital Improvement Funds. The Capital Improvement Fund is a new fund that accounts for revenues and expenses related to major infrastructure projects such as equipment purchases and capital improvements. Data from the remaining governmental funds are combined into a single, aggregated presentation as nonmajor governmental funds. Individual fund data for each of the nonmajor governmental funds is provided in the form of combining statements shown on pages 170-173.

The City adopts an appropriated budget for its General Fund Group and special revenue funds, among others. Budgetary comparison statements demonstrate compliance with this budget on pages 156-160, 166-168, and 174-182.

The basic governmental funds financial statements can be found on pages 30-37 of this report.

Proprietary funds are generally used to account for services for which the City charges fees to outside customers or internal departments of the City. Proprietary funds provide the same type of information as shown in the government-wide statements, only in more detail. The City maintains the following two types of proprietary funds:

- **Enterprise Funds** are used to report the same functions presented as business-type activities in the government-wide financial statements.

The City uses enterprise funds to account for the operations of water, wastewater, environmental resources, the City's golf course, and the Oxnard Housing Authority component unit.

- The Oxnard Housing Authority is audited separately. Readers should contact the Authority at Housing Administrative Services, 435 South D Street, Oxnard CA 93030, (805) 385-8041 for more information.

- **Internal service funds** are used to report activities that provide internal services for the City. The City uses internal service funds to account for its liability and workers' compensation self-insurance, utility customer billing, information technology services, and facilities and equipment maintenance (primarily fleet services). Because internal service funds predominantly benefit governmental rather than business-type functions, they have been included within governmental activities in the government-wide financial statements. The internal service funds are combined into a single, aggregated presentation in the proprietary fund financial statements. Individual fund data for the internal service funds is provided in the form of combining statements found on pages 184-189.

The basic proprietary funds financial statements can be found on pages 38-47 of this report.

Fiduciary funds are used to account for resources held for the benefit of parties outside the government. Fiduciary funds are not reflected in the government-wide financial statements, because the resources of those funds are not available to support the City's own programs.

The City is the trustee, or fiduciary, for an employee pension plan and the Community Development Commission (CDC) Successor Agency. The basic fiduciary funds financial statements can be found on pages 48-49 of this report.

Notes to basic financial statements

The notes provide additional information essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes to basic financial statements can be found on pages 50-147 of this report. Notes providing additional detail about the CDC Successor Agency can be found on pages 141-147.

Other information

In addition to the basic financial statements and accompanying notes, this report also presents certain Required Supplementary Information (RSI) concerning the City's progress in funding its obligation to provide pension and other post-employment benefits (OPEB) to its hourly and full-time employees, the Schedules of Changes in Net Pension and OPEB Liability and Related Ratios, and Schedule of Plan Contributions. Also included in RSI are the budgetary comparison schedules for the major governmental funds. RSI can be found on pages 149-160.

Combining statements for the General Fund Group, non-major governmental funds, internal service funds, and custodial funds are presented immediately following the RSI. Combining financial statements and schedules can be found on pages 162-192 of this report.

Statistical tables regarding fiscal trends, revenue capacity, debt capacity, demographic and economic information, and operating information can be found on pages 194-220 of this report.

GOVERNMENT-WIDE FINANCIAL ANALYSIS

Net Position

As noted earlier, net position may serve as a useful indicator of a government's financial position over time. City assets and deferred outflows of resources exceeded liabilities and deferred inflows by \$2.3 billion at the close of fiscal year 2022-2023 as detailed below:

Statement of Net Position June 30, 2023 (in thousands)						
	Governmental Activities		Business-Type Activities		Total	
	2023	2022	2023	2022	2023	2022
Assets:						
Current and Other Assets	\$ 299,337	\$ 272,582	\$ 208,477	\$ 208,240	\$ 507,814	\$ 480,822
Capital Assets (net of depreciation)	2,001,366	1,983,294	552,225	548,557	2,553,591	2,531,851
Properties Held for Resale	570	570	-	-	570	570
Lease receivable	734	603	-	-	734	603
Other Assets	78,363	77,325	19,653	33,186	98,016	110,511
Total Assets	2,380,370	2,334,374	780,355	789,983	3,160,725	3,124,357
Deferred Outflows of Resources:						
Deferred Charges	2,279	2,417	2,070	2,187	4,349	4,604
Deferred Pension Items	104,039	45,601	22,748	10,982	126,787	56,583
Deferred OPEB Items	10,507	12,575	2,951	3,331	13,458	15,906
Total Deferred Outflows of Resources	116,825	60,593	27,769	16,500	144,594	77,093
Liabilities:						
Current and Other Liabilities	111,013	98,612	33,744	36,815	144,757	135,427
Noncurrent Liabilities	446,524	334,929	333,575	308,881	780,099	643,810
Total Liabilities	557,537	433,541	367,319	345,696	924,856	779,237
Deferred Inflows of Resources:						
Deferred Pension Items	11,703	90,599	1,109	18,005	12,812	108,604
Deferred OPEB Items	13,436	2,604	3,720	720	17,156	3,324
Deferred Gain on Refunding	565	609	-	-	565	609
Leases	709	796	-	-	709	796
Total Deferred Inflows of Resources	26,413	94,608	4,829	18,725	31,242	113,333
Net Position:						
Net Investment in Capital Assets	1,916,938	1,901,675	294,321	302,265	2,211,259	2,203,940
Restricted	113,608	108,731	63,932	44,239	177,540	152,970
Unrestricted	(117,301)	(143,588)	77,723	95,558	(39,578)	(48,030)
Total Net Position	\$ 1,913,245	\$ 1,866,818	\$ 435,976	\$ 442,062	\$ 2,349,221	\$ 2,308,880

The largest portion of the City's net position reflects its net investment of \$2.2 billion in capital assets (land, buildings and improvements, equipment and machinery, vehicles, infrastructure, and construction in progress, net of accumulated depreciation), less related outstanding debt used to acquire those assets. The City uses these capital assets to provide services to residents; consequently, these assets are not available for future spending. Although the City's investment in capital assets is reported net of related debt, resources needed to repay this debt must be provided from other sources, since the capital assets themselves cannot be used to liquidate these liabilities.

Another portion of the City's net position, \$177.5 million, represents resources that are subject to external restrictions on their use. The remaining balance of unrestricted net position is a deficit of \$39.5 million.

This net deficit is primarily attributable to the implementation of GASB statements 68 and 75 to record liabilities for employee pensions and other post-employment benefits. These liabilities will be liquidated with revenues received in future years that cannot be accrued in these financial statements.

Capital Assets

Investments in capital assets for governmental and business-type activities as of June 30, 2023, totaled \$2.6 billion (net of accumulated depreciation). This investment in capital assets includes land, buildings and improvements, equipment and machinery, vehicles, infrastructure, and construction in progress. The total increase in capital assets for fiscal year 2022-23 was \$21.7 million, which represents a 0.9% increase from the prior year for governmental activities and \$3.7 million or 0.7% increase in business-type activities. Additional information on the City's capital assets can be found on pages 84-85 in the notes to the financial statements. Capital assets are summarized below:

City of Oxnard
Capital Assets (net of accumulated depreciation)
June 30, 2023
(in thousands)

	Governmental Activities		Business-Type Activities		Total	
	2023	2022	2023	2022	2023	2022
Land	\$ 1,391,721	\$ 1,390,541	\$ 46,103	\$ 45,539	\$ 1,437,824	\$ 1,436,080
Buildings and Improvements	127,392	129,052	139,404	144,568	266,796	273,620
Equipment, Machinery and Vehicles	13,561	12,890	63,143	64,249	76,704	77,139
Intangible assets	2,379	-	35	-	2,414	-
Infrastructure	402,206	416,814	276,901	259,018	679,107	675,832
Construction in Progress	64,107	33,996	26,639	35,181	90,746	69,177
Totals	\$ 2,001,366	\$ 1,983,293	\$ 552,225	\$ 548,555	\$ 2,553,591	\$ 2,531,848

Major capital project activities during fiscal year 2022-2023 included the following:

- The \$30.1 million net increase in governmental activities construction in progress was primarily due to progress on the following projects: Neighborhood Street Resurfacing project, Arterial & Alleyway Street Resurfacing projects, Citywide Signal Modification project, Ventura Blvd. Sidewalk project, ERP Implementation, Aquatic Center South Oxnard project, Roof Replacements – Sturgis Annex and South Oxnard projects, Adaptive Traffic Signals, Safe Route Safety Enhancement, and Rice Ave. at 5th Street Grade project.
- The \$8.5 million net change or decrease in business type activities construction in progress was primarily due to \$27 million of completed projects and \$6.7 million of progress on projects in construction in progress not yet completed. The \$27 million of completed projects include Water Backbone Hueneme II, Fremont North Resurfacing, Bryce Canyon South Street Resurfacing, Central Trunk Manhole Replacement, and Del Norte Facility Floor Construction.

The \$6.7 million construction in progress projects include Scale House Refurbishing, ASR Completion, Kamala and Hobson Pipe Replacement, Activated Sludge Tank Rehab, CMWD Transmission Maintenance, and Blending Station Upgrades.

Long-Term Debt

At the end of fiscal year 2022-23 the City had total long-term debt outstanding of \$361.3 million. This reflects an increase of \$0.4 million, or 0.4 percent, in governmental activities and a decrease of \$14.0 million, or 5.1 percent, in business-type activities.

The City's bonds are rated by S&P Global Ratings. As of June 30, 2023, The City's issuer credit rating was "A" while maintaining ratings of "A" for General Lease Revenue Bonds, "A+" for Gas Tax, "A" for Water and "A" for Wastewater Bonds. S&P also raised the outlook for the outstanding Water Bonds from "Stable" to "Positive" in May of 2022.

Additional information on long-term debt can be found on pages 86-108 in the notes to the financial statements. Long-term debt is summarized below:

City of Oxnard
Outstanding Debt (net of discounts/premiums)
June 30, 2022
(in thousands)

	Governmental Activities		Business-Type Activities		Total	
	2023	2022	2023	2022	2023	2022
Lease Revenue Bonds	\$ 58,773	\$ 62,539	\$ -	\$ -	\$ 58,773	\$ 62,539
Revenue Bonds	17,585	18,382	253,026	265,176	270,611	283,558
Lease Purchase Agreements	19,532	16,655	9,964	11,872	29,496	28,527
Subscription Liabilities	2,102	-	24	-	2,126	-
Note Payable	-	-	270	270	270	270
Totals	<u>\$ 97,992</u>	<u>\$ 97,576</u>	<u>\$ 263,284</u>	<u>\$ 277,318</u>	<u>\$ 361,276</u>	<u>\$ 374,894</u>

- Governmental activities' lease revenue bonds decreased \$3.8 million due to principal payments made during the year.
- Governmental activities' revenue bonds decreased \$0.8 million due to principal payments made during the year.
- Governmental activities' lease purchase agreements increased \$2.9 million primarily due to the addition of Draw#26 and Draw#27 from Banc of America Lease 2022 for \$3.5 million offset by \$2.4 million of principal payments made during the year.
- Business-type activities' long term debt decreased \$14.1 million primarily due principal payments made during the year.

The City recorded \$2.1 million of subscription liabilities in governmental activities and business-type activities with the implementation of GASB Statement 96.

Statement of Activities

The statement of activities shows how the City's net position changed during fiscal year 2022-2023. These changes are explained in the governmental and business-type activities on the following pages. Provided below is a summary of changes in net position:

Statement of Activities						
Fiscal Year Ended June 30, 2023						
(in thousands)						
	Governmental Activities *		Business-Type Activities		Total	
	2023	2022	2023	2022	2023	2022
Revenues:						
Program Revenues:						
Charges for Services	\$ 37,758	\$ 31,014	\$ 156,041	\$ 155,747	\$ 193,799	\$ 186,761
Operating Grants and Contributions	46,187	46,714	28,635	24,641	74,822	71,355
Capital Grants and Contributions	-	8,416	2,558	1,719	2,558	10,135
General Revenues:						
Taxes	225,610	213,997	-	-	225,610	213,997
Gain on disposition of assets	2	-	1,658	-	1,660	-
Investment income	757	1,056	2,076	684	2,833	1,740
Total Revenues	310,314	301,197	190,968	182,791	501,282	483,988
Expenses						
General Government	26,046	15,450	-	-	26,046	15,450
Public Safety	132,980	120,846	-	-	132,980	120,846
Public Works	59,151	58,765	-	-	59,151	-
Community Development	10,058	12,817	-	-	10,058	12,817
Culture, Leisure, and Libraries	17,401	16,729	-	-	17,401	16,729
Housing	10,181	7,357	-	-	10,181	7,357
Interest on Long-Term Debt	3,548	3,722	-	-	3,548	3,722
Water	-	-	64,460	66,845	64,460	66,845
Wastewater	-	-	37,234	35,837	37,234	35,837
Golf Course	-	-	50,482	46,956	50,482	46,956
Environmental Resources	-	-	5,694	5,335	5,694	5,335
Oxnard Housing Authority	-	-	34,438	28,212	34,438	28,212
Total Expenses	259,365	235,686	192,308	183,185	451,673	360,106
Change in Net Position Before Special Items						
Contributed Capital, and Transfers	50,949	65,511	(1,340)	(394)	49,609	123,882
Transfers	(12,082)	131	9,728	(131)	(2,354)	-
Change in Net Position	38,867	65,642	8,388	(525)	47,255	123,882
Net Position, July 1	1,866,818	1,801,176	442,062	442,587	2,308,880	2,243,763
Prior Period Adjustment	7,560	-	(14,474)	-	(6,914)	-
Net Position, June 30	<u>\$ 1,913,245</u>	<u>\$ 1,866,818</u>	<u>\$ 435,976</u>	<u>\$ 442,062</u>	<u>\$ 2,349,221</u>	<u>\$ 2,367,645</u>

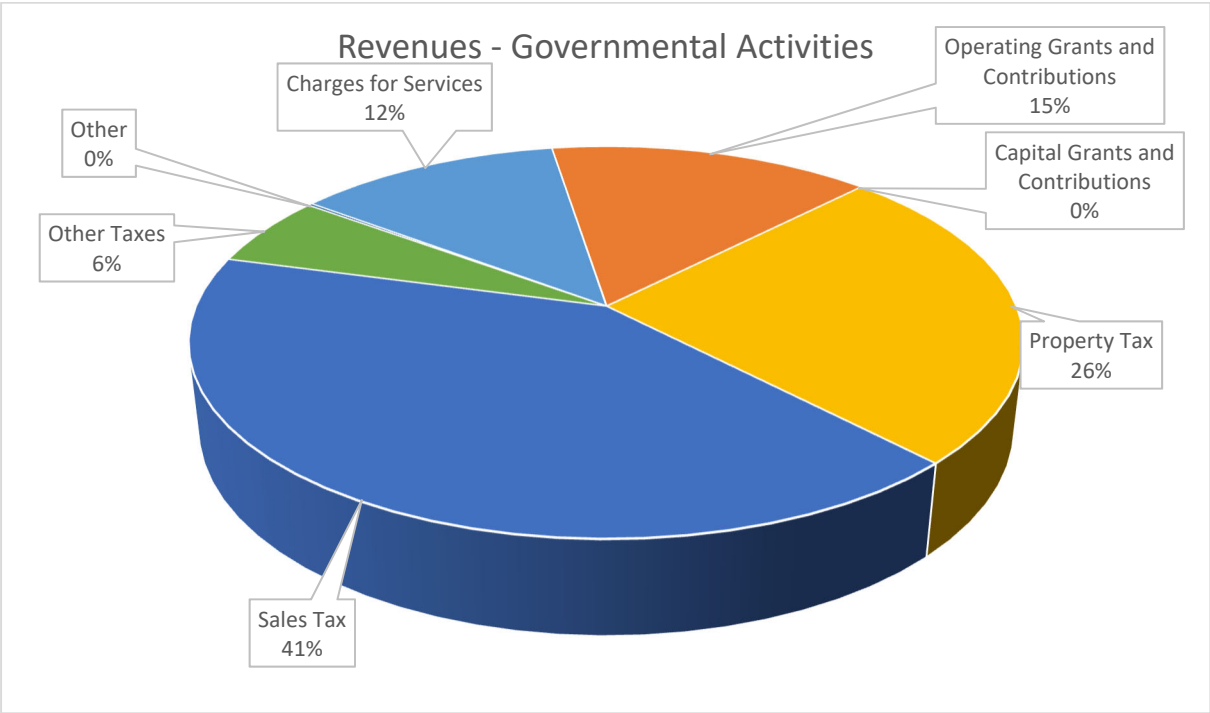
* FY 2022 expenses were reclassified to be consistent with 2023 classifications.

Governmental activities increased the City’s net position \$38.9 million compared to prior fiscal year’s increase of \$65.6 million. This is driven by a combination of increase of program revenues offset by higher expenses. The key elements of the changes in net position were as follows:

General revenues increased \$11.3 million, or 5.3 percent, primarily due to stronger local economic growth driven by higher consumer confidence and consumer spending particularly with increased sales tax revenues from Measure E imposed 1.5 cent local transaction and use taxes.

Program revenues decreased \$2.2 million, or 2.6 percent, primarily driven by \$6.7 million increase of charges and service offset by decrease of \$8.4 million one-time FY22 capital grants contribution related to American Rescue Plan (ARPA) from the economic effects caused by the COVID-19 pandemic.

The breakdown of governmental revenues by source is shown below:

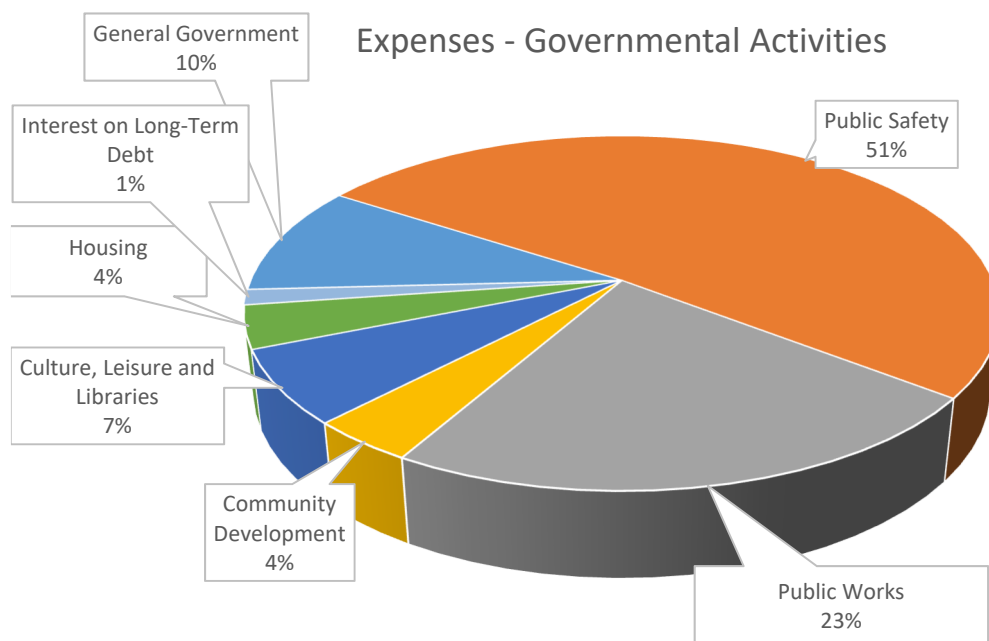


Expenses increased of \$23.7 million is driven by increased public safety expenses, increased in general government expenses, and cultural community services expenses.

Additionally, with the implementation of the new ERP system, certain expenses for reporting units have changed in FY2023 compared to FY2022. Substantially expenses related to public works and housing that used to be partially classified under general government, community development, and cultural community services are now classified under their own operating unit as public works and housing expenses. Due to this revision, the comparison below outlines the changes year-over-year based on the new mapping of expense category.

- Public safety expenses increased by \$12.1 million primarily driven by \$6.0 million increase in wages and benefits, \$2.4 million of station coverage and overtime including call back, \$2.2 million increased internal support services of which \$1.7 million are from information system (IT) and \$0.5 million from public liability and worker's compensation insurance, and \$1.5 million in contractual services and rental and leases for vehicles. Public Safety, mainly Police, was able to successfully fill majority of its vacated / authorized positions in FY2023 compared to FY2022. Along with less vacancy, the increase of Public Safety wages and employee benefit was compounded by the implementation of the Citywide Classification and Compensation for City's authorized positions and negotiated new Memorandum of Understanding (MOUs) with bargaining units on July 1, 2022.
- General Government expenditures increased \$10.6 million driven by \$3.7 million increase in net pension liability, \$3.4 million increase of compensated absences liability, \$1.6 million increased in information system (IT) internal support services, and \$1.9 million increased in capital expenses including subscription expenses related to GASB 96 and depreciation. Reported one year in arrears based on the prior 12-months period's performance, the City's reported net pension liability has been quite volatile the last several years. While the June 30, 2022, net pension liability (based on 12-month period ending 06/30/21 valuation) reflected a temporary reduction to the City's reported net pension liability due to strong investment performance, the June 30, 2023, net pension liability increased again as expected and prefaced in last year's ACFR. The \$3.7 million liability increase was mostly due to the changes of assumptions, public market returns, which made up 79% of the CalPERS investment portfolio, domestic interest rate hikes, and inflation. CalPERS continues to assess and make strategic changes to the fund's portfolio, with a long-term target discount rate of 6.8%. Compensated absences liability includes benefits for employees pay leave such as vacation, sick, paid time off, and holidays. The implementation of Citywide Classification and Compensation, new MOUs increased salary and benefits, less vacancy, and less cash out of compensated absences compared to prior years have attributed to increase of compensated absences by \$3.4 million.
- Culture, leisure and library services increased by \$0.7 million mainly due to increase in salaries and benefits.

The breakdown of governmental expenses by activity is shown below:

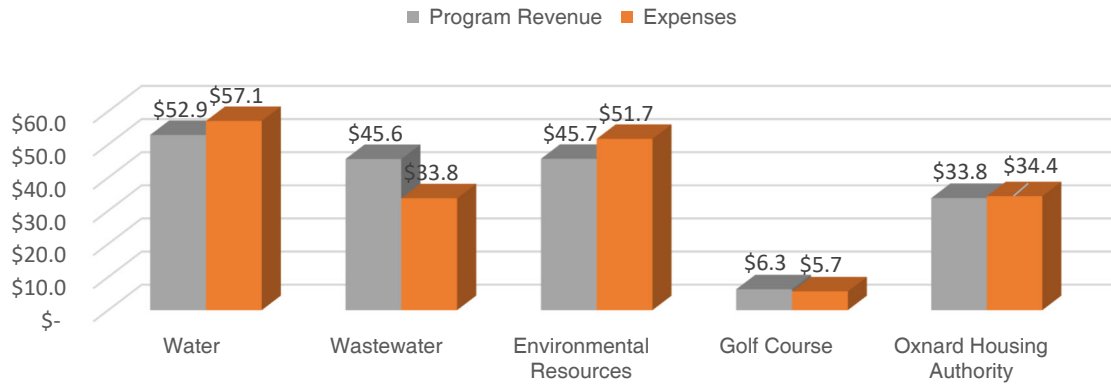


Business-type activities decreased the City's net position by \$8.4 million compared to prior fiscal year's decrease of \$0.5 million. Key elements of net position changes from the prior fiscal year were as follows:

- **Water's** net position decreased \$6.1 million, from \$141.7 million to \$135.6 million, compared to the prior fiscal year's decrease of \$9.7 million. The decrease is primarily driven by a combination of net operating loss of \$4.2 million due to drop in residential and commercial water sales, \$1.6 million nonoperating income and transfers, and \$3.5 million increase in net pension liability.
- **Wastewater's** net position increased \$8.5 million, from \$191.3 million to \$199.9 million, compared to the prior fiscal year's increase of \$7.7 million. With \$11.8 million of operating net income primarily from operating revenues exceeding expenses particularly multi-year capital improvement projects that will be carried over to the following fiscal year, offset by \$1.6 million nonoperating loss and transfers and \$1.7 million increase of net pension liability.
- **Environmental Resources'** net position decreased \$15.1 million, from \$36.1 million to \$21.0 million, compared to the prior fiscal year's decrease of \$4.0 million. The \$15.1 million change compared to the prior fiscal year is primarily due to \$6.0 million net operating loss with expenditures exceeding revenue, offset by \$0.2 million nonoperating income and transfers, and reduced by the prior year's reallocation of net pension liability increase by \$9.3 million.
- **The Golf Course's** net position increased \$0.6 million, from \$48.2 million to \$48.7 million. The increase is primarily driven by operating revenue exceeding expenses, yielding a net gain of \$0.6 million.
- **The Oxnard Housing Authority's** net position increased \$4.5 million, from \$26.4 million to \$30.9 million, compared to the prior fiscal year's increase of \$3.5 million.

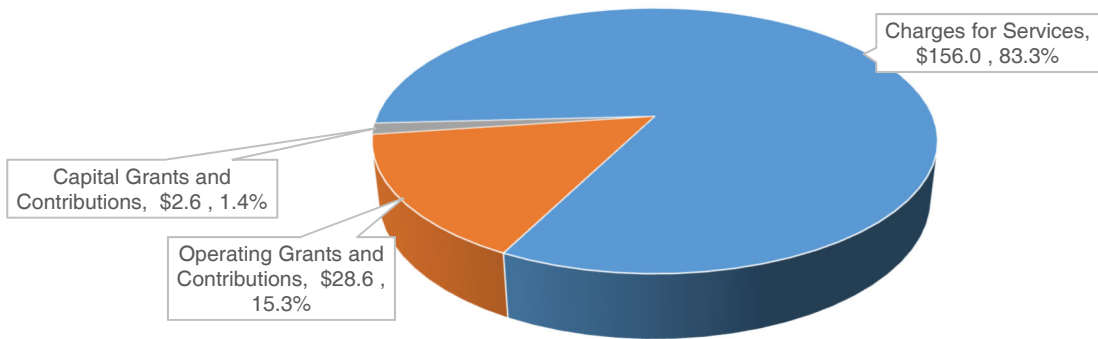
A comparison of program revenues to total expenses for each of the business-type activities, as well as a breakdown of revenues by type, is provided in the next two graphs. For business-type activities, charges for services provide the largest share of revenues (83.3 percent), except for the Oxnard Housing Authority Fund, which is primarily funded with operating grants.

Program Revenues and Expenses - Business-Type Activities
(in millions)



Program Revenues by Source - Business-Type Activities
(in millions)

Total Revenues = \$187.2



FUND FINANCIAL ANALYSIS

As noted earlier, the City uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental funds

The focus of the City's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the City's financing requirements. In particular, unassigned fund balance may serve as a useful measure of a government's net resources available for spending at the end of the fiscal year.

At the close of fiscal year 2022-23, City governmental funds reported a combined ending fund balance of \$205.9 million, an increase of \$17.4 million during fiscal year 2022-23 when compared to the prior fiscal year fund balance of \$188.6 million. This increase was primarily due to increase in fund balances for the General Fund of \$33.2 million and for the Development Fee Fund of \$0.2 million, and Capital Improvement Fund of \$1.1 million. The fund balance of the State and Federal Grants Fund decreased by \$0.3 million and the Non-major Funds fund balance decreased by \$16.9 million. Fund balance consists of \$0.4 million in nonspendable fund balance, \$113.6 million in restricted fund balance, \$44.7 million in committed fund balance, \$27.8 million in assigned fund balance and \$19.4 million in unassigned fund balance. Refer to pages 30-37 for more detail on governmental funds.

Governmental Fund Balance Sheet Summary (in thousands)

	GENERAL FUND GROUP		TOTAL GOVERNMENTAL FUNDS*	
	2023	2022	2023	2022
Assets	\$ 122,279	\$ 104,141	\$ 358,626	\$ 345,235
TOTAL ASSETS				
AND DEFERRED OUTFLOWS	\$ 122,279	\$ 104,141	\$ 358,626	\$ 345,235
Liabilities, Deferred Inflows and Fund Balance				
Liabilities	\$ 30,591	\$ 45,709	\$ 92,600	\$ 99,036
Deferred inflows of resources	458	437	60,076	57,626
Total Liabilities and Deferred Inflows	31,049	46,146	152,676	156,662
Fund Balances				
Nonspendable	400	3,589	404	3,589
Restricted	-	-	113,608	108,730
Committed	43,631	28,281	44,739	50,292
Assigned	27,812	-	27,812	-
Unassigned	19,388	26,125	19,388	25,962
Total Fund Balances	91,231	57,995	205,951	188,573
TOTAL LIABILITIES, DEFERRED INFLOWS AND FUND BALANCES	\$ 122,280	\$ 104,141	\$ 358,627	\$ 345,235

* see table on page 22 for all other governmental funds.

General Fund Group – Fund Balance Analysis

The General Fund Group's combined fund balance increased \$33.2 million in fiscal year 2022-2023, reaching \$91.2 million, primarily driven by robust sales tax revenues and strong economic growth. The General Fund Group's fund balance consists of \$0.4 million in nonspendable, \$21.7 million in funds committed to multi-year capital projects, \$21.9 million committed to Measure O eligible services, \$27.8 million assigned to operating reserves, and \$19.4 million as unassigned.

General Fund Group – Revenue and Expenditure Analysis

General Fund Group Revenues for fiscal year 2022-23 were \$240.5 million, an increase of \$19.8 million, or 9 percent, compared to the prior fiscal year.

Comparison of General Fund Group Revenues (in thousands)

	Fiscal Year 2023	Fiscal Year 2022	Increase (Decrease) Compared to Prior Fiscal Year	% of Increase (Decrease) Over Prior Fiscal Year
Taxes				
Property Taxes	\$ 67,668	\$ 63,226	\$ 4,442	7.0%
Sales Tax	128,534	118,084	10,450	8.8%
Transient Occupancy Tax	5,271	5,117	154	3.0%
Business License Tax	6,677	6,538	139	2.1%
Franchise Tax	4,934	4,169	765	18.3%
Other Taxes	680	978	(298)	(30.5%)
Licenses and Permits	4,274	2,607	1,667	63.9%
Intergovernmental	2,342	2,209	133	6.0%
Charges for Services	11,962	16,117	(4,155)	(25.8%)
Fines and Forfeitures	2,815	2,542	273	10.7%
Interest on Investments	(95)	300	(395)	(131.7%)
Special Assessments	350	509	(159)	(31.2%)
Miscellaneous	5,127	(1,653)	6,780	(410.2%)
Total	\$ 240,539	\$ 220,743	\$ 19,796	9.0%

- Property tax revenue increased by \$4.4 million, or 7 percent, primarily due to increases in assessed values for properties within the City.
- Sales tax revenue increased by \$10.5 million, or 8.8 percent, primarily driven by continuous growth and expansion of the local economy.
- License and Permits and Charges for services decreased \$2.2 million, or 20 percent, primarily due to reduced inspection fees resulting from multi-year projects that have been delayed to the next fiscal year and less internal staff charges to multi year and capital improvement projects compared to prior year. These two categories were combined because in FY 2022, certain accounts were included in Charges for Services, but in FY 2023, they were reclassified under Licenses and Permits.

- Investment income and Misc. revenues experienced a notable increase of \$6.3 million, primarily driven by a reduction in unrealized losses on fair market value adjustments compared to FY22, resulting in a net increase of \$2.7 million combined with another \$2.8 million rise in interest income due to higher interest rates in FY23 (averaging 1.5% compared to 0.04% in FY22). Additionally, the Treasurer invested an additional \$62 million from Measure E in securities yielding returns at or above 5%, mitigating the impact of lower interest rates on overall securities. Miscellaneous revenue experienced an increase of \$0.6 million from one-time cannabis revenue, which revenue has been earmarked for improvement to the Colonia Multi-Service Center Building.

General Fund Group Expenditures for fiscal year 2022-2023 increased \$20.5 million, or 12.0 percent, compared to the prior fiscal year.

**Comparison of General Fund Group Expenditures
(in thousands)**

	Fiscal Year 2023	Fiscal Year 2022*	Increase (Decrease) Compared to Prior Fiscal Year	% of Increase (Decrease) Over Prior Fiscal Year
Current				
General Government	\$ 21,685	\$ 18,205	\$ 3,480	19.1%
Public Safety	111,414	101,609	9,805	9.6%
Public Works	25,554	25,047	507	2.0%
Community Development	12,251	10,594	1,657	15.6%
Culture, Leisure and Libraries	13,055	11,371	1,684	14.8%
Housing	4,231	1,614	2,617	162.1%
Capital Outlay	1,212	1,087	125	11.5%
Debt Service				
Principal	1,447	850	597	70.2%
Interest and Fiscal Charges	456	468	(12)	(2.6%)
Total	\$ 191,305	\$ 170,845	\$ 20,460	12.0%

* FY 2022 expenditures were reclassified to be consistent with 2023 classifications.

- General government expenditures increased \$3.5 million or 19.1 percent compared to prior year, primarily driven by a rise in salaries and benefits, increase in legal contractual services, and increased in IT central support charges.
- Public safety expenditures increased \$9.8 million, or 9.7 percent, primarily due to increased personnel costs for wages and benefits associated with new negotiated labor contracts, implementation of class and compensation, increases in staffing with less vacancy compared to FY22, an increase of IT central support costs, and the Animal Shelter contractual cost.

- Community Development expenditures increased \$1.7 million, or 16.0 percent, primarily due to increase in personnel cost primarily within the Building and Engineering Division due to fewer vacancies compared to the prior year. Additionally, there was a \$0.7 million increase in IT central support charges.
- Culture Community Services expenditures increased \$1.7 million, or 14.8 percent, primarily due to increase in personnel cost driven by less vacancy, implementation of class and compensation, and COLA increases with new negotiated labor contracts.
- Public Works and capital related expenditures increased \$0.5 million, primarily due to increase in contractual services for street maintenance, higher salaries and benefits, and additional IT support charges. Moreover, in Public Works, similar to other operating units, the capital improvement-related expenditures are now reported under Capital Improvement Funds in FY2023 compared to FY2022 whereby capital outlay expenses were reported as part of operating funds and operating units.
- Housing expenditures increased \$2.6 million, or a 162% rise, primarily driven by expenses related to Measure O homeless programs, as well as an increase in staffing and contractual services.
- There was an increase of \$0.6 million, primarily attributed to higher interest and principal payments for debt-related services.

Other Governmental Funds

Other Governmental Funds Balance Sheet Summary
(in thousands)

	AFFORDABLE HOUSING		DEVELOPMENT FEES		STATE AND FEDERAL GRANTS FUND		CAPITAL IMPROVEMENT FUND		OTHER GOVERNMENTAL		TOTAL	
	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022	2023	2022
Assets												
TOTAL ASSETS	\$ 37,084	\$ 37,027	\$ 71,524	\$ 70,625	\$ 47,326	\$ 48,975	\$ 10,362	\$ -	\$ 70,051	\$ 84,467	\$ 236,347	\$ 241,094
AND DEFERRED OUTFLOWS	\$ 37,084	\$ 37,027	\$ 71,524	\$ 70,625	\$ 47,326	\$ 48,975	\$ 10,362	\$ -	\$ 70,051	\$ 84,467	\$ 236,347	\$ 241,094
Liabilities, Deferred Inflows and Fund Balance												
Liabilities	\$ 10	\$ 11	\$ 4,854	\$ 4,215	\$ 41,355	\$ 42,613	\$ 9,254	\$ -	\$ 6,535	\$ 6,488	\$ 62,009	\$ 53,327
Deferred inflows of resources	33,628	33,628	10,846	10,846	5,664	5,708	-	-	9,480	7,006	59,618	57,189
Total Liabilities and Deferred Inflows	33,638	33,639	15,700	15,061	47,019	48,321	9,254	-	16,015	13,494	121,627	110,516
Fund Balances												
Nonspendable	-	-	-	-	-	-	-	-	4	-	4	-
Restricted	3,446	3,389	55,824	55,564	307	816	-	-	54,032	48,961	113,608	108,730
Committed	-	-	-	-	-	-	1,108	-	-	22,011	1,108	22,011
Unassigned	-	-	-	-	-	(163)	-	-	-	-	-	(163)
Total Fund Balances	3,446	3,389	55,824	55,564	307	653	1,108	-	54,036	70,972	114,720	130,578
TOTAL LIABILITIES, DEFERRED INFLOWS AND FUND BALANCES	\$ 37,084	\$ 37,028	\$ 71,524	\$ 70,625	\$ 47,326	\$ 48,974	\$ 10,362	\$ -	\$ 70,051	\$ 84,466	\$ 236,347	\$ 241,094

Affordable Housing

The Affordable Housing Fund fund balance stayed flat compared to the prior fiscal year.

Development Fees Fund

The Development Fees Fund accounts for development fees that the City collects on new development projects to provide resources for related projects such as parks, storm drain facilities, traffic improvement, utility undergrounding, and community development.

The Development Fees Fund fund balance increased \$0.3 million compared to the prior year's increase of \$0.3 million due to higher interest income and timing of various development projects.

State and Federal Grants Fund

The State and Federal Grants Fund fund balance decreased during the current year by approximately \$0.3 million due appropriation from fund balances for the Oxnard Housing Authority properties maintenance.

Capital Improvement Fund

The Capital Improvement Fund captures all capital-related projects for governmental funds in the new ERP Chart of Accounts. Previously to FY2023, capital improvements projects were part of operational funds. In fiscal year 2023, the General Fund Group made a significant contribution of \$11.3 million to the Capital Fund for capital improvement projects, with allocations of \$3.7 million for neighborhood resurfacing, \$2.2 million for ERP implementation, \$2.3 million for the Xavier Road building acquisition, \$1 million for roof replacement at Sturgis Annex, and \$0.9 million for playground replacement and public restroom improvements.

Proprietary Funds

The City's proprietary funds financial statements provide the same type of information found in the government-wide financial statements business-type activities with greater detail.

Details on the net positions for the Water, Wastewater, Environmental Resources, and Oxnard Housing Authority funds are provided below:

**City of Oxnard
Proprietary Funds
June 30, 2023
(in thousands)**

	Net Position	Current Change in Net Position	Prior Period Adjustment	Total Change In Net Position
Water	\$ 135,630	\$ (2,525)	\$ (3,532)	\$ (6,057)
Wastewater	199,851	10,194	(1,685)	8,509
Environmental Resources	21,015	(5,831)	(9,257)	(15,088)
Golf Course	48,748	596	-	596
Oxnard Housing Authority	30,901	4,514	-	4,514
Totals	<u>\$ 436,145</u>	<u>\$ 6,948</u>	<u>\$ (14,474)</u>	<u>\$ (7,526)</u>

Please refer to the business-type activities discussion on pages 17-18 for analysis of the changes in these funds. Proprietary financial statements can be found on pages 38-47.

BUDGETARY HIGHLIGHTS

General Fund Group

The General Fund Group budget and actual report can be found on pages 162-168. The \$10.6 million change in fund balance between the original and final budget is the result of City Council approved budget adjustments. Major differences are highlighted below:

General Fund Group Budget and Actual (in thousands)				
	Original Budget	Final Budget	Actual	Variance With Final Budget Positive (Negative)
Revenues				
Taxes				
Property Taxes	\$ 66,624	\$ 66,624	\$ 67,668	\$ 1,044
Sales Tax	113,876	113,876	128,534	14,658
Transient Occupancy Tax	5,602	5,602	5,271	(331)
Business License Tax	6,400	6,400	6,677	277
Franchise Tax	4,309	4,309	4,934	625
Other Taxes	985	985	680	(305)
Licenses and Permits	3,906	3,906	4,274	368
Intergovernmental	2,496	2,496	2,342	(154)
Charges for Services	13,436	13,436	11,962	(1,474)
Fines and Forfeitures	2,729	2,729	2,815	86
Investment income	938	938	(95)	(1,033)
Special Assessments	345	345	350	5
Miscellaneous	3,347	5,647	5,127	(520)
Total Revenues	<u>224,993</u>	<u>227,293</u>	<u>240,539</u>	<u>13,246</u>
Expenditures				
Current				
General Government	27,972	24,079	21,685	2,394
Public Safety	105,197	108,510	111,414	(2,904)
Public Works	27,632	30,732	25,554	5,178
Community Development	13,619	15,045	12,251	2,794
Culture, Leisure and Library Services	14,583	15,043	13,055	1,988
Housing	4,052	6,506	4,231	2,275
Capital Outlay	545	1,552	1,212	340
Debt Service				
Principal	2,635	2,635	1,447	1,188
Interest and Fiscal Charges	466	466	456	10
Total Expenditures	<u>196,701</u>	<u>204,568</u>	<u>191,305</u>	<u>13,263</u>
Excess of Revenues Over/(Under)				
Expenditures	28,292	22,725	49,234	26,509
Other Financing Sources/(Uses)				
Inception of subscription liabilities	-	-	1,567	1,567
Transfer from fiduciary fund	-	-	92	92
Net Transfers	(15,487)	(20,489)	(17,656)	2,833
Total Other Financing Sources/(Uses)	<u>(15,487)</u>	<u>(20,489)</u>	<u>(15,997)</u>	<u>4,492</u>
Net Change in Fund Balance	<u>\$ 12,805</u>	<u>\$ 2,236</u>	<u>\$ 33,237</u>	<u>\$ 31,001</u>

General Fund Group Revenues were \$13.2 million, or 5.8 percent, higher than final budget as a result of combination of higher than anticipated sales tax revenue with a slight offset by the unanticipated decrease of charges for services mainly due to less inspections for building and permits.

- Property taxes were \$1.0 million higher than both original and final budget due primarily to slight growth of assessed property valuation.
- Sales tax revenues were \$14.7 million higher than both original and final budget primarily driven by continuous growth of local economy with rising fuel prices, higher auto demand, increase of building and construction, strong demand of restaurants and hotels, as well as stronger retail consumption.
- Charges for services were \$1.5 million lower than both original and final budget primarily due less permits pulled compared to permits pulled in prior fiscal year 2022 for one-time building and permits inspections pulled for Amazon and Wagon Wheels.
- Investment income was \$1.0 lower than both original and final budget primarily due to unrealized loss on investments which was not factored into the budget.

General Fund Group Expenditures were \$13.3 million, or 6.5 percent, lower than final budget as a result of the following:

- General Government expenditures were \$2.4 million lower than budget primarily due to vacancy savings and lower contractual spending.
- Public Safety expenditures were \$2.9 million higher than budget primarily due to Police's unrealized vacancy savings compared to anticipate.
- Public Works costs combined were \$5.2 million lower than budget primarily due vacancy savings, lower contractual spending, and delayed spending on multi-year capital improvement projects due to staffing turnover for Street Maintenance and Transportation.
- Community Development expenditures were \$2.8 million lower than budget primarily due to lower spending on planning and building engineering consultant plan check services, and deferred spending on multi-year General Plan Maintenance projects and delayed spending on multi-year capital improvement projects that will be carried over to the following fiscal year.
- Culture, Leisure and Library Services expenditures were \$2.0 million lower than budget primarily due to vacancy savings and lower contractual spending.
- Housing expenditures were \$2.2 million lower than budget primarily due vacancy savings, lower contractual spending, and delayed spending on multi-year Homeless programs that will be carried over to the following fiscal year.
- Debt Service expenditures were \$1.2 million lower than budget primarily because the City planned to pay off Measure O bonds earlier than maturity but was unable to do so due to a lawsuit that prevented the City from issuing or refinancing debt, therefore creating a sudden need for cash spending elsewhere.

ECONOMIC AND LEGAL FACTORS

The City of Oxnard made continued strong economic growth and progress in its recovery after “shelter-in-place” mandates associated with the global COVID-19 pandemic. The expansion of new business and development, such as Wagon Wheels and Amazon, have attributed to the growth of General Fund revenue base. The two main sources of General Fund revenue – sales tax and property. With the passage of Measure E, sales tax is the City’s largest General Fund revenue source with revenues of \$128.5 million, an increase of 8.8 percent over the prior fiscal year. Property tax is the second largest with revenues of \$67.7 million, an increase of 7 percent over the prior fiscal year. New development and increasing property values supported the steady growth of property tax while increase in demand for consumer goods, travel, auto sales, and effects of inflation attributed to the increase of sales tax. While most local taxes performed strongly in FY2023-23, economic uncertainty remains elevated due to persistent recession fears.

On the expenditure side, annual increases in labor costs, particularly for pensions and healthcare benefits, continue to outstrip revenue growth in most funds. The City benefits substantially from a public safety property tax established by voters in 1951, which relieves about half of the fiscal burden on the General Fund imposed by rising pension costs.

Several ongoing lawsuits could affect the City’s finances. The status of each is described on pages 138-141.

CONTACTING THE CITY'S FINANCIAL MANAGEMENT

This financial report is designed to provide elected officials, residents, taxpayers, customers, employees, and investors with a general overview of the City's finances and to show the City's accountability for the money it receives. If you have questions about this report, please contact the Finance Department at 300 W. 3rd Street, Oxnard, CA 93030, or call (805) 385-7475.

BASIC FINANCIAL STATEMENTS

CITY OF OXNARD, CALIFORNIA
STATEMENT OF NET POSITION
JUNE 30, 2023

	Governmental Activities	Business-type Activities	Total
ASSETS			
Current Assets			
Cash and investments	\$ 255,752,338	\$ 180,417,777	\$ 436,170,115
Accounts and other receivables (net of allowance for doubtful accounts)	5,095,155	20,447,349	25,542,504
Internal balances	(6,882,932)	6,882,932	-
Due from other governments	44,717,846	-	44,717,846
Due from fiduciary fund	115,508	-	115,508
Other assets	539,246	728,681	1,267,927
Total Current Assets	<u>299,337,161</u>	<u>208,476,739</u>	<u>507,813,900</u>
Noncurrent Assets			
Lease receivable	734,211	-	734,211
Properties held for resale	570,000	-	570,000
Notes receivable (net of allowance for doubtful accounts)	66,281,277	3,898,406	70,179,683
Restricted: Investments with fiscal agents	12,081,532	15,754,462	27,835,994
Capital assets not being depreciated	1,455,828,104	72,741,386	1,528,569,490
Capital assets, net of accumulated depreciation	545,538,184	479,483,156	1,025,021,340
Total Noncurrent Assets	<u>2,081,033,308</u>	<u>571,877,410</u>	<u>2,652,910,718</u>
Total Assets	<u>2,380,370,469</u>	<u>780,354,149</u>	<u>3,160,724,618</u>
DEFERRED OUTFLOW OF RESOURCES			
Deferred amount on refunding	2,279,234	2,070,519	4,349,753
Deferred outflow on pension plans	104,038,870	22,748,659	126,787,529
Deferred outflow on other post-employment benefit plan (OPEB)	10,506,612	2,950,720	13,457,332
Total Deferred Outflow of Resources	<u>116,824,716</u>	<u>27,769,898</u>	<u>144,594,614</u>
LIABILITIES			
Current Liabilities			
Accounts payable	23,973,281	12,981,872	36,955,153
Other current liabilities	16,193,785	4,505,500	20,699,285
Unearned revenues	41,375,113	78,132	41,453,245
Compensated absences payable - current	11,674,201	2,259,450	13,933,651
Other post-employment benefits payable - current	655,406	134,557	789,963
Self insurance claims - current	9,386,245	-	9,386,245
Notes payable - current	23,603	-	23,603
Subscription liabilities - current	964,514	11,456	975,970
Lease purchase and revenue bonds - current	6,766,490	13,773,308	20,539,798
Total Current Liabilities	<u>111,012,638</u>	<u>33,744,275</u>	<u>144,756,913</u>
Noncurrent Liabilities			
Compensated absences payable	5,899,957	1,524,805	7,424,762
Net pension liabilities	277,451,850	71,276,699	348,728,549
Other post-employment benefits payable	37,738,890	10,472,623	48,211,513
Self-insurance claims	35,172,987	-	35,172,987
Other noncurrent liabilities	-	801,929	801,929
Subscription liabilities	1,137,138	12,458	1,149,596
Lease purchase and revenue bonds, net of premiums and discount	89,123,533	249,486,634	338,610,167
Total Noncurrent Liabilities	<u>446,524,355</u>	<u>333,575,148</u>	<u>780,099,503</u>
Total Liabilities	<u>557,536,993</u>	<u>367,319,423</u>	<u>924,856,416</u>
DEFERRED INFLOW OF RESOURCES			
Deferred inflow on pension plans	11,702,489	1,108,725	12,811,214
Deferred inflow on other post-employment benefit plan (OPEB)	13,435,929	3,719,705	17,155,634
Deferred gain on refunding	565,336	-	565,336
Deferred inflow on leases	708,739	-	708,739
Total Deferred Inflow of Resources	<u>26,412,493</u>	<u>4,828,430</u>	<u>31,240,923</u>
NET POSITION			
Net investment in capital assets	1,916,938,386	294,321,444	2,211,259,830
Restricted for:			
Debt service	6,617,139	24,650,884	31,268,023
Housing	3,652,651	92,029	3,744,680
Maintenance districts	11,546,024	-	11,546,024
Public safety	3,888,342	-	3,888,342
Public works - transportation	2,447,083	-	2,447,083
Infrastructure	64,619,099	39,189,217	103,808,316
Grants	20,837,841	-	20,837,841
Unrestricted	(117,300,866)	77,722,620	(39,578,246)
TOTAL NET POSITION	<u>\$ 1,913,245,699</u>	<u>\$ 435,976,194</u>	<u>\$ 2,349,221,893</u>

The accompanying notes are an integral part of the financial statements.

CITY OF OXNARD, CALIFORNIA
STATEMENT OF ACTIVITIES
 FOR THE YEAR ENDED JUNE 30, 2023

Functions/Programs	Expenses	Program Revenues		
		Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions
PRIMARY GOVERNMENT				
Governmental Activities				
General government	\$ 26,045,796	\$ 10,576,686	\$ 21,227,460	\$ -
Public safety	132,980,119	6,475,878	3,936,984	-
Public works	59,150,684	10,868,488	10,479,566	-
Community development	10,057,675	8,479,985	165,739	-
Culture, leisure and libraries	17,400,561	1,097,398	4,768,631	-
Housing	10,180,614	259,127	5,609,056	-
Interest on long-term debt	3,548,487	-	-	-
Total Governmental Activities	259,363,936	37,757,562	46,187,436	-
Business-Type Activities				
Water	64,460,086	52,868,819	-	-
Wastewater	37,234,300	45,599,034	-	-
Environmental Resources	50,482,111	45,650,825	-	-
Golf Course	5,694,314	6,294,905	-	-
Oxnard Housing Authority	34,437,715	5,627,664	28,634,591	2,557,794
Total Business-Type Activities	192,308,526	156,041,247	28,634,591	2,557,794
TOTAL PRIMARY GOVERNMENT	\$ 451,672,462	\$ 193,798,809	\$ 74,822,027	\$ 2,557,794

GENERAL REVENUES

Taxes
 Property tax
 Sales tax
 Transient occupancy tax
 Franchise tax
 Deed transfer tax
 Business license tax
 Penalties and interest
 Gain on disposition of assets
 Transfer from (to) fiduciary funds
 Investment income

TRANSFERS

Total General Revenues, Contributed Capital, and Transfers

CHANGE IN NET POSITION

NET POSITION - JULY 1, AS PREVIOUSLY STATED
PRIOR-PERIOD ADJUSTMENT
NET POSITION, JULY 1, RESTATED
NET POSITION - JUNE 30

The accompanying notes are an integral part of the financial statements.

Net (Expenses) Revenues and Changes in Net Position			
Primary Government			
Governmental Activities	Business-type Activities	Total	Functions/Programs
PRIMARY GOVERNMENT			
Governmental Activities			
\$ 5,758,350	\$ -	\$ 5,758,350	General government
(122,567,257)	-	(122,567,257)	Public safety
(37,802,630)	-	(37,802,630)	Public works
(1,411,951)	-	(1,411,951)	Community development
(11,534,532)	-	(11,534,532)	Culture, leisure and libraries
(4,312,431)	-	(4,312,431)	Housing
(3,548,487)	-	(3,548,487)	Interest on long-term debt
(175,418,938)	-	(175,418,938)	Total Governmental Activities
Business-Type Activities			
-	(11,591,267)	(11,591,267)	Water
-	8,364,734	8,364,734	Wastewater
-	(4,831,286)	(4,831,286)	Environmental resources
-	600,591	600,591	Golf Course
-	2,382,334	2,382,334	Oxnard Housing Authority
-	(5,074,894)	(5,074,894)	Total Business-Type Activities
(175,418,938)	(5,074,894)	(180,493,832)	TOTAL PRIMARY GOVERNMENT
GENERAL REVENUES			
Taxes			
78,849,939	-	78,849,939	Property tax
128,533,831	-	128,533,831	Sales tax
5,271,128	-	5,271,128	Transient occupancy tax
5,303,433	-	5,303,433	Franchise tax
679,570	-	679,570	Deed transfer tax
6,677,266	-	6,677,266	Business license tax
294,969	-	294,969	Penalties and interest
2,098	1,657,939	1,660,037	Gain on disposition of assets
(2,354,419)	-	(2,354,419)	Transfer from (to) fiduciary funds
757,515	2,076,950	2,834,465	Investment income
(9,727,732)	9,727,732	-	TRANSFERS
214,287,598	13,462,621	227,750,219	Total General Revenues, Contributed Capital, and Transfers
38,868,660	8,387,727	47,256,387	CHANGE IN NET POSITION
1,866,817,291	442,062,195	2,308,879,486	NET POSITION - JULY 1, AS PREVIOUSLY STATED
7,559,748	(14,473,728)	(6,913,980)	PRIOR-PERIOD ADJUSTMENT
1,874,377,039	427,588,467	2,301,965,506	NET POSITION, JULY 1, RESTATED
\$ 1,913,245,699	\$ 435,976,194	\$ 2,349,221,893	NET POSITION - JUNE 30

CITY OF OXNARD, CALIFORNIA
BALANCE SHEET
GOVERNMENTAL FUNDS
JUNE 30, 2023

	GENERAL	AFFORDABLE HOUSING	DEVELOPMENT FEES FUND
ASSETS			
Cash and investments	\$ 86,478,405	\$ 3,441,480	\$ 60,453,116
Restricted investments with fiscal agents	-	-	-
Accounts and other receivables	4,659,665	14,441	224,411
Due from other funds	2,005,080	-	-
Due from other government	26,353,853	-	-
Due from fiduciary funds	104,247	-	-
Prepaid expenses	377,939	-	-
Lease receivable	479,158	-	-
Advances to other funds	1,821,056	-	-
Notes receivable	-	33,628,083	10,846,230
Total Assets	<u>\$ 122,279,403</u>	<u>\$ 37,084,004</u>	<u>\$ 71,523,757</u>
LIABILITIES AND DEFERRED INFLOWS OF RESOURCES			
LIABILITIES			
Accounts payable	\$ 8,535,715	\$ 2,878	\$ 198,248
Other liabilities	10,530,351	-	4,655,504
Due to other funds	9,704,394	7,480	-
Advances from other funds	1,821,056	-	-
Unearned revenues	-	-	-
Total Liabilities	<u>30,591,516</u>	<u>10,358</u>	<u>4,853,752</u>
DEFERRED INFLOW OF RESOURCES			
Deferred inflow on loans	-	33,628,083	10,846,230
Deferred inflow on leases	457,577	-	-
Total Deferred Inflow of Resources	<u>457,577</u>	<u>33,628,083</u>	<u>10,846,230</u>
Total Liabilities and Deferred Inflows of Resources	<u>\$ 31,049,093</u>	<u>\$ 33,638,441</u>	<u>\$ 15,699,982</u>
FUND BALANCES			
Nonspendable			
Prepaid expenses	\$ 377,939	\$ -	\$ -
Lease receivable, net	21,581	-	-
Restricted for:			
Debt service	-	-	-
Housing	-	3,445,563	-
Maintenance districts	-	-	-
Public safety	-	-	-
Public works - transportation	-	-	-
Infrastructure	-	-	55,823,775
Grants	-	-	-
Committed to:			
Measure "O" eligible services	21,917,546	-	-
Capital projects	20,604,727	-	-
Streets and traffic improvements/maintenance	1,108,904	-	-
Assigned to:			
Operating reserves	27,812,072	-	-
Unassigned	<u>19,387,541</u>	<u>-</u>	<u>-</u>
Total Fund Balances	<u>91,230,310</u>	<u>3,445,563</u>	<u>55,823,775</u>
TOTAL LIABILITIES, DEFERRED INFLOWS, AND FUND BALANCES	<u>\$ 122,279,403</u>	<u>\$ 37,084,004</u>	<u>\$ 71,523,757</u>

The accompanying notes are an integral part of the financial statements.

STATE AND FEDERAL GRANTS FUND	CAPITAL IMPROVEMENT FUND	NONMAJOR	TOTAL GOVERNMENT
\$ 18,319,848	\$ 10,362,030	\$ 43,312,212	\$ 222,367,091
-	-	12,081,532	12,081,532
-	-	206,586	5,105,103
9,933,656	-	-	11,938,736
13,408,449	-	4,955,544	44,717,846
-	-	11,224	115,471
-	-	-	377,939
-	-	255,053	734,211
-	-	-	1,821,056
5,663,996	-	9,228,988	59,367,297
<u>\$ 47,325,949</u>	<u>\$ 10,362,030</u>	<u>\$ 70,051,139</u>	<u>\$ 358,626,282</u>

ASSETS

Cash and investments
Restricted investments with fiscal agents
Accounts and other receivables
Due from other funds
Due from other government
Due from fiduciary funds
Prepaid expenses
Lease receivable
Advances to other funds
Notes receivable

Total Assets

LIABILITIES AND DEFERRED INFLOWS OF RESOURCES

LIABILITIES

Accounts payable
Other liabilities
Due to other funds
Advances from other funds
Unearned revenues

Total Liabilities

DEFERRED INFLOW OF RESOURCES

Deferred inflow on loans
Deferred inflow on leases

Total Deferred Inflow of Resources

Total Liabilities and Deferred Inflows of Resources

\$ 959,998	\$ 9,254,288	\$ 2,161,390	\$ 21,112,517
55,575	-	318,457	15,559,887
8,019,329	-	1,914,327	19,645,530
-	-	-	1,821,056
<u>32,320,508</u>	<u>-</u>	<u>2,140,625</u>	<u>34,461,133</u>
<u>41,355,410</u>	<u>9,254,288</u>	<u>6,534,799</u>	<u>92,600,123</u>
5,663,997	-	9,228,988	59,367,298
-	-	251,162	708,739
<u>5,663,997</u>	<u>-</u>	<u>9,480,150</u>	<u>60,076,037</u>
<u>\$ 47,019,407</u>	<u>\$ 9,254,288</u>	<u>\$ 16,014,949</u>	<u>\$ 152,676,160</u>

FUND BALANCES

Nonspendable

Prepaid expenses
Lease receivable, net

Restricted for:

Debt service
Housing
Maintenance districts
Public safety
Public works - transportation
Infrastructure
Grants

Committed to:

Measure "O" eligible services
Capital projects
Streets and traffic improvements/maintenance

Assigned to:

Operating reserves

Unassigned

Total Fund Balances

TOTAL LIABILITIES, DEFERRED INFLOWS, AND FUND BALANCES

\$ -	\$ -	\$ -	\$ 377,939
-	-	3,891	25,472
-	-	6,617,139	6,617,139
-	-	207,088	3,652,651
-	-	11,546,024	11,546,024
-	-	3,888,342	3,888,342
-	-	2,447,083	2,447,083
-	-	8,795,324	64,619,099
306,542	-	20,531,299	20,837,841
-	-	-	21,917,546
-	1,107,742	-	21,712,469
-	-	-	1,108,904
-	-	-	27,812,072
-	-	-	19,387,541
<u>306,542</u>	<u>1,107,742</u>	<u>54,036,190</u>	<u>205,950,122</u>
<u>\$ 47,325,949</u>	<u>\$ 10,362,030</u>	<u>\$ 70,051,139</u>	<u>\$ 358,626,282</u>

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CITY OF OXNARD, CALIFORNIA
RECONCILIATION OF THE BALANCE SHEET OF GOVERNMENTAL FUNDS
TO THE STATEMENT OF NET POSITION
JUNE 30, 2023

Fund balances of governmental funds		\$ 205,950,122
Amounts reported for governmental activities in the Statement of Net Position are different because:		
Accounts receivable and other assets not reported in the governmental funds		161,307
Land held for resale is not reported in the governmental funds		570,000
Capital assets of \$2,397,854,826 net of accumulated depreciation (\$399,075,463) are not financial resources and, therefore, are not reported in the governmental funds.		1,998,779,363
Deferred outflows for the following are not reported in the governmental funds:		
Deferred loss on refunding	\$ 2,279,234	
Deferred outflow on pension and OPEB plans	<u>108,509,041</u>	110,788,275
Accrued interest payable for the current portion of interest due on long-term liabilities has not been reported in the governmental funds.		(446,393)
Notes receivable in the governmental funds are deferred because they are not available within the prescribed time period after year-end. However, the notes receivable are included on the accrual basis of accounting used in the government-wide financial statements.		59,367,298
Long-term liabilities are not due and payable in the current period and, therefore, are not reported in the governmental funds:		
Subscription liabilities	(1,203,699)	
Compensated absences	(16,829,654)	
Notes payable	(23,602)	
Other post-employment liability	(34,706,312)	
Net pension liabilities	(261,628,379)	
Leases and bonds payable, net of issuance discounts, issuance premium and prepaid insurance	<u>(95,890,023)</u>	(410,281,669)
Deferred inflows for the following are not reported in the governmental funds:		
Deferred gain on refunding	(565,336)	
Deferred inflow on pension and OPEB plans	<u>(23,601,884)</u>	(24,167,220)
Internal service funds are used by management to charge the costs of certain activities, such as insurance, information services, facilities, and equipment maintenance. The assets and liabilities of the internal service funds are included in the government activities in the statement of net position. Amounts are net of internal payable representing charges in excess of cost to business-type activities.		<u>(27,475,384)</u>
NET POSITION OF GOVERNMENTAL ACTIVITIES		<u>\$ 1,913,245,699</u>

The accompanying notes are an integral part of the financial statements.

CITY OF OXNARD
STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	GENERAL	AFFORDABLE HOUSING	DEVELOPMENT FEE FUND
REVENUES			
Taxes	\$ 213,763,535	\$ -	\$ -
Licenses and permits	4,273,740	-	-
Intergovernmental	2,342,238	53,231	-
Growth and development fees	-	-	4,168,962
Charges for services	11,962,462	-	-
Fines and forfeitures	2,814,558	-	-
Investment income (loss)	(95,212)	27,669	221,349
Special assessments	350,055	-	-
Miscellaneous	5,127,357	125	111,369
Total Revenues	<u>240,538,733</u>	<u>81,025</u>	<u>4,501,680</u>
EXPENDITURES			
Current			
General government	21,684,582	-	24,256
Public safety	111,414,131	-	-
Public works	25,554,420	-	9,207
Community development	12,251,385	-	345,052
Culture, leisure and libraries	13,054,976	-	1,054
Housing	4,230,846	24,010	505,622
Capital Outlay	1,212,351	-	-
Debt Service			
Principal	1,447,308	-	-
Interest and fiscal charges	456,480	-	-
Total Expenditures	<u>191,306,479</u>	<u>24,010</u>	<u>885,191</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>49,232,254</u>	<u>57,015</u>	<u>3,616,489</u>
OTHER FINANCING SOURCES (USES)			
Issuance of debt	-	-	-
Inception of subscription-based IT arrangements	1,567,026	-	-
Transfer from fiduciary funds	91,621	-	-
Transfer to fiduciary funds	-	-	-
Transfers in from other funds	3,022,237	-	-
Transfers out to other funds	(20,678,587)	-	(3,356,873)
Total Other Financing Sources (Uses)	<u>(15,997,703)</u>	<u>-</u>	<u>(3,356,873)</u>
NET CHANGE IN FUND BALANCES	33,234,551	57,015	259,616
FUND BALANCES, JULY 1	<u>57,995,759</u>	<u>3,388,548</u>	<u>55,564,159</u>
FUND BALANCES, JUNE 30	<u>\$ 91,230,310</u>	<u>\$ 3,445,563</u>	<u>\$ 55,823,775</u>

The accompanying notes are an integral part of the financial statements.

STATE AND FEDERAL GRANTS FUND	CAPITAL IMPROVEMENT FUND	NONMAJOR	TOTAL GOVERNMENTAL	
\$ -	\$ -	\$ 11,551,632	\$ 225,315,167	REVENUES
-	-	1,107,708	5,381,448	Taxes
28,659,747	-	15,132,220	46,187,436	Licenses and permits
-	-	-	4,168,962	Intergovernmental
-	-	1,154,135	13,116,597	Growth and development fees
-	-	2,000	2,816,558	Charges for services
1,424	-	602,285	757,515	Fines and forfeitures
-	-	6,153,806	6,503,861	Investment income (loss)
9,271	-	766,758	6,014,880	Special assessments
28,670,442	-	36,470,544	310,262,424	Miscellaneous
				Total Revenues
				EXPENDITURES
				Current
155,000	-	63,157	21,926,995	General government
2,574,472	-	17,054,430	131,043,033	Public safety
899,769	-	12,668,671	39,132,067	Public works
206,088	-	-	12,802,525	Community development
3,506,373	-	216,788	16,779,191	Culture, leisure and libraries
1,096,052	-	4,313,676	10,170,206	Housing
199,696	43,436,296	71,056	44,919,399	Capital Outlay
				Debt Service
103,614	-	5,718,174	7,269,096	Principal
4,251	-	3,400,911	3,861,642	Interest and fiscal charges
8,745,315	43,436,296	43,506,863	287,904,154	Total Expenditures
				EXCESS OF REVENUES OVER (UNDER)
19,925,127	(43,436,296)	(7,036,319)	22,358,270	EXPENDITURES
				OTHER FINANCING SOURCES (USES)
-	-	5,320,000	5,320,000	Issuance of debt
302,595	-	-	1,869,621	Inception of subscription-based IT arrangements
-	-	-	91,621	Transfer from fiduciary funds
-	-	(2,446,040)	(2,446,040)	Transfer to fiduciary funds
60,945	44,544,038	8,777,064	56,404,284	Transfers in from other funds
(20,635,132)	-	(21,550,356)	(66,220,948)	Transfers out to other funds
(20,271,592)	44,544,038	(9,899,332)	(4,981,462)	Total Other Financing Sources (Uses)
(346,465)	1,107,742	(16,935,651)	17,376,808	NET CHANGE IN FUND BALANCES
653,007	-	70,971,841	188,573,314	FUND BALANCES, JULY 1
\$ 306,542	\$ 1,107,742	\$ 54,036,190	\$ 205,950,122	FUND BALANCES, JUNE 30

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CITY OF OXNARD, CALIFORNIA
RECONCILIATION OF THE CHANGE IN FUND BALANCES OF THE
GOVERNMENTAL FUNDS TO THE STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2023

Net Change in Fund Balances - Total Governmental Funds **\$ 17,376,808**

Amounts reported for governmental activities in the statement of activities are different because:

Governmental funds report capital outlays as expenditures. However, in the statement of activities, the cost of those assets is allocated over their estimated useful lives and reported as depreciation expense. This is the amount by which depreciation expense and amortization expense (\$24,809,152) exceeded capital outlay (\$39,747,367), that was capitalized in the current period. 14,938,215

Revenues in the statement of activities that do not provide current financial resources are not reported as revenues in the governmental funds.
Change in accounts and notes receivables 2,537,086

Some expenses reported in the statement of activities do not require the use of current financial resources and are not reported as expenditures in governmental funds. These include:

Change in accrued interest	(15,492)
Change in deferred outflows and inflows on refunding	328,650
Change in compensated absences	(2,184,467)
Change in pension and OPEB liabilities, deferred outflows and inflows	4,108,017

The issuance of long-term debt provides current financial resources to governmental funds, while the repayment of the principal of long-term debt consumes the current financial resources of governmental funds. Neither transaction, however, has any effect on net position. Also, governmental funds report the net effect of premiums, discounts, and similar items when debt is first issued; whereas, these amounts are deferred and amortized in the statement of activities. This amount is the net effect of these differences in the treatment of long-term debt and related items.

Issuance of long-term debt	(5,320,000)
Subscription expense	27,650
Total principal repayments	7,269,096

Net income of internal service funds is reported with governmental activities. (196,903)

CHANGE IN NET POSITION OF GOVERNMENTAL ACTIVITIES **\$ 38,868,660**

The accompanying notes are an integral part of the financial statements.

CITY OF OXNARD, CALIFORNIA
STATEMENT OF NET POSITION
PROPRIETARY FUNDS
JUNE 30, 2023

	WATER	WASTEWATER	ENVIRONMENTAL RESOURCES
ASSETS			
Current Assets			
Cash and investments	\$ 60,933,194	\$ 75,438,691	\$ 20,036,109
Restricted investments with fiscal agent	13,606,271	9,473	-
Accounts and other receivable (net of allowance for doubtful accounts)	6,899,414	6,345,044	5,572,830
Due from other funds	2,521,610	2,838,194	2,606,065
Due from fiduciary fund	-	-	-
Other assets	133,409	222,663	-
Total Current Assets	84,093,898	84,854,065	28,215,004
Noncurrent Assets			
Notes receivable (net of allowance for doubtful accounts)	-	777,000	-
Advances to other funds	302,441	-	-
Total Noncurrent Assets	302,441	777,000	-
Capital Assets			
Land	1,960,229	2,310,476	3,851,164
Construction in progress	7,671,253	14,303,200	1,797,671
Buildings and improvements	63,971,156	131,500,051	24,362,826
Machinery, equipment and vehicles	55,884,022	44,453,171	26,933,365
Infrastructure	236,930,542	202,485,713	2,839,837
Intangible assets	35,594	-	20,667
Less: Accumulated depreciation	(127,761,009)	(175,346,846)	(27,713,577)
Total Capital Assets (net of accumulated depreciation)	238,691,787	219,705,765	32,091,953
Total Assets	323,088,126	305,336,830	60,306,957
DEFERRED OUTFLOW OF RESOURCES			
Deferred amount on refunding	1,743,941	326,578	-
Deferred outflow on pension plans	4,662,967	5,223,545	9,947,567
Deferred outflow on other post-employment benefit plan	523,156	876,191	885,144
Total Deferred Outflow of Resources	6,930,064	6,426,314	10,832,711
LIABILITIES			
Current Liabilities			
Accounts payable	5,609,324	4,192,752	1,724,581
Other current liabilities	2,929,706	565,019	406,804
Due to other funds	114,428	-	-
Deferred revenue	-	-	48,204
Compensated absences payable - current	418,009	494,615	1,118,867
Other post-employment benefits payable - current	30,836	51,580	52,141
Self-insurance claims - current	-	-	-
Subscription liabilities - current	11,456	-	-
Lease purchase and revenue bonds - current	5,579,504	5,550,000	1,944,094
Total Current Liabilities	14,693,263	10,853,966	5,294,691
Noncurrent Liabilities			
Advances from other funds	-	-	-
Other noncurrent liabilities	300,793	-	35,323
Compensated absences payable	214,937	254,328	575,314
Other post-employment benefits payable	1,880,933	3,150,282	3,182,441
Net pension liabilities	14,721,769	16,475,921	31,396,806
Self-insurance claims	-	-	-
Subscription liabilities	12,458	-	-
Lease purchase and revenue bonds, net of current portion and discount/premium	161,665,864	79,800,938	8,019,832
Total Noncurrent Liabilities	178,796,754	99,681,469	43,209,716
Total Liabilities	193,490,017	110,535,435	48,504,407

The accompanying notes are an integral part of the financial statements.

GOLF COURSE	OXNARD HOUSING AUTHORITY	TOTAL CURRENT YEAR	INTERNAL SERVICE FUNDS
\$ 6,125,464	\$ 17,884,319	\$ 180,417,777	\$ 33,385,247
-	2,138,718	15,754,462	-
7,863	1,622,198	20,447,349	(9,948)
-	-	7,965,869	-
-	-	-	36
243,828	128,781	728,681	-
6,377,155	21,774,016	225,314,138	33,375,335
-	3,121,406	3,898,406	-
-	-	302,441	654,808
-	3,121,406	4,200,847	654,808
28,117,344	9,863,482	46,102,695	-
61,214	2,805,353	26,638,691	416,358
29,381,198	41,977,386	291,192,617	435,410
13,950	1,982,185	129,266,693	7,001,846
-	-	442,256,092	-
-	-	56,261	1,037,734
(14,436,974)	(38,030,101)	(383,288,507)	(6,304,423)
43,136,732	18,598,305	552,224,542	2,586,925
49,513,887	43,493,727	781,739,527	36,617,068
-	-	2,070,519	-
18,951	2,895,629	22,748,659	5,027,223
-	666,229	2,950,720	1,009,218
18,951	3,561,858	27,769,898	6,036,441
272,488	1,182,727	12,981,872	2,860,764
688	603,283	4,505,500	187,505
144,647	-	259,075	-
-	29,928	78,132	-
2,297	225,662	2,259,450	491,684
-	-	134,557	59,429
-	-	-	9,386,245
-	-	11,456	399,449
-	699,710	13,773,308	-
420,120	2,741,310	34,003,350	13,385,076
302,441	654,808	957,249	-
-	465,813	801,929	-
1,181	479,045	1,524,805	252,820
-	2,258,967	10,472,623	3,628,555
60,246	8,621,957	71,276,699	15,823,471
-	-	-	35,172,987
-	-	12,458	498,504
-	-	249,486,634	-
363,868	12,480,590	334,532,397	55,376,337
783,988	15,221,900	368,535,747	68,761,413

ASSETS

Current Assets

Cash and investments
 Restricted investments with fiscal agent
 Accounts and other receivable (net of allowance for doubtful accounts)
 Due from other funds
 Due from fiduciary fund
 Other assets

Total Current Assets

Noncurrent Assets

Notes receivable (net of allowance for doubtful accounts)
 Advances to other funds

Total Noncurrent Assets

Capital Assets

Land
 Construction in progress
 Buildings and improvements
 Machinery, equipment and vehicles
 Infrastructure
 Intangible assets
 Less: Accumulated depreciation

Total Capital Assets (net of accumulated depreciation)

Total Assets

DEFERRED OUTFLOW OF RESOURCES

Deferred amount on refunding
 Deferred outflow on pension plans
 Deferred outflow on other post-employment benefit plan

Total Deferred Outflow of Resources

LIABILITIES

Current Liabilities

Accounts payable
 Other current liabilities
 Due to other funds
 Deferred revenue
 Compensated absences payable - current
 Other post-employment benefits payable - current
 Self-insurance claims - current
 Subscription liabilities
 Lease purchase and revenue bonds - current

Total Current Liabilities

Noncurrent Liabilities

Advances from other funds
 Other noncurrent liabilities
 Compensated absences payable
 Other post-employment benefits payable
 Net pension liabilities
 Self-insurance claims
 Subscription liabilities
 Lease purchase and revenue bonds, net of current portion and discount/premium

Total Noncurrent Liabilities

Total Liabilities

STATEMENT OF NET POSITION (Continued)

PROPRIETARY FUNDS

	WATER	WASTEWATER	ENVIRONMENTAL RESOURCES
DEFERRED INFLOW OF RESOURCES			
Deferred inflow on pension plans	\$ 229,069	\$ 256,275	\$ 488,478
Deferred inflow on other post-employment benefit plan	669,016	1,120,479	1,131,929
Total Deferred Inflow of Resources	<u>898,085</u>	<u>1,376,754</u>	<u>1,620,407</u>
NET POSITION			
Net investment in capital assets	76,112,050	134,373,934	22,100,423
Restricted for housing assistance payments	-	-	-
Restricted for infrastructure development	23,647,928	12,897,682	1,025,008
Restricted for debt service	23,964,726	9,473	-
Unrestricted	11,905,384	52,569,866	(2,110,577)
TOTAL NET POSITION	<u>\$ 135,630,088</u>	<u>\$ 199,850,955</u>	<u>\$ 21,014,854</u>

Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds

NET POSITION OF BUSINESS-TYPE ACTIVITIES

The accompanying notes are an integral part of the financial statements.

GOLF COURSE	OXNARD HOUSING AUTHORITY	TOTAL CURRENT YEAR	INTERNAL SERVICE FUNDS	
\$ 940	\$ 133,963	\$ 1,108,725	\$ 245,939	DEFERRED INFLOW OF RESOURCES
-	798,281	3,719,705	1,290,595	Deferred inflow on pension plans
940	932,244	4,828,430	1,536,534	Deferred inflow on other post-employment benefit plan
				Total Deferred Inflow of Resources
				NET POSITION
43,136,732	18,598,305	294,321,444	1,688,972	Net investment in capital assets
-	92,029	92,029	-	Restricted for housing assistance payments
-	1,618,599	39,189,217	-	Restricted for infrastructure development
-	676,685	24,650,884	-	Restricted for debt service
5,611,178	9,915,823	77,891,674	(29,333,410)	Unrestricted
<u>\$ 48,747,910</u>	<u>\$ 30,901,441</u>	<u>436,145,248</u>	<u>\$ (27,644,438)</u>	TOTAL NET POSITION
		(169,054)		Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds
		<u>\$ 435,976,194</u>		NET POSITION OF BUSINESS-TYPE ACTIVITIES

CITY OF OXNARD, CALIFORNIA
STATEMENT OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION
PROPRIETARY FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	<u>WATER</u>	<u>WASTEWATER</u>	<u>ENVIRONMENTAL RESOURCES</u>
OPERATING REVENUES			
Charges for services	\$ 51,307,016	\$ 44,188,099	\$ 45,273,154
Connection fees	80,492	1,152,549	-
Rental income	-	-	-
Grant revenue for operations	-	-	-
Miscellaneous and reimbursements	1,481,311	258,386	377,671
Total Operating Revenues	<u>52,868,819</u>	<u>45,599,034</u>	<u>45,650,825</u>
OPERATING EXPENSES			
Salaries and wages	10,111,971	11,089,631	23,843,716
Contractual services	6,399,025	4,649,894	12,648,434
Housing assistance payments	-	-	-
Operating supplies	23,975,090	3,294,600	2,622,207
Utilities	1,667,304	2,565,607	223,656
Depreciation and amortization	7,613,688	8,052,448	2,676,033
General and administrative	4,740,209	3,438,483	9,541,697
Repairs and maintenance	2,573,552	672,055	140,792
Claims expenses	-	-	-
Total Operating Expenses	<u>57,080,839</u>	<u>33,762,718</u>	<u>51,696,535</u>
OPERATING INCOME (LOSS)	<u>(4,212,020)</u>	<u>11,836,316</u>	<u>(6,045,710)</u>
NONOPERATING REVENUES (EXPENSES)			
Grant revenue - noncapital	-	-	-
Fraud recovery	-	-	-
Gain on disposition of capital assets	-	29,383	25,220
Investment income (loss)	1,046,561	46,394	455,914
Interest expense	(7,370,410)	(3,510,412)	(189,928)
Net Nonoperating Revenues (Expenses)	<u>(6,323,849)</u>	<u>(3,434,635)</u>	<u>291,206</u>
INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS	<u>(10,535,869)</u>	<u>8,401,681</u>	<u>(5,754,504)</u>
CAPITAL CONTRIBUTIONS	-	-	-
TRANSFERS IN	15,069,711	11,164,829	1,966,156
TRANSFERS OUT	<u>(7,058,412)</u>	<u>(9,372,037)</u>	<u>(2,042,515)</u>
CHANGES IN NET POSITION	<u>(2,524,570)</u>	<u>10,194,473</u>	<u>(5,830,863)</u>
NET POSITION, JULY 1, AS PREVIOUSLY STATED	141,686,489	191,341,549	36,102,547
PRIOR-PERIOD ADJUSTMENT	<u>(3,531,831)</u>	<u>(1,685,067)</u>	<u>(9,256,830)</u>
NET POSITION, JULY 1, RESTATED	<u>138,154,658</u>	<u>189,656,482</u>	<u>26,845,717</u>
NET POSITION, JUNE 30	<u>\$ 135,630,088</u>	<u>\$ 199,850,955</u>	<u>\$ 21,014,854</u>

Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds

CHANGES IN NET POSITION OF BUSINESS-TYPE ACTIVITIES

The accompanying notes are an integral part of the financial statements.

GOLF COURSE	OXNARD HOUSING AUTHORITY	TOTAL CURRENT YEAR	INTERNAL SERVICE FUND	
\$ 6,202,866	\$ -	\$ 146,971,135	\$ 45,788,378	OPERATING REVENUES
-	-	1,233,041	-	Charges for services
-	5,048,081	5,048,081	-	Connection fees
-	28,219,591	28,219,591	-	Rental income
92,039	562,890	2,772,297	50,222	Grant revenue for operations
6,294,905	33,830,562	184,244,145	45,838,600	Miscellaneous and reimbursements
				Total Operating Revenues
				OPERATING EXPENSES
80,305	-	45,125,623	9,475,141	Salaries and wages
3,936,254	-	27,633,607	3,783,752	Contractual services
-	24,923,551	24,923,551	-	Housing assistance payments
368,988	-	30,260,885	2,671,233	Operating supplies
380,966	844,524	5,682,057	3,599,445	Utilities
590,258	819,136	19,751,563	758,001	Depreciation and amortization
323,062	5,702,354	23,745,805	8,003,332	General and administrative
-	2,102,816	5,489,215	1,777,657	Repairs and maintenance
-	-	-	14,619,202	Claims expenses
5,679,833	34,392,381	182,612,306	44,687,763	Total Operating Expenses
615,072	(561,819)	1,631,839	1,150,837	OPERATING INCOME (LOSS)
				NONOPERATING REVENUES (EXPENSES)
-	415,000	415,000	-	Grant revenue - noncapital
-	16,693	16,693	-	Fraud recovery
-	1,603,335	1,657,938	2,098	Gain on disposition of capital assets
-	528,081	2,076,950	-	Investment income (loss)
(18,907)	(45,334)	(11,134,991)	-	Interest expense
(18,907)	2,517,775	(6,968,410)	2,098	Net Nonoperating Revenues (Expenses)
				INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS
596,165	1,955,956	(5,336,571)	1,152,935	CAPITAL CONTRIBUTIONS
-	2,557,794	2,557,794	-	TRANSFERS IN
-	-	28,200,696	260,067	TRANSFERS OUT
-	-	(18,472,964)	(171,134)	
596,165	4,513,750	6,948,955	1,241,868	CHANGES IN NET POSITION
48,151,745	26,387,691		(29,985,071)	NET POSITION, JULY 1, AS PREVIOUSLY STATED
-	-		1,098,765	PRIOR-PERIOD ADJUSTMENT
48,151,745	26,387,691		(28,886,306)	NET POSITION, JULY 1
\$ 48,747,910	\$ 30,901,441		\$ (27,644,438)	NET POSITION, JUNE 30
		1,438,771		Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds
		\$ 8,387,726		CHANGES IN NET POSITION OF BUSINESS-TYPE ACTIVITIES

CITY OF OXNARD, CALIFORNIA
STATEMENT OF CASH FLOWS
PROPRIETARY FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	WATER	WASTEWATER	ENVIRONMENTAL RESOURCES
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts from customers and users	\$ 54,537,107	\$ 46,473,686	\$ 45,775,678
Payments to suppliers	(40,307,559)	(12,684,294)	(28,842,124)
Payments to employees	(9,922,296)	(11,015,161)	(25,190,460)
Payments for housing assistance and notes receivable	-	-	-
Receipts from operating grants	-	-	-
Cash paid to claimants	-	-	-
Net Cash Provided By (Used In) Operating Activities	<u>4,307,252</u>	<u>22,774,231</u>	<u>(8,256,906)</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES			
Collection/(issuance) of advances	6,155,807	2,996,816	2,751,714
Principal paid on long-term debt	-	-	-
Interest and other fees paid	-	-	-
Cash received from noncapital grants	-	-	-
Transfers in	15,069,711	11,164,829	1,966,156
Transfers out	(7,058,412)	(9,372,037)	(2,042,515)
Net Cash Provided By (Used In) Noncapital Financing Activities	<u>14,167,106</u>	<u>4,789,608</u>	<u>2,675,355</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES			
Purchases of capital assets	(4,940,622)	(12,222,417)	(2,258,073)
Disposals of capital assets	-	-	-
Proceeds from long-term debt issued	-	-	10,255,000
Interest and issuance cost paid on long-term debt	(7,733,022)	(4,164,750)	(195,217)
Principal paid on long-term debt	(5,338,207)	(5,285,000)	(12,163,232)
Cash received from capital grants	-	-	-
Net Cash Provided By (Used In) Capital and Related Financing Activities	<u>(18,011,851)</u>	<u>(21,672,167)</u>	<u>(4,361,522)</u>
CASH FLOWS FROM INVESTING ACTIVITIES			
Investment income	1,046,561	46,394	455,914
Net Cash Provided By (Used In) Investing Activities	<u>1,046,561</u>	<u>46,394</u>	<u>455,914</u>
NET INCREASE (DECREASE) IN CASH AND INVESTMENTS	1,509,068	5,938,066	(9,487,159)
CASH AND INVESTMENTS, JULY 1	<u>73,030,397</u>	<u>69,510,098</u>	<u>29,523,268</u>
CASH AND INVESTMENTS, JUNE 30	<u>\$ 74,539,465</u>	<u>\$ 75,448,164</u>	<u>\$ 20,036,109</u>
Cash and investments	\$ 60,933,194	\$ 75,438,691	\$ 20,036,109
Restricted investments with fiscal agent	13,606,271	9,473	-
	<u>\$ 74,539,465</u>	<u>\$ 75,448,164</u>	<u>\$ 20,036,109</u>

The accompanying notes are an integral part of the financial statements.

GOLF COURSE	OXNARD HOUSING AUTHORITY	TOTAL CURRENT YEAR	INTERNAL SERVICE FUNDS	
CASH FLOWS FROM OPERATING ACTIVITIES				
\$ 6,295,905	\$ 6,616,961	\$ 159,699,337	\$ 45,850,645	Receipts from customers and users
(6,553,863)	(3,261,118)	(91,648,958)	(18,963,790)	Payments to suppliers
(35,574)	(5,361,819)	(51,525,310)	(19,032,969)	Payments to employees
-	(24,946,344)	(24,946,344)	-	Payments for housing assistance and notes receivable
-	27,438,257	27,438,257	-	Receipts from operating grants
-	-	-	2,295,329	Cash paid to claimants
<u>(293,532)</u>	<u>485,937</u>	<u>19,016,982</u>	<u>10,149,215</u>	Net Cash Provided By (Used In) Operating Activities
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES				
(140,436)	-	11,763,901	122,644	Collection/(issuance) of advances
-	(410,000)	(410,000)	-	Principal paid on long-term debt
-	(41,376)	(41,376)	-	Interest and other fees paid
-	415,000	415,000	-	Cash received from noncapital grants
-	-	28,200,696	260,067	Transfers in
-	-	(18,472,964)	(171,134)	Transfers out
<u>(140,436)</u>	<u>(36,376)</u>	<u>21,455,257</u>	<u>211,577</u>	Net Cash Provided By (Used In) Noncapital Financing Activities
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES				
(61,214)	(2,282,492)	(21,764,818)	(1,094,859)	Purchases of capital assets
-	3,335	3,335	-	Disposals of capital assets
-	-	10,255,000	-	Proceeds from long-term debt issued
(18,907)	-	(12,111,896)	-	Interest and issuance cost paid on long-term debt
-	-	(22,786,439)	-	Principal paid on long-term debt
-	2,557,794	2,557,794	-	Cash received from capital grants
<u>(80,121)</u>	<u>278,637</u>	<u>(43,847,024)</u>	<u>(1,094,859)</u>	Net Cash Provided By (Used In) Capital and Related Financing Activities
CASH FLOWS FROM INVESTING ACTIVITIES				
-	528,081	2,076,950	-	Investment income
-	528,081	2,076,950	-	Net Cash Provided By (Used In) Investing Activities
NET INCREASE (DECREASE) IN CASH AND INVESTMENTS				
(514,089)	1,256,279	(1,297,835)	9,265,933	
<u>6,639,553</u>	<u>18,766,758</u>	<u>197,470,074</u>	<u>24,119,314</u>	CASH AND INVESTMENTS, JULY 1
<u>\$ 6,125,464</u>	<u>\$ 20,023,037</u>	<u>\$ 196,172,239</u>	<u>\$ 33,385,247</u>	CASH AND INVESTMENTS, JUNE 30
\$ 6,125,464	\$ 17,884,319	\$ 180,417,777	\$ 33,385,247	Cash and investments
-	2,138,718	15,754,462	-	Restricted investments with fiscal agent
<u>\$ 6,125,464</u>	<u>\$ 20,023,037</u>	<u>\$ 196,172,239</u>	<u>\$ 33,385,247</u>	

STATEMENT OF CASH FLOWS (Continued)
PROPRIETARY FUNDS

	<u>WATER</u>	<u>WASTEWATER</u>	<u>ENVIRONMENTAL RESOURCES</u>
RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES			
OPERATING INCOME (LOSS)	\$ (4,212,020)	\$ 11,836,316	\$ (6,045,710)
Adjustments to Reconcile Operating Income (Loss) to Net Cash Provided By (Used In) Operating Activities			
Depreciation and amortization	7,613,688	8,052,448	2,676,033
Other revenue	-	-	-
Changes in Operating Assets and Liabilities			
(Increase) decrease in accounts receivable and due from other funds and governments	1,716,642	856,999	(9,201,052)
(Increase) decrease in other assets	9,943	20,000	-
Increase (decrease) in accounts payable and other liabilities	(1,286,010)	1,628,022	(4,264,945)
Increase (decrease) in compensated absences	41,874	25,448	214,747
Increase (decrease) in OPEB payable	(253,137)	(323,743)	(1,808,149)
Increase (decrease) in net pension liabilities	676,272	678,741	10,172,170
Increase (decrease) in self-insurance liabilities	-	-	-
Net Cash Provided By (Used In) Operating Activities	<u>\$ 4,307,252</u>	<u>\$ 22,774,231</u>	<u>\$ (8,256,906)</u>

The accompanying notes are an integral part of the financial statements.

GOLF COURSE	OXNARD HOUSING AUTHORITY	TOTAL CURRENT YEAR	INTERNAL SERVICE FUNDS	
				RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES
\$ 615,072	\$ (561,819)	\$ 1,631,839	\$ 1,150,837	OPERATING INCOME (LOSS)
				Adjustments to Reconcile Operating Income (Loss)
				to Net Cash Provided By (Used In) Operating Activities
590,258	819,136	19,751,563	758,001	Depreciation and amortization
-	16,693	16,693	-	Other revenue
				Changes in Operating Assets and Liabilities
				(Increase) decrease in accounts receivable and
1,000	(913,326)	(7,539,737)	12,045	due from other funds
(243,828)	293,004	79,119	-	(Increase) decrease in other assets
				Increase (decrease) in accounts payable and
(1,301,747)	643,632	(4,581,048)	588,245	other liabilities
3,478	101,360	386,907	37,451	Increase (decrease) in compensated absences
-	163,363	(2,221,666)	(996,644)	Increase (decrease) in OPEB payable
42,235	(76,106)	11,493,312	142,014	Increase (decrease) in net pension liabilities
-	-	-	8,457,266	Increase (decrease) in self-insurance liabilities
<u>\$ (293,532)</u>	<u>\$ 485,937</u>	<u>\$ 19,016,982</u>	<u>\$ 10,149,215</u>	Net Cash Provided By (Used In) Operating Activities

CITY OF OXNARD, CALIFORNIA
STATEMENT OF FIDUCIARY NET POSITION
JUNE 30, 2023

	OXNARD COMMUNITY DEVELOPMENT COMMISSION SUCCESSOR AGENCY PRIVATE PURPOSE TRUST FUND	RETIREMENT ENHANCEMENT DEFINED BENEFIT PENSION TRUST FUND	CUSTODIAL FUNDS
ASSETS			
Cash and investments	\$ 7,849,593	\$ -	\$ 8,965,173
Investment with fiscal agents			
Certificates of deposit	-	-	798,158
Money market funds	3,584,739	-	-
Mutual funds	-	104,924,112	-
Total investment with fiscal agents	3,584,739	104,924,112	798,158
Accounts and other receivables	-	159,288	5,290
Due from other governments	-	-	153,240
Notes receivable, net of uncollectible	515,429	-	-
Capital Assets			
Buildings and improvements (net of depreciation)	11,686,622	-	-
Infrastructure (net of depreciation)	16,943,353	-	-
Total Assets	40,579,736	105,083,400	9,921,861
LIABILITIES			
Accounts payable	\$ 2,057,550	\$ -	\$ 467,020
Other liabilities	411,562	-	-
Due to City of Oxnard	115,508	-	-
Long-term debt - due within one year	2,000,000	-	-
Long-term debt - due in more than one year	23,370,000	-	-
TOTAL LIABILITIES	27,954,620	-	467,020
NET POSITION			
Restricted for:			
Held in trust for Successor Agency	12,625,116	-	-
Individuals, organizations, and other governments	-	-	9,454,841
Pensions	-	105,083,400	-
TOTAL NET POSITION	\$ 12,625,116	\$ 105,083,400	\$ 9,454,841

The accompanying notes are an integral part of the financial statements.

CITY OF OXNARD, CALIFORNIA
STATEMENT OF CHANGES IN FIDUCIARY NET POSITION
FOR THE YEAR ENDED JUNE 30, 2023

	OXNARD COMMUNITY DEVELOPMENT COMMISSION SUCCESSOR AGENCY PRIVATE PURPOSE TRUST FUND	RETIREMENT ENHANCEMENT DEFINED BENEFIT PENSION TRUST FUND	CUSTODIAL FUNDS
ADDITIONS			
Taxes	\$ 7,358,951	\$ -	\$ 711,602
Special assessments	-	-	3,861,180
Contributions			
Employer	-	2,874,014	-
Employee	-	1,510,731	-
Investment income	235,543	7,970,481	32,556
Investment expense	-	(519,257)	-
Net investment income	235,543	7,451,224	32,556
Loss on disposal of capital assets	(708,449)	-	-
Miscellaneous income	212,526	-	-
Transfer from City of Oxnard	-	-	2,446,040
Total Additions	<u>7,098,571</u>	<u>11,835,969</u>	<u>7,051,378</u>
DEDUCTIONS			
Administrative costs			
Salaries and wages	26,883	-	-
Benefit distributions	-	3,420,286	-
Administrative costs	100,351	-	76,910
Professional services	102,686	-	-
Depreciation	1,313,653	-	-
Project improvements	4,096,313	-	64,213
Distributions to individuals, organizations, and bond trustees	-	-	6,626,648
Debt service			
Interest and fiscal charges	1,266,463	-	-
Total Deductions	<u>6,906,349</u>	<u>3,420,286</u>	<u>6,767,771</u>
CHANGE IN NET POSITION	<u>192,222</u>	<u>8,415,683</u>	<u>283,607</u>
NET POSITION - JULY 1, 2022	<u>12,432,894</u>	<u>96,667,717</u>	<u>9,171,234</u>
NET POSITION - JUNE 30, 2023	<u>\$ 12,625,116</u>	<u>\$ 105,083,400</u>	<u>\$ 9,454,841</u>

The accompanying notes are an integral part of the financial statements.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2023

I. **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

A. **Reporting Entity**

The City of Oxnard, California (City) was incorporated as a general law city on June 30, 1903 and operates under the council-manager form of government. The City is governed by an elected Mayor and six Council members. Other elected positions include the City Clerk and City Treasurer. The accompanying basic financial statements present the financial position and results of operations of the City (the primary government) and its component units. For financial reporting purposes, the City has included all funds, organizations, agencies, boards, commissions, and authorities, and has considered all potential component units for which the City is financially accountable, and other organizations for which the nature and significance of their relationship with the City are such that exclusion would cause the City's financial statements to be misleading or incomplete. The Governmental Accounting Standards Board has set forth criteria to be considered in determining financial accountability. These criteria include appointing a voting majority of an organization's governing body and (1) the ability of the City to impose its will on that organization; or (2) the potential for the organization to provide specific financial benefits to, or impose specific financial burdens on the City.

Blended Component Units

City of Oxnard Financing Authority. The Oxnard Financing Authority (Authority) is a separate government entity whose purpose is to assist with the financing or refinancing of certain public capital facilities within the City. The Authority has the power to purchase bonds issued by any local agency at public or negotiated sales and may sell such bonds to public or private purchasers at public or negotiated sales. The Authority is controlled by the City and has the same governing body as the City and the City has operational responsibility for the Authority. City staff perform all accounting and administrative functions of the Authority. The debt service of the Authority is included in the Debt Service Fund, Water Enterprise Fund, Wastewater Enterprise Fund, and Environmental Resources Enterprise Fund.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

A. Reporting Entity (Continued)

Blended Component Units (Continued)

Housing Authority of the City of Oxnard. The Housing Authority of the City of Oxnard (Housing Authority) was established in April 1945 by ordinance of the City Council. The Housing Authority is a public entity organized under the laws of California's Health and Safety Code for the purpose of providing safe, decent, and sanitary housing for qualified economically disadvantaged and elderly individuals in areas where a shortage of such housing exists. To accomplish this purpose, the Housing Authority entered into Annual Contributions Contracts with the U.S. Department of Housing and Urban Development (HUD) to operate assisted housing programs, such as Local Housing Authority Owned Housing, Section 8, and Modernization. The City Council and two tenant representatives serve as the governing board of the Housing Authority. The Housing Authority's governing body is substantively the same as the governing body of the City and the City has operational responsibility for the Housing Authority. The Housing Authority's operations have been included in the City's business-type activities in the accompanying Government-Wide Financial Statements. The Housing Authority prepares separate financial statements, which can be obtained from the Housing Authority's Financial Services Division at 435 S. D St., Oxnard, CA 93030.

B. Financial Statements Presentation

In accordance with Governmental Accounting Standards Board Statement No. 34, the City's basic financial statements consist of the following:

- Government-Wide Financial Statements;
- Fund Financial Statements; and
- Notes to the Basic Financial Statements.

Government-Wide Financial Statements

The City's Government-Wide Financial Statements include a Statement of Net Position and a Statement of Activities. These statements present financial information for the City as a whole, while distinguishing between governmental and business-type activities. Fiduciary activities of the City are not included in these statements.

Most of the City's basic services are considered to be governmental activities, including general government, public safety, transportation, community development, culture, leisure, and library services. Property tax, sales tax, transient occupancy tax, franchise tax, business license tax, and user fees and charges financially support these activities.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)
B. Financial Statements Presentation (Continued)

Government-Wide Financial Statements (Continued)

The City's enterprise operations are classified as business-type activities. These operations consist of water, wastewater, environmental resources, golf course, and housing. These activities generally recover the cost of providing services from customer fees and charges.

Government-Wide Financial Statements are presented on an "economic resources" measurement focus and the accrual basis of accounting. Accordingly, all of the City's assets, deferred outflows of resources, liabilities, and deferred inflows of resources, including capital assets and long-term liabilities, are included in the accompanying Statement of Net Position. The Statement of Activities presents changes in net position. Under the accrual basis of accounting, revenues are recognized in the period in which they are earned, while expenses are recognized in the period in which the liability is incurred. The types of transactions reported as program revenues for the City are reported in three categories: (1) charges for services; (2) operating grants and other contributions; and (3) capital grants and contributions.

The Statement of Activities demonstrates the degree to which expenses, both direct and indirect, of a given function or segment is offset by program revenues. Direct expenses are those that are clearly identifiable with a specific function or segment. Indirect expenses are allocated based on the City's cost allocation plan. Program revenues include: (1) charges to customers or applicants who purchase, use, or directly benefit from goods, services, or privileges provided by a given function or segment; and (2) operating and capital grants and contributions that are restricted to meeting the operational or capital requirements of a particular function or segment. Taxes and other items not properly included among program revenues are reported instead as general revenues.

Certain eliminations have been made in regards to interfund activities, payables, and receivables. All internal balances in the Statement of Net Position have been eliminated, with the exception of those representing balances between the governmental activities and the business-type activities. In the Statement of Activities, net internal service fund transactions have been allocated back to the governmental and business-type activities generating the net income or loss. In the Statement of Net Position, internal service assets and liabilities have been combined with the governmental funds and presented as governmental activities.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**
B. **Financial Statements Presentation (Continued)**

Government-Wide Financial Statements (Continued)

A reconciliation of the difference between the Governmental Fund Financial Statements and the Government-Wide Financial Statements is provided as part of the Governmental Fund Financial Statements.

Fund Financial Statements

The accounts of the City are organized on the basis of funds, each of which is considered a separate accounting entity. The operations of each fund are accounted for with a separate set of self-balancing accounts that comprise its assets, deferred outflows of resources, liabilities, deferred inflow of resources, fund equity, revenues, and expenditures or expenses, as appropriate. Governmental resources are allocated to and accounted for in individual funds based upon the purposes for which they are to be spent and the means by which spending activities are controlled.

Governmental Fund Financial Statements

Governmental Fund Financial Statements include a Balance Sheet and Statement of Revenues, Expenditures and Changes in Fund Balances for the major governmental funds. Non-Major Governmental Funds are combined for presentation in Governmental Fund Statements. The following funds have been determined to be the City's major governmental funds:

- General Fund: This fund group is always a major fund and is used to account for all financial resources traditionally associated with government activities, which are not legally required to be accounted for in another fund.
- The Affordable Housing Fund was created due to the dissolution of the Oxnard Redevelopment Agency. In accordance with Health and Safety Code Section 34176(b)(2), on February 1, 2013, all rights, powers, assets, liabilities, duties, and obligations of the Low and Moderate Income Housing Fund (previously part of the former Oxnard Redevelopment Agency) were transferred to the Affordable Housing special revenue fund. The fund is used to account for funds to be used for low and moderate income housing projects.
- Development Fees Fund is a special revenue fund that accounts for development fees that the City collects on new development to provide resources for special projects such as parks, storm drainage facilities, traffic improvements, utility undergrounding, and community development.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**
B. **Financial Statements Presentation (Continued)**

Governmental Fund Financial Statements (Continued)

- State and Federal Grants Fund is a special revenue fund that accounts for state and federal grants.
- Capital Improvements Fund is a capital project fund that accounts for financial resources designated for the acquisition and construction of general government capital projects.

Governmental funds are accounted for using a "current financial resources" measurement focus and the modified accrual basis of accounting. Accordingly, only current assets, deferred outflows of resources, current liabilities, and deferred inflows of resources are included on the Balance Sheet. The Statement of Revenues, Expenditures and Changes in Fund Balances presents increases (revenues and other financing sources) and decreases (expenditures and other financing uses) in net current assets. Under the modified accrual basis of accounting, revenues are recognized in the accounting period in which they become both measurable and available to finance expenditures of the current period. The City considers revenues available if they are collected within 60 days after year-end. Property tax, sales tax, intergovernmental revenues, and other taxes are accrued as appropriate. Grants and similar items are recognized as revenue as soon as all eligibility requirements have been met. The availability period for revenue recognition for grants receivable is one year after the year-end. Expenditures are recorded in the accounting period in which the related fund liability is incurred and due within one year, except for unmatured principal and interest on long-term debt, which is recognized when due.

Proprietary Fund Financial Statements

Proprietary Fund Financial Statements include a Statement of Net Position, Statement of Revenues, Expenses and Changes in Net Position, and a Statement of Cash Flows for each major fund. A column representing Internal Service Funds in total is also presented in these statements.

The proprietary funds are used to account for operations that are financed and operated in a manner similar to private business enterprises wherein the cost of goods and services to the general public are financed or recovered primarily through user charges. The following enterprise funds have been determined to be the City's major proprietary funds:

- **Water Fund:** This fund is used to account for all activities of the City's water production, treatment, and distribution system. Revenues are derived mainly from metered water services, connection fees, and installation charges.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)
B. Financial Statements Presentation (Continued)

Proprietary Fund Financial Statements (Continued)

- Wastewater Fund: This fund is used to account for all sewer activities related to conveyance and treatment services. Revenues are derived mainly from sewer service charges, connection fees, and treatment plant charges.
- Environmental Resources Fund: This fund is used to account for the activities related to collection and disposal of refuse throughout the City. Revenues are mainly derived from collection service fees and sales of recyclable materials.
- Golf Course Fund: This fund is used to account for the activities related to the operation and maintenance of the City's River Ridge golf course. Revenues are derived from golfing fees, merchandise, and facility rentals and food & beverage sales for private events.
- Oxnard Housing Authority: This fund is used to account for the receipts and disbursements of funds received from the U.S. Department of Housing and Urban Development (HUD) to provide housing assistance, such as the rental assistance programs for low income residents and senior citizens under Section 8 of the Federal Housing Act of 1937, as amended.

Proprietary funds are accounted for using the "economic resources" measurement focus and the accrual basis of accounting. Accordingly, all assets, deferred outflows of resources, liabilities, and deferred inflows of resources (whether current or noncurrent) are included within the Statement of Net Position. The Statement of Revenues, Expenses, and Changes in Net Position present increases (revenues) and decreases (expenses) in total net position. Under the accrual basis of accounting, revenues are recognized in the period in which they are earned, while expenses are recognized in the period in which the liability is incurred.

Operating revenues in the proprietary funds are those revenues that are generated from the primary operations of the fund. Operating revenues and expenses generally result from providing services and producing and delivering goods in connection with proprietary funds' principal ongoing operations. All other revenues are reported as nonoperating revenues. Operating expenses are those expenses that are essential to the primary operations of the fund. All other expenses are reported as nonoperating expenses. When both restricted and unrestricted resources are available for use, it is the City's policy to use the restricted resources first, then unrestricted resources as they are needed.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**
B. **Financial Statements Presentation (Continued)**

Proprietary Fund Financial Statements (Continued)

Internal service funds account for services to other departments on a cost-recovery basis. Internal service funds include workers' compensation, public liability and property damage, utility customer services, information systems, facilities maintenance, and equipment maintenance. Internal service funds are accounted for using the "economic resources" measurement focus and the accrual basis of accounting. Internal service funds are reported in total on the proprietary funds statements.

Fiduciary Fund Financial Statements

Fiduciary fund financial statements include a Statement of Fiduciary Net Position and Statement of Changes in Fiduciary Net Position. The City's fiduciary funds consist of a Private Purpose Trust Fund, a Pension Trust Fund, and Custodial Funds. The Trust Funds are as follows:

- Oxnard Community Development Commission Successor Agency Private Purpose Trust Fund: This fund accounts for the assets and activities of the former redevelopment agency pursuant to AB 1X 26.
- Retirement Enhancement Defined Benefit Pension Trust Fund: This fund accounts for the assets and activities of the Mass Mutual Retirement Enhancement Plan.
- The Custodial Funds are used to report fiduciary activities that are not required to be reported in pension trust funds, investment trust funds, or private-purpose trust funds. The City's custodial funds are as follows:
 - Improvement Districts Fund: This fund is used to account for various limited obligation improvement bonds issued by the Improvement Districts and Mello-Roos Community Facilities Districts within the City, wherein bond proceeds are used to finance land acquisition and public improvements of the various assessment districts within the City. Neither the faith, credit, nor the taxing power of the City or any of its political subdivisions is pledged to the payment of the bonds. Property owners within the assessment district are assessed through the County property tax bills and the money collected is used to pay the annual debt service requirements.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)
B. Financial Statements Presentation (Continued)

Fiduciary Fund Financial Statements (Continued)

- Oxnard PD Property Room Fund: This fund accounts for assets held in temporary police custody.
- Oxnard Downtown Management District Fund: This fund accounts for property tax collected within the downtown area for downtown improvements and revitalizations. Funds are collected and distributed to the Oxnard Downtown Management District, a non-profit corporation.
- Riverpark JPA Fund: This fund is used to account for assets and liabilities of the Riverpark Reclamation and Recharge Authority.

The fiduciary funds are accounted for using the "economic resources" measurement focus and the accrual basis of accounting.

C. New Accounting Pronouncements

Adopted

Statement No. 91, *Conduit Debt Obligations*. GASB Statement No. 91 clarifies the definition of conduit debt and establishes new recognition, measurement, and disclosure requirements. The implementation of this statement had no material impact to the City's financial statements.

Statement No. 94, *Public-Private and Public-Public Partnerships and Availability Payment Arrangements*. GASB Statement No. 94 establishes standards for public-private and public-public partnerships (PPPs) and availability payment arrangements. A PPP is an arrangement in which a government contracts with an operator to provide public services by conveying control of the right to operate or use a nonfinancial asset, such as infrastructure or other capital asset (the underlying PPP asset), for a period of time in an exchange or exchange-like transaction. An availability payment arrangement is an arrangement in which a government compensates an operator for services that may include designing, constructing, financing, maintaining, or operating an underlying nonfinancial asset for a period of time in an exchange or exchange-like transaction. The City determined that they have no PPPs or availability payment arrangements at this time.

Statement No. 96, *Subscription-Based Information Technology Arrangements*. GASB Statement No. 96 defines such arrangements as contracts that convey control of the right to use another party's information technology software, alone or in combination with tangible capital assets, as specified in the contract for a period of time in an exchange or exchange-like transaction. With the implementation of this statement, the City recognized intangible right-to-use subscription assets and corresponding subscription liabilities.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)
C. New Accounting Pronouncements (Continued)

Not Adopted

The GASB issued several pronouncements prior to June 30, 2023, that have effective dates that may impact future financial presentations. The impact of the implementation of these Statements to the City's financial statements has not been assessed at this time.

Statement No. 99, *Omnibus 2022*. GASB Statement No. 99 requirements related to extension of the use of LIBOR, accounting for SNAP distributions, disclosures of nonmonetary transactions, pledges of future revenues by pledging governments, clarification of certain provisions in Statement 34, as amended, and terminology updates related to Statement 53 and Statement 63 are effective upon issuance. Also, the requirements related to leases, PPPs, and SBITAs are effective for fiscal years beginning after June 15, 2022, and all reporting periods thereafter. The requirements also related to financial guarantees and the classification and reporting of derivative instruments within the scope of Statement 53 are effective for fiscal years beginning after June 15, 2023, and all reporting periods thereafter.

Statement No. 100, *Accounting Changes and Error Corrections*. GASB Statement No. 100 prescribes accounting and financial reporting for (1) each category of accounting change and (2) error corrections. Statement 101 also addresses how accounting changes and error corrections should be displayed in financial statements, disclosed in notes, and presented in required supplementary information. The requirements of this Statement are effective for periods beginning after June 15, 2023.

Statement No. 101, *Compensated Absences*. GASB Statement No. 101 aligns recognition and measurement guidance for all types of compensated absences under a unified model which will result in governments recognizing a liability that more appropriately reflects when they incur an obligation for compensated absences. The model also will lead to greater consistency in application and improved comparability across governments. The requirements of this Statement are effective for periods beginning after December 15, 2023.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

D. Cash and Investments

Cash and Cash Equivalents

For reporting purposes, cash and cash equivalents include cash in bank accounts and investments held by the City Treasurer in a cash management pool with maturities less than one year. These amounts are readily available for use by the respective funds.

Investments

The City's investments are stated at fair value.

The City categorizes its fair value measurements within the fair value hierarchy established by generally accepted accounting principles. These principles recognize a three-tiered fair value hierarchy, as follows:

Level 1: Investments reflect prices quoted in active markets;

Level 2: Investments reflect prices that are based on similar observable assets either directly or indirectly, which may include inputs in markets that are not considered to be active; and

Level 3: Investments reflect prices based upon unobservable sources.

Debt and equity securities classified as Level 1 are valued using prices quoted in active markets for those securities. Debt and equity securities classified in Level 2 are valued using the following approaches: (1) debt securities are normally valued based on price data obtained from observed transactions and market price quotations from broker dealers and/or pricing vendors; (2) equity securities are valued using fair value per share for each fund. Certificates of deposit classified in level 2 are valued using broker quotes that utilize observable market inputs. Securities classified as Level 3 have limited trade information, these securities are priced using the last trade price or estimated using recent trade prices.

Investment Income

Investment income earned on pooled cash and investments is allocated quarterly to the General Fund and those other funds for which such allocation is a legal contractual requirement based on the average daily-end cash balances. Investment income from cash and investments with fiscal agents is credited directly to the related fund. Income from non-pooled investments is recorded in the appropriate fund based on the specific investments held by the trustee of the fund. Changes in the fair value of investments are recognized in investment income at the end of each fiscal year.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

E. Receivables

Activity between funds that are representative of lending/borrowing arrangements outstanding at the end of the fiscal year is reported as "advances to/from other funds." All other outstanding balances between funds are reported as "due to/from other funds." Any residual balances outstanding between the governmental activities and business-type activities are reported in the government-wide financial statements as "internal balances."

Amounts due from individuals, organizations or other governmental units are recorded as receivables at year-end. These amounts include charges for services rendered, or for goods and material provided by the City, including amounts for unbilled services. Receivables are shown net of an allowance for uncollectible accounts where applicable.

Notes receivable include both the current and long-term portions of loans issued by the City. Business development loans are reported in both special revenue and fiduciary funds. Notes receivable are reported as an asset in the amount of loan proceeds disbursed, net of allowance. Depending on the original funding source, the City reports deferred inflows equal to the loan amount and recognizes a revenue when principal payments are received.

In the government-wide financial statements, receivables are recognized as revenue when earned. Receivables of the proprietary funds are recognized as revenue when earned, including services provided but not billed.

F. Leases

A lease is defined as a contract that conveys control of the right to use another entity's nonfinancial asset (the underlying asset) as specified in the contract for a period of time in an exchange or exchange-like transaction. As a lessor, the City recognizes a lease receivable and a deferred inflow of resources at the commencement of the lease term, with certain exceptions for leases of assets held as investments, certain regulated leases, short-term leases, and leases that transfer ownership of the underlying asset. The lease receivable is measured at the present value of lease payments expected to be received during the lease term. The deferred inflow of resources is measured at the value of the lease receivable plus any payments received at or before the commencement of the lease term that relate to future periods.

As a lessee, the City recognizes a lease liability and a lease asset at the commencement of the lease term, unless the lease is a short-term lease or it transfers ownership of the underlying asset. The lease liability is measured at the present value of payments expected to be made during the lease term (less any lease incentives). The lease asset is measured at the amount of the initial measurement of the lease liability, plus any payments made to the lessor at or before the commencement of the lease term and certain direct costs.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

I. **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

G. **Capital Assets**

The City's assets for governmental, business-type and fiduciary activities are capitalized at historical cost or estimated historical cost. The cost of normal maintenance and repairs that do not add to the value of the asset or materially extend asset lives are not capitalized. City policy has set the capitalization threshold at \$5,000 for equipment and machinery, \$20,000 for buildings and improvements and \$100,000 for infrastructure. Depreciation is recorded on a straight-line basis over the estimated useful lives of the assets as follows:

Buildings	45 years
Improvements	
Paving, curbs, and lighting	20 years
Parks improvements	20 years
Sports courts	20 years
Landscaping	20-50 years
Equipment and machinery	3-50 years
Vehicles	10 years
Infrastructure Assets	
Roadway network	30-100 years
Waterways/seawalls	75 years
Storm drain system	50 years
Water and sewer systems	50 years

Infrastructure assets are defined as "long-lived capital assets" that are stationary in nature and normally can be preserved for a significantly greater number of years than most capital assets.

Examples of infrastructure assets include roads, bridges, tunnels, drainage systems, water and sewer systems, dams, and lighting systems. Land is valued at original cost without depreciation.

Donated capital assets, donated works of art and similar items, and capital assets received in a service concession arrangement are reported at acquisition value.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

H. Subscription-based Information Technology Arrangements (SBITA)

A SBITA is a contract that conveys control of the right to use another party's (a SBITA vendor's) IT software, alone or in combination with tangible capital assets (the underlying IT assets), as specified in the contract for a period of time in an exchange or exchange-like transaction. To determine whether a contract conveys control of the right to use the underlying IT assets, the City assesses whether it has both of the following: a. The right to obtain the present service capacity from use of the underlying IT assets as specified in the contract, and b. The right to determine the nature and manner of use of the underlying IT assets as specified in the contract.

The City recognizes a subscription liability and an intangible right-to-use asset (subscription asset), except short-term SBITAs. The subscription liability is measured at the present value of subscription payments expected to be made during the subscription term. The subscription asset is measured as the sum of the following, a. The amount of the initial measurement of the subscription liability, b. Payments associated with the SBITA contract made to the SBITA vendor at the commencement of the subscription term, if applicable, and c. Capitalizable initial implementation costs less any SBITA vendor incentives.

I. Compensated Absences

Vacation leave and annual leave compensation pay is recorded as a liability when incurred within the Government-Wide and Proprietary Funds Financial Statements. Sick leave, which does not vest, is recorded in all funds when leave is taken. A liability for these amounts is reported in the Governmental Funds Financial Statements only if they have matured and are paid by the City subsequent to year-end.

Compensated absences are liquidated by the fund that has recorded the liability. The long-term portion of governmental activities compensated absences is liquidated primarily by the General Fund.

J. Net Pension Liability

For purposes of measuring the net pension liability, deferred outflows and inflows of resources related to pensions and pension expense, information about the fiduciary net position and additions to/deductions from the fiduciary net position have been determined on the same basis as they are reported by CalPERS and Schwab. For this purpose, benefit payments (including refunds of employee contributions) are recognized when currently due and payable in accordance with the benefit terms. Investments are reported at fair value.

The General Fund is typically used to liquidate pension liabilities in the governmental activities.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

K. Other Post-Employment Benefits (OPEB)

For purposes of measuring the net OPEB liability, deferred outflows of resources and deferred inflows of resources related to OPEB, and OPEB expense, information about the fiduciary net position of the CalPERS plan governed by the Public Employees' Medical & Hospital Care Act (PEMHCA) and additions to/deductions from the PEMHCA plan fiduciary net position have been determined on the same basis as they are reported by CalPERS.

For this purpose, CalPERS recognizes benefit payments when due and payable in accordance with the benefit terms. Investments are reported at fair value, except for money market investments and participating interest-earning investment contracts that have a maturity at the time of purchase of one year or less, which are reported at cost.

The General Fund is typically used to liquidate OPEB liabilities in the governmental activities.

L. Deferred Outflows/Inflows of Resources

In addition to assets, the Statements of Net Position and Governmental Fund Balance Sheet report a separate section for deferred outflows of resources. Deferred outflows of resources represent a consumption of net assets that applies to a future period(s) and so will not be recognized as an outflow of resources (expense/expenditure) until then. The City reports deferred outflows of resources related to pensions and OPEB, and deferred outflows for charges on debt refunding in the Government-Wide and Proprietary Fund Statements of Net Position. Deferred outflows for pension and OPEB contributions will be recognized in the subsequent fiscal year. Other deferred outflows on pension and OPEB plans are amortized over 4.8 to 5 years on a straight-line basis. A deferred charge on refunding results from the difference in the carrying value of refunded debt and its reacquisition price. This amount is deferred and amortized over the shorter of the remaining life of the refunded or refunding debt.

In addition to liabilities, the Statements of Net Position and Governmental Fund Balance Sheet report a separate section for deferred inflows of resources. Deferred inflows of resources represent an acquisition of net assets that applies to a future period(s) and will not be recognized as an inflow of resources (revenue) until that time. The City has two items that qualify for reporting in this category in the Governmental Fund Balance Sheet. The governmental funds report deferred inflows from the following sources: lease receivables and loans. These amounts are deferred and recognized as an inflow of resources in the period that the amounts become available. In addition, the City has deferred inflows of resources relating to the net pension obligation, OPEB, and gain on refunding reported in the Government-Wide Statement of Net Position and the Proprietary Funds. The amounts related to pensions and OPEB are deferred and amortized over 4.8 to 5 years on a straight-line basis.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

M. Fund Equity

The accompanying financial statements report the components of fund balances for governmental funds consistent with GASB 54.

Nonspendable fund balance - This includes amounts that cannot be spent because they are either not spendable in form (such as prepaid items) or legally or contractually required to be maintained intact (such as endowments).

Restricted fund balance - This includes amounts that can be spent only for specific purposes stipulated by constitution, external resource providers, or through enabling legislation.

Committed fund balance - This includes amounts that can be used only for specific purposes pursuant to constraints imposed by formal action of the government's highest level of decision-making authority, the City Council. Commitments may be changed or removed by the City Council by a resolution.

Assigned fund balance - This includes amounts that are constrained by the government's intent to be used for specific purposes, but are neither restricted nor committed. The City Council delegated, per Resolution No. 15,359, the Chief Financial Officer to assign fund balance. Use of this component would be based on related Council documents that identifies an intent to use funds for a specific purpose that was not part of the formal resolution or ordinance of the Council.

Unassigned fund balance - this includes all amounts not included in other classifications. The General Fund is the only fund that reports a positive unassigned fund balance.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

M. Fund Equity (Continued)

In the Government-Wide Financial Statements, net position of the City includes the following categories:

Net investment in capital assets - This category groups all capital assets, including infrastructure, into one component of net position. Accumulated depreciation and the outstanding balances of debt that are attributable to the acquisition, construction, or improvement of these assets reduce this category.

Restricted net position - This category presents external restrictions imposed by creditors, grantors, contributors, or laws or regulations of other governments, and restrictions imposed by law through constitutional provisions or enabling legislation.

Unrestricted net position - This resulting category presents the remaining City net position, and this measure of equity is unrestricted, legally or otherwise.

The accounting policies of the City consider restricted funds spent first when expenditure is incurred for purposes for which both restricted and unrestricted funds are available. When an expenditure is incurred for a purpose for which amounts in any of the unrestricted classifications of fund balance could be used, the City considers committed amounts to be reduced first, followed by assigned amounts and then unassigned amounts.

Per Resolution No. 15,718, adopted on June 20, 2023, the City Council will endeavor to maintain an operating reserve equal to 13% of General Fund operating budget, increasing to 16.6% in annual 1% increments beginning in fiscal year 2023-2024. In fiscal year 2027-28, the unrestricted fund balance should be at a minimum of 16.6%, which is equal to approximately two months of operating expenses. The operating reserve shall be available to: (1) cover cash flow requirements; (2) meet unanticipated revenue shortfalls; (3) take advantage of unexpected opportunities; (4) invest in projects with a rapid payback; (5) ensure against physical or natural disasters; (6) and provide interest earnings.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

N. **Property Taxes**

Property taxes are assessed and collected each fiscal year according to the following property tax calendar:

Valuation Date	January 1
Property Tax Year	July 1 to June 30
Due Dates	November 1 (first installment) and February 1 (second installment)
Delinquent Dates	December 10 (first installment), April 10 (second installment, and August 31 (unsecured)

Property taxes in the State of California are administered for all local agencies at the county level and consist of secured, unsecured, and utility tax rolls.

Property Valuation

Valuations are established by the Assessor of the County for the secured and unsecured property tax rolls; the utility property tax roll is valued by the California State Board of Equalization. Under the provisions of Article XIII A of the State Constitution, properties are assessed at 100% of full value. The value of real taxable property is based on fiscal year 1976 levels. From this base of assessment, subsequent annual increases in valuation are limited to a maximum of 2%. However, increases to full value are allowed for property improvements or upon change in ownership. Personal property is excluded from these limitations, and is subject to annual reappraisal.

Tax Levies

The county-wide tax levy for general revenue purposes is limited to 1% of full value, for a tax rate of \$1.00 per \$100 of assessed valuation. Tax rates for voter-approved indebtedness prior to passage of Proposition 13 are excluded from this limitation. Taxes are levied July 1 for both real and unsecured personal property based upon the assessed valuation as of the previous January 1 (lien date).

Property taxes are recognized as revenue in the period for which the taxes are levied. Therefore, the City recognizes revenue and a receivable, less any allowance for doubtful accounts deemed appropriate, for the entire tax levy in the period for which the taxes are levied.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

N. Property Taxes (Continued)

Tax Lien Dates

All lien dates attach annually on January 1 preceding the fiscal year for which the taxes are levied. Liens against real estate, and taxes on personal property, are not relieved by subsequent renewal or change in ownership.

Tax Collections

The County Treasurer-Tax Collector is responsible for all property tax collections. Taxes and assessments on the secured and utility rolls, which constitute a lien against the property, may be paid in two installments: (1) the first installment is due on November 1 of the fiscal year and is delinquent if not paid by December 10; (2) the second installment is due on February 1 of the fiscal year and is delinquent if not paid by April 10. Unsecured personal property taxes do not constitute a lien against real property unless the taxes become delinquent. Payment must be paid in one installment, which is delinquent if not paid by August 31 of the fiscal year. Significant penalties are imposed for late payments.

Tax Apportionments and Special District Augmentation Fund (SDAF)

Due to the nature of the county-wide maximum levy, it is not possible to identify general purpose tax rates for specific entities. Apportionments to local agencies are made by the County Auditor-Controller, based primarily on the ratio that each agency represented of the total county-wide levy for the three years prior to fiscal year 1979. The SDAF was established in order to provide greater flexibility in the allocation of the total levy to special districts under this basic apportionment method. Each special district makes a contribution from its base tax levy apportionment to the SDAF. Oversight governments of the special districts (cities or the county) can then reallocate this pool among special districts based on financing needs.

O. Utility Revenue

Utility revenue is recorded when earned. Customers are billed monthly. The estimated value of services provided, but unbilled at year-end, is included in accounts and other receivables in the accompanying basic financial statements.

P. Use of Estimates

The preparation of the City's basic financial statements in accordance with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, deferred outflows of resources, liabilities, deferred inflows of resources, the disclosure of contingent assets and liabilities at the date of the basic financial statements, and revenues and expenses during the reported period. Actual results could differ from those estimates.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

I. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Q. Budgets and Budgetary Accounting

The City develops and presents a one-year budget to the City Council. Annual operating and capital improvement expenditures are adopted by resolution. This resolution constitutes the authorized expenditures for the fiscal year. The City's annual budget is the legally-adopted expenditure control document of the City. Budgets are prepared on the modified accrual basis of accounting, consistent with generally accepted accounting principles (GAAP).

The City Council generally reauthorizes appropriations for continuing projects and activities. The City Council has the legal authority to amend the budget of any fund at any time during the fiscal year. The budgetary legal level of control (the level on which expenditures may not legally exceed appropriations) is generally at the fund level. Budgeted expenditures may be reallocated within a fund by the City Manager and within a department by the department director.

R. Encumbrances

Appropriations in governmental fund types are encumbered upon issuance of purchase orders for goods and/or services. Even though unencumbered appropriations lapse at the end of the fiscal year, unfilled purchase orders of the current year are carried forward and the next year's appropriations are likewise encumbered.

S. Joint Ventures

The City participates in the Riverpark Reclamation and Recharge Authority (Riverpark JPA), which is a jointly-governed organization between the City and United Water Conservation District (UWCD). The Riverpark JPA was established for the purpose of joint ownership, financing, and administration of the development of land located within the City; and for the purpose of creating a public entity to secure grant funding and other public and private funding to reclaim mining pits for water recharge purposes to implement the Recharge Program and Reclamation Plan, and to undertake other groundwater recharge, groundwater quality, and water supply programs as the Board of Directors may find to be within the public interest. The Riverpark JPA is controlled by a four-member board consisting of two representatives each from the City and UWCD. None of the member entities exercise specific control over the budgeting and financing of the Authority's activities beyond their representation on the Board. Accounting services are provided by the City. The City has no financial responsibility for any of the JPA's liabilities. The City made no monetary contributions to assist in the operational expenses of the Authority during fiscal year ended June 30, 2023. Complete financial statements may be obtained from the Finance Department, City of Oxnard, 300 W. 3rd St, Oxnard, CA 93030.

As of June 30, 2023, the JPA had total assets of \$975,495, total net position of \$944,030, and total change in net position of \$(52,415) for the year then ended.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS

A. Cash and Investments

The City's cash and investments, including restricted investments with fiscal agents, consist of the following at June 30, 2023:

	AMOUNT
Petty cash	\$ 17,085
Deposits	15,834,414
Investments	437,133,381
Cash and investments	452,984,880
Restricted investments with fiscal agents	137,143,003
TOTAL	\$ 590,127,883

The City's deposits and investments are reflected in the accompanying basic financial statements as follows:

	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	FIDUCIARY FUNDS	TOTAL
Cash and investments	\$ 255,752,338	\$ 180,417,777	\$ 16,814,766	\$ 452,984,881
Restricted investments with fiscal agents	12,081,532	15,754,462	109,307,009	137,143,003
TOTALS	\$ 267,833,870	\$ 196,172,239	\$ 126,121,775	\$ 590,127,884

Deposits Custodial Credit Risks

Custodial credit risk is the risk that in the event of a bank failure, the City's deposits may not be returned to it. The City's deposit policy requires deposits to be covered by federal depository insurance and collateral having a fair value of 110% of the uninsured deposit. As of June 30, 2023, the City has a bank balance of \$19,644,888 in three bank accounts; of the bank balance, \$500,000 was insured and the balance was secured by collateral held by the City's agent in the agent's name. Deposits held by the Housing Authority are also insured or secured by collateral held by the Housing Authority's agent in the agent's name.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)
A. Cash and Investments (Continued)

Investment Policy

The City's investment policy is intended to provide guidelines for the prudent investment of City funds, and to outline the policies for maximizing the efficiency of the City's cash management system. The policy of the City is to invest public funds in a manner which will provide high investment return with the maximum security while meeting the daily cash flow demands of the City and conforming to all state and local statutes governing the investment of public funds. The primary goals of the City are safety, liquidity, and yield in that priority order. The investment policy applies to the City's pooled investment fund which encompasses all monies under the direct oversight of the City Treasurer, including the General Fund, Special Revenue Funds, Capital Project Funds, Enterprise Funds, Internal Service Funds, and Fiduciary Funds, excluding restricted investments with fiscal agents and Oxnard Housing Authority funds.

Authorized Investments

The City's investments are managed by the City Treasurer, fiscal agents (bond trustees acting in accordance with bond covenants), and authorized representatives of the Housing Authority. Investments managed by the City Treasurer and the Housing Authority are invested in accordance with the City and Housing Authority's investment policies, respectively. Investments managed by bond trustees are invested in accordance with provisions of the respective bond agreements. Investments in the City's Retirement Enhancement Defined Benefit Plan (Enhanced Retirement Trust Pool) are not subject to the City's investment policy.

The City's cash and investments by investments manager are as follows:

	AMOUNT
City Treasurer	\$ 435,100,561
Restricted investments held with Fiscal Agents	
Bond trustees for the City and its component units	30,080,173
Enhanced Retirement Trust Pool	104,924,112
Oxnard Housing Authority (includes investments held by fiscal agents)	20,023,037
TOTAL	\$ 590,127,883

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

A. Cash and Investments (Continued)

Authorized Investments (Continued)

The City Treasurer has direct oversight over the City's pooled investment fund, which covers cash and investments of the City's governmental funds, proprietary funds (except for the Housing Authority), and custodial funds, which are invested in accordance with the City's investment policy. Allowable investments are detailed in the following table. The exception is the Enhanced Retirement Trust Pool, which is not subject to Government Code Section 53601.

INVESTMENT TYPES <u>AUTHORIZED BY SECTION 53601</u>	AUTHORIZED BY INVESTMENT	MAXIMUM MATURITY	MAXIMUM PERCENTAGE	MAXIMUM INVESTMENT	MINIMUM RATINGS
	<u>POLICY</u>	<u>(YEARS)</u>	<u>OF PORTFOLIO</u>	<u>IN ONE ISSUER</u>	
U.S. Treasury and agencies	Yes	5	None	None	None
Local agency bonds, notes	Yes	N/A	15%	None	None
Other bonds, notes, or evidences of indebtedness	Yes	N/A	15%	None	None
Bankers acceptances	Yes	180 days	40%	30%	None
Commercial paper	Yes	270 days	15%	10%	P1/A1
Negotiable CDs	Yes	5	30%	None	Aa/AA-
Certificate of deposit (CDs)	Yes	N/A	30%	None	A
Repurchase agreements	Yes	90 days	20%	None	None
Medium term notes	Yes	5	30%	None	A
Mutual funds	Yes	N/A	20%	None	None
LAIF, VCIP, CalTrust	Yes	N/A	None	None	None

The Housing Authority's investment policy, which is substantially the same as the City's, and related disclosures regarding its investments at June 30, 2023, are more fully disclosed in the financial statements for the Housing Authority, which may be obtained from the Housing Authority's Financial Services Division at 435 S D St, Oxnard, CA 93030.

The City Treasurer's investment pool is comprised of pooled deposits, investments, the State of California Local Agency Investment Fund (LAIF), and Ventura County Investment Pool (VCIP) investments. The City Treasurer's pooled investments are carried at fair value. The fair value is determined utilizing Emphasys Software's Synpro, the vendor providing investment reporting capability for the City Treasurer's Office, which provides pricing data from multiple industry sources. The fair value of LAIF and VCIP is determined by allocating the City's share of the investment pools' fair value as reported by LAIF and VCIP.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

A. Cash and Investments (Continued)

Authorized Investments (Continued)

The City is a voluntary participant in LAIF which is considered an external investment pool. LAIF is part of the State's Pooled Money Investment Account (PMIA) which was established in 1953. Oversight of the PMIA is provided by the Pooled Money Investment Board (PMIB) and an in-house Investment Committee. The PMIB members are the State Treasurer, Director of Finance, and State Controller.

Direct oversight for LAIF is provided by the Local Investment Advisory Board. The board consists of five members as designated by statute. The Chair is the State Treasurer or his/her designated representative. Two members qualified by training and experience in the field of investment or finance and two members who are treasurers, finance or fiscal officers, or business managers employed by any county, city, or local district or municipal corporation of this state, are appointed by the State Treasurer. LAIF is required to invest in accordance with state statute.

The City is a voluntary participant in Ventura County Investment Pool (VCIP) which is considered an external investment pool. VCIP is for local public government agencies and school districts within Ventura County and is managed by the Ventura County Treasurer-Tax Collector. VCIP's portfolio must comply with the County's Investment Policy which is governed by the Ventura County Board of Supervisors. VCIP is rated by Standard and Poor's; the most recent rating report is dated November 14, 2022 and is available via the Office of Ventura County Treasurer-Tax Collector's website.

Investments with fiscal agents are investments held by the bond trustee on behalf of the City or its component units and the Enhanced Retirement Trust Pool. In the case of bond trustees, the City selects the investment under the terms of the applicable trust agreement, directs the bond trustee to acquire the investment, and the bond trustee then holds the investment on behalf of the City and/or its component units. Proceeds of bonds administered by bond trustees are also generally covered under the City Treasurer's investment policy; however, specific provisions of each issuance are usually used in managing such investments. Two of the major differences are as follows:

- Allowance of investments in guaranteed investment contracts
- Allowance of investment maturities in excess of five years

For purposes of the Statement of Cash Flows of the proprietary fund types, cash and cash equivalents include all investments as the City operates an internal cash management pool which maintains the general characteristics of the demand deposit account.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

A. Cash and Investments (Continued)

Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Normally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates, and the greater the interest rate risk. One of the ways the City manages its exposure to interest rate risk is by purchasing a combination of shorter-term and longer-term investments and by timing cash flows from maturities so that a portion of the portfolio is maturing or coming close to maturity evenly over time as necessary to provide the cash flow and liquidity needed for operations or debt service requirements.

Information about the sensitivity of the fair value of the City's investments (including restricted investments held by bond trustees) to market interest rate fluctuation is provided by the following table that shows the distribution of the City's investments by maturity:

	INVESTMENT MATURITIES (IN YEARS)			
	LESS		MORE	TOTAL
	THAN 1	1-5	THAN 5	
Federal Agency Securities	\$ 51,541,180	\$ 229,259,123	\$ -	\$ 280,800,303
Ventura County Investment Pool	31,264,035	-	-	31,264,035
California Local Agency Investment Fund	89,814,506	-	-	89,814,506
Negotiable CD	243,461	-	-	243,461
Medium Term Notes	2,903,067	29,362,719	-	32,265,786
Supranational	-	930,370	-	930,370
Corporate Bond	-	1,814,920	-	1,814,920
Held by Trustee				
Money Market Fund	32,218,891	-	-	32,218,891
TOTAL INVESTMENTS	<u>\$ 207,985,140</u>	<u>\$ 261,367,132</u>	<u>\$ -</u>	469,352,272
Enhanced Retirement Trust				104,924,112
Cash in banks and on hand				15,851,499
TOTAL CASH AND INVESTMENTS				<u>\$ 590,127,883</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

A. Cash and Investments (Continued)

Credit Risk

Credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization. The City's investments that are not rated include negotiable CDs; however, these investments are covered by FDIC insurance.

Presented below are the S&P Global ratings for each investment type as of June 30, 2023:

INVESTMENT TYPE	AAA	AA+	AA-	BBB+	A	A-	TOTAL
Federal Agency Securities	\$ 950,651	\$ 262,827,901	\$ -	\$ -	\$ -	\$ -	\$ 263,778,552
Ventura County Investment Pool	31,264,035	-	-	-	-	-	31,264,035
Medium Term Notes	-	901,474	1,290,330	2,718,711	894,237	8,414,943	14,219,695
Corporate Bond	-	1,814,920	-	-	-	-	1,814,920
TOTALS	\$ 32,214,686	\$ 265,544,295	\$ 1,290,330	\$ 2,718,711	\$ 894,237	\$ 8,414,943	\$ 311,077,202
NOT RATED							
Federal Agency Securities							17,021,751
California Local Agency Investment Fund							89,814,506
Medium Term Notes							18,046,091
Negotiable CD							243,461
Supranational							930,370
Money Market Fund							32,218,891
Enhanced Retirement Trust							104,924,112
Total Investments							574,276,384
Cash in banks and on hand							15,851,499
TOTAL							\$ 590,127,883

Concentration of Credit Risk

Investments in the securities of any individual issuer, other than U.S. Treasury securities, mutual funds, and external investment funds that represent 5% or more of total entity-wide investments are as follows at June 30, 2023:

ISSUER	TYPE OF INVESTMENTS	AMOUNTS
Federal Home Loan Mortgage Corporation	Mortgage backed securities	\$ 40,778,260
Federal Home Loan Bank	Mortgage backed securities	212,203,243

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

A. Cash and Investments (Continued)

Custodial Credit Risk

For an investment, custodial credit risk is the risk that, in the event of the failure of the counterparty, the City will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party. The California Government Code and the City's investment policy do not contain legal or policy requirements that would limit the exposure of custodial credit risk for deposits or investments, other than the provisions for deposits in the California Government Code that require that financial institutions secure deposits made by state local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The fair value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by the public agencies. California law also allows financial institutions to secure City deposits by pledging first trust deed mortgage notes having value of 150% of the secured public deposits.

For investments identified herein as held by fiscal agent, the trustee selects the investment under the terms of the applicable trust agreement, acquires the investment, and holds the investment on behalf of the reporting government.

Fair Value Measurements

The City has the following recurring fair value measurements as of June 30, 2023:

	INVESTMENTS AT FAIR VALUE			
	AS OF JUNE 30, 2023			
Investment Type	LEVEL 1	LEVEL 2	LEVEL 3	TOTAL
Federal agency securities	\$ 280,800,303	\$ -	\$ -	\$ 280,800,303
Ventura County Investment Pool	-	31,264,035	-	31,264,035
California Local Agency Investment Fund	-	89,814,506	-	89,814,506
Negotiable CD	243,461	-	-	243,461
Medium Term Notes	32,265,786	-	-	32,265,786
Supranational	930,370	-	-	930,370
Corporate Bond	1,814,920	-	-	1,814,920
	<u>316,054,840</u>	<u>121,078,541</u>	<u>-</u>	<u>437,133,381</u>
Held by Trustees/Fiscal Agent				
Money Market Fund	32,218,891	-	-	32,218,891
Enhanced Retirement Trust				
Mutual funds	104,924,112	-	-	104,924,112
TOTAL INVESTMENTS AT FAIR VALUE	<u>\$ 453,197,843</u>	<u>\$ 121,078,541</u>	<u>\$ -</u>	<u>\$ 574,276,384</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

B. Receivables and Payables

Accounts receivable and other receivables as of June 30, 2023 included in the accompanying Statement of Net Position primarily consist of the following:

	<u>GOVERNMENTAL ACTIVITIES</u>	<u>BUSINESS-TYPE ACTIVITIES</u>
ACCOUNTS RECEIVABLE		
Utilities receivable	\$ -	\$ 18,785,732
Accounts receivable billed and accrued	6,592,816	1,853,618
Other receivables	<u>1,044,581</u>	<u>907,644</u>
	7,637,397	21,546,994
Allowance for uncollectible receivables	<u>(2,542,242)</u>	<u>(1,099,645)</u>
TOTALS	<u>\$ 5,095,155</u>	<u>\$ 20,447,349</u>

Accounts payable and other liabilities as of June 30, 2023 reported on the Statement of Net Position primarily consist of the following:

	<u>GOVERNMENTAL ACTIVITIES</u>	<u>BUSINESS-TYPE ACTIVITIES</u>
ACCOUNTS PAYABLE AND OTHER LIABILITIES		
Accounts payable (due to vendors)	<u>\$ 23,973,281</u>	<u>\$ 12,981,872</u>
Other Liabilities		
Accrued payroll and benefits	2,775,704	1,041,207
Contingent liabilities	3,178,380	2,732,764
Other payables	<u>10,239,701</u>	<u>731,529</u>
Total Other Liabilities	<u>16,193,785</u>	<u>4,505,500</u>
TOTALS	<u>\$ 40,167,066</u>	<u>\$ 17,487,372</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

C. Interfund Receivables and Payables

Total interfund receivables and payables at June 30, 2023, which are included in the Fund Financial Statements as due to/from other funds and advances to/from other funds, before eliminations, consist of the following:

	INTERFUND RECEIVABLE	INTERFUND PAYABLE	INTERFUND BALANCE	ELIMINATION	TOTAL
GOVERNMENTAL ACTIVITIES					
Due To/From Other Funds					
General Fund	\$ 2,005,080	\$ (9,704,394)	\$ (7,699,314)	\$ (7,480)	\$ (7,706,794)
Affordable Housing Fund	-	(7,480)	(7,480)	7,480	-
State and Federal Grants Fund	9,933,656	(8,019,329)	1,914,327	(1,914,327)	-
Nonmajor governmental funds	-	(1,914,327)	(1,914,327)	1,914,327	-
	<u>11,938,736</u>	<u>(19,645,530)</u>	<u>(7,706,794)</u>	<u>-</u>	<u>(7,706,794)</u>
Advances To/From Other Funds					
General Fund	1,821,056	(1,821,056)	-	-	-
Internal Service Fund	823,862	-	823,862	-	823,862
	<u>2,644,918</u>	<u>(1,821,056)</u>	<u>823,862</u>	<u>-</u>	<u>823,862</u>
Total Governmental Activities	<u>14,583,654</u>	<u>(21,466,586)</u>	<u>(6,882,932)</u>	<u>-</u>	<u>(6,882,932)</u>
BUSINESS-TYPE ACTIVITIES					
Due To/From Other Funds					
Water	2,521,610	(114,428)	2,407,182	(144,647)	2,262,535
Wastewater	2,838,194	-	2,838,194	-	2,838,194
Environmental resource	2,606,065	-	2,606,065	-	2,606,065
Golf Course	-	(144,647)	(144,647)	144,647	-
	<u>7,965,869</u>	<u>(259,075)</u>	<u>7,706,794</u>	<u>-</u>	<u>7,706,794</u>
Advances To/From Other Funds					
Water	302,441	-	302,441	(302,441)	-
Golf Course	-	(302,441)	(302,441)	302,441	-
Oxnard Housing Authority	-	(654,808)	(654,808)	-	(654,808)
Internal Service Fund	-	(169,054)	(169,054)	-	(169,054)
	<u>302,441</u>	<u>(1,126,303)</u>	<u>(823,862)</u>	<u>-</u>	<u>(823,862)</u>
Total Business-type Activities	<u>8,268,310</u>	<u>(1,385,378)</u>	<u>6,882,932</u>	<u>-</u>	<u>6,882,932</u>

The interfund balances at June 30, 2023 are loans to cover temporary cash deficits in various funds. Also included in the interfund balances is \$169,054 net internal receivable from business-type activities to governmental activities representing the portion of the net deficit of internal service funds attributable to business-type activities.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

C. Interfund Receivables and Payables (Continued)

During the fiscal year 2021, the general fund was court-ordered to repay the water, wastewater, and environmental resource fund a total of approximately \$36.5 million for Infrastructure Use Fees (IUF) payments received in prior years. The amounts due from the General Fund to Water Fund, Wastewater Fund and Environmental Resources Fund are reported in the interfund receivable and payable.

D. Interfund Transfers

Interfund transfers generally fall within one of the following categories:

- Debt service payments made from a debt service fund but funded from an operating fund;
- Program support that generally reflects subsidies and allocations between funds; and
- Transfers of capital assets between governmental activities and enterprise funds.

The following transfers in and out are reflected in the Fund Financial Statements for the year ended June 30, 2023:

	DESCRIPTION	TRANSFERS IN	TRANSFERS OUT	NET TRANSFERS
GOVERNMENTAL ACTIVITIES				
General Fund	Program Support	\$ 3,022,236	\$ (12,632,526)	\$ (9,610,290)
General Fund	Debt Service	-	(8,046,061)	(8,046,061)
Developer Fees	Program Support	-	(2,807,270)	(2,807,270)
Developer Fees	Debt Service	-	(549,603)	(549,603)
State and Federal Grants Fund	Program Support	60,945	(20,635,132)	(20,574,187)
Capital Improvement Fund	Capital improvement	44,544,038	-	44,544,038
Nonmajor Governmental Funds	Program Support	1,058,035	(21,550,356)	(20,492,321)
Nonmajor Governmental Funds	Debt Service	7,719,029	-	7,719,029
Internal Service Funds	Program Support	260,067	(171,134)	88,933
Total Governmental Activities		<u>56,664,350</u>	<u>(66,392,082)</u>	<u>(9,727,732)</u>
BUSINESS-TYPE ACTIVITIES				
Water	Program Support	-	(7,058,412)	(7,058,412)
Water	Capital Improvements	15,069,711	-	15,069,711
Wastewater	Program Support	-	(9,372,037)	(9,372,037)
Wastewater	Capital Improvements	11,164,829	-	11,164,829
Environmental Resource	Program Support	-	(2,042,515)	(2,042,515)
Environmental Resource	Capital Improvements	1,966,156	-	1,966,156
Total Business-Type Activities		<u>28,200,696</u>	<u>(18,472,964)</u>	<u>9,727,732</u>
TOTAL GOVERNMENT-WIDE STATEMENTS		<u>\$ 84,865,046</u>	<u>\$ (84,865,046)</u>	<u>\$ -</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

E. Lease Receivable

As of June 30, 2023, the City has the following leases:

- 66 month lease as Lessor for the use of 201 E Fourth Street, Suite 103A. On July 1, 2021, an initial lease receivable was recorded in the amount of \$66,981. As of June 30, 2023, the value of the lease receivable is \$42,942. The lessee is required to make monthly variable principal and interest payments of \$1,271. The lease has an interest rate of 0.815%. The value of the deferred inflow of resources as of June 30, 2023 was \$42,624, and the City recognized lease revenue of \$12,178 during the fiscal year.
- 64 month lease as Lessor for the use of 350 South K Street (land). On July 1, 2021, an initial lease receivable was recorded in the amount of \$234,535. As of June 30, 2023, the value of the lease receivable is \$158,067. The lessee is required to make monthly variable principal and interest payments of \$3,248. The lease has an interest rate of 0.687%. The value of the deferred inflow of resources as of June 30, 2023 was \$147,176, and the City recognized lease revenue of \$43,680 during the fiscal year. The lessee has one extension option for 60 months.
- 48 month lease as Lessor for the use of 1600 Camino Del Sol (land). On July 1, 2021, an initial lease receivable was recorded in the amount of \$398,423. As of June 30, 2023, the value of the lease receivable is \$321,089. The lessee is required to make monthly fixed payments of \$3,571. The lease has an interest rate of 0.560%. The value of the deferred inflow of resources as of June 30, 2023 was \$310,401, and the City recognized lease revenue of \$44,011 during the fiscal year. The lessee has one extension option for 60 months.
- 28 month lease as Lessor for the use of 201 East Fourth Street, Suite 102. On July 1, 2021, an initial lease receivable was recorded in the amount of \$14,124. As of June 30, 2023, the value of the lease receivable is \$2,066. The lessee is required to make monthly fixed payments of \$578. The lease has an interest rate of 0.308%. The value of the deferred inflow of resources as of June 30, 2023 was \$2,018, and the City recognized lease revenue of \$6,053 during the fiscal year. The lessee has three extension options, each for 36 months.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)
E. Lease Receivable (Continued)

- 60 month lease as lessor for the use of 255 and 273 East Fifth Street. On June 21, 2022, an initial lease receivable was recorded in the amount of \$259,955. As of June 30, 2023, the value of the lease receivable is \$210,046. The lessee is required to make monthly fixed payments of \$4,583. The lease has an interest rate of 2.287%. The value of the deferred inflow of resources as of June 30, 2023 was \$206,520, and the City recognized lease revenue of \$51,991 during the fiscal year. The lessee had a termination period of six months as of the lease commencement.

The future minimum lease payments receivable are as follows:

FISCAL YEAR	Governmental Activities		
	PRINCIPAL	INTEREST	TOTAL
2024	\$ 145,896	\$ 9,215	\$ 155,111
2025	149,216	7,166	156,382
2026	160,844	5,028	165,872
2027	129,199	2,846	132,045
2028	47,733	1,543	49,276
2029-2031	101,323	1,337	102,660
TOTALS	<u>\$ 734,211</u>	<u>\$ 27,135</u>	<u>\$ 761,346</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

F. Notes Receivable

At June 30, 2023, the City's net notes receivable consisted of the following:

	BALANCE JULY 1, 2022	ADDITIONS	DELETIONS	BALANCE JUNE 30, 2023
GOVERNMENTAL ACTIVITIES				
Residential Notes Receivable				
CalHOME Loans	\$ 2,654,154	-	(19,500)	\$ 2,634,654
BEGIN Loans	3,054,343	-	(25,000)	3,029,343
CDBG Loans	3,752,568	-	(128,239)	3,624,329
HOME Loans	6,991,209	-	(335,325)	6,655,884
NSP Loans	297,550	-	-	297,550
HERO Loans	114,473	-	-	114,473
Other	2,775,062	-	(25,500)	2,749,562
Allowance for doubtful accounts	(8,703,638)	-	402,049	(8,301,589)
	<u>10,935,721</u>	<u>-</u>	<u>(131,515)</u>	<u>10,804,206</u>
Developer Notes Receivable				
* Las Villas de Paseo Nuevo	7,000,000	-	-	7,000,000
CRFL Family Apartments	14,277,022	-	-	14,277,022
Colonial House	4,200,000	-	-	4,200,000
Paseo Santa Clara	2,200,000	-	-	2,200,000
Paseo Del Rio	2,700,000	-	-	2,700,000
* Terraza de Las Cortes	3,300,000	-	-	3,300,000
* Las Cortes	3,672,319	-	-	3,672,319
Villa Madera	1,600,000	-	-	1,600,000
Sonata at Riverpark	3,000,000	-	-	3,000,000
Sonata at Riverpark-PILOT	3,711,154	-	-	3,711,154
Many Mansions - Ormond Beach Villas	992,624	-	-	992,624
Many Mansions-110 N D St	299,500	-	-	299,500
Many Mansions-Terry's Place	367,159	-	-	367,159
Camino Gonzales	1,623,840	-	-	1,623,840
Cesar Chavez	860,000	-	-	860,000
Villa Solimar	805,000	-	-	805,000
Villa Solimar-Cypress Court	162,000	-	-	162,000
Villa Victoria	1,101,500	-	-	1,101,500
Mercy Housing	720,846	-	-	720,846
Meta Street Apartments	95,000	-	-	95,000
Dolores Huerta Gardens (Etting Rd.)	-	1,668,600	-	1,668,600
Colonial House - PILOT	3,202,826	-	-	3,202,826
Cypress Place	-	1,600,000	(600,000)	1,000,000
Allowance for doubtful accounts	(3,082,319)	-	-	(3,082,319)
	<u>52,808,471</u>	<u>3,268,600</u>	<u>(600,000)</u>	<u>55,477,071</u>
	<u>63,744,192</u>	<u>3,268,600</u>	<u>(731,515)</u>	<u>66,281,277</u>
BUSINESS-TYPE ACTIVITIES				
Wastewater Loans	777,000	-	-	777,000
Oxnard Housing Authority	3,569,930	-	(448,524)	3,121,406
	<u>4,346,930</u>	<u>-</u>	<u>(448,524)</u>	<u>3,898,406</u>
TOTAL GOVERNMENT-WIDE				
STATEMENTS	<u>\$ 68,091,122</u>	<u>\$ 3,268,600</u>	<u>\$ (1,180,039)</u>	<u>\$ 70,179,683</u>

* Parties are related to the Oxnard Housing Authority

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)
F. Notes Receivable (Continued)

GOVERNMENTAL ACTIVITIES

Residential Notes Receivable

The City offers loans to qualifying low-income individuals and families who require financial assistance for home-buying, home repairs, or mobile home replacements (no longer offered). Residential outstanding loans at June 30, 2023 include the following programs: Home Investment Partnership Program (HOME), Community Development Block Grants (CDBG), Building Equity and Growth in Neighborhoods Program (BEGIN), Neighborhood Stabilization Program (NSP), Historic Enhancement Revitalization of Oxnard (HERO), and CalHome Program. Summarized in the table below are these programs' average outstanding loan amount, interest rate, average outstanding term, and forgiveness policy. A majority of the home loan programs are forgivable at the end of term. Any default on the agreement, such as transfer of ownership or failure of homeowner to live in the unit causes the loan to be due and payable immediately. The City secures these loans by placing a lien on the property by recording a Deed of Trust with the Ventura County Recorder's Office for the loan amount.

<u>PROGRAM</u>	<u>AMOUNT</u>	<u>INTEREST</u>	<u>TERM</u>	<u>FORGIVABLE</u>
CalHOME	\$ 29,000	3%	30	No
BEGIN	26,000	3%	30	No
CDBG	24,000	0%	20	Yes
HOME	19,000	0%	12	Yes
NSP	41,000	0%	20	Yes
HERO	11,000	0%	6	Yes
Other	17,000	0%	24	Yes

Developer Notes Receivable

The City entered into loan agreements with several developers for affordable housing and mixed-use projects. The terms of the loans include annual installments from residual receipts. The loans bear simple interest at a rate of 1%-4% per year maturing between 24-55 years from the completion of the project. The City secured these loans with a deed of trust for the loan amount.

Three of the affordable housing loans in the aggregate amount of \$13,972,319, net of allowance of \$3,082,319 as of June 30, 2023, are loans to parties related the Oxnard Housing Authority.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. **DETAILED NOTES ON ALL FUNDS (Continued)**
F. **Notes Receivable (Continued)**

BUSINESS-TYPE ACTIVITIES

Septic to Sewer Project

The City provided financial assistance to property owners affected by the California Regional Water Quality Control Board Resolution No. 99-13 of 1999, which prohibited the installation of new septic systems and called for the removal of existing septic systems in the area by 2008. The loans are interest free and payment plans are based on individual agreements.

Oxnard Housing Authority

In April 2014, the Authority issued a promissory note of \$2,050,000 to Terraza De Las Cortes, L.P. for certain land the Authority received in a land exchange with the City. The note accrues interest at a rate of 5% compounded annually on the amount of the outstanding balance of the loan. Annual payments of 85% of the annual net cash flow of the property are made. All outstanding principal and accrued interest are payable on or before April 2069. Principal and interest due to the Authority at June 30, 2023 was \$788,787 and \$74,748, respectively.

In June 2020, the Authority entered into a note agreement with A0592 Oxnard LP (Developer). The Authority is to provide up to \$2,500,000 in reimbursement for costs associated with demolition costs of the Los Cortes phase II development. The note accrues interest at a rate of 3% simple interest per annum. Principal and interest due to the Authority at June 30, 2023 was \$2,332,619 and \$200,424, respectively.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

G. Capital Assets

Changes in the City's capital assets for the year ended June 30, 2023 consisted of the following:

	BALANCE JULY 1, 2022	INCREASES	DECREASES	TRANSFERS	BALANCE JUNE 30, 2023
GOVERNMENTAL ACTIVITIES					
Capital Assets, Not Being Depreciated					
Land	\$ 1,390,541,135	\$ 1,179,420	\$ -	\$ -	\$ 1,391,720,555
Construction in progress	33,996,339	36,981,846	(6,870,636)	-	64,107,549
Total Capital Assets, Not Being Depreciated					
Depreciated	<u>1,424,537,474</u>	<u>38,161,266</u>	<u>(6,870,636)</u>	<u>-</u>	<u>1,455,828,104</u>
Capital Assets, Being Depreciated					
Artwork	87,000	-	-	-	87,000
Buildings	119,818,228	2,292,233	-	-	122,110,461
Improvements other than buildings	83,016,605	645,065	-	-	83,661,670
Equipment and machinery	46,712,145	3,320,234	(508,379)	27,453	49,551,453
Intangible assets	-	3,327,079	-	-	3,327,079
Infrastructure	689,818,566	2,753,918	-	-	692,572,484
Total Capital Assets, Being Depreciated					
Depreciated	<u>939,452,544</u>	<u>12,338,529</u>	<u>(508,379)</u>	<u>27,453</u>	<u>951,310,147</u>
Less: Accumulated Depreciation					
Artwork	(87,000)	-	-	-	(87,000)
Buildings	(47,395,718)	(2,456,887)	-	-	(49,852,605)
Improvements other than buildings	(26,386,651)	(2,141,087)	-	-	(28,527,738)
Equipment and machinery	(33,821,911)	(2,659,341)	508,379	(17,801)	(35,990,674)
Intangible assets	-	(948,071)	-	-	(948,071)
Infrastructure	(273,004,850)	(17,361,025)	-	-	(290,365,875)
Total Accumulated Depreciation	<u>(380,696,130)</u>	<u>(25,566,411)</u>	<u>508,379</u>	<u>(17,801)</u>	<u>(405,771,963)</u>
Total Capital Assets, Being Depreciated, Net	<u>558,756,414</u>	<u>(13,227,882)</u>	<u>-</u>	<u>9,652</u>	<u>545,538,184</u>
GOVERNMENTAL ACTIVITIES CAPITAL ASSETS, NET	<u>\$ 1,983,293,888</u>	<u>\$ 24,933,384</u>	<u>\$ (6,870,636)</u>	<u>\$ 9,652</u>	<u>\$ 2,001,366,288</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)
G. Capital Assets (Continued)

	BALANCE JULY 1, 2022	INCREASES	DECREASES	TRANSFERS	BALANCE JUNE 30, 2023
BUSINESS-TYPE ACTIVITIES					
Capital Assets, Not Being Depreciated					
Land	\$ 45,539,471	\$ 563,224	\$ -	\$ -	\$ 46,102,695
Construction in progress	35,181,382	18,916,224	(27,458,915)	-	26,638,691
Total Capital Assets, Not Being Depreciated					
Depreciated	80,720,853	19,479,448	(27,458,915)	-	72,741,386
Capital assets, Being Depreciated					
Buildings	254,149,130	2,939,448	(597,113)	-	256,491,465
Improvements other than buildings	34,606,396	-	-	-	34,606,396
Equipment and machinery	126,820,928	2,975,023	(407,048)	(27,453)	129,361,450
Intangible assets	-	56,261	-	-	56,261
Infrastructure	416,255,064	26,001,028	-	-	442,256,092
Total Capital Assets, Being Depreciated					
Depreciated	831,831,518	31,971,760	(1,004,161)	(27,453)	862,771,664
Less: Accumulated Depreciation					
Buildings	(127,599,936)	(5,335,016)	33,893	-	(132,901,059)
Improvements other than buildings	(16,587,437)	(611,364)	-	-	(17,198,801)
Equipment and machinery	(62,571,688)	(5,665,159)	407,048	17,801	(67,811,998)
Intangible assets	-	(21,468)	-	-	(21,468)
Infrastructure	(157,236,625)	(8,118,557)	-	-	(165,355,182)
Total Accumulated Depreciation	(363,995,686)	(19,751,564)	440,941	17,801	(383,288,508)
Total Capital Assets, Being Depreciated, Net	467,835,832	12,220,196	(563,220)	(9,652)	479,483,156
BUSINESS-TYPE ACTIVITIES CAPITAL ASSETS, NET	548,556,685	31,699,644	(28,022,135)	(9,652)	552,224,542
TOTALS	\$ 2,531,850,573	56,633,028	(34,892,771)	-	2,553,590,830

For the year ended June 30, 2023 depreciation expense on capital assets was charged as follows:

	AMOUNT
GOVERNMENTAL ACTIVITIES	
General government	\$ 1,617,697
Public safety	2,260,345
Public works	20,543,736
Community development	22,880
Culture, leisure and libraries	729,384
Housing	10,408
Capital assets held by the City's internal service fund	381,961
TOTAL GOVERNMENTAL ACTIVITIES	
DEPRECIATION EXPENSE	\$ 25,566,411
BUSINESS-TYPE ACTIVITIES	
Water	7,613,688
Wastewater	8,052,448
Environmental resources	2,676,033
Golf Course	590,258
Oxnard Housing Authority	819,137
TOTAL BUSINESS-TYPE ACTIVITIES	
DEPRECIATION EXPENSE	\$ 19,751,564

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt

The following is a summary of changes in long-term debt. Certain long-term debt provides financing to both governmental and business-type activities. The following tables present balances and activity for the City's fiscal year ended June 30, 2023:

	BALANCE JULY 1, 2022	ADDITIONS	REDUCTIONS	BALANCE JUNE 30, 2023	DUE WITHIN ONE YEAR
GOVERNMENTAL ACTIVITIES					
Lease Revenue Bonds					
Lease revenue project and refunding bonds, series 2014	\$ 9,635,000	\$ -	\$ (1,185,000)	\$ 8,450,000	\$ 1,240,000
Lease revenue refunding bonds, series 2018	22,320,000	-	(1,465,000)	20,855,000	1,515,000
Lease revenue refunding bonds, series 2019A	25,655,000	-	(755,000)	24,900,000	805,000
Unamortized premiums	4,948,108	-	(361,884)	4,586,224	-
Unamortized discounts	(19,183)	-	1,014	(18,169)	-
Revenue Bonds					
Gas Tax Revenue Refunding Bonds, series 2020	15,530,000	-	(740,000)	14,790,000	770,000
Unamortized Premiums	2,852,460	-	(57,846)	2,794,614	-
Lease Purchase Agreements					
B of A Lease Purchase 2018, Draw #21 & #22	1,355,250	-	(654,385)	700,865	345,718
B of A Lease Purchase 2022, Draw #25	3,300,000	-	(304,583)	2,995,417	323,063
B of A Lease Purchase 2022, Draw #26	-	2,035,000	(87,250)	1,947,750	186,110
B of A Lease Purchase 2023, Draw #27	-	3,285,000	(160,754)	3,124,246	297,034
2012 Lease Purchase	2,303,516	-	(351,135)	1,952,381	364,565
2014 Lease Purchase - Fire Station 8	9,696,695	-	(885,000)	8,811,695	920,000
TOTAL GOVERNMENTAL ACTIVITIES	<u>\$ 97,576,846</u>	<u>\$ 5,320,000</u>	<u>\$ (7,006,823)</u>	<u>\$ 95,890,023</u>	<u>\$ 6,766,490</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)
H. Long-term Debt (Continued)

	<u>JULY 1, 2022</u>	<u>ADDITIONS</u>	<u>REDUCTIONS</u>	<u>JUNE 30, 2023</u>	<u>ONE YEAR</u>
BUSINESS-TYPE ACTIVITIES					
Water Fund					
Revenue Bonds					
Water revenue project bonds, series 2010B	\$ 83,670,000	\$ -	\$ (1,970,000)	\$ 81,700,000	\$ 2,060,000
Water revenue refunding bonds, series 2018	36,870,000	-	(1,280,000)	35,590,000	1,350,000
Water Bond Series 2021A	21,875,000	-	(385,000)	21,490,000	395,000
Water revenue refunding bonds, series 2021	24,097,479	-	(1,727,121)	22,370,358	1,774,504
Unamortized premiums	6,993,150	-	(433,220)	6,559,930	-
Unamortized discounts	(492,956)	-	28,036	(464,920)	-
TOTAL WATER FUND	<u>\$ 173,012,673</u>	<u>\$ -</u>	<u>\$ (5,767,305)</u>	<u>\$ 167,245,368</u>	<u>\$ 5,579,504</u>
Wastewater Fund					
Revenue Bonds					
Wastewater revenue refunding bonds, series 2014	\$ 64,455,000	\$ -	\$ (4,050,000)	\$ 60,405,000	\$ 4,250,000
Wastewater revenue refunding bonds, series 2018	19,640,000	-	(1,235,000)	18,405,000	1,300,000
Unamortized premiums	7,228,079	-	(687,141)	6,540,938	-
TOTAL WASTEWATER FUND	<u>\$ 91,323,079</u>	<u>\$ -</u>	<u>\$ (5,972,141)</u>	<u>\$ 85,350,938</u>	<u>\$ 5,550,000</u>
Environmental Resources Fund					
Lease Purchase Agreements					
B of A Lease Purchase Agreement 2018	\$ 3,070,728	\$ -	\$ (493,970)	\$ 2,576,758	\$ 509,183
B of A Lease Purchase Agreement 2021	8,801,431	-	(1,414,263)	7,387,168	1,434,911
TOTAL ENVIRONMENTAL RESOURCES FUND	<u>\$ 11,872,159</u>	<u>\$ -</u>	<u>\$ (1,908,233)</u>	<u>\$ 9,963,926</u>	<u>\$ 1,944,094</u>
Oxnard Housing Authority Fund					
Direct Borrowings					
2004 Capital Fund Revenue Bonds	\$ 840,000	\$ -	\$ (410,000)	\$ 430,000	\$ 430,000
Note payable	269,710	-	-	269,710	269,710
TOTAL OXNARD HOUSING AUTHORITY FUND	<u>\$ 1,109,710</u>	<u>\$ -</u>	<u>\$ (410,000)</u>	<u>\$ 699,710</u>	<u>\$ 699,710</u>
TOTAL BUSINESS-TYPE ACTIVITIES	<u>\$ 277,317,621</u>	<u>\$ -</u>	<u>\$ (14,057,679)</u>	<u>\$ 263,259,942</u>	<u>\$ 13,773,308</u>
TOTALS	<u>\$ 374,894,467</u>	<u>\$ 5,320,000</u>	<u>\$ (21,064,502)</u>	<u>\$ 359,149,965</u>	<u>\$ 20,539,798</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

The summary annual debt service requirements are shown below for all long-term debt:

FISCAL YEAR	GOVERNMENTAL ACTIVITIES			BUSINESS-TYPE ACTIVITIES		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
2024	6,766,490	3,623,783	\$ 10,390,273	13,773,308	\$ 12,899,304	\$ 26,672,612
2025	7,019,688	3,357,662	10,377,350	13,614,644	12,292,201	25,906,845
2026	6,928,692	3,083,839	10,012,531	14,173,427	11,679,960	25,853,387
2027	7,218,236	2,798,915	10,017,151	14,759,656	11,040,587	25,800,243
2028	7,513,184	2,494,391	10,007,575	15,242,273	10,372,443	25,614,716
2029-2033	29,271,064	9,170,310	38,441,374	76,832,391	41,188,610	118,021,001
2034-2038	17,970,000	3,049,087	21,019,087	59,583,295	22,622,637	82,205,932
2039-2043	5,840,000	449,823	6,289,823	27,165,000	6,127,250	33,292,250
2044-2048	-	-	-	5,580,000	2,667,200	8,247,200
2049-2053	-	-	-	6,790,000	1,457,800	8,247,800
2054-2058	-	-	-	3,110,000	187,800	3,297,800
TOTALS	<u>88,527,354</u>	<u>28,027,810</u>	<u>\$ 116,555,164</u>	<u>\$ 250,623,994</u>	<u>\$ 132,535,792</u>	<u>\$ 383,159,786</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt

Bonds and Certificates of Participation - Governmental Activities

Lease Revenue Project and Refunding Bonds, Series 2014

On November 4, 2014, the Authority issued \$21,225,000 of Lease Revenue Project and Refunding Bonds, Series 2014. The purposes of the bonds were to refund the outstanding Lease Revenue Refunding Bonds, Series 2003A, and finance the acquisition, construction, and improvement of certain streets and roadways within certain residential neighborhoods with the City. The refunding resulted in an economic gain (difference between the present value of the debt service on the old bonds and the present value of the debt service on the new bonds) of \$4,962 and debt service savings of \$11,715. The lease payments on these bonds constitute obligations of the City's General Fund. The bonds mature annually in amounts ranging from \$2,925,000 on June 1, 2015 to \$1,585,000 on June 1, 2029. Interest on the bonds is payable on June 1 and December 1 of each year, with interest rates ranging from 2 percent to 5 percent. As of June 30, 2023, the total balance outstanding was \$8,450,000.

As of June 30, 2023, the total future debt payments for the Lease Revenue Project and Refunding Bonds, Series 2014, including interest thereon, are as follows:

LEASE REVENUE PROJECT AND REFUNDING BONDS SERIES 2014			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	1,240,000	422,500	\$ 1,662,500
2025	1,305,000	360,500	1,665,500
2026	1,370,000	295,250	1,665,250
2027	1,440,000	226,750	1,666,750
2028	1,510,000	154,750	1,664,750
2029	1,585,000	79,250	1,664,250
TOTALS	<u>8,450,000</u>	<u>1,539,000</u>	<u>\$ 9,989,000</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation - Governmental Activities (Continued)

Lease Revenue Refunding Bonds, Series 2018

On April 20, 2018, the Authority issued \$28,810,000 of Lease Revenue Refunding Bonds, Series 2018. The purpose of the bonds was to pay for the principal and interest on the Variable Rate Demand Lease Revenue Bonds, Series 2003B and 2006. The refunding resulted in an economic gain of \$965,987 and an estimated cash flow savings of \$1,160,111. The lease payments on these bonds constitute obligations of the City's General Fund. The bonds mature annually in amounts ranging from \$985,000 on June 1, 2018 to \$1,310,000 on June 1, 2036. Interest on the bonds is payable on June 1 and December 1 of each year, with interest rates ranging from 3 percent to 5 percent. As of June 30, 2023, the total balance outstanding was \$20,855,000.

As of June 30, 2023, the total future debt payments for the Lease Revenue Refunding Bonds, Series 2018, including interest thereon, are as follows:

LEASE REVENUE REFUNDING BONDS SERIES 2018			
	PRINCIPAL	INTEREST	TOTAL
2024	1,515,000	1,042,750	\$ 2,557,750
2025	1,550,000	967,000	2,517,000
2026	1,590,000	889,500	2,479,500
2027	1,640,000	810,000	2,450,000
2028	1,680,000	728,000	2,408,000
2029-2033	9,065,000	2,336,000	11,401,000
2034-2036	3,815,000	385,250	4,200,250
	<u>20,855,000</u>	<u>7,158,500</u>	<u>\$ 28,013,500</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation - Governmental Activities (Continued)

Lease Revenue Refunding Bonds, Series 2019A

On December 1, 2019, the Authority issued \$27,615,000 of Lease Revenue Refunding Bonds, Series 2019A. The purpose of the bonds was to finance upfront payments of the City's Enterprise Resource Planning project and pay for the principal and interest on the Lease Revenue Refunding Bonds, Series 2011. The refunding resulted in an economic gain of \$1,746,065 and total additional cash flow of \$311,375 due to \$6.9 million of additional proceeds obtained. The lease payments on these bonds constitute obligations of the City's General Fund. The bonds mature annually in amounts ranging from \$585,000 on June 1, 2020 to \$2,020,000 on June 1, 2041. Interest on the bonds is payable on June 1 and December 1 of each year, with interest rates ranging from 1.944 percent to 3.804 percent. As of June 30, 2023, the total balance outstanding was \$24,900,000.

As of June 30, 2023, the total future debt payments for the Lease Revenue Refunding Bonds, Series 2019A, including interest thereon, are as follows:

LEASE REVENUE REFUNDING BONDS SERIES 2019A			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	805,000	839,386	\$ 1,644,386
2025	860,000	821,000	1,681,000
2026	910,000	799,775	1,709,775
2027	970,000	775,952	1,745,952
2028	1,035,000	748,908	1,783,908
2029-2033	6,205,000	3,236,449	9,441,449
2034-2038	8,275,000	2,057,437	10,332,437
2039-2041	5,840,000	449,823	6,289,823
TOTALS	<u>24,900,000</u>	<u>9,728,730</u>	<u>\$ 34,628,730</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation - Governmental Activities (Continued)

Gas Tax Revenue Refunding Bonds, Series 2020

On February 20, 2020, the Authority issued \$16,905,000 of Gas Tax Revenue Refunding Bonds, Series 2020. The purpose of these bonds was to pay for the principal and interest on the Gas Tax Revenue Certificates of Participation. The refunding resulted in an economic gain of \$4,843,757 and an estimated total cash flow savings of \$5,721,089. The bonds are secured solely by gas tax revenues received from the State of California. The bonds mature annually in amounts ranging from \$665,000 on September 1, 2020 to \$1,270,000 on September 1, 2037. Interest on the bonds is payable on March 1 and September 1 of each year, with interest rates ranging from 1.5 percent to 4.0 percent. As of June 30, 2023, the total balance outstanding was \$14,790,000.

As of June 30, 2023, the total future debt payments for the Gas Tax Revenue Refunding Bonds, Series 2020, including interest thereon, are as follows:

GAS TAX REVENUE BONDS			
SERIES 2020			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	770,000	548,525	\$ 1,318,525
2025	785,000	527,238	1,312,238
2026	805,000	509,275	1,314,275
2027	835,000	480,500	1,315,500
2028	865,000	446,500	1,311,500
2029-2033	4,850,000	1,676,200	6,526,200
2034-2038	5,880,000	606,400	6,486,400
TOTALS	<u>14,790,000</u>	<u>4,794,638</u>	<u>\$ 19,584,638</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation – Business-Type Activities

Water Revenue Project Bonds, Series 2010B

The City has pledged all net water revenues and all amounts on deposit in the Revenue Fund for the payment of the 2010 Installment Payments and the outstanding Parity Obligations. As of June 30, 2023, Parity Obligations include the Series 2018, 2021A, and 2021 Water Revenue Bonds. These bonds were issued on February 11, 2010 in the amount of \$83,670,000. These bonds, issued as Federally Taxable Build America Bonds under the American Recovery and Reinvestment Act of 2008, carry a net interest cost of 4.5287% and mature on June 1, 2040. The City receives an interest subsidy directly from the United States Treasury equal to 35% of each interest payment. Since 2013, approximately 5.9% - 8.7% of the expected subsidy has been sequestered by the U.S. Treasury, effectively reducing the interest subsidy to 32.9%. The proceeds from the sale of the bonds were used to finance a portion of the cost of certain capital improvements related to the GREAT program. The Installment Payments on these bonds are secured by a first priority lien on the net system revenues (as defined in the trust indenture) of the City's water fund. Such obligation exists through the maturity date of the bonds at June 1, 2040. The balance outstanding as of June 30, 2023 is \$81,700,000.

As of June 30, 2023, the total future debt payments for the Water Revenue Project Bonds, Series 2010B, including interest thereon, are as follows:

WATER REVENUE PROJECT BONDS SERIES 2010B			
FISCAL YEAR	PRINCIPAL	INTEREST*	TOTAL
2024	2,060,000	5,651,838	\$ 7,711,838
2025	2,150,000	5,511,367	7,661,367
2026	2,240,000	5,364,758	7,604,758
2027	2,345,000	5,212,013	7,557,013
2028	2,445,000	5,052,107	7,497,107
2029-2033	13,960,000	22,607,170	36,567,170
2034-2038	32,190,000	16,905,240	49,095,240
2039-2040	24,310,000	2,571,450	26,881,450
TOTALS	81,700,000	68,875,943	\$ 150,575,943

*Interest is presented on a gross basis, excluding Build America Bonds subsidy from the US Treasury.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation – Business-Type Activities (Continued)

Water Revenue Refunding Bonds, Series 2018

The City has pledged all net water revenues and all amounts on deposit in the Revenue Fund for the payment of the 2018 Installment Payments and the outstanding Parity Obligations. As of June 30, 2023, Parity Obligations as described on these bonds are Series 2010B, 2021A, and 2021 Water Revenue Bonds. The Installment Payments on these bonds are secured by a first priority lien on the net system revenues of the City's water fund. These bonds were issued on November 14, 2018 in the amount of \$40,370,000. These bonds carry a net interest cost of 3.9% and mature on June 1, 2036. A portion of the proceeds were used to advance refund all the outstanding principal amount of \$45,095,000 of the Water Revenue Project Bonds, Series 2006. This refunding resulted in an economic gain of \$4,833,224 and an estimated cash flow savings of \$6,558,001. The balance outstanding as of June 30, 2023 is \$35,590,000.

As of June 30, 2023, the total future debt payments for the Water Revenue Refunding Bonds, Series 2018, including interest thereon, are as follows:

FISCAL YEAR	WATER REVENUE REFUNDING BONDS SERIES 2018		
	PRINCIPAL	INTEREST	TOTAL
2024	1,350,000	1,704,913	\$ 3,054,913
2025	1,420,000	1,637,412	3,057,412
2026	1,490,000	1,566,413	3,056,413
2027	1,560,000	1,491,912	3,051,912
2028	1,640,000	1,413,912	3,053,912
2029-2033	12,055,000	5,631,563	17,686,563
2034-2036	16,075,000	1,558,488	17,633,488
TOTALS	<u>35,590,000</u>	<u>15,004,613</u>	<u>\$ 50,594,613</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation – Business-Type Activities (Continued)

Water Revenue Refunding Bonds, Series 2021A

The City has pledged all net water revenues and all amounts on deposit in the Revenue Fund for the payment of the 2021A Installment Payments and the outstanding Parity Obligations. As of June 30, 2023, Parity Obligations as described on these bonds are Series 2010B, 2018, and 2021 Water Revenue Bonds. The Installment Payments on these bonds are secured by a first priority lien on the net system revenues of the City's water fund. These bonds were issued on March 3, 2021 in the amount of \$23,305,000. These bonds carry a net interest cost of 3.2321% and mature on June 1, 2055. A portion of the proceeds were used to advance refund all the outstanding principal amount of \$9,560,000 of the Water Revenue Project Bonds, Series 2010A and 2012. This refunding resulted in an economic loss of \$1,934,875 and an estimated cash flow deficit of \$1,426,231. The balance outstanding as of June 30, 2023 is \$21,490,000.

As of June 30, 2023, the total future debt payments for the Water Revenue Refunding Bonds, Series 2021A, including interest thereon, are as follows:

WATER REVENUE PROJECT BONDS, SERIES 2021A			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	395,000	859,600	\$ 1,254,600
2025	415,000	843,800	1,258,800
2026	435,000	827,200	1,262,200
2027	450,000	809,800	1,259,800
2028	470,000	791,800	1,261,800
2029-2033	990,000	3,726,800	4,716,800
2034-2038	-	3,667,000	3,667,000
2039-2043	2,855,000	3,555,800	6,410,800
2044-2048	5,580,000	2,667,200	8,247,200
2049-2053	6,790,000	1,457,800	8,247,800
2054-2055	3,110,000	187,800	3,297,800
TOTALS	<u>21,490,000</u>	<u>19,394,600</u>	<u>\$ 40,884,600</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation – Business-Type Activities (Continued)

Water Revenue Refunding Bonds, Series 2021

The City has pledged all net water revenues and all amounts on deposit in the Revenue Fund for the payment of the 2021 Installment Payments and the outstanding Parity Obligations. As of June 30, 2023, Parity Obligations as described on these bonds are Series 2010B, 2018 and 2021A Water Revenue Bonds. The Installment Payments on these bonds are secured by a first priority lien on the net system revenues of the City's water fund. These bonds were issued on November 2, 2021 in the amount of \$24,159,946. These bonds carry a net interest cost of 2.700% and mature on June 1, 2034. A portion of the proceeds were used to advance refund all the outstanding principal amount of \$24,031,946 of the Water Revenue Bonds, Series 2014. This refunding resulted in an economic gain of \$1,098,806 and an estimated cash flow savings of \$1,398,084. The balance outstanding as of June 30, 2023 is \$22,370,358.

As of June 30, 2023, the total future debt payments for the Water Revenue Refunding Bonds, Series 2021, including interest thereon, are as follows:

WATER REVENUE REFUNDING BONDS SERIES 2021			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	1,774,504	604,000	\$ 2,378,504
2025	1,818,916	556,088	2,375,004
2026	1,870,276	506,977	2,377,253
2027	1,923,274	456,480	2,379,754
2028	1,972,702	404,551	2,377,253
2029-2033	10,697,391	1,194,127	11,891,518
2034	2,313,295	62,459	2,375,754
TOTALS	<u>22,370,358</u>	<u>3,784,682</u>	<u>\$ 26,155,040</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation – Business-Type Activities (Continued)

Wastewater Revenue Refunding Bonds, Series 2014

Wastewater Revenue Refunding Bonds, Series 2014 were issued on November 18, 2014 in the amount of \$71,985,000 to refund the Wastewater Revenue Refunding Bonds, Series 2004 issued on June 22, 2004 with outstanding balance of \$80,000,000. These bonds carry a net interest cost of 4.211% and mature on June 1, 2034. The City has pledged all net wastewater revenues and all amounts on deposit in the Revenue Fund for the payment of the 2014 Installment Payments and the outstanding Parity Obligations. The balance outstanding as of June 30, 2023 is \$60,405,000.

As of June 30, 2023, Parity Obligations include the 2018 Wastewater Revenue Bonds. The Installment Payments on the 2014 Bonds are secured by a first priority lien on the net system revenues (as defined in the trust indenture) of the City's wastewater fund. Such obligation exists through the maturity date of the bonds at June 1, 2034.

As of June 30, 2023, the total future debt payments for the Wastewater Revenue Refunding Bonds, Series 2014, including interest thereon, are as follows:

WASTEWATER REVENUE REFUNDING BONDS SERIES 2014			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	4,250,000	3,020,250	\$ 7,270,250
2025	4,465,000	2,807,750	7,272,750
2026	4,690,000	2,584,500	7,274,500
2027	4,925,000	2,350,000	7,275,000
2028	5,165,000	2,103,750	7,268,750
2029-2033	29,985,000	6,375,000	36,360,000
2034	6,925,000	346,250	7,271,250
TOTALS	<u>60,405,000</u>	<u>19,587,500</u>	<u>\$ 79,992,500</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Descriptions of Long-term Debt (Continued)

Bonds and Certificates of Participation – Business-Type Activities (Continued)

Wastewater Revenue Refunding Bonds, Series 2018

The City has pledged all net wastewater revenues and all amounts on deposit in the Revenue Fund for the payment of the 2018 Installment Payments and outstanding Parity Obligations. As of June 30, 2023, Parity Obligations include the 2014 Wastewater Revenue Bonds. The Installment Payments on these bonds are secured by a first priority lien on the net system revenues of the City's wastewater fund. These bonds were issued on November 14, 2018 in the amount of \$24,550,000. These bonds carry a net interest cost of 3.6% and mature on June 1, 2034. A portion of the proceeds were used to advance refund all the outstanding principal amount of \$14,675,000 of the Wastewater Revenue Project Bonds, Series 2004B and \$9,385,000 of the Wastewater Revenue Project Bonds, Series 2006 and finance the termination payment with respect to an interest rate swap relating to the 2004 Bonds. This refunding resulted in an economic gain of \$1,812,042 and a cash flow savings of \$2,282,549. The balance outstanding as of June 30, 2023 is \$18,405,000.

As of June 30, 2023, the total future debt payments for the Wastewater Revenue Refunding Bonds, Series 2018, including interest thereon, are as follows:

WASTEWATER REVENUE REFUNDING BONDS SERIES 2018			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	1,300,000	860,250	\$ 2,160,250
2025	1,365,000	795,250	2,160,250
2026	1,430,000	727,000	2,157,000
2027	1,500,000	655,500	2,155,500
2028	1,585,000	580,500	2,165,500
2029-2033	9,145,000	1,653,950	10,798,950
2034	2,080,000	83,200	2,163,200
TOTALS	<u>18,405,000</u>	<u>5,355,650</u>	<u>\$ 23,760,650</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. **DETAILED NOTES ON ALL FUNDS (Continued)**
 H. **Long-term Debt (Continued)**
Descriptions of Long-term Debt (Continued)
Bonds and Certificates of Participation – Business-Type Activities (Continued)

Housing Authority 2004 Capital Fund Revenue Bonds

The Oxnard Housing Authority issued Affordable Housing Agency Certificates of Participation, Series 2004 (Oxnard's Santa Clara Projects) on April 26, 2004 in the amount of \$10,370,000, evidencing a proportionate ownership interest in debt service payments to be made with respect to certain Capital Fund Revenue Bonds, Series 2004, issued by the Oxnard and Santa Clara Housing Authorities in the amounts of \$5,820,000 and \$4,550,000, respectively. Each certificate represents a proportionate ownership interest of the holder in the right to receive debt service payments made with respect to the bonds. The obligation of the housing authorities under their respective bond indentures are independent and neither is obligated for the payment of principal or interest on the bonds of the other housing authority. The bonds were issued to finance certain capital projects of the Housing Authority, with interest ranging from 2.00% to 4.95%, maturing on April 1, 2024. The outstanding balance as of June 30, 2023 is \$430,000.

As of June 30, 2023, the total future debt payments for the Housing Authority 2004 Capital Fund Revenue Bonds, including interest thereon, are as follows:

HOUSING AUTHORITY 2004 CAPITAL FUND REVENUE BONDS			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	430,000	21,285	451,285
TOTALS	<u>430,000</u>	<u>21,285</u>	<u>\$ 451,285</u>

Arbitrage

The Tax Reform Act of 1986 instituted certain arbitrage restrictions with respect to the issuance of tax exempt bonds after August 31, 1986. Arbitrage regulations deal with the investment of all tax exempt bond proceeds at an interest yield greater than the interest yield paid to bondholders. Generally, all interest paid to bondholders can be retroactively rendered taxable if applicable rebates are not reported and paid to the Internal Revenue Service (IRS) at least every five years. During the current year, the City performed calculations of excess investment earnings on various bonds and financings. Potential liabilities were calculated for the issues and rebate payments were made as appropriate. No arbitrage liability existed at June 30, 2023.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Credit Rating

As of June 30, 2023, the City's issuer credit rating was "A+" while maintaining ratings of "A", "AA-", "A" and "A" for General (Lease Revenue), Gas Tax, Water and Wastewater Bonds, respectively.

Debt with Pledged Revenue

The City has pledged all net water system revenues and all amounts on deposit in the Revenue Fund for the payment of the bond Installment Payments and the outstanding Parity Obligations. The net water system revenues will not be used for any other purpose while any of the Installment Payments remain unpaid provided, however, that out of the net water system revenues, there may be apportioned such sums for purposes as permitted by the Installment Purchase Agreements.

Principal and interest (less BABs subsidy on Series 2010B) paid for the current year and net water revenue were \$5,362,121 and \$7,186,097, respectively. The debt service coverage ratio of 113% is in compliance with the ratio required by bond covenants. Those covenants require coverage equal to at least 100% of debt payments plus unrestricted reserves equal to the difference between 125% of principal and interest payments and net water revenues. If the debt service coverage ratio is below 100% of principal and interest payments the trustee can declare the bonds in default and pursue remedies provided for in the Installment Purchase Agreement.

The City has pledged all net wastewater system revenues and all amounts on deposit in the Revenue Fund for the payment of the bond Installment Payments and the outstanding Parity Obligations. The net wastewater system revenues will not be used for any other purpose while any of the Installment Payments remain unpaid provided, however, that out of the net wastewater system revenues, there may be apportioned such sums for purposes as permitted by the Installment Purchase Agreements.

Principal and interest paid for the current year and net wastewater revenue were \$5,285,000 and \$4,144,750, respectively. The debt service coverage ratio of 251% is in compliance with the ratio required by bond covenants. Those covenants require coverage equal to at least 100% of debt payments plus unrestricted reserves equal to the difference between 125% of principal and interest payments and net wastewater revenues. If the debt service coverage ratio is below 100% of principal and interest payments the trustee can declare the bonds in default and pursue remedies provided for in the Installment Purchase Agreement.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Debt with Pledged Revenue (Continued)

The U.S. Department of Housing and Urban Development has approved the issuance of the Oxnard Housing Authority bonds and the certificates and has authorized the Authority to pledge and assign its Capital Fund Program monies to the payment of the bonds and, therefore, the certificates. During the year, \$1,905,921 of Capital Fund Grant revenue was earned, of which, \$390,000 was applied to the repayment of the bonds.

Lease Purchase Obligations - Governmental Activities

2012 Lease Purchase Agreement

On August 1, 2012, the City entered into a Lease Purchase Agreement with Capital One Public Funding, LLC in the amount of \$5,570,838, for the purpose of refinancing the 1999 Certificate of Participation, with interest rate of 3.54% and final maturity date on June 1, 2028. The refunding resulted in an overall cash flow savings of \$658,975 and economic gain of \$566,623. These lease payments constitute obligations of the City's General Fund. Such obligation exists through the maturity date of the lease at June 1, 2028. The outstanding balance as of June 30, 2023 is \$1,952,381

As of June 30, 2023, the total future lease payments for the 2012 Lease Purchase Agreement, including interest thereon, are as follows:

FISCAL YEAR	2012 LEASE PURCHASE		
	PRINCIPAL	INTEREST	TOTAL
2024	364,565	69,114	\$ 433,679
2025	377,521	56,209	433,730
2026	389,985	42,844	432,829
2027	401,941	29,039	430,980
2028	418,369	14,810	433,179
TOTALS	<u>1,952,381</u>	<u>212,016</u>	<u>\$ 2,164,397</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Lease purchase Obligations - Governmental Activities (Continued)

2014 Lease Purchase Agreement

On January 1, 2014, the City entered into a Lease Agreement with Oxnard Fire Station, LLC for the lease of the Oxnard Fire Station #8 in the amount of \$13,813,065. Total lease payments beginning June 1, 2016 through December 31, 2031 amount to \$20,359,994, including interest of \$6,063,301. These lease payments constitute obligations of the City's General Fund. The outstanding balance as of June 30, 2023 is \$8,811,695.

The base rental is equal to the principal and interest payable with respect to the Revenue Bonds (Oxnard Fire Station Project) 2014 Series A and Revenue Bonds (Oxnard Fire Station Project) 2014 Series B (Taxable) issued by the California Municipal Finance Authority (Authority) in the amount of \$15,160,000 and \$220,000 respectively. The Authority loaned the proceeds of the bonds to Oxnard Fire Station, LLC to finance the construction of the Oxnard Fire Station #8.

As of June 30, 2023, the total future lease payments for the 2014 Lease Purchase Agreement, including interest thereon, are as follows:

FISCAL YEAR	2014 LEASE PURCHASE		
	PRINCIPAL	INTEREST	TOTAL
2024	920,000	431,494	\$ 1,351,494
2025	955,000	390,944	1,345,944
2026	1,005,000	346,341	1,351,341
2027	1,045,000	303,406	1,348,406
2028	1,090,000	256,675	1,346,675
2029-2032	3,796,695	1,568,442	5,365,137
TOTALS	<u>8,811,695</u>	<u>3,297,302</u>	<u>\$ 12,108,997</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Lease purchase Obligations - Governmental Activities (Continued)

Banc of America Lease Purchase Agreement 2018

On March 30, 2018, the City entered into an Equipment Lease/Purchase Agreement with Banc of America Public Capital Corporation (BofA) in an amount not to exceed \$5 million for the purpose of acquiring public safety communication equipment and vehicles, fire apparatus and vehicles, and other capital assets. The lease payments constitute obligations of the City's General Fund. Such obligation exists through the maturity date of the lease. As of June 30, 2023, the total outstanding balance on this line of credit was \$700,865.

As of June 30, 2023, the total future lease payments for the B of A Lease Purchase Agreement 2018, including interest thereon, are as follows:

FISCAL YEAR	B OF A LEASE PURCHASE 2018		TOTAL
	PRINCIPAL	INTEREST	
2024	345,718	16,658	\$ 362,376
2025	355,147	7,231	362,378
TOTALS	<u>700,865</u>	<u>23,889</u>	<u>\$ 724,754</u>

Banc of America Lease Purchase Agreement 2022 Draw #25

On April 5, 2022, the City entered into an Equipment Lease/Purchase Agreement with Banc of America Public Capital Corporation (BofA) in an amount of \$3.3 million for the purpose of acquiring and upfitting fire vehicles. The lease payments constitute obligations of the City's General Fund. Such obligation exists through the maturity date of the lease. As of June 30, 2023, the total outstanding balance on this line of credit was \$2,295,417.

As of June 30, 2023, the total future lease payments for the B of A Lease Purchase Agreement 2022 Draw #26, including interest thereon, are as follows:

FISCAL YEAR	B OF A LEASE PURCHASE 2022, Draw #25		TOTAL
	PRINCIPAL	INTEREST	
2024	323,063	66,756	\$ 389,819
2025	330,503	59,316	389,819
2026	338,115	51,704	389,819
2027	345,902	43,917	389,819
2028	353,869	35,950	389,819
2029-2032	1,303,965	60,401	1,364,366
TOTALS	<u>2,995,417</u>	<u>318,044</u>	<u>\$ 3,313,461</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Lease purchase Obligations - Governmental Activities (Continued)

Banc of America Lease Purchase Agreement 2022, Draw #26

On November 15, 2022, the City entered into an Equipment Lease/Purchase Agreement with Banc of America Public Capital Corporation (BofA) in an amount of \$2.1 million for the purpose of acquiring fire engines. The lease payments constitute obligations of the City's General Fund. Such obligation exists through the maturity date of the lease on June 1, 2032. As of June 30, 2023, the total outstanding balance on this line of credit was \$1,947,750.

As of June 30, 2023, the total future lease payments for the B of A Lease Purchase Agreement 2022 Draw #26, including interest thereon, are as follows:

FISCAL YEAR	B OF A LEASE PURCHASE 2022, Draw #26		TOTAL
	PRINCIPAL	INTEREST	
2024	186,110	70,247	\$ 256,357
2025	193,047	63,308	256,355
2026	200,244	56,111	256,355
2027	207,710	48,646	256,356
2028	215,453	40,903	256,356
2029-2032	945,186	80,236	1,025,422
TOTALS	<u>1,947,750</u>	<u>359,451</u>	<u>\$ 2,307,201</u>

Banc of America Lease Purchase Agreement 2023, Draw #27

On January 19, 2023, the City entered into an Equipment Lease/Purchase Agreement with Banc of America Public Capital Corporation (BofA) in an amount of \$3.5 million for the purpose of acquiring and upfitting eight battalion command vehicles. The lease payments constitute obligations of the City's General Fund. Such obligation exists through the maturity date of the lease on June 1, 2032. As of June 30, 2023, the total outstanding balance on this line of credit was \$3,124,246.

As of June 30, 2023, the total future lease payments for the B of A Lease Purchase Agreement 2022 Draw #26, including interest thereon, are as follows:

FISCAL YEAR	B OF A LEASE PURCHASE 2022, Draw #27		TOTAL
	PRINCIPAL	INTEREST	
2024	297,034	116,353	\$ 413,387
2025	308,470	104,916	413,386
2026	320,348	93,039	413,387
2027	332,683	80,705	413,388
2028	345,493	67,895	413,388
2029-2032	1,520,218	133,332	1,653,550
TOTALS	<u>3,124,246</u>	<u>596,240</u>	<u>\$ 3,720,486</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Direct Borrowings (Continued)

Lease Purchase Obligations - Business-Type Activities

B of A Lease Purchase Agreement 2018

On March 30, 2018, the City entered into an Equipment Lease/Purchase Agreement with Banc of America Public Capital Corporation (BofA) in an amount not to exceed \$5 million for the purpose of acquiring refuse trucks and vehicles. The lease payments constitute obligations of the City's Environmental Resources Fund. Such obligation exists through the maturity date of the lease on March 30, 2028. As of June 30, 2023, the total outstanding balance on this line of credit was \$2,576,758.

As of June 30, 2023, the total future lease payments for the B of A Lease Purchase Agreement 2018, including interest thereon, are as follows:

B OF A LEASE PURCHASE 2018			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	509,183	74,892	\$ 584,075
2025	524,863	59,211	584,074
2026	541,028	43,047	584,075
2027	557,689	26,386	584,075
2028	443,995	9,211	453,206
TOTALS	<u>2,576,758</u>	<u>212,747</u>	<u>\$ 2,789,505</u>

Lease purchase obligations are collateralized by equipment purchased and unspent proceeds held in escrow. In the event of default, the lender has the right to seize the collateral and/or call the debt.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

H. Long-term Debt (Continued)

Direct Borrowings (Continued)

Lease Purchase Obligations - Business-Type Activities (Continued)

B of A Lease Purchase Agreement 2021

In October 2021, the City entered into an Equipment Lease/Purchase Agreement with Banc of America Public Capital Corporation (BoFA) in an amount of \$10,255,000 for the purpose of acquiring refuse loaders. The lease payments constitute obligations of the City's Environmental Resources Fund. Such obligation exists through the maturity date of the lease on March 30, 2028. As of June 30, 2023, the total outstanding balance on this line of credit was \$7,387,168.

As of June 30, 2023, the total future lease payments for the B of A Lease Purchase Agreement 2021, including interest thereon, are as follows:

B OF A LEASE PURCHASE			
2021			
FISCAL YEAR	PRINCIPAL	INTEREST	TOTAL
2024	1,434,911	102,276	\$ 1,537,187
2025	1,455,865	81,323	1,537,188
2026	1,477,123	60,065	1,537,188
2027	1,498,693	38,496	1,537,189
2028	1,520,576	16,612	1,537,188
TOTALS	<u>7,387,168</u>	<u>298,772</u>	<u>\$ 7,685,940</u>

Lease purchase obligations are collateralized by equipment purchased and unspent proceeds held in escrow. In the event of default, the lender has the right to seize the collateral and/or call the debt.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. **DETAILED NOTES ON ALL FUNDS (Continued)**

H. **Long-term Debt (Continued)**

Notes Payable – Business-Type Activities

Housing Authority Community Development Commission Note Payable

The Authority entered into a note agreement dated July 21, 2009, secured by a deed of trust, in the amount of \$350,000 payable to the City of Oxnard Community Development Commission (“CDC”) to finance the development of affordable housing units on Cuesta del Mar in the Southwinds Redevelopment Project Area. The principal balance on the note bears interest at the rate of interest paid in the Local Agency Investment Fund (LAIF) plus 1% per annum. Payments are to be made annually, commencing on January 30, of the year following the issuance of the first Certificate of Occupancy for the project, and each January 30 thereafter. As of June 30, 2014, the project was considered permanently stalled and would not be completed. The loan is to be payable in one lump sum payment due sixty (60) days after the anniversary of the failure to complete project determination. There is an option to extend the repayment date for a period not to exceed two years as approved by the CDC’s Director. The outstanding balance at June 30, 2023 is \$269,710.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. **DETAILED NOTES ON ALL FUNDS (Continued)**
 H. **Long-term Debt (Continued)**

Special Assessment Bonds

There are various 1915 Act Improvement Districts and Mello-Roos Community Facilities Districts within the City, which have issued special assessment or special tax debt. The debt is secured by liens of special assessments or special taxes on the properties in the districts and is paid by the property owners. The City is not liable under any circumstance for the repayment of the debt, but is only acting as agent for the property owners in collecting the assessments and special taxes, forwarding the collections to fiscal agents to pay the bondholders, and initiating foreclosure proceedings when appropriate.

Accordingly, such special assessment debt is not reflected in the accompanying basic financial statements. Special assessment debt outstanding at June 30, 2023 is as follows:

	<u>AMOUNT</u>
Rice Avenue/Highway 101 Interchange Assessment District	
Local Obligation Revenue Bonds (2012 Special District Bond Refinancing to refund 1915 Act Limited Obligation Bonds)	\$ 7,025,000
City of Oxnard Community Facilities District 1 (Westport)	
Local Obligation Revenue Bonds (2012 Special District Bond Refinancing to refund CFD No. 2000-3 Bonds)	6,110,000
Oxnard Boulevard Interchange Community Facilities District 2000-3	
Local Obligation Revenue Bonds (2012 Special District Bond Refinancing to refund CFD No. 1 Bonds)	4,340,000
Oxnard Boulevard/Highway 101 Interchange Assessment District 2000-1	
1915 Act Improvement Limited Obligation Bonds	1,315,000
Community Facilities District No. 3	
Special Tax Refunding Bond 2013 (Seabridge Mandalay Bay)	<u>19,795,000</u>
TOTAL	<u><u>\$ 38,585,000</u></u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

I. Compensated Absences

The accrual for compensated absences was reported as follows as of June 30, 2023:

	BALANCE JULY 1, 2022	ADDITIONS	PAYMENTS	BALANCE JUNE 30, 2023	DUE WITHIN ONE YEAR
GOVERNMENTAL ACTIVITIES					
General Fund	\$ 14,645,187	\$ 8,780,460	\$ (6,595,993)	\$ 16,829,654	\$ 11,182,517
Internal Service Fund	707,053	447,206	(409,755)	744,504	491,684
	<u>15,352,240</u>	<u>9,227,666</u>	<u>(7,005,748)</u>	<u>17,574,158</u>	<u>11,674,201</u>
BUSINESS-TYPE ACTIVITIES					
Water	591,072	461,167	(419,293)	632,946	418,009
Wastewater	723,495	467,053	(441,605)	748,943	494,615
Environmental resources	1,479,434	787,670	(572,923)	1,694,181	1,118,867
Golf Course	-	15,032	(11,554)	3,478	2,297
Oxnard Housing Authority	603,347	188,597	(87,237)	704,707	225,662
	<u>3,397,348</u>	<u>1,919,519</u>	<u>(1,532,612)</u>	<u>3,784,255</u>	<u>2,259,450</u>
TOTALS	<u>\$ 18,749,588</u>	<u>\$ 11,147,185</u>	<u>\$ (8,538,360)</u>	<u>\$ 21,358,413</u>	<u>\$ 13,933,651</u>

Accrued compensated absences includes an adjustment of \$490,553 for California Labor Code Section 4850 benefits for public employees in a specified class.

J. Subscription Liabilities

For the year ended June 30, 2023, the financial statements include the adoption of GASB Statement No. 96, *Subscription-Based Information Technology Arrangements*. This statement establishes a single model for subscription accounting based on the principle that subscriptions are financings of the right to use an underlying asset. Under this Statement, the City recognized subscription liabilities and intangible right-to-use subscription assets for the following software:

	BALANCE JULY 1, 2022	ADDITIONS	PAYMENTS	BALANCE JUNE 30, 2023
GOVERNMENTAL ACTIVITIES				
Pantheon - WebOps	\$ -	\$ 391,910	\$ (135,000)	\$ 256,910
Axon & Taser Software	1,410,602	-	(468,353)	942,249
SirsiDynix SymphonyWeb	149,370	-	(48,042)	101,328
NeoGov - Software	159,316	-	(78,579)	80,737
GovInvest - Software	144,874	-	(71,599)	73,275
Emphasys Software	50,685	-	(12,098)	38,587
Deploy Plus Software	47,706	-	(18,985)	28,721
DebtBook	36,272	-	(16,732)	19,540
TeamDynamix - Enterprise Software	-	145,376	(31,425)	113,951
Dito - Google Workspace Enterprise & AU-2021	599,542	-	(153,188)	446,354
Total Governmental Activities	<u>\$ 2,598,367</u>	<u>\$ 537,286</u>	<u>\$ (1,034,001)</u>	<u>\$ 2,101,652</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)
J. Subscription Liabilities (Continued)

	BALANCE JULY 1, 2022	ADDITIONS	PAYMENTS	BALANCE JUNE 30, 2023
BUSINESS-TYPE ACTIVITIES				
Aquatic Informatics - Software	\$ -	\$ 35,594	\$ (11,680)	\$ 23,914
Total Business-Type Activities	-	35,594	(11,680)	23,914
TOTALS	\$ 2,598,367	\$ 572,880	\$ (1,045,681)	\$ 2,125,566

The future minimum lease payments payable as of June 30, 2023 are as follows:

	Governmental Activities		
<u>FISCAL YEAR</u>	<u>PRINCIPAL</u>	<u>INTEREST</u>	<u>TOTAL</u>
2024	\$ 1,038,083	\$ 53,260	\$ 1,091,343
2025	897,474	27,042	924,516
2026	166,095	4,062	170,157
TOTALS	\$ 2,101,652	\$ 84,364	\$ 2,186,016

	Business Type Activities		
<u>FISCAL YEAR</u>	<u>PRINCIPAL</u>	<u>INTEREST</u>	<u>TOTAL</u>
2024	\$ 11,456	\$ 808	\$ 12,264
2025	12,458	421	12,879
TOTALS	\$ 23,914	\$ 1,229	\$ 25,143

The intangible right-to-use subscription assets and accumulated amortization as of June 30, 2023 are included in capital assets intangible assets as follows:

<u>Asset Class</u>	<u>Subscription Asset Value</u>	<u>Accumulated Amortization</u>
Intangible assets - software	\$ 3,383,340	\$ 969,539
Total Subscription Assets	<u>\$ 3,383,340</u>	<u>\$ 969,539</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

K. Fund Balances

The following funds/subfunds had deficit fund balances as of June 30, 2023:

	<u>AMOUNT</u>
Public Liability / Property Damage	3,713,616
Workers' Compensation	20,267,247
Utility Customer Service	567,674
Facilities Maintenance	3,200,655
Equipment Maintenance	2,101,928

Deficits in the Public Liability / Property Damage and Workers' Compensation Funds result from implementing conservative actuarial assumptions regarding claims liabilities, including incurred but not reported claims. The City expects to replenish the reserve over a 10-year period.

Deficits in Utility Customer Service, Information Services, Facilities Maintenance, and Equipment Maintenance resulted from accrual of pension and OPEB liabilities. The deficits in these internal service funds are primarily attributable to the implementation of GASB Statements 68 and 75 to record liabilities for employee pensions and other post-employment benefits. These liabilities will be liquidated with revenue received in future years that cannot be accrued in these financial statements.

L. Prior Period Adjustments

The City recorded deferred revenue in the amount of \$6,913,980 as of July 1, 2022. The amount was related to two loans to developers initiated in fiscal year 2020 and 2021 as part of the City's payments in-lieu of taxes (PILOT) program. The PILOT loans were properly recorded as loans receivable in the governmental activities in both years. However, it was discovered that the related deferred revenue was inadvertently omitted as of June 30, 2021. The adjustment decreased governmental net position by \$6,913,980 as of July 1, 2022.

The City changed its method of allocating pension and OPEB liabilities and related deferred outflows and inflows of resources to governmental activities, business-type activities and internal service funds. GASB Statements 68 and 75 did not provide specific guidance for allocating pension liabilities and related measures among fund financial statements and between governmental and business-type activities in the government-wide statement of net position. However, GASB provided guidance for allocating net pension liability to component units as if they were cost-sharing employers.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

II. DETAILED NOTES ON ALL FUNDS (Continued)

L. Prior Period Adjustments (Continued)

In prior years, the City allocated the changes in the liabilities, deferred outflows and deferred inflows based on current year contributions. Effective July 1, 2022, the City applied the guidance allocating net pension liability to its business-type activities and internal service funds as if they were component units or cost-sharing employers. As such, instead of allocating the changes in the liabilities, deferred outflows and deferred inflows, the City allocated each plan's net pension and OPEB liabilities, deferred outflows and inflows balances to governmental activities, business-type activities and internal service funds. The adjustment increased governmental net position and decreased business-type net position by \$14,473,728.

The net position of proprietary funds and internal service funds were adjusted as follows:

	Water Fund	Wastewater Fund	Environmental Fund	Internal Service Funds
Net Position, July 1, 2022	\$ 141,686,489	\$ 191,341,549	\$ 36,102,547	\$ (29,985,071)
<u>Correction of an Error</u>				
To Reflect Change in Allocation of Pension and OPEB Liabilities	(3,531,831)	(1,685,067)	(9,256,830)	1,098,765
Net Position, July 1, 2022, As Restated	<u>\$ 138,154,658</u>	<u>\$ 189,656,482</u>	<u>\$ 26,845,717</u>	<u>\$ (28,886,306)</u>

The net position of governmental and business-type activities were adjusted as follows:

	Governmental Activities	Business Type Activities
Net Position, July 1, 2022	\$ 1,866,817,291	442,062,195
<u>Correction of an Error</u>		
To Record Deferred Revenue	(6,913,980)	-
To Reflect Change in Allocation of Pension and OPEB Liabilities	14,473,728	(14,473,728)
Net Position, July 1, 2022, As Restated	<u>\$ 1,874,377,039</u>	<u>\$ 427,588,467</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS

The City provides pension benefits to its employees through four defined benefit plans: CalPERS Miscellaneous Plan, CalPERS Police Plan, CalPERS Fire, and the Retirement Enhancement Plan. Pension expense for all plans totaled \$35,606,744 for the year ended June 30, 2023. Total pension liabilities, fiduciary net position, and net pension liabilities for all plans as of June 30, 2023 are as follows:

	Total Pension Liability	Plan Fiduciary Net Position	Net Pension Liability
Miscellaneous Plan	\$ 570,085,887	\$ 411,628,241	\$ 158,457,646
Safety – Police	460,898,247	347,167,238	113,731,009
Safety – Fire	194,713,207	129,686,715	65,026,492
Retirement Enhancement Plan	116,340,606	104,827,204	11,513,402
TOTALS	\$ 1,342,037,947	\$ 993,309,398	\$ 348,728,549

1. California Public Employees' Retirement System

A. Retirement Plan Description

The City contributes to the California Public Employees' Retirement System (CalPERS), an agent multiple-employer public employee defined benefit plan, which acts as a common investment and administrative agent for participating public entities within the State of California. The City participates in agent multiple-employer plans for its Miscellaneous 2% at 55 and 2% at 62 and Police 3% at 50 and 2.7% at 57, and in the cost-sharing plan for its Fire 3% at 50 and 2.7% at 57. Benefit provisions and all other requirements are established by State statutes within the Public Employees' Retirement Law. City of Oxnard selects optimal benefit provisions and adopts those benefits through local ordinance. Copies of CalPERS' annual financial report may be obtained from the CalPERS Executive Office, 400 "P" Street, Sacramento, CA 95814 or from the CalPERS website <http://www.calpers.ca.gov>. During the year ended June 30, 2013, the California's Public Employees' Pension Reform Act (PEPRA) went into effect. PEPRA requires new benefits and member contributions for new members as defined by PEPRA that are hired after January 1, 2013. Employees who were CalPERS members before January 1, 2013 are considered "Classic Members."

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

B. Benefits Provided

CalPERS provides service retirement and disability benefits, annual cost-of-living adjustments, and death benefits to plan members, who must be public employees and beneficiaries. Benefits are based on years of credited service, equal to one year of full time employment.

Classic members or PEPRA Safety members with five years of total service are eligible to retire at age 50 with statutorily reduced benefits. PEPRA Miscellaneous members become eligible for retirement at age 52 with at least five years of service. The service retirement benefit is a monthly allowance taking into account the benefit factor, years of service, and final compensation. The benefit factor depends on the benefit formula specified in the employer's contract. The years of service is the amount credited by CalPERS to a member while he or she is employed in this group. The final compensation is the monthly average of the member's highest 12 consecutive months' full-time equivalent monthly pay.

All members are eligible for nonduty disability benefits after 5 years of service. The death benefit is one of the following: the Basic Death Benefit, the 1957 Survivor Benefit, or the Optional Settlement 2W Death Benefit. The standard death benefit for Safety members is the Special Death Benefit. The cost of living adjustments for each plan are applied as specified by the Public Employees' Retirement Law.

C. Contributions

Section 20814(c) of the California Public Employees' Retirement Law requires that the employer contribution rates for all public employers be determined on an annual basis by the actuary and shall be effective on the July 1 following notice of a change in the rate. The total plan contributions are determined through the CalPERS' annual actuarial valuation process. The actuarially determined rate is the estimated amount necessary to finance the costs of benefits earned by employees during the year, with an additional amount to finance any unfunded accrued liability. The employer is required to contribute the difference between the actuarially determined rate and the contribution rate of employees.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

C. Contributions (Continued)

Participants in the Miscellaneous and Safety plans are required to contribute a percentage of their annual covered salary. The City pays a portion of the contributions required of City employees on their behalf in accordance with respective memoranda of understanding (MOUs). The City is required to contribute the actuarially determined remaining amounts necessary to fund the benefits for its members.

For the year ended June 30, 2023, the City's total contributions for the Miscellaneous and Safety Plans were \$41,362,556. The City's contributions recognized as part of the pension expense for the year ended June 30, 2023 were \$38,373,520 for the Miscellaneous and Safety Plans.

The Plan's provisions and benefits in effect at June 30, 2023 are summarized as follows:

	Miscellaneous	
	Prior to January 1, 2013	On or After January 1, 2013
Hire date		
Benefit formula	2.0% at 55	2.0% at 62
Benefit vesting schedule	5 years of service	5 years of service
Benefit payments	monthly for life	monthly for life
Retirement age	50 - 67+	52 - 67+
Monthly benefits, as a percentage of eligible compensation	1.43% to 2.00%	1.00% to 2.50%
Required employee contribution rates	7.18%	7.50%
Required employer contribution rates plus	9.450%	15.390%
unfunded accrued liability	\$ 12,739,646	\$ -

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)
C. Contributions (Continued)

	Safety – Police	
	Prior to January 1, 2013	On or After January 1, 2013
Hire date		
Benefit formula	3.0% at 50	2.7% at 57
Benefit vesting schedule	5 years of service	5 years of service
Benefit payments	monthly for life	monthly for life
Retirement age	50 - 55+	50 - 57+
Monthly benefits as a percentage of eligible compensation	3.00%	2.00% to 2.70%
Required employee contribution rates	10.55%	15.50%
Required employer contribution rates plus unfunded accrued liability	25.380% \$ 8,174,228	30.960% \$ -

	Safety – Fire	
	Prior to January 1, 2013	On or After January 1, 2013
Hire date		
Benefit formula	3.0% at 50	2.7% at 57
Benefit vesting schedule	5 years of service	5 years of service
Benefit payments	Monthly for life	Monthly for life
Retirement age	50 - 55+	50 - 57+
Monthly benefits as a percentage of eligible compensation	3.00%	2.00% to 2.70%
Required employee contribution rates	8.99%	13.75%
Required employer contribution rates plus unfunded accrued liability	25.640% \$ 5,374,991	13.660% \$ 22,960

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

C. Contributions (Continued)

At June 30, 2022 (measurement date), the following employees were covered by the benefit terms of the Plan:

Plan Members	Number of Members		
	Miscellaneous Plan	Safety Plan - Police	Safety Plan - Fire
Active	918	212	125
Transferred	700	37	32
Terminated	1,417	46	17
Retired and beneficiaries	1,187	310	144
TOTALS	4,222	605	318

D. Actuarial Methods and Assumptions Used to Determine Total Pension Liability

The June 30, 2022 total pension liabilities were based on the following actuarial methods and assumptions:

Actuarial Cost Method	Entry Age Normal
Asset Valuation Method	Fair Value of Assets
Actuarial Assumptions	
Discount Rate	6.90%
Inflation	2.75%
Salary Increases	Varies by Entry Age and Service
Payroll Growth	2.75%
Investment Rate of Return	7.00% Net of Pension Plan Investment and Administrative Expenses; includes Inflation
Mortality Rate Table ¹	The probabilities of mortality are based on the 2017 CalPERS Experience Study for the period from 1997 to 2015.
Post Retirement Benefit Increase	Contract COLA up to 2.75% until Purchasing Power Protection Allowance Floor on Purchasing Power applies 2.75% thereafter

¹ The mortality table was developed based on CalPERS specific data. The table includes 15 years of mortality improvements using 90% of Society of Actuaries Scale MP-2016.

All other actuarial assumptions used in the June 30, 2021 valuation were based on the results of an actuarial experience study for the period from 1997 to 2011, including updates to salary increase, mortality, and retirement rates. The Experience Study report can be obtained at CalPERS' website under Forms and Publications.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

E. Discount Rate and Long-Term Expected Rate of Return

The discount rate used to measure the total pension liability was 6.90%. The projection of cash flows used to determine the discount rate assumed that contributions from plan members will be made at the current member contribution rates and that contributions from employers will be made at statutorily required rates, actuarially determined. Based on those assumptions, the Plan's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members. Therefore, the long-term expected rate of return on plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

The long-term expected rate of return on pension plan investments was determined using a building block method in which expected future real rates of return (expected returns, net of pension plan investment expense and inflation) are developed for each major asset class.

In determining the long-term expected rate of return, CalPERS took into account both short-term and long-term market return expectations as well as the expected pension fund cash flows. Using historical returns of all of the funds' asset classes, expected compound (geometric) returns were calculated over the short-term (first 10 years) and the long-term (11+ years) using a building-block approach. Using the expected nominal returns for both short-term and long-term, the present value of benefits was calculated for each fund. The expected rate of return was set by calculating the rounded single equivalent expected return that arrived at the same present value of benefits for cash flows as the one calculated using both short-term and long-term returns. The expected rate of return was then set equal to the single equivalent rate calculated above and adjusted to account for assumed administrative expenses.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

E. Discount Rate and Long-Term Expected Rate of Return (Continued)

The table below reflects long-term expected real rate of return by asset class. The rate of return was calculated using the capital market assumptions applied to determine the discount rate and asset allocation. These geometric rates of return are net of administrative expenses.

<u>Asset Class</u>	<u>Current Strategic Allocation</u>	<u>Rate of Return(1, 2)</u>
Global Equity Cap-weighted	30.0%	4.5%
Global Equity Non-Cap-weighted	12.0%	3.8%
Private Equity	13.0%	7.3%
Treasury	5.0%	27.0%
Mortgage-backed Securities	5.0%	50.0%
Investment Grade Corporates	10.0%	1.6%
High Yield Bonds	5.0%	2.3%
Emerging Market Debt	5.0%	2.5%
Private Debt	5.0%	3.6%
Real Assets	15.0%	3.2%
Leverage	-5.0%	-0.6%

(1) An expected inflation of 2.3% used for this period

(2) Figures are based on the 2021-22 Asset Liability Management Study

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions

The City's net pension liability is measured as the total pension liability less the pension plan's fiduciary net position. The net pension liability of each of the Plans is measured as of June 30, 2022, and the total pension liability for the Plan used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2021, rolled forward to June 30, 2022, using standard update procedures. The following tables show the plan fiduciary net position and the net pension liabilities for the Miscellaneous and Safety-Police Plans and the respective changes in net pension liabilities recognized over the measurement period.

Miscellaneous Plan	Total Pension Liability	Plan Fiduciary Net Position	Net Pension Liability/(Asset)
Balance at June 30, 2021 (Valuation Date)	<u>\$ 537,543,718</u>	<u>\$ 453,220,305</u>	<u>\$ 84,323,413</u>
Changes Recognized for the Measurement Period			
Service cost	10,039,298	-	10,039,298
Interest on the total pension liability	37,384,019	-	37,384,019
Changes of assumptions	17,517,757	-	17,517,757
Differences between expected and actual experience	(4,168,587)	-	(4,168,587)
Net Plan to plan resource movement	-	-	-
Employer contributions	-	16,634,303	(16,634,303)
Employee contributions (paid by employer)	-	4,411,331	(4,411,331)
Net investment income	-	(34,125,051)	34,125,051
Benefit payments, included refunds of employee contributions	(28,230,318)	(28,230,318)	-
Administrative expense	-	(282,329)	282,329
Other miscellaneous income/(expenses)	-	-	-
Net changes	<u>32,542,169</u>	<u>(41,592,064)</u>	<u>74,134,233</u>
Balance at June 30, 2022 (Measurement Date)	<u>\$ 570,085,887</u>	<u>\$ 411,628,241</u>	<u>\$ 158,457,646</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)

California Public Employees' Retirement System (Continued)

F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions (Continued)

Safety – Police Plan	Total Pension Liability	Plan Fiduciary Net Position	Net Pension Liability/(Asset)
Balance at June 30, 2021 (Valuation Date)	\$ 429,794,655	\$ 379,285,078	\$ 50,509,577
Changes Recognized for the Measurement Period			
Service cost	9,872,913	-	9,872,913
Interest on the total pension liability	30,080,445	-	30,080,445
Changes of assumptions	17,360,183	-	17,360,183
Differences between expected and actual experience	(6,075,674)	-	(6,075,674)
Employer contributions	-	14,208,396	(14,208,396)
Employee contributions (paid by employer)	-	2,775,823	(2,775,823)
Net investment income	-	(28,731,512)	28,731,512
Benefit payments, included refunds of employee contributions	(20,134,275)	(20,134,275)	-
Administrative expense	-	(236,272)	236,272
Other miscellaneous income/(expenses)	-	-	-
Net changes	31,103,592	(32,117,840)	63,221,432
Balance at June 30, 2022 (Measurement Date)	\$ 460,898,247	\$ 347,167,238	\$ 113,731,009

The following shows the Safety – Fire Plan's proportionate share of the risk pool collective net pension liability over the measurement period.

Safety – Fire Plan	Total Pension Liability	Plan Fiduciary Net Position	Net Pension Liability/(Asset)	Proportionate Share of the Collective Net Pension Liability
Balance at June 30, 2021 (Valuation Date)	\$ 183,650,667	\$ 143,560,254	\$ 40,090,413	0.74128%
Net changes during 2022-2023	11,062,540	(13,873,539)	24,936,079	0.17832%
Balance at June 30, 2022 (Measurement Date)	\$ 194,713,207	\$ 129,686,715	\$ 65,026,492	0.56296%

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions (Continued)

The City's proportionate share of the collective net pension liability was determined by CalPERS based on the following allocation methodology:

The schedules of employer allocation by rate plan include three ratios:

- (1) *Actuarial Accrued Liability* - Determined based on the Actuarial Accrued Liability from the most recent Actuarial Valuation Report as of June 30, 2021 used for funding purposes.
- (2) *Market Value of Assets* - Determined based on the sum of the Market Value of Assets (MVA) from the most recent Actuarial Valuation as June 30, 2021 used for funding purposes plus supplemental payments made by employers during the current measurement period to reduce their unfunded actuarial accrued liabilities.
- (3) *Contributions* - Determined based on the legally or statutorily required employer contributions for the fiscal year ended June 30, 2022, including reported contribution adjustments and suspended payroll information. Legally or statutorily required employer contributions were determined by multiplying the employer's contribution rate by the annual benefit compensation (payroll) for the fiscal year and excluding payments for benefit improvements known as Golden Handshakes, which CalPERS considers to be separately financed employer-specific liabilities.

The total pension liability was allocated based on the rate plan's share of the Actuarial Accrued Liability. The fiduciary net position was allocated based on the rate plan's share of the Market Value of Assets. The net pension liability was derived by subtracting the fiduciary net position from the total pension liability. Deferred outflows of resources, deferred inflows of resources, and pension expense were allocated based on the rate plan's share of contributions.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions (Continued)

For the year ended June 30, 2023, the City recognized pension expense (benefit) of \$20,377,340, \$14,385,695, \$(2,520,788) for the Miscellaneous, Safety – Police, and Safety – Fire Plans, respectively. At June 30, 2023, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	<u>Deferred Outflows of Resources</u>	<u>Deferred Inflows of Resources</u>
Pension contributions subsequent to the measurement date:		
Miscellaneous Plan	\$ 18,514,246	\$ -
Safety – Police	14,661,488	-
Safety – Fire	8,186,822	-
Net difference between projected and actual earnings on pension plan investments:		
Miscellaneous Plan	20,707,333	-
Safety – Police	17,527,399	-
Safety – Fire	10,268,592	-
Changes of assumptions		
Miscellaneous Plan	10,218,692	-
Safety – Police	12,908,854	-
Safety – Fire	6,556,639	-
Differences between expected and actual experiences		
Miscellaneous Plan	-	2,471,752
Safety – Police	268,536	7,865,538
Safety – Fire	2,691,211	706,136
Change in proportion		
Safety – Fire	50,589	392,529
Difference between the employer's contributions and the employer's proportionate share of contributions		
Safety – Fire	165,409	1,203,433
TOTALS	<u>\$ 122,725,810</u>	<u>\$ 12,639,388</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)
F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions (Continued)

The deferred outflows of resources related to contributions subsequent to the measurement date of \$41,362,556 will be recognized as a reduction of the net pension liability in the year ending June 30, 2023. Other amounts reported as deferred outflows or deferred inflows of resources related to pensions will be recognized as pension expense as follows:

Miscellaneous Plan		
Measurement Period		Deferred Outflows/
Ending June 30		(Inflows) of Resources
2023		\$ 8,860,229
2024		5,165,660
2025		1,404,214
2026		13,024,170
2027		-
TOTAL		\$ 28,454,273

Safety – Police		
Measurement Period		Deferred Outflows/
Ending June 30		(Inflows) of Resources
2023		\$ 4,058,878
2024		4,082,473
2025		3,743,323
2026		10,954,577
2027		-
TOTAL		\$ 22,839,251

Safety – Fire		
Measurement Period		Deferred Outflows/
Ending June 30		(Inflows) of Resources
2023		\$ 5,194,693
2024		3,856,622
2025		2,115,054
2026		6,263,973
2027		-
TOTAL		\$ 17,430,342

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
California Public Employees' Retirement System (Continued)

G. Sensitivity of the Net Pension Liability to Changes in the Discount Rate

The following presents the net pension liability of the Plan as of the measurement date, calculated using the discount rate of 6.90%, as well as what the net pension liability would be if it were calculated using a discount rate that is 1 percentage-point lower (5.90%) or 1 percentage-point higher (7.90%) than the current rate:

	Discount Rate - 1% (5.90%)	Current Discount Rate (6.90%)	Discount Rate + 1% (7.90%)
<u>Net Pension Liability (Asset)</u>			
Miscellaneous Plan	\$ 236,269,993	\$ 158,457,646	\$ 94,675,987
Safety – Police	179,551,892	113,731,009	60,189,968
Safety – Fire	91,916,082	65,026,492	43,050,328
TOTALS	\$ 507,737,967	\$ 337,215,147	\$ 197,916,283

2. Retirement Enhancement Plan

A. Plan Description

The City established a Retirement Enhancement Plan (REP), single-employer defined benefit pension plan, effective January 1, 2003 for selected groups of miscellaneous employees (non-safety), Service Employees International Union (SEIU), International Union of Operating Engineers (IUOE), Management, and one of the two groups of Confidential employees. REP is a defined benefit 401(a) tax-qualified multiple agency trust. It meets the requirements of a pension trust under the California Government Code. The plan provides supplemental retirement benefits in addition to PERS. A separate report was not issued for the Retirement Enhancement Plan; therefore, the notes to the financial statements are included below. The City reports the Retirement Enhancement Plan as a pension trust fund in the fiduciary funds financial statements.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Retirement Enhancement Plan (Continued)
A. Plan Description (Continued)

Authorized Investments

For the Retirement Enhancement Plan, the specific investments are managed by the trustee under guidelines approved by the City as follows:

Risk tolerance	Market risk
Time horizon	Long term
Income of liquidity needs	As requested
Account of trust restrictions	None
Unique needs and circumstances	None
Investment objective	Balanced
Strategic ranges	0%-20% Cash 25%-45% Fixed income 50%-70% Equity
Acceptable investments	Individual stocks Individual bonds Trustee funds External funds Index-based securities including Exchange-Traded Funds (ETF)
Fixed income guidelines	Maturity range 2-15 years Duration range 4-7 years Investment grade - minimum credit quality
Equity guidelines	The core portion of the equity allocation will consist of individual issues. Actively- managed mutual funds will be utilized for other market segments in accordance with the trustee's tactical strategy.

The primary goals of the balanced investment objective are growth of principal and income. It is expected that dividend and interest income will comprise a significant portion of the total return, although growth through capital appreciation is equally important.

B. Benefits Provided

For employees meeting the eligibility requirements, the plan provides a benefit equal to the "3% at 60" plan factor (formula is a static 3% at age 60 and older), less the PERS "2% at 55" plan factors for all years of City service plus any military service purchased through PERS (prior to July 1, 2003) while an employee of the City of Oxnard.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Retirement Enhancement Plan (Continued)

B. Benefits Provided (Continued)

Eligibility for an immediate benefit is defined as reaching age 50, completing five years of Oxnard service, and retiring concurrently from both the City and PERS after leaving City employment. In addition, a deferred benefit would be available to participants who complete five years of service. The City has full discretionary authority to control, amend, modify, or terminate this plan at any time.

At June 30, 2023, the following employees were covered by the benefit:

Description	Number of Members
Active members	232
Inactives entitled to benefit payments	180
Inactives currently receiving benefit payments	437
TOTAL	849

C. Contributions

Employees and the City contribute a total of 8% of eligible employees' gross wages. Current employee and City contributions by employee groups are as follows:

	City Contributions	Employee Contributions
IUOE	2.70%	5.30%
SEIU	3.50%	4.50%
Management and confidential	3.00%	5.00%
Confidential - hourly	2.50%	5.50%

In addition, the City is required to contribute the remaining amounts necessary to fund the benefit to its members using the actuarial basis recommended by REP actuarial consultants. The actuarially determined contribution for the fiscal year ended June 30, 2023 was 16.2% of eligible employee gross wages. The City contributed \$2,609,609 to REP for the year ended June 30, 2023.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Retirement Enhancement Plan (Continued)

D. Actuarial Methods and Assumptions Used to Determine Total Pension Liability
 The June 30, 2023 total pension liabilities were based on the following actuarial methods and assumptions:

Actuarial Cost Method	Entry Age Normal, Level % of pay
Actuarial Assumptions	
Contribution Policy	City contributes full ADC
Discount Rate and Long-Term Expected Rate of Return on Assets	5.75% at June 30, 2023 5.75% at June 30, 2022 Expected City contributions projected to keep sufficient plan assets to pay all benefits from trust
General Inflation	2.5% annually
Mortality, Retirement, Disability, Termination	CalPERS 2000-2019 Experience Study
Mortality Improvement	Mortality projected fully generational with Scale MP-2021
Salary Increases	Aggregate - 2.75% Annually Merit - CalPERS 2000-2019 Experience Study

E. Discount Rate
 The discount rate used to measure the total pension liability for the Plan was 5.75%. The pension trust is projected to have sufficient assets to pay benefits per the Plan's current contribution policy.

The table below reflects long-term expected real rate of return by asset class. The rate of return was calculated using the capital market assumptions applied to determine the discount rate and asset allocation. These geometric rates of return are net of administrative expenses.

Asset Class	Target Allocation	Expected Real Rate of Return
Global Equity	60.00%	4.56%
Fixed Income	40.00%	0.78%
Assumed Long-Term Rate of Inflation		2.50%
Expected Long-Term Net Rate of Return, Rounded		5.75%

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Retirement Enhancement Plan (Continued)

F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions

The City's net pension liability is measured as the total pension liability less the pension plan's fiduciary net position. The net pension liability of the Retirement Enhancement Plan is measured as of June 30, 2023, and the total pension liability for the Plan used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2021.

The following table shows the net pension liabilities for the Plan and the respective changes in net pension liabilities recognized over the measurement period.

	Total Pension Liability	Plan Fiduciary Net Position	Net Pension Liability/(Asset)
Balance at June 30, 2022	\$ 112,615,803	\$ 96,434,721	\$ 16,181,082
Changes Recognized for the Measurement Period			
Service cost	1,421,253	-	1,421,253
Interest on the total pension liability	6,438,258	-	6,438,258
Changes of assumptions	-	-	-
Differences between expected and actual experience	-	-	-
Employer contributions	-	2,609,609	(2,609,609)
Employee contributions (paid by employer)	-	1,510,731	(1,510,731)
Net investment income	-	8,595,013	(8,595,013)
Benefit payments, included refunds of employee contributions	(4,134,708)	(4,134,708)	-
Administrative expenses	-	(188,162)	188,162
Net changes	3,724,803	8,392,483	(4,667,680)
Balance at June 30, 2023 (Measurement Date)	\$ 116,340,606	\$ 104,827,204	\$ 11,513,402

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Retirement Enhancement Plan (Continued)

F. Pension Liabilities, Pension Expenses, and Deferred Outflows/Inflows of Resources Related to Pensions (Continued)

For the year ended June 30, 2023, The City recognized pension expense of \$3,364,497, for the Retirement Enhancement Plan. At June 30, 2023, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Net difference between projected and actual earnings on pension plan investments	\$ 3,133,349	\$ -
Changes in assumptions	324,818	171,826
Differences between expected and actual experiences	603,554	-
TOTALS	\$ 4,061,721	\$ 171,826

Amounts reported as deferred inflows of resources related to pensions will be recognized as pension expense as follows:

Measurement Period Ending June 30	Deferred Outflows/ (Inflows) of Resources
2024	\$ 1,037,559
2025	303,667
2026	3,159,837
2027	(611,168)
TOTAL	\$ 3,889,895

G. Sensitivity of the Net Pension Liability to Changes in the Discount Rate

The following presents the net pension liability of the Plan as of the measurement date, calculated using the discount rate of 5.75%, as well as what the net pension liability would be if it were calculated using a discount rate that is 1 percentage-point lower (4.75%) or 1 percentage-point higher (6.75%) than the current rate:

	Discount Rate - 1% (4.75%)	Current Discount Rate (5.75%)	Discount Rate + 1% (6.75%)
Net Pension Liability	\$ 24,990,415	\$ 11,513,402	\$ 292,663

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)

3. Deferred Compensation Plan

The City sponsors a deferred compensation plan that qualifies under Section 457(b) of the Internal Revenue Code (IRC). The Plan accepts payroll-deducted contributions for participant-directed investing. Contributions are limited to an annual maximum amount as established under the IRC. Employee salary reduction contributions are tax deferred and are immediately 100% vested. The assets of the Plan are held in trust for the exclusive benefit of plan participants and their beneficiaries. Plan assets are not the property of the City or subject to the claims of the City's general creditors.

4. Post-employment Benefits Other than Pensions (OPEB)

A. Plan Description

The City has established the City of Oxnard Retiree Health Insurance Plan, a single-employer defined benefit health care plan administered through CalPERS. Employees who retire from the City and receive a CalPERS pension are eligible for post-employment medical benefits. Retirees can enroll in any of the available CalPERS medical plans. This benefit continues for the life of the retiree and surviving spouse. Benefit provisions for CalPERS are established by the Public Employees Medical and Hospital Care Act (PEMHCA).

B. Benefits Provided

Employees become eligible to retire and receive the City-paid healthcare benefits upon attainment of age 50 and five years of covered CalPERS service. Benefits are paid for the lifetime of the retiree, spouse or surviving spouse, and dependents up to the age of 26. The City's contribution on behalf of all eligible retirees and surviving spouses is the same as its contribution for active employees (\$149 for 2022 and \$151 for 2023, indexed by the Medical CPI thereafter.)

The City contributes the minimum amount allowed under Government Code Section 22825 of the Public Employees Medical and Hospital Care Act. The required contribution is based on pay-as-you-go financing requirements. Retirees must contribute any premium amounts in excess of the City contribution. No assets are accumulated in a trust that meets the criteria in Paragraph 4 of GASB Statement No. 75.

C. Employees Covered by Benefit Terms

At June 30, 2022, the following employees were covered by the benefit terms:

Inactive employees or beneficiaries currently receiving benefit payments	405
Active plan members	<u>1,179</u>
	<u>1,584</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Post-employment Benefits Other than Pensions (OPEB) (Continued)

D. Total OPEB Liability

The City's total OPEB liability of \$49,001,476 was measured as of June 30, 2022, and was determined by an actuarial valuation as of July 1, 2021.

Actuarial assumptions and other inputs. The total OPEB liability in the July 1, 2021 actuarial valuation was determined using the following actuarial assumptions and other inputs, applied to all periods included in the measurement, unless otherwise specified:

Actuarial cost method	Entry Age, Level Percent of Pay
Valuation of fiduciary net position	No assets held in an irrevocable trust as of the measurement date
Recognition of deferred inflows and outflows of resources	Closed period equal to the average of the expected remaining service lives of all employees provided with OPEB
Salary increases	3.00 percent
Inflation rate	2.75 percent
Healthcare cost trend rate	4.00 percent for 2022-2023; 5.20 percent for 2024-2069; 4.00 percent for 2070 and later years; Medicare ages: 4.00 percent for all years.

The actuarial assumptions used in the July 1, 2021 valuation were based on a review of plan experience during the period July 1, 2019 to June 30, 2021.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Post-employment Benefits Other than Pensions (OPEB) (Continued)
E. Changes in the Total OPEB Liability

	Total OPEB Liability
Balance at June 30, 2021	\$ 61,762,596
Changes for the year:	
Service Cost	3,402,813
Interest	1,241,427
Changes of benefit terms	-
Differences between expected and actual experience	-
Changes in assumptions or other inputs	(16,385,002)
Benefit payments	(1,020,358)
Net changes	(12,761,120)
Balance at June 30, 2022	\$ 49,001,476

Sensitivity of the total OPEB liability to changes in the discount rate. The following presents the total OPEB liability of the City, as well as what the City's total OPEB liability would be if it were calculated using a discount rate that is 1 percentage point lower (2.69 percent) or 1 percentage point higher (3.69 percent) than the current discount rate (4.69 percent):

	1% Decrease (2.69%)	Discount Rate (3.69%)	1% Increase (4.69%)
Total OPEB liability	\$ 57,403,567	\$ 49,001,476	\$ 42,312,313

Sensitivity of the total OPEB liability to changes in the healthcare cost trend rates. The following presents the total OPEB liability of the City, as well as what the City's total OPEB liability would be if it were calculated using healthcare cost trend rates that are 1 percentage point lower or 1 percentage point higher than the current healthcare cost trend rates:

	-1%	Trend Rate Current	+1%
	3.00% for 2022-2023, 4.20% for 2024-2069, and Decreasing to 3.00%; Medicare at 2.00% for all years	4.00% for 2022-2023, 5.20% for 2024-2069, and Decreasing to 4.00%; Medicare at 3.00% for all years	5.00% for 2022-2023, 6.20% for 2024-2069, and Decreasing to 5.00%; Medicare at 4.00% for all years
Total OPEB Liability	\$ 41,045,343	\$ 49,001,476	\$ 59,332,519

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

III. DEFINED BENEFIT PENSION PLANS AND OTHER POST-EMPLOYMENT BENEFITS (Continued)
Post-employment Benefits Other than Pensions (OPEB) (Continued)

F. OPEB Expense and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB

For the year ended June 30, 2023, the City recognized an OPEB expense of \$4,574,273. At June 30, 2023, the City reported deferred outflows of resources and deferred inflows of resources related to OPEB from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
OPEB contributions subsequent to the measurement date	\$ 1,054,697	\$ -
Differences between expected and actual experience	1,786,258	1,811,263
Changes of assumptions or other inputs	10,616,377	15,344,371
Total	\$ 13,457,332	\$ 17,155,634

The deferred outflows of resources related to OPEB contributions subsequent to the measurement date of \$1,054,697 will be recognized as a reduction of the total OPEB liability in the year ending June 30, 2023.

Amounts reported as deferred outflows of resources and deferred inflows of resources related to OPEB will be recognized in OPEB expense as follows:

Year ended June 30	
2024	\$ (69,975)
2025	(69,975)
2026	(69,975)
2027	163,391
2028	(758,190)
Thereafter	(3,948,275)
	\$ (4,752,999)

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

IV. RISK MANAGEMENT

The City provides general liability and workers' compensation insurance under self-insurance programs with an annual limit per occurrence of \$1 million for each program. Excess insurance in the layer of \$1 million to \$15 million per occurrence/\$18 million annual aggregate is purchased for general liability claims and statutory coverage is purchased for workers' compensation claims. The City contracts with outside service agencies to assist in the administration of the self-insurance programs. Estimated liabilities related to outstanding workers' compensation and public liability claims, including estimates for incurred but not reported claims, are based upon actuarial studies and are recorded in internal service funds.

All funds of the City participate in general liability and workers' compensation insurance programs and make payments to internal service funds on the basis of loss experience and exposure. The total unpaid claims and claims adjustment expense liability (long-term obligations) of \$44,559,232 recorded at June 30, 2023, is based on results of actuarial studies and includes an estimate for claims incurred but not reported at the balance sheet date. Claims liabilities are calculated considering the effects of inflation, recent claims settlement trends including frequency and amount of payouts, and other economic and societal factors. General liability and workers' compensation liabilities are carried at present value using a discount rate of 1.0%.

In addition, the City is in compliance with the requirements of GASB Statement No. 10, which requires that a liability for claims be reported if information prior to the issuance of the financial statements indicates that it is probable that a liability has been incurred as of the date of the financial statements and the amount of the loss can be reasonably estimated.

A. General Liability

The City is self-insured for general liability claims up to \$1,000,000. The City purchases commercial excess liability insurance with limits of \$25 million per occurrence. Self-insured general liability claims are administered through a third-party administrator, with the City Attorney's approval required for settlements over \$15,000. Litigated claims are settled directly through the City Attorney's Office.

The City's excess general liability insurance premium for Fiscal Year 2022-2023, inclusive of brokerage fees, was \$2,600,504. During the past five-year period, the average claims filed each year for general liability amounted to 181 claims totaling \$1,403,855 per year (an average of \$7,756 per claim). In addition, there have been no insurance settlements that have exceeded the City's insurance coverage for each of the past three years.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

IV. RISK MANAGEMENT (Continued)

A. General Liability (Continued)

The total unpaid claims and claims adjustment expense liability (long-term obligations) recorded at June 30, 2023 was \$7,388,152. The following schedule presents the changes in self-insurance claims liabilities for the past two years:

	PUBLIC LIABILITY AND PROPERTY DAMAGE	
	FY 2022-2023	FY 2021-2022
Unpaid claims and claims adjustment expenses - July 1	\$ 6,518,368	\$ 6,344,805
Incurring Claims and Claims Adjustment Expenses		
Increase (decrease) in provision for insured events	658,045	162,903
Increase (decrease) in actuarially incurred but not reported claims (IBNR)	211,739	10,660
Total Incurred Claims and Claims Adjustment Expenses	869,784	173,563
Unpaid claims and claims adjustment expenses - June 30	\$ 7,388,152	\$ 6,518,368
CLAIMS AND JUDGMENTS DUE WITHIN ONE YEAR	\$ 2,592,113	\$ 2,208,342

B. Workers' Compensation

The City is self-insured for workers' compensation claims up to \$1,000,000. For claims over \$1,000,000, the City has purchased excess workers' compensation insurance through PRISM, a California risk-sharing Joint Powers Authority. The claims are processed by a third-party administrator similar to general liability claims.

The City's premium for workers' compensation insurance coverage in Fiscal Year 2022-2023 was \$623,535. Within the City's self-insured program for workers' compensation, there has been an average of 285 claims filed per year for the past five years, with an average of approximately \$5,704,824 per year in total reported losses (an average of \$20,017 per claim). In addition, there have been no settlements that have exceeded the City's insurance coverage for each of the past three years.

The total unpaid claims and claims adjustment expense liability (long-term obligations) recorded at June 30, 2023 was \$37,171,080.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

IV. RISK MANAGEMENT (Continued)

B. Workers' Compensation (Continued)

The following schedule presents the changes in self-insurance claims liabilities for the past two years:

	WORKERS' COMPENSATION	
	FY 2022-2023	FY 2021-2022
Unpaid claims and claims adjustment expenses - July 1	\$ 29,583,599	\$ 28,919,771
Incurring Claims and Claims Adjustment Expenses		
Increase (decrease) in provision for insured events	4,870,491	(827,181)
Increase (decrease) in actuarially incurred but not reported claims (IBNR)	2,716,990	1,491,009
Total Incurred Claims and Claims Adjustment Expenses	7,587,481	663,828
Unpaid claims and claims adjustment expenses - June 30	\$ 37,171,080	\$ 29,583,599
CLAIMS AND JUDGMENTS DUE WITHIN ONE YEAR	\$ 6,794,132	\$ 6,222,498

V. OTHER INFORMATION

A. Commitments and Contingencies

As of June 30, 2023, the City had significant construction commitments as follows:

	AMOUNT
Governmental Activities	\$ 166,188,924
Water	43,457,804
Wastewater	101,059,407
Environmental Resources	4,985,398
Golf Course	588,786
Information Systems	293,487
TOTAL	\$ 316,573,806

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

V. **OTHER INFORMATION (Continued)**
 A. **Commitments and Contingencies (Continued)**

The City uses “encumbrances” to control expenditure commitments for the year. Encumbrances represent commitments related to contracts not yet performed and purchase orders not yet filled. Commitments for such expenditures are encumbered to reserve applicable appropriations. As of June 30, 2023, total encumbrances for the governmental and proprietary funds are reported as follows:

	<u>AMOUNT</u>
GOVERNMENTAL FUNDS	
General Fund*	\$ 3,210,885
Developer Fees	1,325,694
State and Federal Grants	1,679,853
Nonmajor Funds	<u>14,871,664</u>
TOTAL GOVERNMENTAL FUNDS	<u><u>\$ 21,088,096</u></u>
PROPRIETARY FUNDS	
Water funds	\$ 12,453,902
Wastewater funds	50,723,026
Environmental resources funds	<u>338,841</u>
TOTAL PROPRIETARY FUNDS	<u><u>\$ 63,515,769</u></u>
TOTAL INTERNAL SERVICE FUNDS	<u><u>\$ 543,145</u></u>

* Includes \$1,073,364 of Measure "O" Encumbrances

There are various lawsuits and claims pending against the City. Management believes that all contingencies requiring accrual or disclosure are reflected in these financial statements.

The City has received significant financial assistance from numerous federal and state agencies in the form of grants and entitlements. The disbursement of funds received under these programs generally require compliance with terms and conditions specified in the grant agreements and are subject to audit by grantor agencies. Any disallowed claims resulting from such audits could become a liability of the City. However, in the opinion of management, liabilities resulting from disallowed claims, if any, will not have a material effect on the City's financial position at June 30, 2023.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

B. Risk and Uncertainties

Measure M and N Litigation

In *City of Oxnard v. Starr*, the City sought a court determination that two initiatives approved by voters in November 2020 were unlawful uses of the initiative power. Measure M restricts when and how the City conducts meetings governed by the Ralph M. Brown Act. Measure N concerns the City's Measure O sales tax, which the voters approved in 2008. In particular, it ties Measure O's continued existence to improving the City's streets and alleys to specified levels in the Pavement Condition Index on a precise schedule. If the City does not meet those timed goals, Measure O would expire early. The trial court ruled in the City's favor in August of 2021, finding both Measure M and Measure N invalid. Starr, the initiatives' proponent, appealed and, in a January 2023 decision, the Court of Appeal affirmed the trial court's ruling that Measure N is invalid, but it found Measure M is valid. Despite Starr achieving only partial success regarding meeting procedures, California law entitles his attorney to a fee award, which the parties stipulated in the amount of \$465,000. Despite the City's success in preserving the projected average annual Measure O revenue of \$21,000,000 until its sunset in March of 2029, California law precludes Starr from being compelled to pay the City's attorney fees or otherwise be held accountable as the proponent of an invalid initiative.

Infrastructure Use Fee Litigation

In *Starr v. City of Oxnard*, petitioner challenged the legality of the City's collection of infrastructure use fees (IUF) paid by the City's utility funds (water, wastewater and solid waste enterprise funds) to the City's General Fund and Street Maintenance Fund to cover unique and quantifiable burdens utilities place on the City's general services. The writ petition, filed in 2017, alleged the IUF was not based on actual costs associated with utility operations, and was thus unlawful. The court agreed with petitioner, and issued a writ of mandate in March of 2021 ordering the City to take three actions (1) reduce utility rates as necessary to remove any IUF included in those rates, (2) cease IUF transfers from the City's utility funds to other funds, and (3) reimburse the utility funds for transfers made since FY 2014-2015, completing \$36,470,697 in total reimbursements over a three-year period or by the end of FY 2023-2024. The City has complied with these orders as follows: (1) the City Council approved (a) issuance of a refund to utility customers totaling \$7,112,897 for IUFs paid through rates from January 2020 through August 2021, and (b) lowering utility rates effective September 2021, such that projected rate revenue through the end of FY 2021-2022 were reduced by \$3,556,448; (2) the City ceased IUF transfers from its utility funds to other funds; and (3) as of fiscal year ending June 30, 2023, the City has transferred \$28.7 million back to its utility funds. The City will fully transfer out the remaining balance of \$7.7 million in the upcoming fiscal year 2024. The City also paid petitioner's counsel \$355,000 in attorney fees and \$16,335 toward his costs.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

B. Risk and Uncertainties (Continued)

Infrastructure Use Fee Litigation (Continued)

The court issued its writ of mandate without prejudice to the City developing and implementing a credible and reasonable means of imposing an IUF that complies with Proposition 218. The Second Amended Final Judgment in the IUF litigation reserved the court's jurisdiction to modify the judgment through a later evidentiary hearing and adjudication of whether a new IUF rectifies the deficiencies in the previous IUF. Accordingly, the City has developed a new IUF methodology focused on street impacts of utility operations that it intends to present to the court. If the court finds the new IUF complies with Proposition 218, the City will seek to modify the judgment to allow the City to implement the new IUF. If implemented, the new IUF would reduce the City's reimbursement obligation and provide additional funding for street maintenance. The amount of such reduction or additional funding cannot be determined at this time, but would be substantially less than the previous IUF fee the court found to be invalid.

Public Works Contracts

The City entered into three separate construction agreements for public projects within the City. A public project is subject to the requirements of the Public Contract Code and the Standards and Specifications for Public Works Construction. The projects were formally noticed, and requested contractors send a sealed bid to the City. The projects were formally bid pursuant to the Uniform Construction Cost Accounting Act. Typically, a contractor is locked into the bid or contract amount it submitted to the City for the public project. There are circumstances when the contract price may increase when there is an increase in material costs, unforeseen circumstances that result in increased labor and equipment costs, or extra work. The Contractors on three separate projects requested additional funding for their work on the projects. The City has contested the Contractor's requests for additional funding. There is a set procedure the contractor must follow prior to initiating any litigation against the City for funds in excess of the contract amount. In the matter of the three projects the likelihood of an unfavorable outcome is possible and the contingent loss is estimated to range between \$775,000 and \$1,175,000.

The City of Oxnard ("City") entered into a construction agreement with Teichert Energy & Utilities Group ("Teichert") for the La Colonia Neighborhood Cast Iron Pipe Replacement Project ("La Colonia Project"). This was a public project subject to the requirements of the Public Contract Code and the Standards and Specifications for Public Works Construction. The La Colonia Project was formally noticed, and requested contractors send a sealed bid to the City, and was formally bid pursuant to the Uniform Construction Cost Accounting Act.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

B. Risk and Uncertainties (Continued)

Public Works Contracts (Continued)

Teichert was the lowest responsive and responsible bidder for the La Colonia Project, and the City awarded the contract to Teichert. Typically, a contractor is locked into the bid or contract amount it submitted to the City in its Bid for the public project. There are circumstances when the contract price may increase when there is an increase in material costs, unforeseen circumstances that result in increased labor and equipment costs, or extra work. The La Colonia Project was set to be completed in 90 working days. Teichert claimed it encountered differing site conditions, among other items, that delayed the project by approximately 200 days. Teichert submitted a claim to the City demanding an additional \$2.9 million in project funds. The demand was denied, and the parties demand, and engaged in mediation which was not successful. The next step would be for Teichert to file a lawsuit against the City. For this particular matter the likelihood of an unfavorable outcome is possible and the contingent loss is estimated to range between \$350,000 and \$1,800,000.

C. Notes to the Financial Statements - Successor Agency

a. Reporting Entity

On January 10, 2012, the City Council elected to become the Successor Agency for the former redevelopment agency in accordance with AB 1X 26 as part of City resolution number 14,135, to oversee the winding down of the agency's affairs and liquidation of the agency's assets effective February 1, 2012. AB 1484 essentially transferred authority over the Successor Agency to an Oversight Board, also established pursuant to AB 1X 26 and the California Department of Finance. As specified in California Senate Bill 107 and beginning on July 1, 2018, all Oversight Boards in the County were consolidated into one Oversight Board, the Ventura County Consolidated Oversight Board.

The 7-member Oversight Board is comprised of representatives of the local agencies from Ventura County cities, special districts, and K-14 educational agencies. The County Oversight Board members have a fiduciary responsibility to holders of enforceable obligations, as well as to the local agencies that would benefit from property tax distributions from the former redevelopment project areas. Oversight boards direct the staff and approve the actions of the Successor Agency.

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

C. Notes to the Financial Statements - Successor Agency (Continued)

b. Cash and Investments

Cash and investments with fiscal agents of the Successor Agency are comprised of the following at June 30, 2023:

	FAIR VALUE
Deposits and investments	\$ 7,849,593
Restricted investments with fiscal agents	3,584,739
TOTAL	\$ 11,434,332

Detailed notes on cash and cash investments can be found in the City's notes to the financial statements.

c. Notes Receivable

Notes receivable consists of the following:

	BALANCE JULY 1, 2022	ADDITIONS	DELETIONS	BALANCE JULY 1, 2023
Heritage Square	\$ 66,982	\$ -	\$ -	\$ 66,982
Baldwin Company	17,873	-	-	17,873
Strand Cinemas	486,708	-	(56,134)	430,574
Oxnard Theater Group	12,957,875	1,793,500	-	14,751,375
Allowance for uncollectible	(12,957,875)	(1,793,500)	-	(14,751,375)
TOTALS	\$ 571,563	\$ -	\$ (56,134)	\$ 515,429

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

C. Notes to the Financial Statements - Successor Agency (Continued)

d. Capital Assets

Changes in the Successor Agency's capital assets for the year ended June 30, 2023 are as follows:

	BALANCE JULY 1, 2022	INCREASES	DECREASES	BALANCE JUNE 30, 2023
FIDUCIARY ACTIVITIES				
Capital Assets, being depreciated				
Buildings	\$ 905,636	\$ -	\$ -	\$ 905,636
Improvements other than buildings	17,368,821	-	-	17,368,821
Equipment and machinery	34,013	-	-	34,013
Infrastructure	33,107,189	-	-	33,107,189
Total Capital Assets, Being Depreciated	51,415,659	-	-	51,415,659
Less: Accumulated Depreciation				
Buildings	(593,355)	(17,498)	-	(610,853)
Improvements other than buildings	(5,535,871)	(441,111)	-	(5,976,982)
Equipment and machinery	(34,013)	-	-	(34,013)
Infrastructure	(15,308,792)	(855,044)	-	(16,163,836)
Total Accumulated Depreciation	(21,472,031)	(1,313,653)	-	(22,785,684)
Total Capital Assets, being depreciated, net	29,943,628	(1,313,653)	-	28,629,975
TOTALS	\$ 29,943,628	\$ (1,313,653)	\$ -	\$ 28,629,975

e. Long-Term Debt

The following is a summary of changes in the Successor Agency's long-term debt for the year ended June 30, 2023:

	BALANCE JULY 1, 2022	ADDITIONS	REDUCTIONS	BALANCE JUNE 30, 2023	DUE WITHIN ONE YEAR
Tax allocation refunding bonds Series 2004A	\$ 5,285,000	\$ -	\$ (960,000)	\$ 4,325,000	\$ 1,005,000
Tax allocation bond financing					
Series 2006	13,060,000	-	(670,000)	12,390,000	700,000
HERO project tax allocation bonds					
Series 2008	8,945,000	-	(290,000)	8,655,000	295,000
TOTAL LONG-TERM INDEBTEDNESS	\$ 27,290,000	\$ -	\$ (1,920,000)	\$ 25,370,000	\$ 2,000,000

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

C. Notes to the Financial Statements - Successor Agency (Continued)

e. Long-Term Debt (Continued)

The summary annual debt service requirements are shown below for Successor Agency long-term debt:

<u>YEAR</u>	<u>PRINCIPAL</u>	<u>INTEREST</u>	<u>TOTAL</u>
2024	\$ 2,000,000	\$ 1,205,881	\$ 3,205,881
2025	2,095,000	1,111,950	3,206,950
2026	2,190,000	1,013,301	3,203,301
2027	2,295,000	909,487	3,204,487
2028	1,170,000	830,781	2,000,781
2029-2033	6,720,000	3,305,158	10,025,158
2034-2038	7,475,000	1,409,253	8,884,253
2039-2040	1,425,000	33,844	1,458,844
TOTALS	<u>\$ 25,370,000</u>	<u>\$ 9,819,655</u>	<u>\$ 35,189,655</u>

Description of Long-term Debt

Tax Allocation Refunding Bonds, Series 2004A

Tax Allocation Refunding Bonds, Series 2004A were issued on May 4, 2004 in the amount of \$19,185,000. These are twenty-two-year bonds with a net interest cost of 4.58%. The bonds were issued to refinance the Tax Allocation Refunding Bonds, Series 1994A and to finance additional redevelopment activities within the Central City Revitalization and Downtown Project Area. The balance outstanding as of June 30, 2023 is \$4,325,000.

As of June 30, 2023, the total future debt payments for the Tax Allocation Refunding Bonds, Series 2004A, including interest thereon, are as follows:

<u>FISCAL YEAR</u>	TAX ALLOCATION REFUNDING BONDS 2004A		
	<u>PRINCIPAL</u>	<u>INTEREST</u>	<u>TOTAL</u>
2024	\$ 1,005,000	\$ 189,213	\$ 1,194,213
2025	1,055,000	138,229	1,193,229
2026	1,105,000	84,769	1,189,769
2027	1,160,000	28,710	1,188,710
TOTALS	<u>\$ 4,325,000</u>	<u>\$ 440,921</u>	<u>\$ 4,765,921</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

C. Notes to the Financial Statements - Successor Agency (Continued)

e. Long-Term Debt (Continued)

Description of Long-term Debt (Continued)

2006 Tax Allocation Bond Financings

Local Obligation Revenue Bonds (2006 Tax Allocation Bond Financings) in the amount of \$20,530,000 were issued on December 1, 2006 to purchase the following obligations being issued simultaneously for: (1) the Oxnard Community Development Commission Ormond Beach Project Area Tax Allocation Bonds, Series 2006 in the amount of \$5,750,000; (2) the Oxnard Community Development Commission Southwinds Project Area Tax Allocation Bonds, Series 2006 in the amount of \$3,290,000; and (3) the Oxnard Community Development Commission Historic Enhancement and Revitalization of Oxnard (HERO) Project Area Tax Allocation Bonds, Series 2006 in the amount of \$11,490,000. The bonds were issued to finance redevelopment activities (street improvement projects) in the Ormond Beach Project Area, Southwinds Project Area and the HERO Redevelopment Project Areas. These bonds carry a net interest cost of 4.424%, maturing on September 1, 2036. The outstanding balance as of June 30, 2023 is \$12,390,000.

As of June 30, 2023, the total future debt payments for the Tax Allocation Bonds, Series 2006, including interest thereon, are as follows:

FISCAL YEAR	TAX ALLOCATION BONDS SERIES 2006		
	PRINCIPAL	INTEREST	TOTAL
2024	\$ 700,000	\$ 611,740	\$ 1,311,740
2025	725,000	582,292	1,307,292
2026	755,000	551,537	1,306,537
2027	785,000	519,082	1,304,082
2028	820,000	484,836	1,304,836
2029-2033	4,665,000	1,855,773	6,520,773
2034-2037	3,940,000	560,184	4,500,184
TOTALS	<u>\$ 12,390,000</u>	<u>\$ 5,165,444</u>	<u>\$ 17,555,444</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
 FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

C. Notes to the Financial Statements - Successor Agency (Continued)

e. Long-Term Debt (Continued)

Description of Long-term Debt (Continued)

Tax Allocation Bonds, Series 2008

Tax Allocation Bonds, Series 2008 were issued on July 1, 2008 in the amount of \$11,790,000 for the Oxnard Community Development Commission Historic Enhancement and Revitalization of Oxnard (HERO) Project Area. Proceeds from the sale of bonds are to be used to finance a 500-space parking structure to service a mixed-use retail/commercial development located within the River Park Specific Plan Area. These bonds carry a net interest cost of 4.849%, with a final maturity of September 1, 2038. The outstanding balance as of June 30, 2023 is \$8,655,000.

As of June 30, 2023, the total future debt payments for the Tax Allocation Bonds, Series 2008, including interest thereon, are as follows:

FISCAL YEAR	HERO PROJECT TAX ALLOCATION BONDS		
	SERIES 2008		
	PRINCIPAL	INTEREST	TOTAL
2024	\$ 295,000	\$ 404,928	\$ 699,928
2025	315,000	391,429	706,429
2026	330,000	376,995	706,995
2027	350,000	361,695	711,695
2028	350,000	345,945	695,945
2029-2033	2,055,000	1,449,385	3,504,385
2034-2038	3,535,000	849,069	4,384,069
2039	1,425,000	33,844	1,458,844
TOTALS	<u>\$ 8,655,000</u>	<u>\$ 4,213,290</u>	<u>\$ 12,868,290</u>

CITY OF OXNARD, CALIFORNIA
NOTES TO THE FINANCIAL STATEMENTS (Continued)
FOR THE YEAR ENDED JUNE 30, 2023

V. OTHER INFORMATION (Continued)

C. Notes to the Financial Statements - Successor Agency (Continued)

f. Arbitrage

The Tax Reform Act of 1986 instituted certain arbitrage restrictions with respect to the issuance of tax exempt bonds after August 31, 1986. Arbitrage regulations deal with the investment of all tax exempt bond proceeds at an interest yield greater than the interest yield paid to bondholders. Generally, all interest paid to bondholders can be retroactively rendered taxable if applicable rebates are not reported and paid to the Internal Revenue Service (IRS) at least every five years. During the current year, the City performed calculations of excess investment earnings on various bonds and financings. Liabilities were calculated for the issues and rebate payments were made as appropriate. No arbitrage liability existed at June 30, 2023.

The Successor Agency is in compliance with all significant covenants related to its debt issues.

g. Commitments and Contingencies

The Successor Agency is a defendant in various claims and legal actions arising in the normal course of operations. In the opinion of the Successor Agency Attorney and Oversight Board, the ultimate liability from such actions and claims will not have a material adverse effect on the Successor Agency's financial position or operations.

The Successor Agency entered into an agreement with a developer that includes a guarantee to cover its lease expense up to \$111,300 a month when the developer's revenues are not sufficient to cover its lease expense for a period of 25 years.

The Successor Agency has a reimbursement agreement with the developer of RiverPark to reimburse public improvements (up to \$10 million) and affordable housing (up to \$4.25 million) for a combined maximum of \$14.25 million. Through June 30, 2023, a total of \$6.4 million has been repaid on the \$10 million infrastructure amount, and a total of \$2.9 million repaid on the \$4.25 million affordable housing amount. Therefore, the remaining balance on the combined maximum commitment is \$5.0 million.

D. Notes to the Financial Statements - Retirement Enhancement Defined Benefit Retirement Trust Fund

The City joined the Retirement Enhancement Plan (REP) effective January 1, 2003 for selected employee groups (see Note III for additional information on REP). Investments for the benefit of eligible employees are in a segregated account and invested under the guidelines authorized by the City.

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REQUIRED SUPPLEMENTARY INFORMATION

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF CHANGES IN NET PENSION LIABILITY AND RELATED RATIOS
CALPERS MISCELLANEOUS PLAN - AGENT MULTIPLE-EMPLOYER DEFINED BENEFIT PLAN
AS OF JUNE 30 FOR THE LAST TEN FISCAL YEARS¹

	2023	2022	2021	2020	2019	2018	2017	2016	2015
MEASUREMENT DATE	June 30, 2022	June 30, 2021	June 30, 2020	June 30, 2019	June 30, 2018	June 30, 2017	June 30, 2016	June 30, 2015	June 30, 2014
Total Pension Liability									
Service Cost	\$ 10,039,298	\$ 9,098,206	\$ 8,599,200	\$ 8,704,606	\$ 8,114,517	\$ 7,747,249	\$ 7,242,480	\$ 7,317,452	\$ 7,507,381
Interest	37,384,019	36,491,272	35,518,267	33,651,896	32,139,899	31,142,639	30,496,717	29,025,141	27,937,746
Changes in Assumptions	17,517,757	-	-	-	(1,207,957)	26,464,012	-	(7,345,091)	-
Difference Between Expected and Actual Experience	(4,168,587)	(240,462)	922,038	7,840,468	(1,859,096)	(7,125,579)	1,414,899	(4,271,504)	-
Benefit Payments, Including Refunds of Member Contributions	(28,230,318)	(27,728,190)	(25,357,357)	(24,258,713)	(22,807,471)	(21,310,118)	(19,526,906)	(17,440,515)	(16,205,985)
Net Change in Total Pension Liability	32,542,169	17,620,826	19,682,148	25,938,257	14,379,892	36,918,203	19,627,190	7,285,483	19,239,142
Total Pension Liability, Beginning of Year	537,543,718	519,922,892	500,240,744	474,302,487	459,922,595	423,004,392	403,377,202	396,091,719	376,852,577
Total Pension Liability, End of Year	570,085,887	537,543,718	519,922,892	500,240,744	474,302,487	459,922,595	423,004,392	403,377,202	396,091,719
Plan Fiduciary Net Position									
Contributions - Employee	4,411,331	4,101,994	3,375,086	4,232,480	3,693,461	3,542,435	3,490,315	3,701,640	3,730,681
Contributions - Employer	16,634,303	14,868,691	12,795,434	11,842,706	9,799,970	8,433,832	7,657,753	7,237,123	6,858,454
Net Investment Income	(34,125,051)	84,746,913	18,307,582	22,997,256	28,185,278	34,461,764	1,772,032	7,288,474	47,653,092
Benefit Payments, Including Refunds of Member Contributions	(28,230,318)	(27,728,190)	(25,357,357)	(24,258,713)	(22,807,471)	(21,310,118)	(19,526,906)	(17,440,515)	(16,205,985)
Plan to Plan Resource Movement	-	-	215,792	(95,340)	(823)	(37,118)	-	216,371	-
Administrative Expenses	(282,329)	(377,207)	(519,905)	(252,854)	(525,103)	(461,160)	(194,504)	(445,021)	-
Other Miscellaneous Income/(Expenses) ²	-	-	-	823	(997,179)	-	-	-	-
Net Change in Plan Fiduciary Net Position	(41,592,064)	75,612,201	8,816,632	14,466,358	17,348,133	24,629,635	(6,801,310)	558,072	42,036,242
Total Plan Fiduciary Net Position, Beginning of Year ³	453,220,305	377,608,104	368,791,472	354,325,114	336,976,981	312,347,346	319,148,656	318,590,584	276,554,342
Total Plan Fiduciary Net Position, End of Year	411,628,241	453,220,305	377,608,104	368,791,472	354,325,114	336,976,981	312,347,346	319,148,656	318,590,584
Net Pension Liability - Ending	<u>\$ 158,457,646</u>	<u>\$ 84,323,413</u>	<u>\$ 142,314,788</u>	<u>\$ 131,449,272</u>	<u>\$ 119,977,373</u>	<u>\$ 122,945,614</u>	<u>\$ 110,657,046</u>	<u>\$ 84,228,546</u>	<u>\$ 77,501,135</u>
Plan Fiduciary Net Position as a Percentage of Total Pension Liability	72.20%	84.31%	72.63%	73.72%	74.70%	73.27%	73.84%	79.12%	80.43%
Covered Payroll ⁴	57,334,653	56,545,716	53,378,028	54,291,812	51,315,482	49,376,987	52,250,772	51,974,236	50,863,015
Net Pension Liability as a Percentage of Covered Payroll	276.37%	149.12%	266.62%	242.12%	233.80%	248.99%	211.78%	162.06%	152.37%

¹ GASB Statement No. 68, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2015. Additional Years will be added as they become available in the future.

² During Fiscal Year 2017-18, as a result of Governmental Accounting Standards Board Statement (GASB) No. 75, Accounting and Financial Reporting for Postemployment Benefit Plans Other than Pensions (GASB 75), CalPERS reported its proportionate share of activity related to postemployment benefits for participation in the State of California's agent OPEB plan. Accordingly, CalPERS recorded a one-time expense as a result of the adoption of GASB 75. Additionally, CalPERS employees participate in various State of California agent pension plans and during Fiscal Year 2017-18, CalPERS recorded a correction to previously reported financial statements to properly reflect its proportionate share of activity related to pensions in accordance with GASB Statement No. 68, Accounting and Financial Reporting for Pensions (GASB 68).

³ Includes any beginning of year adjustment.

⁴ For the year ended on the measurement date. Includes one year's payroll growth using 2.80 percent payroll growth assumption for fiscal year ended June 30, 2022, 2.75% payroll growth for fiscal years ended June 30, 2018-21; 3.00 percent payroll growth assumption for fiscal years ended June 30, 2014-17.

Notes to Schedule:

Changes of Benefit Terms: The figures above generally include any liability impact that may have resulted from voluntary benefit changes that occurred on or before the Measurement Date. However, offers of Two Years Additional Service Credit (a.k.a. Golden Handshakes) that occurred after the Valuation Date are not included in the figures above, unless the liability impact is deemed to be material by the plan actuary.

Changes of Assumptions: Effective with the June 30, 2021 valuation date (2022 measurement date), the accounting discount rate was reduced from 7.15% to 6.90%. In determining the long-term expected rate of return, CalPERS took into account long-term market return expectations as well as the expected pension fund cash flows. Projected returns for all asset classes are estimated, combined with risk estimates, and are used to project compound (geometric) returns over the long term. The discount rate used to discount liabilities was informed by the long-term projected portfolio return. In addition, demographic assumptions and the inflation rate assumption were changed in accordance with the 2021 CalPERS Experience Study and Review of Actuarial Assumptions. The accounting discount rate was 7.15% for measurement dates 2017 through 2021, 7.65% for measurement dates 2015 through 2016, and 7.50% for measurement date 2014.

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF CHANGES IN NET PENSION LIABILITY AND RELATED RATIOS
CALPERS SAFETY PLAN (POLICE) - AGENT MULTIPLE-EMPLOYER DEFINED BENEFIT PLAN
AS OF JUNE 30 FOR THE LAST TEN FISCAL YEARS¹

MEASUREMENT DATE	2023	2022	2021	2020	2019	2018	2017	2016	2015
	June 30, 2022	June 30, 2021	June 30, 2020	June 30, 2019	June 30, 2018	June 30, 2017	June 30, 2016	June 30, 2015	June 30, 2014
Total Pension Liability									
Service Cost	\$ 9,872,913	\$ 9,133,023	\$ 9,489,708	\$ 9,248,622	\$ 8,907,068	\$ 9,267,287	\$ 8,500,329	\$ 8,136,777	\$ 8,379,851
Interest	30,080,445	29,032,165	28,039,082	26,990,670	25,547,337	24,738,857	24,033,111	22,863,767	21,472,209
Changes in Assumptions	17,360,183	-	-	-	(2,084,250)	22,004,979	-	(5,993,052)	-
Difference Between Expected and Actual Experience	(6,075,674)	(4,322,687)	(3,749,835)	2,416,832	(4,583,299)	(8,176,814)	(1,859,473)	2,113,477	-
Benefit Payments, Including Refunds of Member Contributions	(20,134,275)	(19,696,563)	(18,580,424)	(17,313,398)	(16,397,629)	(15,304,527)	(14,506,274)	(13,568,341)	(12,980,263)
Net Change in Total Pension Liability	31,103,592	14,145,938	15,198,531	21,342,726	11,389,227	32,529,782	16,167,693	13,552,628	16,871,797
Total Pension Liability, Beginning of Year	429,794,655	415,648,717	400,450,186	379,107,460	367,718,233	335,188,451	319,020,758	305,468,130	288,596,333
Total Pension Liability, End of Year	460,898,247	429,794,655	415,648,717	400,450,186	379,107,460	367,718,233	335,188,451	319,020,758	305,468,130
Plan Fiduciary Net Position									
Contributions - Employee	2,775,823	2,702,869	2,674,167	2,828,130	2,612,259	2,604,837	2,556,415	2,531,718	2,505,819
Contributions - Employer	14,208,396	14,870,411	14,126,597	13,510,982	11,821,073	10,886,085	10,491,299	9,907,130	8,947,610
Net Investment Income	(28,731,512)	70,683,062	14,929,602	18,441,113	22,137,131	26,271,673	1,190,876	5,184,911	34,904,812
Benefit Payments, Including Refunds of Member Contributions	(20,134,275)	(19,696,563)	(18,580,424)	(17,313,398)	(16,397,629)	(15,304,527)	(14,506,274)	(13,568,341)	(12,980,263)
Plan to Plan Resource Movement	-	-	-	-	(652)	37,118	-	-	-
Administrative Expenses	(236,272)	(310,706)	(420,539)	(200,556)	(408,348)	(351,254)	(145,244)	(268,265)	-
Other Miscellaneous Income/(Expenses) ²	-	-	-	652	(775,460)	-	-	-	-
Net Change in Plan Fiduciary Net Position	(32,117,840)	68,249,073	12,729,403	17,266,923	18,988,374	24,143,932	(412,928)	3,787,153	33,377,978
Total Plan Fiduciary Net Position, Beginning of Year ³	379,285,078	311,036,005	298,306,602	281,039,679	262,051,305	237,907,373	238,320,301	234,533,148	201,155,170
Total Plan Fiduciary Net Position, End of Year	347,167,238	379,285,078	311,036,005	298,306,602	281,039,679	262,051,305	237,907,373	238,320,301	234,533,148
Net Pension Liability - Ending	<u>\$ 113,731,009</u>	<u>\$ 50,509,577</u>	<u>\$ 104,612,712</u>	<u>\$ 102,143,584</u>	<u>\$ 98,067,781</u>	<u>\$ 105,666,928</u>	<u>\$ 97,281,078</u>	<u>\$ 80,700,457</u>	<u>\$ 70,934,982</u>
Plan Fiduciary Net Position as a Percentage of Total Pension Liability	75.32%	88.25%	74.83%	74.49%	74.13%	71.26%	70.98%	74.70%	76.78%
Covered Payroll ⁴	25,623,963	26,304,790	27,059,334	26,209,714	25,330,075	25,914,507	26,539,474	25,228,753	24,705,477
Net Pension Liability as a Percentage of Covered Payroll	443.85%	192.02%	386.60%	389.72%	387.16%	407.75%	366.55%	319.87%	287.12%

¹ GASB Statement No. 68, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2015. Additional Years will be added as they become available in the future.

² During Fiscal Year 2017-18, as a result of Governmental Accounting Standards Board Statement (GASB) No. 75, Accounting and Financial Reporting for Postemployment Benefit Plans Other than Pensions (GASB 75), CalPERS reported its proportionate share of activity related to postemployment benefits for participation in the State of California's agent OPEB plan. Accordingly, CalPERS recorded a one-time expense as a result of the adoption of GASB 75. Additionally, CalPERS employees participate in various State of California agent pension plans and during Fiscal Year 2017-18, CalPERS recorded a correction to previously reported financial statements to properly reflect its proportionate share of activity related to pensions in accordance with GASB Statement No. 68, Accounting and Financial Reporting for Pensions (GASB 68).

³ Includes any beginning of year adjustment.

⁴ For the year ended on the measurement date. Includes one year's payroll growth using 2.80 percent payroll growth assumption for fiscal year ended June 30, 2022, 2.75% payroll growth for fiscal years ended June 30, 2018-21; 3.00 percent payroll growth assumption for fiscal years ended June 30, 2014-17.

Notes to Schedule:

Changes of Benefit Terms: The figures above generally include any liability impact that may have resulted from voluntary benefit changes that occurred on or before the Measurement Date. However, offers of Two Years Additional Service Credit (a.k.a. Golden Handshakes) that occurred after the Valuation Date are not included in the figures above, unless the liability impact is deemed to be material by the plan actuary.

Changes of Assumptions: Effective with the June 30, 2021 valuation date (2022 measurement date), the accounting discount rate was reduced from 7.15% to 6.90%. In determining the long-term expected rate of return, CalPERS took into account long-term market return expectations as well as the expected pension fund cash flows. Projected returns for all asset classes are estimated, combined with risk estimates, and are used to project compound (geometric) returns over the long term. The discount rate used to discount liabilities was informed by the long-term projected portfolio return. In addition, demographic assumptions and the inflation rate assumption were changed in accordance with the 2021 CalPERS Experience Study and Review of Actuarial Assumptions. The accounting discount rate was 7.15% for measurement dates 2017 through 2021, 7.65% for measurement dates 2015 through 2016, and 7.50% for measurement date 2014.

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF PROPORTIONATE SHARE OF THE NET PENSION LIABILITY AND RELATED RATIOS
CALPERS SAFETY PLAN (FIRE) - COST SHARING PLAN
AS OF JUNE 30 FOR THE LAST TEN FISCAL YEARS¹

	2023	2022	2021	2020	2019	2018	2017	2016	2015
MEASUREMENT DATE	June 30, 2022	June 30, 2021	June 30, 2020	June 30, 2019	June 30, 2018	June 30, 2017	June 30, 2016	June 30, 2015	June 30, 2014
City's Proportion of the Collective Net Pension Liability	0.56296%	0.74128%	0.53361%	0.52967%	0.53113%	0.51309%	0.52499%	54.96200%	0.53857%
City's Proportionate Share of the Collective Net Pension Liability	\$ 65,026,492	\$ 40,090,413	\$ 58,058,911	\$ 54,275,806	\$ 51,180,914	\$ 50,884,705	\$ 45,427,479	\$ 37,725,705	\$ 33,512,523
City's Covered Payroll ²	\$ 13,392,657	\$ 12,212,495	\$ 12,319,847	\$ 12,168,197	\$ 11,824,780	\$ 10,987,654	\$ 9,030,122	\$ 9,283,898	\$ 9,105,774
City's Proportionate Share of the Collective Net Pension Liability as a Percentage of its Covered Payroll	485.54%	328.27%	471.26%	446.05%	432.83%	463.11%	503.07%	406.36%	368.04%
Plan Fiduciary Net Position as a Percentage of the Plan's Total Pension Liability	76.68%	88.29%	75.10%	75.26%	75.26%	73.31%	74.06%	78.40%	79.82%

¹ GASB Statement No. 68, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2015. Additional Years will be added as they become available in the future.

² For the year ended on the measurement date.

Notes to Schedule:

Changes of Benefit Terms: The figures above generally include any liability impact that may have resulted from voluntary benefit changes that occurred on or before the Measurement Date. However, offers of Two Years Additional Service Credit (a.k.a. Golden Handshakes) that occurred after the Valuation Date are not included in the figures above, unless the liability impact is deemed to be material by the plan actuary.

Changes of Assumptions: Effective with the June 30, 2021 valuation date (2022 measurement date), the accounting discount rate was reduced from 7.15% to 6.90%. In determining the long-term expected rate of return, CalPERS took into account long-term market return expectations as well as the expected pension fund cash flows. Projected returns for all asset classes are estimated, combined with risk estimates, and are used to project compound (geometric) returns over the long term. The discount rate used to discount liabilities was informed by the long-term projected portfolio return. In addition, demographic assumptions and the inflation rate assumption were changed in accordance with the 2021 CalPERS Experience Study and Review of Actuarial Assumptions. The accounting discount rate was 7.15% for measurement dates 2017 through 2021, 7.65% for measurement dates 2015 through 2016, and 7.50% for measurement date 2014.

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF PLAN CONTRIBUTIONS - CALPERS
FOR THE FISCAL YEARS ENDED JUNE 30¹

	2023	2022	2021	2020	2019	2018	2017	2016	2015	2014
MISCELLANEOUS PLAN										
Actuarially Determined Contribution ²	\$ 18,514,246	\$ 16,634,303	\$ 14,868,691	\$ 12,795,434	\$ 11,842,706	\$ 9,799,970	\$ 8,433,832	\$ 7,657,753	\$ 7,237,123	\$ 6,858,454
Contributions in Relation to the Actuarially Determined Contribution	(18,514,246)	(16,634,303)	(14,868,691)	(12,795,434)	(11,842,706)	(9,799,970)	(8,433,832)	(7,657,753)	(7,237,123)	(6,858,454)
Contribution Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Covered Payroll ³	\$ 66,011,164	\$ 57,334,653	\$ 56,545,716	\$ 53,378,028	\$ 54,291,812	\$ 51,315,482	\$ 49,376,987	\$ 52,250,772	\$ 51,974,236	\$ 50,863,015
Contributions as a Percentage of Covered Payroll	28.05%	29.01%	26.29%	23.97%	21.81%	19.10%	17.08%	14.66%	13.92%	13.48%
SAFETY PLAN - POLICE										
Actuarially Determined Contribution ²	\$ 14,661,488	\$ 14,208,396	\$ 14,870,411	\$ 14,126,597	\$ 13,510,982	\$ 11,821,073	\$ 10,886,085	\$ 10,491,299	\$ 9,907,130	\$ 8,947,610
Contributions in Relation to the Actuarially Determined Contribution	(14,661,488)	(14,208,396)	(14,870,411)	(14,126,597)	(13,510,982)	(11,821,073)	(10,886,085)	(10,491,299)	(9,907,130)	(8,947,610)
Contribution Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Covered Payroll ³	\$ 26,647,052	\$ 25,623,963	\$ 26,304,790	\$ 27,059,334	\$ 26,209,714	\$ 25,330,075	\$ 25,914,507	\$ 26,539,474	\$ 25,228,753	\$ 24,705,477
Contributions as a Percentage of Covered Payroll	55.02%	55.45%	56.53%	52.21%	51.55%	46.67%	42.01%	39.53%	39.27%	36.22%
SAFETY PLAN - FIRE										
Actuarially Determined Contribution ²	\$ 8,186,822	\$ 7,530,821	\$ 6,831,430	\$ 6,970,489	\$ 5,669,614	\$ 4,922,875	\$ 4,565,809	\$ 4,204,589	\$ 4,004,186	\$ 4,004,186
Contributions in Relation to the Actuarially Determined Contribution	(8,186,822)	(7,530,821)	(6,831,430)	(6,970,489)	(5,669,614)	(4,922,875)	(4,565,809)	(4,204,589)	(4,004,186)	(4,004,186)
Contribution Deficiency	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Covered Payroll ³	\$ 13,866,932	\$ 13,392,657	\$ 12,212,495	\$ 12,319,847	\$ 12,168,197	\$ 11,824,780	\$ 10,987,654	\$ 9,030,122	\$ 9,283,898	\$ 9,105,774
Contributions as a Percentage of Covered Payroll	59.04%	56.23%	55.94%	56.58%	46.59%	41.63%	41.55%	46.56%	43.13%	43.97%

¹ GASB Statement No. 68, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2015. Additional Years will be added as they become available in the future.

² Employers are assumed to make contributions equal to the actuarially determined contributions. However, some employers may choose to make additional contributions towards their unfunded liability. Employer contributions for such plans exceed the actuarially determined contributions.

³ For the year ended on the measurement date: Includes one year's payroll growth using 2.80% payroll growth assumption for fiscal year ended June 30, 2022; 2.75% payroll growth assumption for fiscal years ended June 30, 2018-2021; 3.00 percent payroll growth assumption for fiscal years ended June 30, 2014-17.

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF CHANGES IN NET PENSION LIABILITY AND RELATED RATIOS
RETIREMENT ENHANCEMENT PLAN - SINGLE-EMPLOYER DEFINED BENEFIT PLAN
AS OF JUNE 30 FOR THE LAST TEN FISCAL YEARS¹

MEASUREMENT DATE	2023	2022	2021	2020	2019	2018	2017	2016	2015
	June 30, 2023	June 30, 2022	June 30, 2021	June 30, 2020	June 30, 2018	June 30, 2017	June 30, 2016	June 30, 2015	June 30, 2014
Total Pension Liability									
Service Cost	\$ 1,421,253	\$ 1,420,583	\$ 1,399,285	\$ 1,495,654	\$ 1,696,694	\$ 1,881,000	\$ 2,007,000	\$ 2,043,000	\$ 2,220,000
Interest	6,438,258	6,161,761	6,200,597	6,042,463	5,646,888	5,961,000	5,715,000	5,545,000	5,238,000
Changes in Assumptions	-	(662,756)	5,197,076	-	-	1,051,000	-	5,436,000	-
Difference Between Expected and Actual Experience	-	2,327,996	-	-	-	(6,118,000)	-	(3,736,000)	-
Benefit Payments, Including Refunds of Member Contributions	(4,134,708)	(4,744,506)	(4,989,012)	(4,834,199)	(4,328,954)	(3,569,000)	(4,068,000)	(2,866,000)	(2,599,000)
Net Change in Total Pension Liability	3,724,803	4,503,078	7,807,946	2,703,918	3,014,628	(794,000)	3,654,000	6,422,000	4,859,000
Total Pension Liability, Beginning of Year, As Restated ²	112,615,803	108,112,725	100,304,779	97,600,861	90,818,000	91,612,000	87,958,000	81,536,000	76,677,000
Total Pension Liability, End of Year	116,340,606	112,615,803	108,112,725	100,304,779	93,832,628	90,818,000	91,612,000	87,958,000	81,536,000
Plan Fiduciary Net Position									
Contributions - Employee	1,510,731	1,610,537	1,643,999	2,023,945	2,076,972	2,343,000	2,513,000	2,922,000	2,731,000
Contributions - Employer	2,609,609	3,194,442	3,427,026	4,346,415	4,392,047	4,172,000	6,586,000	1,043,000	985,000
Net Investment Income	8,595,013	(12,582,805)	19,861,747	5,524,378	5,618,833	7,609,000	543,000	2,133,000	6,805,000
Benefit Payments, Including Refunds of Member Contributions	(4,134,708)	(4,744,506)	(4,989,012)	(4,834,199)	(4,328,954)	(3,569,000)	(4,068,000)	(2,866,000)	(2,599,000)
Administrative Expenses	(188,162)	(189,753)	(100,193)	(77,483)	(74,067)	(72,000)	(80,000)	(68,000)	(65,000)
Net Change in Plan Fiduciary Net Position	8,392,483	(12,712,085)	19,843,567	6,983,056	7,684,831	10,483,000	5,494,000	3,164,000	7,857,000
Total Plan Fiduciary Net Position, Beginning of Year, As Restated ²	96,434,721	109,146,806	89,303,239	82,320,183	69,712,000	59,229,000	53,735,000	50,571,000	42,714,000
Total Plan Fiduciary Net Position, End of Year	104,827,204	96,434,721	109,146,806	89,303,239	77,396,831	69,712,000	59,229,000	53,735,000	50,571,000
Net Pension Liability/(Asset) - Ending	\$ 11,513,402	\$ 16,181,082	\$ (1,034,081)	\$ 11,001,540	\$ 16,435,797	\$ 21,106,000	\$ 32,383,000	\$ 34,223,000	\$ 30,965,000
Plan Fiduciary Net Position as a Percentage of Total Pension Liability	90.10%	85.63%	100.96%	89.03%	82.48%	76.76%	64.65%	61.09%	62.02%
Covered Payroll ³	21,194,951	19,733,210	20,566,553	23,372,218	26,737,000	28,874,000	31,089,909	36,519,391	31,157,782
Net Pension Liability/(Asset) as a Percentage of Covered Payroll	54.32%	82.00%	-5.03%	47.07%	61.47%	73.10%	104.16%	93.71%	99.38%

¹ GASB Statement No. 68, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2015. Additional Years will be added as they become available in the future.

During Fiscal Year 2019-2020, the City moved the supplemental pension plan from PARS to Mass Mutual. The plan is a single-employer plan, which triggered the presentation and valuation requirements from GASB 67, *Financial Reporting for Pension Plans*. To present the plan in accordance with GASB 67 and GASB 68, an actuarial adjustment was recorded to change the measurement date and valuation date of the plan. The measurement date and valuation date are now the same date.

³ For the year ended on the measurement date.

Notes to Schedule:

Benefit Changes: The figures above do not include any liability impact that may have resulted from plan changes, which occurred after June 30, 2017. This applies for voluntary benefit changes as well as any offers of Two Year Additional Service Credit (a.k.a Golden Handshakes).

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF PLAN CONTRIBUTIONS - Retirement Enhancement Plan
AS OF JUNE 30 FOR THE LAST TEN FISCAL YEARS¹

	2023	2022	2021	2020	2019	2018	2017	2016	2015
Actuarially Determined Contribution	\$ 3,266,192	\$ 3,194,442	\$ 3,515,000	\$ 4,223,000	\$ 4,203,000	\$ 4,406,000	\$ 4,376,000	\$ 3,589,787	\$ 4,184,000
Contributions in Relation to the Actuarially Determined Contribution	(2,609,609)	(3,194,442)	(3,427,026)	(4,346,415)	(4,271,191)	(4,392,047)	(4,314,000)	(3,589,787)	(1,043,000)
Contribution Deficiency/(Surplus) ²	\$ 656,583	\$ -	\$ 87,974	\$ (123,415)	\$ (68,191)	\$ 13,953	\$ 62,000	\$ -	\$ 3,141,000
Covered Payroll ³	\$21,194,951	\$19,733,210	\$20,566,553	\$23,372,218	\$24,892,511	\$26,737,000	\$28,874,000	\$31,089,909	\$36,519,391
Contributions as a Percentage of Covered Payroll	12.31%	16.19%	16.66%	18.60%	17.16%	16.43%	14.94%	11.55%	2.86%

¹ GASB Statement No. 68, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2015. Additional Years will be added as they become available in the future.

² Employers are assumed to make contributions equal to the actuarially determined contributions. However, some employers may choose to make additional contributions towards their unfunded liability. Employer contributions for such plans exceed the actuarially determined contributions.

³

For the 12-month period ended on June 30 of each year, as reported by the City.

CITY OF OXNARD, CALIFORNIA
SCHEDULE OF CHANGES IN THE CITY'S
TOTAL OPEB LIABILITY AND RELATED RATIOS
AS OF JUNE 30 FOR THE LAST TEN FISCAL YEARS¹

	2023	2022	2021	2020	2019	2018
Total OPEB Liability						
Service Cost	\$ 3,402,813	\$ 2,837,493	\$ 2,150,451	\$ 1,772,005	\$ 1,967,866	\$ 1,779,949
Interest	1,241,427	1,408,859	1,513,925	1,340,211	1,116,107	1,055,268
Changes in Benefit Terms	-	-	-	-	-	-
Difference Between Expected and Actual Experience	-	(2,378,752)	(9,059)	3,528,946	-	-
Changes in Assumptions	(16,385,002)	5,708,710	5,726,271	5,299,408	(2,392,006)	-
Benefit Payments, Including Refunds of Member Contributions	(1,020,358)	(955,556)	(925,981)	(1,000,579)	(931,942)	(851,701)
Net Change in Total OPEB Liability	(12,761,120)	6,620,754	8,455,607	10,939,991	(239,975)	1,983,516
Total OPEB Liability, Beginning of Year, As Restated	61,762,596	55,141,842	46,686,235	35,746,244	36,120,738	34,137,222
Total OPEB Liability, End of Year	49,001,476	61,762,596	55,141,842	46,686,235	35,880,763	36,120,738
Plan Fiduciary Net Position						
Contributions - Employer	1,020,358	955,556	925,981	1,000,579	931,942	-
Net Investment Income	-	-	-	-	-	-
Benefit Payments, Including Implicit Subsidy	(1,020,358)	(955,556)	(925,981)	(1,000,579)	(931,942)	-
Trustee Fees	-	-	-	-	-	-
Administrative Expenses	-	-	-	-	-	-
Net Change in Plan Fiduciary Net Position	-	-	-	-	-	-
Total Plan Fiduciary Net Position, Beginning of Year	-	-	-	-	-	-
Total Plan Fiduciary Net Position, End of Year	-	-	-	-	-	-
Net OPEB Liability - Ending	<u>\$ 49,001,476</u>	<u>\$ 61,762,596</u>	<u>\$ 55,141,842</u>	<u>\$ 46,686,235</u>	<u>\$ 35,880,763</u>	<u>\$ 36,120,738</u>
Plan Fiduciary Net Position as a Percentage of Total Pension Liability	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Covered Employee Payroll	98,675,659	94,051,309	93,553,694	85,907,755	83,423,271	83,423,271
Net Pension Liability as a Percentage of Covered Payroll	49.66%	65.67%	58.94%	54.34%	43.01%	43.30%

¹ GASB Statement No. 75, which requires ten years of history for this schedule, was implemented during fiscal year ended June 30, 2018. Additional years will be added as they become available in the future.

Notes to Schedule:

Changes in Benefit Terms: None

Changes of Assumptions: Based on a review of plan experience during the period July 1, 2019 to June 30, 2021. The discount rate used to measure the City's Total OPEB liability is based on the following information:

No assets are accumulated in a trust or equivalent arrangement to pay related benefits for the OPEB plan.

CITY OF OXNARD, CALIFORNIA
GENERAL FUND GROUP
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGET	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Taxes				
Property	66,624,000	66,624,000	67,668,142	\$ 1,044,142
Sales	113,875,752	113,875,752	128,533,831	14,658,079
Transient occupancy	5,602,162	5,602,162	5,271,128	(331,034)
Business license (net of refund)	6,400,000	6,400,000	6,677,266	277,266
Franchise	4,309,360	4,309,360	4,933,598	624,238
Other taxes	985,175	985,175	679,570	(305,605)
Licenses and permits	3,906,040	3,906,040	4,273,740	367,700
Intergovernmental	2,495,849	2,495,849	2,342,238	(153,611)
Charges for services	13,436,241	13,436,241	11,962,462	(1,473,779)
Fines and forfeitures	2,729,499	2,729,499	2,814,558	85,059
Investment income (loss)	938,000	938,000	(95,212)	(1,033,212)
Special assessments	345,129	345,129	350,055	4,926
Miscellaneous	3,346,748	5,646,748	5,127,357	(519,391)
Total Revenues	<u>224,993,955</u>	<u>227,293,955</u>	<u>240,538,733</u>	<u>13,244,778</u>
EXPENDITURES				
General Government	27,972,185	24,079,166	21,684,582	(2,394,584)
Public Safety	105,196,662	108,509,571	111,414,131	2,904,560
Public works	27,631,742	30,731,631	25,554,420	(5,177,211)
Community Development	13,619,319	15,044,579	12,251,385	(2,793,194)
Culture, leisure and libraries	14,583,404	15,043,477	13,054,976	(1,988,501)
Housing	4,052,362	6,505,585	4,230,846	(2,274,739)
Capital outlay	545,390	1,552,282	1,212,351	(339,931)
Principal	2,635,000	2,635,000	1,447,308	(1,187,692)
Interest and fiscal charges	465,869	465,869	456,480	(9,389)
Total Expenditures	<u>196,701,933</u>	<u>204,567,160</u>	<u>191,306,479</u>	<u>(13,260,681)</u>
EXCESS OF REVENUES OVER EXPENDITURES	<u>28,292,022</u>	<u>22,726,795</u>	<u>49,232,254</u>	<u>26,505,459</u>
OTHER FINANCING SOURCES (USES)				
Inception of subscription-based IT arrangements	-	-	1,567,026	\$ 1,567,026
Transfer from fiduciary fund	91,621	91,621	91,621	-
Transfers in	3,863,384	3,928,723	3,022,237	(906,486)
Transfers out	(38,520,960)	(57,516,141)	(20,678,587)	36,837,554
Net Other Financing Sources (Uses)	<u>(34,565,955)</u>	<u>(53,495,797)</u>	<u>(15,997,703)</u>	<u>37,498,094</u>
NET CHANGE IN FUND BALANCES	<u>(6,273,933)</u>	<u>(30,769,002)</u>	<u>33,234,551</u>	<u>64,003,553</u>
FUND BALANCES, JULY 1	<u>57,995,759</u>	<u>57,995,759</u>	<u>57,995,759</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 51,721,826</u>	<u>\$ 27,226,757</u>	<u>\$ 91,230,310</u>	<u>\$ 64,003,553</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

Reimbursement of IUF fees were included in the City's budget as other financing uses. In the General Fund financial statements, the reimbursement of IUF fees are reported as reductions of advances from other funds.

CITY OF OXNARD, CALIFORNIA
AFFORDABLE HOUSING FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Intergovernmental	\$ -	\$ -	\$ 53,231	\$ 53,231
Interest on investments	(17,953)	(17,953)	27,669	45,622
Miscellaneous	-	-	125	125
Total Revenues	<u>(17,953)</u>	<u>(17,953)</u>	<u>81,025</u>	<u>98,978</u>
EXPENDITURES				
Housing	71,165	1,729,515	24,010	1,705,505
Total Expenditures	<u>71,165</u>	<u>1,729,515</u>	<u>24,010</u>	<u>1,705,505</u>
EXCESS (DEFICIENCY) OF REVENUES OVER (UNDER) EXPENDITURES	<u>(89,118)</u>	<u>(1,747,468)</u>	<u>57,015</u>	<u>1,804,483</u>
NET CHANGE IN FUND BALANCES	(89,118)	(1,747,468)	57,015	1,804,483
FUND BALANCES, JULY 1	<u>3,388,548</u>	<u>3,388,548</u>	<u>3,388,548</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 3,299,430</u>	<u>\$ 1,641,080</u>	<u>\$ 3,445,563</u>	<u>\$ 1,804,483</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
DEVELOPMENT FEES SPECIAL REVENUE FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Growth and development fees	\$ 3,599,741	\$ 4,022,425	\$ 4,168,962	\$ 146,537
Fines and forfeitures	10,389	10,389	-	(10,389)
Interest on investments	236,075	235,402	221,349	(14,053)
Miscellaneous	299,511	299,511	111,369	(188,142)
Total Revenues	<u>4,145,716</u>	<u>4,567,727</u>	<u>4,501,680</u>	<u>(66,047)</u>
EXPENDITURES				
General government	13,646	32,668	24,256	8,412
Public safety	12,827	-	-	-
Public works	9,207	9,207	9,207	-
Community development	3,954,152	4,513,646	345,052	4,168,594
Culture, leisure and libraries	10,146	10,448	1,054	9,394
Housing	500,545	2,159,565	505,622	1,653,943
Total Expenditures	<u>4,500,523</u>	<u>6,725,534</u>	<u>885,191</u>	<u>5,840,343</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>(354,807)</u>	<u>(2,157,807)</u>	<u>3,616,489</u>	<u>5,774,296</u>
OTHER FINANCING SOURCES (USES)				
Transfers out	(4,049,303)	(16,617,181)	(3,356,873)	13,260,308
Net Other Financing Sources (Uses)	<u>(4,049,303)</u>	<u>(16,617,181)</u>	<u>(3,356,873)</u>	<u>13,260,308</u>
NET CHANGE IN FUND BALANCES	<u>(4,404,110)</u>	<u>(18,774,988)</u>	<u>259,616</u>	<u>19,034,604</u>
FUND BALANCES, JULY 1	<u>55,564,159</u>	<u>55,564,159</u>	<u>55,564,159</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 51,160,049</u>	<u>\$ 36,789,171</u>	<u>\$ 55,823,775</u>	<u>\$ 19,034,604</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

**CITY OF OXNARD, CALIFORNIA
STATE AND FEDERAL GRANTS FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023**

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Intergovernmental	\$ 7,121,734	\$ 100,059,501	\$ 28,659,747	\$ (71,399,754)
Interest	2,773	2,773	1,424	(1,349)
Miscellaneous	13,000	154,381	9,271	(145,110)
Total Revenues	<u>7,137,507</u>	<u>100,216,655</u>	<u>28,670,442</u>	<u>(71,546,213)</u>
EXPENDITURES				
Current				
General government	-	3,085,850	155,000	2,930,850
Public safety	-	6,955,469	2,574,472	4,380,997
Public works	17,987	2,627,567	899,769	1,727,798
Community development	32,262	648,346	206,088	442,258
Culture, leisure and libraries	6,148,245	9,096,483	3,506,373	5,590,110
Housing	762,906	7,224,722	1,096,052	6,128,670
Capital outlay	-	594,714	199,696	395,018
Debt service				
Principal	-	-	103,614	(103,614)
Interest	-	-	4,251	(4,251)
Total Expenditures	<u>6,961,400</u>	<u>30,233,151</u>	<u>8,745,315</u>	<u>21,487,836</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>176,107</u>	<u>69,983,504</u>	<u>19,925,127</u>	<u>(50,058,377)</u>
OTHER FINANCING SOURCES (USES)				
Inception of subscription-based IT arrangements	-	-	302,595	302,595
Transfers in	32,262	119,711	60,945	(58,766)
Transfers out	(866,489)	(71,531,671)	(20,635,132)	50,896,539
Net Other Financing Sources (Uses)	<u>(834,227)</u>	<u>(71,411,960)</u>	<u>(20,271,592)</u>	<u>51,140,368</u>
NET CHANGE IN FUND BALANCES	<u>(658,120)</u>	<u>(1,428,456)</u>	<u>(346,465)</u>	<u>1,081,991</u>
FUND BALANCES, JULY 1	<u>653,007</u>	<u>653,007</u>	<u>653,007</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ (5,113)</u>	<u>\$ (775,449)</u>	<u>\$ 306,542</u>	<u>\$ 1,081,991</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
CAPITAL IMPROVEMENTS FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
EXPENDITURES				
Capital outlay	<u>47,357,414</u>	<u>161,277,070</u>	<u>43,436,296</u>	<u>117,840,774</u>
Total Expenditures	<u>47,357,414</u>	<u>161,277,070</u>	<u>43,436,296</u>	<u>117,840,774</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>(47,357,414)</u>	<u>(161,277,070)</u>	<u>(43,436,296)</u>	<u>(117,840,774)</u>
OTHER FINANCING SOURCES (USES)				
Transfers in	<u>47,357,414</u>	<u>161,277,068</u>	<u>44,544,038</u>	<u>(116,733,030)</u>
Net Other Financing Sources (Uses)	<u>47,357,414</u>	<u>161,277,068</u>	<u>44,544,038</u>	<u>(116,733,030)</u>
NET CHANGE IN FUND BALANCES	-	(2)	1,107,742	1,107,744
FUND BALANCES, JULY 1	-	-	-	-
FUND BALANCES, JUNE 30	<u>\$ -</u>	<u>\$ (2)</u>	<u>\$ 1,107,742</u>	<u>\$ 1,107,744</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

SUPPLEMENTARY INFORMATION

GENERAL FUND GROUP

General Fund Group Funds

The general fund accounts for a government's main operating fund.

General Fund - This fund is used to account for all financial resources traditionally associated with government activities that are not required legally to be accounted for in another fund. Principal sources of revenues are property tax, sales tax, transient occupancy (hotel) tax, business license tax, franchise fees, licenses and permits, and State shared revenues and billings for outside and interfund services. Expenditures in the General Fund primarily consist of general administration, police and fire protection, parks and streets maintenance, recreation and transportation services, and community development.

Half Cent Sales Tax "Measure O" Fund - On November 4, 2008, Oxnard voters approved a general purpose sales tax for one half cent for twenty years. Its purpose is "to protect, maintain, and enhance vital City services including police, fire and emergency response, increasing street paving and sidewalk/pothole repair to improve traffic flow, expanding youth recreation, after-school and anti-gang prevention programs, acquiring property for parks/open space preservation, upgrading storm water drains, improving senior services, increasing building code compliance, and other general City services."

Street Maintenance Fund - This fund is used for the reimbursement of costs imposed by the City's utility enterprise funds (water, wastewater, environmental resources) on the public right-of-way.

Performing Arts & Convention Center Fund - This fund accounts for the City's cultural activities related to artistic and recreational performances. Revenues are derived mainly from admission tickets and contributions from patrons. These revenues are used to pay for operating expenditures, capital improvement projects, acquisition of equipment and sound systems.

Other General Funds - These funds account for Oxnard Police Department Training program, system payroll control, donations to the City from private parties for specified purposes and developer deposits.

CITY OF OXNARD, CALIFORNIA
COMBINING BALANCE SHEET
GENERAL FUND GROUP
JUNE 30, 2023

	GENERAL FUND			
	101 - General Fund	104 - Half Cent Sales Tax	105 - Street Maintenance	641 - Performing Arts Center
ASSETS				
Cash and investments	\$ 60,980,496	\$ 17,514,779	\$ 1,727,955	\$ 374,986
Accounts and other receivables	3,834,796	65,317	-	-
Due from other funds	229,584	1,768,016	-	-
Due from other government	22,718,936	3,300,714	-	-
Due from fiduciary fund	104,247	-	-	-
Prepaid expenses	377,939	-	-	-
Lease receivable	479,158	-	-	-
Advances to other funds	-	1,821,056	-	-
Total Assets	<u>\$ 88,725,156</u>	<u>\$ 24,469,882</u>	<u>\$ 1,727,955</u>	<u>\$ 374,986</u>
LIABILITIES AND DEFERRED INFLOWS OF RESOURCES				
LIABILITIES				
Accounts payable	\$ 7,502,585	\$ 579,137	\$ -	\$ 36,022
Other liabilities	5,793,653	152,143	-	-
Due to other funds	9,477,843	-	-	-
Advances from other funds	1,821,056	-	-	-
Unearned revenues	-	-	-	-
Total Liabilities	<u>24,595,137</u>	<u>731,280</u>	<u>-</u>	<u>36,022</u>
DEFERRED INFLOW OF RESOURCES				
Deferred inflow on leases	457,577	-	-	-
Total Liabilities and Deferred Inflows of Resources	<u>25,052,714</u>	<u>731,280</u>	<u>-</u>	<u>36,022</u>
FUND BALANCES				
Nonspendable				
Prepaid expenses	377,939	-	-	-
Lease receivable, net	21,581	-	-	-
Advances to other funds	(1,821,056)	1,821,056	-	-
Committed to:				
Measure "O" eligible services	-	21,917,546	-	-
Capital projects	19,545,280	-	-	-
Streets maintenance	-	-	1,108,904	-
Assigned to:				
Operating reserves	27,812,072	-	-	-
Unassigned	<u>17,736,626</u>	<u>-</u>	<u>619,051</u>	<u>338,964</u>
Total Fund Balances	<u>63,672,442</u>	<u>23,738,602</u>	<u>1,727,955</u>	<u>338,964</u>
TOTAL LIABILITIES AND FUND BALANCES	<u>\$ 88,725,156</u>	<u>\$ 24,469,882</u>	<u>\$ 1,727,955</u>	<u>\$ 374,986</u>

GENERAL FUND

<u>Other*</u>	<u>Total</u>	
ASSETS		
\$ 5,880,189	\$ 86,478,405	Cash and investments
759,552	4,659,665	Accounts and other receivables
7,480	2,005,080	Due from other funds
334,203	26,353,853	Due from other government
-	104,247	Due from fiduciary fund
-	377,939	Prepaid expenses
-	479,158	Lease receivable
-	1,821,056	Advances to other funds
<u>\$ 6,981,424</u>	<u>\$ 122,279,403</u>	Total Assets
LIABILITIES AND DEFERRED INFLOWS OF RESOURCES		
LIABILITIES		
\$ 417,971	\$ 8,535,715	Accounts payable
4,584,555	10,530,351	Other liabilities
226,551	9,704,394	Due to other funds
-	1,821,056	Advances from other funds
-	-	Unearned revenues
<u>5,229,077</u>	<u>30,591,516</u>	Total Liabilities
DEFERRED INFLOW OF RESOURCES		
-	457,577	Deferred inflow on leases
<u>5,229,077</u>	<u>31,049,093</u>	Total Liabilities and Deferred Inflows of Resources
FUND BALANCES		
Nonspendable		
-	377,939	Prepaid expenses
-	21,581	Lease receivable, net
-	-	Advances to other funds
Committed to:		
-	21,917,546	Measure "O" eligible services
1,059,447	20,604,727	Capital projects
-	1,108,904	Streets maintenance
Assigned to:		
-	27,812,072	Operating reserves
<u>692,900</u>	<u>19,387,541</u>	Unassigned
<u>1,752,347</u>	<u>91,230,310</u>	Total Fund Balances
<u>\$ 6,981,424</u>	<u>\$ 122,279,403</u>	TOTAL LIABILITIES AND FUND BALANCES

CITY OF OXNARD
COMBINING STATEMENT OF REVENUES, EXPENDITURES,
AND CHANGES IN FUND BALANCES
GENERAL FUND GROUP
FOR THE YEAR ENDED JUNE 30, 2023

	GENERAL FUND			
	101 - General Fund	104 - Half Cent Sales Tax	105 - Street Maintenance	641 - Performing Arts Center
REVENUES				
Taxes	\$ 194,703,430	\$ 19,060,105	\$ -	\$ -
Licenses and permits	4,273,740	-	-	-
Intergovernmental	2,342,238	-	-	-
Charges for services	11,670,991	-	-	291,471
Fines and forfeitures	2,814,558	-	-	-
Investment income (loss)	9,379	(104,591)	-	-
Special assessments	15,852	-	-	-
Miscellaneous	3,741,640	-	-	-
Total Revenues	<u>219,571,828</u>	<u>18,955,514</u>	<u>-</u>	<u>291,471</u>
EXPENDITURES				
Current				
General government	20,626,969	991,198	-	-
Public safety	107,084,843	4,313,320	-	-
Public works	22,451,538	1,404,774	-	-
Community development	11,905,624	345,761	-	-
Culture, leisure and libraries	12,329,558	638,497	-	77,131
Housing	2,194,884	2,170,705	-	-
Capital Outlay	1,166,267	46,084	-	-
Debt Service				
Principal	490,094	957,214	-	-
Interest and fiscal charges	179,421	277,059	-	-
Total Expenditures	<u>178,429,198</u>	<u>11,144,612</u>	<u>-</u>	<u>77,131</u>
EXCESS OF REVENUES OVER (UNDER)				
EXPENDITURES	41,142,630	7,810,902	-	214,340
OTHER FINANCING SOURCES (USES)				
Inception of subscription-based IT arrangements	1,344,855	222,171	-	-
Transfer from fiduciary fund	91,621	-	-	-
Transfers in	1,975,768	-	-	-
Transfers out	(15,214,303)	(4,911,809)	(300,167)	-
Total Other Financing Sources (Uses)	<u>(11,802,059)</u>	<u>(4,689,638)</u>	<u>(300,167)</u>	<u>-</u>
NET CHANGE IN FUND BALANCES	29,340,571	3,121,264	(300,167)	214,340
FUND BALANCES, JULY 1	<u>34,331,870</u>	<u>20,617,338</u>	<u>2,028,122</u>	<u>124,624</u>
FUND BALANCES, JUNE 30	<u>\$ 63,672,441</u>	<u>\$ 23,738,602</u>	<u>\$ 1,727,955</u>	<u>\$ 338,964</u>

* Other funds include: General Fund Carryover (102), Oxnard Police Department (OPD) Training (103), Stormwater Management (114), Payroll Clearing Trust (542), Carnegie Art Trust (543), Housing Payroll Trust (545), City Artworks Trust (546), City Corps Trust (555), and Contributions/Donations Trust (571).

GENERAL FUND

<u>Other*</u>	<u>Total</u>	
		REVENUES
\$ -	\$ 213,763,535	Taxes
-	4,273,740	Licenses and permits
-	2,342,238	Intergovernmental
-	11,962,462	Charges for services
-	2,814,558	Fines and forfeitures
-	(95,212)	Investment income (loss)
334,203	350,055	Special assessments
<u>1,385,717</u>	<u>5,127,357</u>	Miscellaneous
<u>1,719,920</u>	<u>240,538,733</u>	Total Revenues
		EXPENDITURES
		Current
66,415	21,684,582	General government
15,968	111,414,131	Public safety
1,698,108	25,554,420	Public works
-	12,251,385	Community development
9,790	13,054,976	Culture, leisure and libraries
(134,743)	4,230,846	Housing
-	1,212,351	Capital Outlay
-		Debt Service
-	1,447,308	Principal
-	456,480	Interest and fiscal charges
<u>1,655,538</u>	<u>191,306,479</u>	Total Expenditures
		EXCESS OF REVENUES OVER (UNDER)
64,382	49,232,254	EXPENDITURES
		OTHER FINANCING SOURCES (USES)
-	1,567,026	Inception of subscription-based IT arrangements
-	91,621	Transfer from fiduciary fund
1,046,469	3,022,237	Transfers in
(252,308)	(20,678,587)	Transfers out
<u>794,161</u>	<u>(15,997,703)</u>	Total Other Financing Sources (Uses)
858,543	33,234,551	NET CHANGE IN FUND BALANCES
<u>893,805</u>	<u>57,995,759</u>	FUND BALANCES, JULY 1
<u>\$ 1,752,348</u>	<u>\$ 91,230,310</u>	FUND BALANCES, JUNE 30

CITY OF OXNARD, CALIFORNIA
GENERAL FUND - 101 - GENERAL FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGET	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Taxes				
Property	66,624,379	\$ 66,624,379	\$ 67,668,142	\$ 1,043,763
Sales	95,663,752	95,663,752	109,473,726	13,809,974
Transient occupancy	5,602,162	5,602,162	5,271,128	(331,034)
Business license (net of refund)	6,400,000	6,400,000	6,677,266	277,266
Franchise	4,309,360	4,309,360	4,933,598	624,238
Other taxes	985,175	985,175	679,570	(305,605)
Licenses and permits	3,906,040	3,906,040	4,273,740	367,700
Intergovernmental	2,495,849	2,495,849	2,342,238	(153,611)
Charges for services	13,188,241	13,188,241	11,670,991	(1,517,250)
Fines and forfeitures	2,729,499	2,729,499	2,814,558	85,059
Investment income	896,078	896,078	9,379	(886,699)
Special assessments	66,221	66,221	15,852	(50,369)
Miscellaneous	3,344,748	3,344,748	3,741,640	396,892
Total Revenues	<u>206,211,504</u>	<u>206,211,504</u>	<u>219,571,828</u>	<u>13,360,324</u>
EXPENDITURES				
Current				
General government	26,959,338	23,066,319	20,626,969	2,439,350
Public safety	101,195,919	104,508,828	107,084,843	(2,576,015)
Public works	24,169,438	24,424,327	22,451,538	1,972,789
Community development	13,256,933	14,662,193	11,905,624	2,756,569
Culture and leisure	13,537,538	13,969,455	12,329,558	1,639,897
Housing	2,948,815	3,189,130	2,194,884	994,246
Capital outlay	528,000	1,534,892	1,166,267	368,625
Debt service				
Principal	-	-	490,094	(490,094)
Interest and fiscal charges	159,168	159,168	179,421	(20,253)
Total Expenditures	<u>182,755,149</u>	<u>185,514,312</u>	<u>178,429,198</u>	<u>7,085,114</u>
EXCESS OF REVENUES OVER EXPENDITURES	<u>23,456,355</u>	<u>20,697,192</u>	<u>41,142,630</u>	<u>20,445,438</u>

GENERAL FUND - 101 - GENERAL FUND (Continued)
BUDGETARY COMPARISON SCHEDULE

	ORIGINAL BUDGET	FINAL BUDGET	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
OTHER FINANCING SOURCES (USES)				
Inception of subscription-based IT arrangements	\$ -	\$ -	\$ 1,344,855	\$ 1,344,855
Transfer from fiduciary fund	-	-	91,621	91,621
Transfers in	2,146,606	2,136,945	1,975,768	(161,177)
Transfers out	(33,537,218)	(49,161,318)	(15,214,303)	33,947,015
Net Other Financing Sources (Uses)	<u>(31,390,612)</u>	<u>(47,024,373)</u>	<u>(11,802,059)</u>	<u>35,222,314</u>
NET CHANGE IN FUND BALANCES	(7,934,257)	(26,327,181)	29,340,571	55,667,752
FUND BALANCES, JULY 1	<u>34,331,870</u>	<u>34,331,870</u>	<u>34,331,870</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 26,397,613</u>	<u>\$ 8,004,689</u>	<u>\$ 63,672,441</u>	<u>\$ 55,667,752</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
GENERAL FUND - 104 - HALF CENT SALES TAX
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGET	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Taxes				
Sales	\$ 18,212,000	\$ 18,212,000	\$ 19,060,105	\$ 848,105
Investment income (loss)	41,548	41,548	(104,591)	(146,139)
Total Revenues	<u>18,253,548</u>	<u>18,253,548</u>	<u>18,955,514</u>	<u>701,966</u>
EXPENDITURES				
Current				
General government	1,012,847	1,012,847	991,198	21,649
Public safety	4,000,743	4,000,743	4,313,320	(312,577)
Public works	1,464,618	2,034,618	1,404,774	629,844
Community development	362,386	382,386	345,761	36,625
Culture and leisure	816,392	844,548	638,497	206,051
Housing	1,103,547	3,316,455	2,170,705	1,145,750
Capital outlay	17,390	17,390	46,084	(28,694)
Debt service				
Principal	2,635,000	2,635,000	957,214	1,677,786
Interest and fiscal charges	306,701	306,701	277,059	29,642
Total Expenditures	<u>11,719,624</u>	<u>14,550,688</u>	<u>11,144,612</u>	<u>3,406,076</u>
EXCESS OF REVENUES OVER EXPENDITURES	<u>6,533,924</u>	<u>3,702,860</u>	<u>7,810,902</u>	<u>4,108,042</u>
OTHER FINANCING SOURCES (USES)				
Inception of subscription-based IT arrangements	-	-	222,171	222,171
Transfers out	(4,983,742)	(6,944,071)	(4,911,809)	2,032,262
Net Other Financing Sources (Uses)	<u>(4,983,742)</u>	<u>(6,944,071)</u>	<u>(4,689,638)</u>	<u>2,254,433</u>
NET CHANGE IN FUND BALANCES	1,550,182	(3,241,211)	3,121,264	6,362,475
FUND BALANCES, JULY 1	<u>20,617,338</u>	<u>20,617,338</u>	<u>20,617,338</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 22,167,520</u>	<u>\$ 17,376,127</u>	<u>\$ 23,738,602</u>	<u>\$ 6,362,475</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

NONMAJOR GOVERNMENTAL FUNDS

Nonmajor Governmental Funds

Special revenue funds are used to account for the proceeds of specific revenue sources (other than capital outlay and debt service funds that are legally restricted to expenditures for particular purposes).

HUD and CDBG Grants Fund - This special revenue fund is used to account for federal entitlement that is used to provide housing loans to low/moderate income families and community development block grants to develop viable urban communities.

Public Safety Retirement Fund - This special revenue fund is used to account for voter-approved property tax for public safety uniformed employees' retirement.

State Gas Tax Fund - This special revenue fund is used to account for the allocated share of Gas Tax Revenue. Spending of gas tax is legally restricted to be used for maintenance and improvement of public streets.

Debt Service Fund - This fund accounts for the accumulation of resources and payments of principal and interest of the City's general long-term debt.

Capital Outlay Fund - This fund accounts for financing and construction of general government capital projects.

Certified Unified Program Agencies (CUPA) Fund - This special revenue fund is used to account for fees collected for regulation and management of hazardous materials in the City. Fees are to be used in the administration of the CUPA program.

Traffic Safety Fund - This special revenue fund is used to account for shared revenues received from fines and forfeitures under the State of California Vehicle Code. Fund is restricted to be expended only for improvement and maintenance of traffic control equipment/devices.

Transportation Development Fund - This special revenue fund is used to account for Transportation Development Act revenues and to be used for street maintenance and road improvements, and construction of pedestrian and bike facilities.

Maintenance Assessment Districts Fund - This special revenue fund is used to account for assessment revenues and expenditures related to waterways and landscape maintenance of various district areas in the City.

CITY OF OXNARD, CALIFORNIA
COMBINING BALANCE SHEET
NONMAJOR GOVERNMENTAL FUNDS
JUNE 30, 2023

	HUD AND CDBG GRANTS FUND	PUBLIC SAFETY RETIREMENT	STATE GAS TAX	DEBT SERVICE FUND	CAPITAL OUTLAY FUND	CUPA FUND	TRAFFIC SAFETY
ASSETS							
Cash and cash equivalents	\$ 233,560	\$ 2,263,361	\$ 277,629	\$ 23,217	\$ 23,926,322	\$ 1,480,490	\$ 88,788
Investments with fiscal agents	-	-	517	11,572	12,069,443	-	-
Accounts and other receivables	-	-	13,993	-	126,660	7,169	983
Due from other funds	-	-	-	-	-	-	-
Due from other government	3,322,805	274,229	1,236,600	-	-	-	21,884
Due from fiduciary fund	-	-	-	-	11,224	-	-
Notes receivable	9,228,988	-	-	-	-	-	-
Lease receivable	-	-	-	-	-	-	-
Total Assets	\$ 12,785,353	\$ 2,537,590	\$ 1,528,739	\$ 34,789	\$ 36,133,649	\$ 1,487,659	\$ 111,655
LIABILITIES							
Accounts payable	\$ 1,084,606	\$ 1,907	\$ 105,374	\$ -	\$ 130,215	\$ 12,296	\$ 170
Other liabilities	14,517	114,938	57,276	-	94,461	7,766	1,656
Due to other funds	1,914,327	-	-	-	-	-	-
Unearned revenues	335,827	-	-	-	-	-	-
Total Liabilities	3,349,277	116,845	162,650	-	224,676	20,062	1,826
DEFERRED INFLOW OF RESOURCES							
Deferred inflow on loans	9,228,988	-	-	-	-	-	-
Deferred inflow on leases	-	-	-	-	-	-	-
Total Deferred Inflow on Resources	9,228,988	-	-	-	-	-	-
FUND BALANCES							
Nonspendable							
Lease receivable, net	-	-	-	-	-	-	-
Restricted for:							
Debt service	-	-	-	34,789	6,582,350	-	-
Public works - transportation	-	-	1,366,089	-	-	-	109,829
Maintenance districts	-	-	-	-	-	-	-
Housing	207,088	-	-	-	-	-	-
Public safety	-	2,420,745	-	-	-	1,467,597	-
Infrastructure	-	-	-	-	8,795,324	-	-
Grants	-	-	-	-	20,531,299	-	-
Total Fund Balances	207,088	2,420,745	1,366,089	34,789	35,908,973	1,467,597	109,829
TOTAL LIABILITIES AND FUND BALANCES	\$ 12,785,353	\$ 2,537,590	\$ 1,528,739	\$ 34,789	\$ 36,133,649	\$ 1,487,659	\$ 111,655

TRANSPORTATION DEVELOPMENT FUND	MAINTENANCE ASSESSMENT DISTRICTS FUND	TOTAL NONMAJOR GOVERNMENTAL FUNDS
\$ 2,838,750	\$ 12,180,095	\$ 43,312,212
-	-	12,081,532
9,137	48,644	206,586
-	-	-
-	100,026	4,955,544
-	-	11,224
-	-	9,228,988
255,053	-	255,053
<u>\$ 3,102,940</u>	<u>\$ 12,328,765</u>	<u>\$ 70,051,139</u>
\$ 66,115	\$ 760,707	\$ 2,161,390
5,809	22,034	318,457
-	-	1,914,327
1,804,798	-	2,140,625
<u>1,876,722</u>	<u>782,741</u>	<u>6,534,799</u>
-	-	9,228,988
251,162	-	251,162
<u>251,162</u>	<u>-</u>	<u>9,480,150</u>
3,891	-	3,891
-	-	6,617,139
971,165	-	2,447,083
-	11,546,024	11,546,024
-	-	207,088
-	-	3,888,342
-	-	8,795,324
-	-	20,531,299
<u>975,056</u>	<u>11,546,024</u>	<u>54,036,190</u>
<u>\$ 3,102,940</u>	<u>\$ 12,328,765</u>	<u>\$ 70,051,139</u>

ASSETS

Cash and cash equivalents
Investments with fiscal agents
Accounts and other receivables
Due from other funds
Due from other government
Due from fiduciary fund
Notes receivable
Lease receivable

Total Assets

LIABILITIES

Accounts payable
Other liabilities
Due to other funds
Unearned revenues

Total Liabilities

DEFERRED INFLOW OF RESOURCES

Deferred inflow on loans
Deferred inflow on leases

Total Deferred Inflow of Resources

FUND BALANCES

Nonspendable

Lease receivable, net

Restricted for:

Debt Service
Public works - transportation
Maintenance districts
Housing
Public safety
Infrastructure
Grants

Total Fund Balances

TOTAL LIABILITIES AND FUND BALANCES

CITY OF OXNARD, CALIFORNIA
COMBINING STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN
FUND BALANCES
NONMAJOR GOVERNMENTAL FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	HUD AND CDBG GRANTS FUND	PUBLIC SAFETY RETIREMENT	STATE GAS TAX	DEBT SERVICE FUND	CAPITAL OUTLAY FUND
REVENUES					
Taxes	\$ -	\$ 11,181,797	\$ -	\$ -	\$ 369,835
Licenses and permits	-	-	-	-	-
Intergovernmental	4,908,822	-	9,600,473	-	-
Growth and development fees	-	-	-	-	-
Charges for services	-	-	488,367	-	-
Fines and forfeitures	-	-	-	-	-
Investment income	-	-	16,015	11,414	443,704
Special assessments	-	-	-	-	-
Miscellaneous	34,596	-	7,984	-	100,000
Total Revenues	<u>4,943,418</u>	<u>11,181,797</u>	<u>10,112,839</u>	<u>11,414</u>	<u>913,539</u>
EXPENDITURES					
Current					
General government	-	61,513	-	-	1,644
Public safety	-	15,626,995	-	-	-
Public works	118,510	-	4,838,109	-	292,330
Culture, leisure and libraries	216,457	-	-	-	331
Housing	4,204,871	-	-	-	108,805
Capital outlay	-	-	-	-	-
Debt Service					
Principal	-	-	740,000	4,978,174	-
Interest and fiscal charges	-	-	578,725	2,740,856	81,330
Total Expenditures	<u>4,539,838</u>	<u>15,688,508</u>	<u>6,156,834</u>	<u>7,719,030</u>	<u>484,440</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>403,580</u>	<u>(4,506,711)</u>	<u>3,956,005</u>	<u>(7,707,616)</u>	<u>429,099</u>
OTHER FINANCING SOURCES (USES)					
Issuance of debt	-	-	-	-	5,320,000
Transfer to fiduciary fund	-	-	-	-	(2,446,040)
Transfers in	65,630	-	360,880	7,719,029	-
Transfers out	(403,580)	-	(7,644,418)	-	(12,532,377)
Net Other Financing Sources (Uses)	<u>(337,950)</u>	<u>-</u>	<u>(7,283,538)</u>	<u>7,719,029</u>	<u>(9,658,417)</u>
NET CHANGE IN FUND BALANCES	65,630	(4,506,711)	(3,327,533)	11,413	(9,229,318)
FUND BALANCES, JULY 1	<u>141,458</u>	<u>6,927,456</u>	<u>4,693,622</u>	<u>23,376</u>	<u>45,138,291</u>
FUND BALANCES, JUNE 30	<u>\$ 207,088</u>	<u>\$ 2,420,745</u>	<u>\$ 1,366,089</u>	<u>\$ 34,789</u>	<u>\$ 35,908,973</u>

CUPA FUND	TRAFFIC SAFETY	TRANSPORTATION DEVELOPMENT FUND	MAINTENANCE ASSESSMENT DISTRICTS FUND	TOTAL NONMAJOR GOVERNMENTAL FUNDS	
					REVENUES
\$ -	\$ -	\$ -	\$ -	\$ 11,551,632	Taxes
1,107,708	-	-	-	1,107,708	Licenses and permits
-	188,893	434,032	-	15,132,220	Intergovernmental
-	-	-	-	-	Growth and development fees
-	-	-	665,768	1,154,135	Charges for services
2,000	-	-	-	2,000	Fines and forfeitures
7,091	10,923	40,296	72,842	602,285	Investment income
-	-	-	6,153,806	6,153,806	Special assessments
21,248	-	270,642	332,288	766,758	Miscellaneous
<u>1,138,047</u>	<u>199,816</u>	<u>744,970</u>	<u>7,224,704</u>	<u>36,470,544</u>	Total Revenues
					EXPENDITURES
					Current
-	-	-	-	63,157	General government
742,923	433,734	-	250,778	17,054,430	Public safety
-	1,906	848,737	6,569,079	12,668,671	Public works
-	-	-	-	216,788	Culture, leisure and libraries
-	-	-	-	4,313,676	Housing
-	-	-	71,056	71,056	Capital outlay
					Debt Service
-	-	-	-	5,718,174	Principal
-	-	-	-	3,400,911	Interest and fiscal charges
<u>742,923</u>	<u>435,640</u>	<u>848,737</u>	<u>6,890,913</u>	<u>43,506,863</u>	Total Expenditures
					EXCESS OF REVENUES OVER (UNDER) EXPENDITURES
<u>395,124</u>	<u>(235,824)</u>	<u>(103,767)</u>	<u>333,791</u>	<u>(7,036,319)</u>	
					OTHER FINANCING SOURCES (USES)
-	-	-	-	5,320,000	Issuance of debt
-	-	-	-	(2,446,040)	Transfer to fiduciary fund
-	-	-	631,525	8,777,064	Transfers in
(23,578)	(352)	(646,535)	(299,516)	(21,550,356)	Transfers out
<u>(23,578)</u>	<u>(352)</u>	<u>(646,535)</u>	<u>332,009</u>	<u>(9,899,332)</u>	Net Other Financing Sources (Uses)
371,546	(236,176)	(750,302)	665,800	(16,935,651)	NET CHANGE IN FUND BALANCES
<u>1,096,051</u>	<u>346,005</u>	<u>1,725,358</u>	<u>10,880,224</u>	<u>70,971,841</u>	FUND BALANCES, JULY 1
<u>\$ 1,467,597</u>	<u>\$ 109,829</u>	<u>\$ 975,056</u>	<u>\$ 11,546,024</u>	<u>\$ 54,036,190</u>	FUND BALANCES, JUNE 30

CITY OF OXNARD, CALIFORNIA
HUD AND CDBG GRANTS
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Intergovernmental	\$ 3,700,123	\$ 14,976,374	\$ 4,908,822	\$ (10,067,552)
Micellaneous	-	-	34,596	34,596
Total Revenues	<u>3,700,123</u>	<u>14,976,374</u>	<u>4,943,418</u>	<u>(10,032,956)</u>
EXPENDITURES				
Public works	35,550	163,550	118,510	45,040
Culture, leisure and libraries	315,000	404,515	216,457	188,058
Housing	2,395,341	11,912,437	4,204,871	7,707,566
Capital outlay	-	120,000	-	120,000
Total Expenditures	<u>2,710,341</u>	<u>12,436,952</u>	<u>4,539,838</u>	<u>8,015,624</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>989,782</u>	<u>2,539,422</u>	<u>403,580</u>	<u>(2,017,332)</u>
OTHER FINANCING SOURCES (USES)				
Transfers in	-	-	65,630	(65,630)
Transfers out	(954,232)	(2,375,872)	(403,580)	1,972,292
Total Other Financing Sources (Uses)	<u>(954,232)</u>	<u>(2,375,872)</u>	<u>(337,950)</u>	<u>1,906,662</u>
NET CHANGE IN FUND BALANCES	35,550	163,550	65,630	(110,670)
FUND BALANCES, JULY 1	<u>141,458</u>	<u>141,458</u>	<u>141,458</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 177,008</u>	<u>\$ 305,008</u>	<u>\$ 207,088</u>	<u>\$ (110,670)</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - PUBLIC SAFETY RETIREMENT
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Taxes	\$ 9,297,557	\$ 9,297,557	\$ 11,181,797	\$ 1,884,240
Total Revenues	<u>9,297,557</u>	<u>9,297,557</u>	<u>11,181,797</u>	<u>1,884,240</u>
EXPENDITURES				
Government	140,226	140,226	61,513	78,713
Public safety	<u>15,609,634</u>	<u>15,609,634</u>	<u>15,626,995</u>	<u>(17,361)</u>
Total Expenditures	<u>15,749,860</u>	<u>15,749,860</u>	<u>15,688,508</u>	<u>61,352</u>
EXCESS OF REVENUES OVER (UNDER)				
EXPENDITURES	<u>(6,452,303)</u>	<u>(6,452,303)</u>	<u>(4,506,711)</u>	<u>1,945,592</u>
NET CHANGE IN FUND BALANCES	<u>(6,452,303)</u>	<u>(6,452,303)</u>	<u>(4,506,711)</u>	<u>1,945,592</u>
FUND BALANCES, JULY 1	<u>6,927,456</u>	<u>6,927,456</u>	<u>6,927,456</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 475,153</u>	<u>\$ 475,153</u>	<u>\$ 2,420,745</u>	<u>\$ 1,945,592</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - STATE GAS TAX FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Intergovernmental	\$ 10,637,796	\$ 10,637,796	\$ 9,600,473	\$ (1,037,323)
Charges for services	1,500,000	1,500,000	488,367	(1,011,633)
Investment income	23,412	23,412	16,015	(7,397)
Miscellaneous	-	-	7,984	7,984
Total Revenues	<u>12,161,208</u>	<u>12,161,208</u>	<u>10,112,839</u>	<u>(2,048,369)</u>
EXPENDITURES				
Public works	7,674,546	7,674,546	4,838,109	2,836,437
Debt Service				
Principal	740,000	740,000	740,000	-
Interest	578,725	578,725	578,725	-
Total Expenditures	<u>8,993,271</u>	<u>8,993,271</u>	<u>6,156,834</u>	<u>2,836,437</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>3,167,937</u>	<u>3,167,937</u>	<u>3,956,005</u>	<u>788,068</u>
OTHER FINANCING SOURCES (USES)				
Transfers in	-	-	360,880	360,880
Transfers out	(3,124,986)	(9,688,769)	(7,644,418)	2,044,351
Total Other Financing Sources (Uses)	<u>(3,124,986)</u>	<u>(9,688,769)</u>	<u>(7,283,538)</u>	<u>2,405,231</u>
NET CHANGE IN FUND BALANCES	42,951	(6,520,832)	(3,327,533)	3,193,299
FUND BALANCES, JULY 1	<u>4,693,622</u>	<u>4,693,622</u>	<u>4,693,622</u>	-
FUND BALANCES, JUNE 30	<u>\$ 4,736,573</u>	<u>\$ (1,827,210)</u>	<u>\$ 1,366,089</u>	<u>\$ 3,193,299</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - DEBT SERVICE FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Investment income	\$ -	\$ -	\$ 11,414	\$ 11,414
Total Revenues	<u>-</u>	<u>-</u>	<u>11,414</u>	<u>11,414</u>
EXPENDITURES				
Debt Service				
Principal	7,041,471	7,041,471	4,978,174	2,063,297
Interest	<u>3,989,370</u>	<u>3,989,370</u>	<u>2,740,856</u>	<u>1,248,514</u>
Total Expenditures	<u>11,030,841</u>	<u>11,030,841</u>	<u>7,719,030</u>	<u>3,311,811</u>
EXCESS OF REVENUES OVER (UNDER)				
EXPENDITURES	<u>(11,030,841)</u>	<u>(11,030,841)</u>	<u>(7,707,616)</u>	<u>3,323,225</u>
OTHER FINANCING SOURCES (USES)				
Transfers in	<u>11,030,843</u>	<u>11,030,843</u>	<u>7,719,029</u>	<u>(3,311,814)</u>
Total Other Financing Sources (Uses)	<u>11,030,843</u>	<u>11,030,843</u>	<u>7,719,029</u>	<u>(3,311,814)</u>
NET CHANGE IN FUND BALANCES¹	2	2	11,413	11,411
FUND BALANCES, JULY 1	<u>23,376</u>	<u>23,376</u>	<u>23,376</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 23,378</u>	<u>\$ 23,378</u>	<u>\$ 34,789</u>	<u>\$ 11,411</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - CAPITAL OUTLAY FUNDS
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Taxes	\$ 395,053	\$ 395,053	\$ 369,835	\$ (25,218)
Investment income	54,337	54,337	443,704	389,367
Miscellaneous	-	-	100,000	100,000
Total Revenues	<u>449,390</u>	<u>449,390</u>	<u>913,539</u>	<u>464,149</u>
EXPENDITURES				
General government	872	872	1,644	(772)
Public works	-	5,437,375	292,330	5,145,045
Culture, leisure and libraries	-	1,500,000	331	1,499,669
Housing	85,716	2,888,428	108,805	2,779,623
Capital outlay	-	3,095,000	-	3,095,000
Debt Service				
Interest	-	-	81,330	(81,330)
Total Expenditures	<u>86,588</u>	<u>12,921,675</u>	<u>484,440</u>	<u>12,437,235</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>362,802</u>	<u>(12,472,285)</u>	<u>429,099</u>	<u>12,901,384</u>
OTHER FINANCING SOURCES (USES)				
Proceeds from bonds	27,510,000	28,000,657	5,320,000	(22,680,657)
Transfer to custodial fund	-	-	(2,446,040)	(2,446,040)
Transfers in	-	4,351,591	-	(4,351,591)
Transfer out	(24,003,495)	(43,852,660)	(12,532,377)	31,320,283
Total Other Financing Sources (Uses)	<u>3,506,505</u>	<u>(11,500,412)</u>	<u>(9,658,417)</u>	<u>1,841,995</u>
NET CHANGE IN FUND BALANCES	<u>3,869,307</u>	<u>(23,972,697)</u>	<u>(9,229,318)</u>	<u>14,743,379</u>
FUND BALANCES, JULY 1	<u>45,138,291</u>	<u>45,138,291</u>	<u>45,138,291</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 49,007,598</u>	<u>\$ 21,165,594</u>	<u>\$ 35,908,973</u>	<u>\$ 14,743,379</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - CUPA
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Licenses and permits	\$ -	\$ 1,073,200	\$ 1,107,708	\$ 34,508
Growth and development fees	-	-	-	-
Fines and forfeitures	-	10,389	2,000	(8,389)
Investment income	-	9,065	7,091	(1,974)
Miscellaneous	-	11,228	21,248	10,020
Total Revenues	-	1,103,882	1,138,047	34,165
EXPENDITURES				
Public safety	-	1,078,594	742,923	335,671
Total Expenditures	-	1,078,594	742,923	335,671
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	-	25,288	395,124	369,836
OTHER FINANCING SOURCES (USES)				
Transfers out	-	(23,578)	(23,578)	-
Total Other Financing Sources (Uses)	-	(23,578)	(23,578)	-
NET CHANGE IN FUND BALANCES	-	1,710	371,546	369,836
FUND BALANCES, JULY 1	1,096,051	1,096,051	1,096,051	-
FUND BALANCES, JUNE 30	\$ 1,096,051	\$ 1,097,761	\$ 1,467,597	\$ 369,836

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - TRAFFIC SAFETY
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Intergovernmental	\$ 285,969	\$ 285,969	\$ 188,893	\$ (97,076)
Investment income	<u>2,742</u>	<u>2,742</u>	<u>10,923</u>	<u>8,181</u>
Total Revenues	<u>288,711</u>	<u>288,711</u>	<u>199,816</u>	<u>(88,895)</u>
EXPENDITURES				
Public safety	550,760	550,760	433,734	117,026
Public works	<u>-</u>	<u>3,782</u>	<u>1,906</u>	<u>1,876</u>
Total Expenditures	<u>550,760</u>	<u>554,542</u>	<u>435,640</u>	<u>118,902</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>(262,049)</u>	<u>(265,831)</u>	<u>(235,824)</u>	<u>30,007</u>
OTHER FINANCING SOURCES (USES)				
Transfers out	<u>-</u>	<u>(85,732)</u>	<u>(352)</u>	<u>85,380</u>
Total Other Financing Sources (Uses)	<u>-</u>	<u>(85,732)</u>	<u>(352)</u>	<u>85,380</u>
NET CHANGE IN FUND BALANCES	<u>(262,049)</u>	<u>(351,563)</u>	<u>(236,176)</u>	<u>115,387</u>
FUND BALANCES, JULY 1	<u>346,005</u>	<u>346,005</u>	<u>346,005</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 83,956</u>	<u>\$ (5,558)</u>	<u>\$ 109,829</u>	<u>\$ 115,387</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - TRANSPORTATION DEVELOPMENT FUND
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Intergovernmental	\$ 613,130	\$ 613,130	\$ 434,032	\$ (179,098)
Investment income	22,705	22,705	40,296	17,591
Miscellaneous	251,089	251,089	270,642	19,553
Total Revenues	<u>886,924</u>	<u>886,924</u>	<u>744,970</u>	<u>(141,954)</u>
EXPENDITURES				
Public works	<u>1,022,975</u>	<u>1,095,029</u>	<u>848,737</u>	<u>246,292</u>
Total Expenditures	<u>1,022,975</u>	<u>1,095,029</u>	<u>848,737</u>	<u>246,292</u>
EXCESS OF REVENUES OVER (UNDER)				
EXPENDITURES	<u>(136,051)</u>	<u>(208,105)</u>	<u>(103,767)</u>	<u>104,338</u>
OTHER FINANCING SOURCES (USES)				
Transfers out	-	(2,509,931)	(646,535)	1,863,396
Total Other Financing Sources (Uses)	<u>-</u>	<u>(2,509,931)</u>	<u>(646,535)</u>	<u>1,863,396</u>
NET CHANGE IN FUND BALANCES	(136,051)	(2,718,036)	(750,302)	1,967,734
FUND BALANCES, JULY 1	<u>1,725,358</u>	<u>1,725,358</u>	<u>1,725,358</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 1,589,307</u>	<u>\$ (992,678)</u>	<u>\$ 975,056</u>	<u>\$ 1,967,734</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

CITY OF OXNARD, CALIFORNIA
NONMAJOR - MAINTENANCE ASSESSMENT DISTRICTS
BUDGETARY COMPARISON SCHEDULE
FOR THE YEAR ENDED JUNE 30, 2023

	ORIGINAL BUDGET	FINAL BUDGETED AMOUNTS	ACTUAL AMOUNTS	VARIANCE WITH FINAL BUDGET
REVENUES				
Charges for services	\$ 1,342,529	\$ 1,342,529	\$ 665,768	\$ (676,761)
Investment income	66,707	66,707	72,842	6,135
Special assessments	5,967,224	5,967,224	6,153,806	186,582
Miscellaneous	13,410	13,410	332,288	318,878
Total Revenues	<u>7,389,870</u>	<u>7,389,870</u>	<u>7,224,704</u>	<u>(165,166)</u>
EXPENDITURES				
Public safety	258,117	258,117	250,778	7,339
Public works	9,174,702	9,433,841	6,569,079	2,864,762
Capital outlay	120,988	133,034	71,056	61,978
Total Expenditures	<u>9,553,807</u>	<u>9,824,992</u>	<u>6,890,913</u>	<u>2,934,079</u>
EXCESS OF REVENUES OVER (UNDER) EXPENDITURES	<u>(2,163,937)</u>	<u>(2,435,122)</u>	<u>333,791</u>	<u>2,768,913</u>
OTHER FINANCING SOURCES (USES)				
Transfers in	207,677	214,977	631,525	416,548
Transfer out	(640,270)	(2,377,953)	(299,516)	2,078,437
Total Other Financing Sources (Uses)	<u>(432,593)</u>	<u>(2,162,976)</u>	<u>332,009</u>	<u>2,494,985</u>
NET CHANGE IN FUND BALANCES	<u>(2,596,530)</u>	<u>(4,598,098)</u>	<u>665,800</u>	<u>5,263,898</u>
FUND BALANCES, JULY 1	<u>10,880,224</u>	<u>10,880,224</u>	<u>10,880,224</u>	<u>-</u>
FUND BALANCES, JUNE 30	<u>\$ 8,283,694</u>	<u>\$ 6,282,126</u>	<u>\$ 11,546,024</u>	<u>\$ 5,263,898</u>

Note to Budgetary Comparison Schedule:

Budgets are prepared using a current financial resources measurement focus and the modified accrual basis of accounting consistent with generally accepted accounting principles (GAAP).

INTERNAL SERVICE FUNDS

Internal Service Funds

Internal Service Funds are established to account for goods and services provided by one City department to other City departments or related entities, generally on a cost-recovery basis.

Public Liability and Property Damage Fund - This fund is used to account for the City's self-insurance program of providing public liability and property damage insurance coverage and claims adjustment services to the City's operating funds.

Workers' Compensation Fund - This fund is used to account for the City's self-insurance program for workers' compensation claims.

Utility Customer Services Fund - This fund is used to account for the costs associated with administering the operation of the Customer Services Division and to distribute these costs to the various City utilities such as water, sewer, and refuse.

Information Services Fund - This fund is used to account for the costs associated with the City's information technology and financial systems and to distribute these costs to the departments using the systems on a pro-rata basis. Included are costs for hardware and software maintenance, cloud computing and computer operation costs, and some centralized supplies.

Facilities Maintenance Fund - This fund is used to account for the operation and maintenance of City facilities, properties, and related capital projects.

Equipment Maintenance Fund - This fund is used to account for automotive fleet maintenance and services provided to City departments.

CITY OF OXNARD, CALIFORNIA
COMBINING STATEMENT OF NET POSITION
INTERNAL SERVICE FUNDS
JUNE 30, 2023

	PUBLIC LIABILITY/ PROPERTY DAMAGE	WORKERS' COMPENSATION	UTILITY CUSTOMER SERVICE	INFORMATION SERVICES
ASSETS				
Current Assets				
Cash and investments	\$ 4,519,272	\$ 17,407,226	\$ 1,510,032	\$ 6,465,058
Accounts and other receivables (net of allowance for doubtful accounts)	-	-	-	-
Due from fiduciary fund	-	-	-	-
Total Current Assets	<u>4,519,272</u>	<u>17,407,226</u>	<u>1,510,032</u>	<u>6,465,058</u>
Noncurrent Assets				
Advances to other funds	-	654,808	-	-
Total Noncurrent Assets	<u>-</u>	<u>654,808</u>	<u>-</u>	<u>-</u>
CAPITAL ASSETS				
Buildings and improvements	-	-	-	-
Machinery, equipment, and vehicles	62,621	-	-	1,898,659
Intangible assets	-	-	-	1,037,734
Construction in progress	-	-	-	416,358
Total Capital Assets	<u>62,621</u>	<u>-</u>	<u>-</u>	<u>3,352,751</u>
Less: Accumulated depreciation	<u>62,621</u>	<u>-</u>	<u>-</u>	<u>1,523,071</u>
Net Total Capital Assets (net of accumulated depreciation)	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,829,680</u>
Total Assets	<u>4,519,272</u>	<u>18,062,034</u>	<u>1,510,032</u>	<u>8,294,738</u>
DEFERRED OUTFLOW OF RESOURCES				
Deferred outflow on pension plans	155,526	250,123	637,598	1,506,608
Deferred outflow on other post-employment benefit plans (OPEB)	-	28,140	104,887	209,774
Total Deferred Outflow of Resources	<u>155,526</u>	<u>278,263</u>	<u>742,485</u>	<u>1,716,382</u>
LIABILITIES				
Current Liabilities				
Accounts payable	502,990	441,541	129,423	778,993
Other liabilities	4,735	9,825	22,821	66,236
Compensated absences payable - current	-	28,614	71,154	125,578
Other post-employment benefits payable - current	-	1,682	6,167	12,334
Self-insurance claims - due within one year	2,592,113	6,794,132	-	-
Subscription liabilities - current	-	-	-	399,449
Total Current Liabilities	<u>3,099,838</u>	<u>7,275,794</u>	<u>229,565</u>	<u>1,382,590</u>
Noncurrent Liabilities				
Compensated absences payable	-	14,713	36,587	64,571
Other post-employment benefits payable	-	101,152	377,122	754,243
Net pension liabilities	485,024	790,643	2,011,496	4,762,139
Self-insurance claims	4,796,039	30,376,948	-	-
Subscription liabilities	-	-	-	498,504
Total Noncurrent Liabilities	<u>5,281,063</u>	<u>31,283,456</u>	<u>2,425,205</u>	<u>6,079,457</u>
Total Liabilities	<u>8,380,901</u>	<u>38,559,250</u>	<u>2,654,770</u>	<u>7,462,047</u>
DEFERRED INFLOW OF RESOURCES				
Deferred inflow on pension plans	7,513	12,308	31,291	74,130
Deferred inflow on other post-employment benefit plans (OPEB)	-	35,986	134,130	268,261
Total Deferred Inflow of Resources	<u>7,513</u>	<u>48,294</u>	<u>165,421</u>	<u>342,391</u>
NET POSITION				
Net investment in capital assets	-	-	-	931,727
Unrestricted	(3,713,616)	(20,267,247)	(567,674)	1,274,955
TOTAL NET POSITION	<u>\$ (3,713,616)</u>	<u>\$ (20,267,247)</u>	<u>\$ (567,674)</u>	<u>\$ 2,206,682</u>

FACILITIES MAINTENANCE	EQUIPMENT MAINTENANCE	TOTAL	
			ASSETS
			Current Assets
\$ 1,967,115	\$ 1,516,544	\$ 33,385,247	Cash and investments
(9,948)	-	(9,948)	Accounts and other receivables (net of allowance for doubtful accounts)
-	36	36	Due from fiduciary fund
1,957,167	1,516,580	33,375,335	Total Current Assets
			Noncurrent Assets
-	-	654,808	Advances to other funds
-	-	654,808	Total Noncurrent Assets
			CAPITAL ASSETS
196,868	238,542	435,410	Buildings and improvements
398,974	4,641,592	7,001,846	Machinery, equipment, and vehicles
-	-	1,037,734	Intangible assets
-	-	416,358	Construction in progress
595,842	4,880,134	8,891,348	Total Capital Assets
435,132	4,283,599	6,304,423	Less: Accumulated depreciation
160,710	596,535	2,586,925	Net Total Capital Assets (net of accumulated depreciation)
2,117,877	2,113,115	36,617,068	Total Assets
			DEFERRED OUTFLOW OF RESOURCES
1,587,282	890,086	5,027,223	Deferred outflow on pension plans
342,802	323,615	1,009,218	Deferred outflow on other post-employment benefit plans (OPEB)
1,930,084	1,213,701	6,036,441	Total Deferred Outflow of Resources
			LIABILITIES
			Current Liabilities
128,928	878,889	2,860,764	Accounts payable
55,594	28,294	187,505	Other liabilities
208,610	57,728	491,684	Compensated absences payable - current
20,184	19,062	59,429	Other post-employment benefits payable
-	-	9,386,245	Self-insurance claims - due within one year
-	-	399,449	Subscription liabilities - current
413,316	983,973	13,385,076	Total Current Liabilities
			Noncurrent Liabilities
107,266	29,683	252,820	Compensated absences payable
1,232,515	1,163,523	3,628,555	Other post-employment benefits payable
4,979,835	2,794,334	15,823,471	Net pension liabilities
-	-	35,172,987	Self-insurance claims
-	-	498,504	Subscription liabilities
6,319,616	3,987,540	55,376,337	Total Noncurrent Liabilities
6,732,932	4,971,513	68,761,413	Total Liabilities
			DEFERRED INFLOW OF RESOURCES
77,307	43,390	245,939	Deferred inflow on pension plans
438,377	413,841	1,290,595	Deferred inflow on other post-employment benefit plans (OPEB)
515,684	457,231	1,536,534	Total Deferred Inflow of Resources
			NET POSITION
160,710	596,535	1,688,972	Net investment in capital assets
(3,361,365)	(2,698,463)	(29,333,410)	Unrestricted
\$ (3,200,655)	\$ (2,101,928)	\$ (27,644,438)	TOTAL NET POSITION

CITY OF OXNARD, CALIFORNIA
COMBINING STATEMENT OF REVENUES, EXPENSES, AND CHANGES IN
NET POSITION
INTERNAL SERVICE FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	PUBLIC LIABILITY/ PROPERTY DAMAGE	WORKERS' COMPENSATION	UTILITY CUSTOMER SERVICE	INFORMATION SERVICES
OPERATING REVENUES				
Charges for services	\$ 6,731,504	\$ 9,537,413	\$ 2,826,891	\$ 11,965,538
Miscellaneous	-	-	-	4,644
Total Operating Income	<u>6,731,504</u>	<u>9,537,413</u>	<u>2,826,891</u>	<u>11,970,182</u>
OPERATING EXPENSES				
Salaries and wages	238,117	691,179	1,479,631	1,884,892
Contractual services	261,416	808,285	99,699	1,714,306
Operating supplies	-	-	-	177,365
Utilities	-	1,116	21,526	595,845
Depreciation	516	-	-	570,195
General and administrative	3,748,293	725,546	959,294	979,317
Repairs and maintenance	-	-	42,075	352,829
Claims expense	3,268,434	11,350,768	-	-
Total Operating Expenses	<u>7,516,776</u>	<u>13,576,894</u>	<u>2,602,225</u>	<u>6,274,749</u>
OPERATING INCOME (LOSS)	<u>(785,272)</u>	<u>(4,039,481)</u>	<u>224,666</u>	<u>5,695,433</u>
NONOPERATING REVENUES (EXPENSES)				
Gain (loss) on disposal of assets	-	-	-	-
Total Nonoperating Revenues (Expenses)	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS	<u>(785,272)</u>	<u>(4,039,481)</u>	<u>224,666</u>	<u>5,695,433</u>
TRANSFERS IN	-	-	-	260,067
TRANSFERS OUT	-	-	(29,552)	(141,582)
CHANGES IN NET POSITION	<u>(785,272)</u>	<u>(4,039,481)</u>	<u>195,114</u>	<u>5,813,918</u>
NET POSITION - JULY 1. AS PREVIOUSLY STATED	<u>(2,615,680)</u>	<u>(16,537,438)</u>	<u>(90,631)</u>	<u>(1,862,100)</u>
PRIOR-PERIOD ADJUSTMENT	<u>(312,664)</u>	<u>309,672</u>	<u>(672,157)</u>	<u>(1,745,136)</u>
NET POSITION - JULY 1	<u>(2,928,344)</u>	<u>(16,227,766)</u>	<u>(762,788)</u>	<u>(3,607,236)</u>
NET POSITION - JUNE 30	<u>\$ (3,713,616)</u>	<u>\$ (20,267,247)</u>	<u>\$ (567,674)</u>	<u>\$ 2,206,682</u>

<u>FACILITIES MAINTENANCE</u>	<u>EQUIPMENT MAINTENANCE</u>	<u>TOTAL</u>
\$ 6,264,958	\$ 8,462,074	\$ 45,788,378
<u>29,335</u>	<u>16,243</u>	<u>50,222</u>
<u>6,294,293</u>	<u>8,478,317</u>	<u>45,838,600</u>
3,590,222	1,591,100	9,475,141
602,360	297,686	3,783,752
318,764	2,175,104	2,671,233
908,712	2,072,246	3,599,445
5,022	182,268	758,001
837,902	752,980	8,003,332
-	1,382,753	1,777,657
-	-	<u>14,619,202</u>
<u>6,262,982</u>	<u>8,454,137</u>	<u>44,687,763</u>
<u>31,311</u>	<u>24,180</u>	<u>1,150,837</u>
-	<u>2,098</u>	<u>2,098</u>
-	<u>2,098</u>	<u>2,098</u>
31,311	26,278	1,152,935
-	-	260,067
-	-	<u>(171,134)</u>
<u>31,311</u>	<u>26,278</u>	<u>1,241,868</u>
(3,418,635)	(5,460,587)	(29,985,071)
<u>186,669</u>	<u>3,332,381</u>	<u>1,098,765</u>
<u>(3,231,966)</u>	<u>(2,128,206)</u>	<u>(28,886,306)</u>
<u>\$ (3,200,655)</u>	<u>\$ (2,101,928)</u>	<u>\$ (27,644,438)</u>

OPERATING REVENUES

Charges for services

Miscellaneous

Total Operating Income

OPERATING EXPENSES

Salaries and wages

Contractual services

Operating supplies

Utilities

Depreciation

General and administrative

Repairs and maintenance

Claims expense

Total Operating Expenses

OPERATING INCOME (LOSS)

NONOPERATING REVENUES (EXPENSES)

Gain (loss) on disposal of assets

Total Nonoperating Revenues (Expenses)

INCOME (LOSS) BEFORE CONTRIBUTIONS AND TRANSFERS

TRANSFERS IN

TRANSFERS OUT

CHANGES IN NET POSITION

NET POSITION - JULY 1, AS PREVIOUSLY STATED

PRIOR-PERIOD ADJUSTMENT

NET POSITION - JULY 1

NET POSITION - JUNE 30

CITY OF OXNARD, CALIFORNIA
COMBINING STATEMENT OF CASH FLOWS
INTERNAL SERVICE FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	PUBLIC LIABILITY/ PROPERTY DAMAGE	WORKERS' COMPENSATION	UTILITY CUSTOMER SERVICE	INFORMATION SERVICES
CASH FLOWS FROM OPERATING ACTIVITIES				
Receipts from customers and users	\$ 6,731,504	\$ 9,537,413	\$ 2,826,891	\$ 11,970,182
Payments to suppliers	(3,550,802)	(1,392,168)	(1,056,156)	(3,595,458)
Payments to employees	(1,149,043)	(8,040,570)	(1,354,725)	(3,144,073)
Cash paid to claimants	(1,528,866)	3,824,194	-	-
Net Cash Provided By (Used In) Operating Activities	<u>502,793</u>	<u>3,928,869</u>	<u>416,010</u>	<u>5,230,651</u>
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES				
Collection/(issuance) of advances	-	122,680	-	-
Transfers in	-	-	-	260,067
Transfers out	-	-	(29,552)	(141,582)
Net Cash Provided By (Used In) Capital Financing Activities	<u>-</u>	<u>122,680</u>	<u>(29,552)</u>	<u>118,485</u>
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES				
(Purchases)/disposals of capital assets	-	-	-	(1,022,726)
Net Cash Provided By (Used In) Capital Financing Activities	<u>-</u>	<u>-</u>	<u>-</u>	<u>(1,022,726)</u>
NET INCREASE (DECREASE) IN CASH AND INVESTMENTS	502,793	4,051,549	386,458	4,326,410
CASH AND INVESTMENTS - JULY 1	<u>4,016,479</u>	<u>13,355,677</u>	<u>1,123,574</u>	<u>2,138,648</u>
CASH AND INVESTMENTS - JUNE 30	<u>\$ 4,519,272</u>	<u>\$ 17,407,226</u>	<u>\$ 1,510,032</u>	<u>\$ 6,465,058</u>
RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES				
Operating income (loss)	\$ (785,272)	\$ (4,039,481)	\$ 224,666	\$ 5,695,433
Adjustments to Reconcile Operating Income (Loss) to Net Cash				
Provided by (Used In) Operating Activities				
Depreciation and amortization	516	-	-	570,195
Changes in Operating Assets and Liabilities				
(Increase) decrease in accounts receivable and due from other funds and governments	-	-	-	-
Increase (decrease) in accounts payable and other liabilities	449,247	136,463	33,382	139,293
Increase (decrease) in compensated absences	-	18,759	6,608	(35,019)
Increase (decrease) in other post-employment benefits payable	-	(55,799)	117,421	(443,172)
Increase (decrease) in net pension liabilities	(31,482)	281,446	33,933	(696,079)
Increase (decrease) in self-insurance liability	869,784	7,587,481	-	-
Net Cash Provided By (Used In) Operating Activities	<u>\$ 502,793</u>	<u>\$ 3,928,869</u>	<u>\$ 416,010</u>	<u>\$ 5,230,651</u>

<u>FACILITIES MAINTENANCE</u>	<u>EQUIPMENT MAINTENANCE</u>	<u>TOTAL</u>
\$ 6,306,178	\$ 8,478,477	\$ 45,850,645
(2,766,671)	(6,602,535)	(18,963,790)
(3,494,900)	(1,849,658)	(19,032,969)
-	1	2,295,329
<u>44,607</u>	<u>26,285</u>	<u>10,149,215</u>
-	(36)	122,644
-	-	260,067
-	-	(171,134)
-	(36)	211,577
-	(72,133)	(1,094,859)
-	(72,133)	(1,094,859)
44,607	(45,884)	9,265,933
<u>1,922,508</u>	<u>1,562,428</u>	<u>24,119,314</u>
<u>\$ 1,967,115</u>	<u>\$ 1,516,544</u>	<u>\$ 33,385,247</u>

CASH FLOWS FROM OPERATING ACTIVITIES

Receipts from customers and users
Payments to suppliers
Payments to employees
Cash paid to claimants

Net Cash Provided By (Used In) Operating Activities

CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES

Collection/(issuance) of advances
Transfers in
Transfers out

Net Cash Provided By (Used In) Capital Financing Activities

CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES

(Purchases)/disposals of capital assets

Net Cash Provided By (Used In) Capital Financing Activities

NET INCREASE (DECREASE) IN CASH AND

INVESTMENTS

CASH AND INVESTMENTS - JULY 1

CASH AND INVESTMENTS - JUNE 30

RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES

\$ 31,311	\$ 24,180	\$ 1,150,837
5,022	182,268	758,001
11,885	160	12,045
(190,080)	19,940	588,245
80,351	(33,248)	37,451
(300,691)	(314,403)	(996,644)
406,809	147,387	142,014
-	1	8,457,266
<u>\$ 44,607</u>	<u>\$ 26,285</u>	<u>\$ 10,149,215</u>

Operating income (loss)

Adjustments to Reconcile Operating Income (Loss) to Net Cash

Provided by (Used In) Operating Activities

Depreciation and amortization

Changes in Operating Assets and Liabilities

(Increase) decrease in accounts receivable and due from other funds
and governments

Increase (decrease) in accounts payable and other liabilities

Increase (decrease) in compensated absences

Increase (decrease) in other post-employment benefits payable

Increase (decrease) in net pension liabilities

Increase (decrease) in self-insurance liability

Net Cash Provided By (Used In) Operating Activities

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FIDUCIARY FUNDS

CITY OF OXNARD, CALIFORNIA
COMBINING STATEMENT OF FIDUCIARY NET POSITION -
CUSTODIAL FUNDS
JUNE 30, 2023

	IMPROVEMENT DISTRICTS FUND	OXNARD PD PROPERTY ROOM FUND	OXNARD DOWNTOWN MANAGEMENT DISTRICT FUND	RIVERPARK JPA FUND	TOTAL CUSTODIAL FUNDS
ASSETS					
Cash and investments	\$ 7,349,155	\$ 350,460	\$ 294,470	\$ 971,088	\$ 8,965,173
Investment with Fiscal Agents					
Certificates of deposit	798,158	-	-	-	798,158
Total investment with fiscal agents	798,158	-	-	-	798,158
Interest receivable	-	-	883	4,407	5,290
Due from other governments	142,457	-	10,783	-	153,240
Total Assets	<u>8,289,770</u>	<u>350,460</u>	<u>306,136</u>	<u>975,495</u>	<u>9,921,861</u>
LIABILITIES					
Accounts payable	\$ 218,282	\$ 70,773	\$ 146,500	\$ 31,465	\$ 467,020
TOTAL LIABILITIES	<u>218,282</u>	<u>70,773</u>	<u>146,500</u>	<u>31,465</u>	<u>467,020</u>
NET POSITION					
Restricted for:					
Individuals, organizations, and other governments	8,071,488	279,687	159,636	944,030	9,454,841
TOTAL NET POSITION	<u>\$ 8,071,488</u>	<u>\$ 279,687</u>	<u>\$ 159,636</u>	<u>\$ 944,030</u>	<u>\$ 9,454,841</u>

CITY OF OXNARD, CALIFORNIA
COMBINING STATEMENT OF CHANGES IN FIDUCIARY NET POSITION -
CUSTODIAL FUNDS
FOR THE YEAR ENDED JUNE 30, 2023

	IMPROVEMENT DISTRICTS FUND	OXNARD PD PROPERTY ROOM FUND	OXNARD DOWNTOWN MANAGEMENT DISTRICT FUND	RIVERPARK JPA FUND	TOTAL CUSTODIAL FUNDS
ADDITIONS					
Taxes	\$ 711,602	\$ -	\$ -	\$ -	\$ 711,602
Special assessments	3,505,290	-	355,890	-	3,861,180
Investment income	20,884	-	(126)	11,798	32,556
Transfer from City of Oxnard	<u>2,446,040</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>2,446,040</u>
Total Additions	<u>6,683,816</u>	<u>-</u>	<u>355,764</u>	<u>11,798</u>	<u>7,051,378</u>
DEDUCTIONS					
Administrative Costs					
Administrative costs	75,979	-	931	-	76,910
Project improvements	-	-	-	64,213	64,213
Distributions to individuals, organizations, and bond trustees	<u>6,302,148</u>	<u>-</u>	<u>324,500</u>	<u>-</u>	<u>6,626,648</u>
Total Deductions	<u>6,378,127</u>	<u>-</u>	<u>325,431</u>	<u>64,213</u>	<u>6,767,771</u>
CHANGE IN NET POSITION	<u>305,689</u>	<u>-</u>	<u>30,333</u>	<u>(52,415)</u>	<u>283,607</u>
NET POSITION - JULY 1, 2022	<u>7,765,799</u>	<u>279,687</u>	<u>129,303</u>	<u>996,445</u>	<u>9,171,234</u>
NET POSITION - JUNE 30, 2023	<u>\$ 8,071,488</u>	<u>\$ 279,687</u>	<u>\$ 159,636</u>	<u>\$ 944,030</u>	<u>\$ 9,454,841</u>

STATISTICAL SECTION



Statistical Section (Unaudited)

Financial Trends

These schedules contain trend information to help the reader understand how the City's financial performance and well-being have changed over time.

Schedule I	Net Position by Component
Schedule II	Changes in Net Position
Schedule III	Fund Balances of Governmental Funds
Schedule IV	Changes in Fund Balances of Government Funds
Schedule V	Government Activities Tax Revenue by Source

Revenue Capacity

These schedules contain information to help the reader assess the City's most significant local revenue source, the property tax.

Schedule VI	Assessed Value and Estimated Value of Taxable Property
Schedule VII	Direct and Overlapping Property Tax Rates
Schedule VIII	Principal Property Taxpayers
Schedule IX	Property Tax Levies and Collections

Debt Capacity

These schedules present information to help the reader assess the affordability of the City's current levels of outstanding debt and the City's ability to issue additional debt in the future.

Schedule X	Ratios of Outstanding Debt by Type
Schedule XI	Direct and Overlapping Government Activities Debt
Schedule XII	Legal Debt Margin Information
Schedule XIII	Pledged Revenue Coverage

Demographic and Economic Information

These schedules offer demographic and economic indicators to help the reader understand the environment within which the City's financial activities take place.

Schedule XIV	Demographic and Economic Statistics
Schedule XV	Principal Employers

Operating Information

These schedules contain service and infrastructure data to help the reader understand how the information in the City's financial report relates to the services the City provides and the activities it performs.

Schedule XVI	Operating Indicators by Function
Schedule XVII	Capital Assets by Function
Schedule XVIII	Full-time Equivalent City Government Employees by Function

City of Oxnard, California

SCHEDULE I Net Position by Component Last Ten Fiscal Years (accrual basis of accounting)

	Fiscal Year				
	2014	2015	2016	2017	2018
Governmental activities					
Net investment in capital asset	\$ 1,400,890,107	\$ 1,455,133,818	\$ 1,422,282,798	\$ 2,001,415,357	\$ 1,994,002,850
Restricted	45,801,395	112,198,502	112,286,017	130,642,696	134,054,312
Unrestricted	8,226,160	(220,258,407)	(197,252,502)	(185,857,985)	(234,226,319)
Total governmental activities net position	<u>\$ 1,454,917,662</u>	<u>\$ 1,347,073,913</u>	<u>\$ 1,337,316,313</u>	<u>\$ 1,946,200,068</u>	<u>\$ 1,893,830,843</u>
Business-type activities					
Net investment in capital asset	\$ 287,649,591	\$ 225,738,617	\$ 223,977,717	\$ 228,709,541	\$ 224,139,834
Restricted	17,096,075	15,400,679	38,064,498	41,732,645	48,789,711
Unrestricted	44,328,734	28,909,066	3,139,325	7,938,770	20,863,126
Total business-type activities net position	<u>\$ 349,074,400</u>	<u>\$ 270,048,362</u>	<u>\$ 265,181,540</u>	<u>\$ 278,380,956</u>	<u>\$ 293,792,671</u>
Primary government					
Net investment in capital asset	\$ 1,688,539,698	\$ 1,680,872,435	\$ 1,646,260,515	\$ 2,230,124,898	\$ 2,218,142,684
Restricted	62,897,470	127,599,181	150,350,515	172,375,341	182,844,023
Unrestricted	52,554,894	(191,349,341)	(194,113,177)	(177,919,215)	(213,363,193)
Total primary government net position	<u>\$ 1,803,992,062</u>	<u>\$ 1,617,122,275</u>	<u>\$ 1,602,497,853</u>	<u>\$ 2,224,581,024</u>	<u>\$ 2,187,623,514</u>

Source: Finance Department, City of Oxnard

City of Oxnard, California

SCHEDULE I (Continued) Net Position by Component Last Ten Fiscal Years (accrual basis of accounting)

		Fiscal Year			
2019	2020	2021	2022	2023	
					Governmental activities
\$ 1,993,824,011	\$ 1,993,055,539	\$ 1,945,377,175	\$ 1,901,675,190	\$ 1,916,938,386	Net investment in capital asset
94,429,644	105,403,013	111,307,894	108,729,988	113,608,179	Restricted
(203,632,014)	(227,238,168)	(255,508,666)	(143,587,887)	(117,300,866)	Unrestricted
<u>\$ 1,884,621,641</u>	<u>\$ 1,871,220,384</u>	<u>\$ 1,801,176,403</u>	<u>\$ 1,866,817,291</u>	<u>\$ 1,913,245,699</u>	Total governmental activities net position
					Business-type activities
\$ 224,259,193	\$ 232,190,732	\$ 259,185,934	\$ 302,265,460	\$ 294,321,444	Net investment in capital asset
54,283,623	51,610,199	49,217,330	44,238,652	63,932,130	Restricted
43,176,882	57,516,060	134,183,679	95,558,083	77,722,620	Unrestricted
<u>\$ 321,719,698</u>	<u>\$ 341,316,991</u>	<u>\$ 442,586,943</u>	<u>\$ 442,062,195</u>	<u>\$ 435,976,194</u>	Total business-type activities net position
					Primary government
\$ 2,218,083,204	\$ 2,225,246,271	\$ 2,204,563,109	\$ 2,203,940,650	\$ 2,211,259,830	Net investment in capital asset
148,713,267	157,013,212	160,525,224	152,968,640	177,540,309	Restricted
(160,455,132)	(169,722,108)	(121,324,987)	(48,029,804)	(39,578,246)	Unrestricted
<u>\$ 2,206,341,339</u>	<u>\$ 2,212,537,375</u>	<u>\$ 2,243,763,346</u>	<u>\$ 2,308,879,486</u>	<u>\$ 2,349,221,893</u>	Total primary government net position

City of Oxnard, California

SCHEDULE II Changes in Net Position Last Ten Fiscal Years (accrual basis of accounting)

	Fiscal Year				
	2014	2015	2016	2017	2018
Expenses					
Governmental activities:					
General government	\$17,851,478	\$12,223,337	\$8,354,282	\$30,057,710	\$56,051,634
Public safety	105,886,922	97,913,468	90,250,713	95,103,088	114,056,089
Transportation	10,151,437	23,333,586	29,435,256	8,753,004	13,352,627
Community development	16,445,165	13,137,896	12,634,325	12,476,593	17,439,549
Culture, leisure and libraries	24,990,947	32,635,299	32,157,517	30,258,609	34,039,463
Housing	-	-	-	-	-
Interest on long-term debt	2,792,053	4,517,686	4,920,717	4,755,955	9,745,886
Total governmental activities expenses	178,118,002	183,761,272	177,752,810	181,404,959	244,685,248
Business-type activities:					
Water	\$44,839,558	\$55,799,990	\$56,786,402	\$57,904,193	\$57,617,733
Wastewater	34,959,148	37,993,468	34,807,726	32,926,365	33,512,156
Environmental resource	39,083,017	37,802,942	37,644,732	42,534,157	45,633,543
Performing arts and convention center	1,543,601	-	-	-	-
Oxnard housing authority	25,617,591	23,584,181	23,441,364	26,060,498	27,888,924
Municipal golf course	6,399,227	-	-	-	-
Total business-type activities expenses	152,442,142	155,180,581	152,680,224	159,425,213	164,652,356
Total primary government expenses	\$330,560,144	\$338,941,853	\$330,433,034	\$340,830,172	\$409,337,604
Program Revenues					
Governmental activities:					
Charges for services:					
General government	\$8,808,647	\$5,165,703	\$20,541,355	\$20,460,685	\$13,020,442
Public safety	4,878,804	4,904,728	8,015,887	6,528,319	7,913,075
Transportation	3,345,515	2,630,954	5,930,798	3,072,659	1,883,525
Public works	-	-	-	-	-
Community development	7,767,004	16,542,075	10,212,020	13,353,523	7,583,696
Culture, leisure and libraries	5,334,160	8,453,994	4,297,074	10,903,104	12,448,275
Housing	-	-	-	-	-
Operating grants and contributions	32,350,516	29,420,545	9,526,620	16,948,122	16,938,767
Capital grants and contributions	7,486,869	12,300,850	9,925,337	3,276,277	3,501,368
Total governmental activities program revenues	69,971,515	79,418,849	68,449,091	74,542,689	63,289,148

City of Oxnard, California

SCHEDULE II (Continued) Changes in Net Position Last Ten Fiscal Years (accrual basis of accounting)

		Fiscal Year			
2019	2020	2021	2022	2023	
					Expenses
					Governmental activities:
\$47,292,219	\$ 49,736,893	\$ 51,432,611	\$ 46,053,309	\$ 26,045,796	General government
110,217,029	114,506,731	120,565,552	113,731,717	132,980,119	Public safety
11,366,628	11,604,027	11,426,788	13,087,346	59,150,684	Transportation
21,205,257	19,160,074	22,205,817	21,689,712	10,057,675	Community development
37,043,785	35,010,443	31,219,370	37,402,631	17,400,561	Culture, leisure and libraries
-	-	-	-	10,180,614	Housing
4,451,689	3,811,560	3,850,416	3,721,942	3,548,487	Interest on long-term debt
231,576,607	233,829,728	240,700,554	235,686,657	259,363,936	Total governmental activities expenses
					Business-type activities:
\$55,883,239	\$ 56,202,066	\$ 57,774,449	\$ 66,845,048.00	64,460,086	Water
31,783,839	34,668,068	34,083,527	35,836,577	37,234,300	Wastewater
46,314,446	50,550,599	50,609,180	46,956,163	50,482,111	Environmental resource
-	-	-	-	-	Performing arts and convention center
27,634,063	29,914,394	30,782,861	28,211,702	34,437,715	Oxnard housing authority
-	-	4,741,945	5,334,578	5,694,314	Municipal golf course
161,615,587	171,335,127	177,991,962	183,184,068	192,308,526	Total business-type activities expenses
\$393,192,194	\$405,164,855	\$418,692,516	\$418,870,725	\$451,672,462	Total primary government expenses
					Program Revenues
					Governmental activities:
					Charges for services:
\$18,177,999	\$15,639,751	\$16,591,018	\$816,910	\$ 10,576,686	General government
8,637,284	5,147,924	4,984,405	6,752,504	6,475,878	Public safety
2,008,345	2,697,018	5,023,139	3,084,378	-	Transportation
-	-	-	-	10,868,488	Public works
12,898,111	15,054,972	17,167,989	11,617,112	8,479,985	Community development
13,953,808	14,420,117	8,803,499	8,743,383	1,097,398	Culture, leisure and libraries
-	-	-	-	259,127	Housing
14,689,127	16,059,481	27,187,140	46,713,741	46,187,436	Operating grants and contributions
9,838,626	9,252,544	7,551,745	8,415,870	-	Capital grants and contributions
80,203,300	78,271,807	87,308,935	86,143,898	83,944,998	Total governmental activities program revenues

City of Oxnard, California

SCHEDULE II (Continued) Changes in Net Position Last Ten Fiscal Years (accrual basis of accounting)

	2014	2015	Fiscal Year 2016	2017	2018
Business-type activities:					
Charges for services:					
Water	52,742,289	48,048,380	47,502,553	50,364,868	62,447,893
Wastewater	31,335,380	32,074,140	30,622,683	39,565,773	41,076,417
Environmental resource	44,392,421	43,394,227	41,498,072	48,039,047	48,910,217
Performing arts and convention center	666,820	-	-	-	-
Oxnard housing authority	26,158,985	-	6,569,503	5,267,343	5,968,193
Municipal golf course	4,471,706	5,168,698	-	-	-
Operating grants and contributions	53,734	17,853,909	19,655,951	21,177,738	21,635,711
Capital grants and contributions	671,012	919,149	946,347	963,291	1,349,188
Total business-like activities program revenues	160,492,347	147,458,503	146,795,109	165,378,060	181,387,619
Total primary government program revenues	230,463,862	226,877,352	215,244,200	239,920,749	244,676,767
Net (expense) revenue					
Governmental activities	(108,146,487)	(104,342,423)	(109,303,719)	(106,862,270)	(181,396,100)
Business-like activities	8,050,205	(7,722,078)	(5,885,115)	5,952,847	16,735,263
Total primary government net expense	(100,096,282)	(112,064,501)	(115,188,834)	(100,909,423)	(164,660,837)
General Revenues and Other Changes in Net Position					
Governmental activities:					
Taxes					
Property taxes	54,783,756	58,633,590	61,571,660	60,802,119	67,165,834
Sales taxes	38,330,268	40,624,737	43,163,411	44,092,707	43,418,876
Transient occupancy taxes	4,239,111	4,649,292	5,044,231	5,174,427	5,749,572
Franchise taxes	3,775,233	4,093,435	3,854,116	3,703,971	3,925,374
Deed transfer taxes	519,093	758,502	690,805	729,609	818,322
Business license taxes	5,125,801	5,104,859	5,422,499	5,348,086	5,570,488
Penalties on delinquent taxes	157,998	141,127	225,990	228,899	313,847
Investment earnings	1,165,783	807,618	752,064	1,989,004	1,404,527
Sale of capital assets	114,348	-	-	-	-
Special Items	-	-	-	-	10,657,104
Transfers	(2,207,463)	6,439,487	62,396	442,852	200,818
Extraordinary loss	-	-	-	-	-
Contributions to other government	-	-	-	-	(1,940,127)
Total governmental activities	106,003,928	121,252,647	120,787,172	122,511,674	137,284,635
Business-type activities:					
Investment earnings	2,997,078	2,735,395	2,482,980	2,440,982	1,839,114
Sale of capital assets	-	-	-	-	-
Contributions from/(to) other government	-	-	-	-	1,940,127
Transfers	2,207,463	(6,439,487)	(62,396)	(442,852)	(200,818)
Total business-type activities	5,204,541	(3,704,092)	2,420,584	1,998,130	3,578,423
Total primary government	111,208,469	117,548,555	123,207,756	124,509,804	140,863,058
Change in Net Position					
Governmental activities	(2,142,559)	16,910,224	11,483,453	15,649,404	(44,111,465)
Business-type activities	<u>13,254,746</u>	<u>(11,426,170)</u>	<u>(3,464,531)</u>	<u>7,950,977</u>	<u>20,313,686</u>
Total primary government	<u>11,112,187</u>	<u>5,484,054</u>	<u>8,018,922</u>	<u>23,600,381</u>	<u>(23,797,779)</u>

Source: Finance Department, City of Oxnard

City of Oxnard, California

SCHEDULE II (Continued) Changes in Net Position Last Ten Fiscal Years (accrual basis of accounting)

		Fiscal Year			
2019	2020	2021	2022	2023	
					Business-type activities:
					Charges for services:
\$59,825,449	59,884,394	\$62,227,968	\$57,211,384	52,868,819	Water
45,098,831	45,109,125	48,021,835	43,448,032	45,599,034	Wastewater
47,534,591	48,694,690	46,757,277	43,365,998	45,650,825	Environmental resource
-	-	-	-	-	Performing arts and convention center
5,976,701	4,740,597	6,184,299	5,323,254	5,627,664	Oxnard housing authority
-	-	5,758,442	6,398,280	6,294,905	Municipal golf course
21,866,012	24,388,234	26,016,248	24,640,627	28,634,591	Operating grants and contributions
-	-	-	1,719,118	2,557,794	Capital grants and contributions
180,301,584	182,817,040	194,966,069	182,106,693	187,233,632	Total business-like activities program revenues
\$260,504,884	\$261,088,847	\$282,275,004	\$268,250,591	\$271,178,630	Total primary government program revenues
					Net (expense) revenue
(151,373,307)	(155,557,921)	(153,391,619)	(149,542,759)	(175,418,938)	Governmental activities
18,685,997	11,481,913	16,974,107	(1,077,375)	(5,074,894)	Business-like activities
(\$132,687,310)	(\$144,076,008)	(\$136,417,512)	(\$150,620,134)	(\$180,493,832)	Total primary government net expense
					General Revenues and Other Changes in Net Position
					Governmental activities:
					Taxes
\$70,227,546	\$ 72,208,270	\$81,447,932	\$78,630,717	78,849,939	Property taxes
48,518,650	46,320,415	67,923,383	118,083,508	128,533,831	Sales taxes
5,557,470	4,302,491	4,267,463	5,117,229	5,271,128	Transient occupancy taxes
3,887,252	3,972,958	4,287,254	4,557,215	5,303,433	Franchise taxes
692,685	673,842	910,934	978,170	679,570	Deed transfer taxes
6,167,475	6,087,060	5,964,716	6,537,611	6,677,266	Business license taxes
361,488	406,774	201,657	92,544	294,969	Penalties on delinquent taxes
5,771,878	3,049,270	968,386	1,055,755	757,515	Investment earnings
(69,964)	-	-	-	2,098	Sale of capital assets
-	1,514,213	-	-	-	Special Items
243,045	107,452	(82,415,174)	130,897	(12,082,151)	Transfers
-	-	-	-	-	Extraordinary loss
789,392	-	-	-	-	Contributions to other government
142,146,917	138,642,745	83,556,551	215,183,646	214,287,598	Total governmental activities
					Business-type activities:
7,612,740	\$ 3,463,839	\$ 834,362	\$ 683,524	2,076,950	Investment earnings
(417,291)	-	-	-	1,657,939	Sale of capital assets
(221,058)	1,147,938	1,046,309	-	-	Contributions to/(from) other government
(243,045)	(107,452)	82,415,174	(130,897)	9,727,732	Transfers
6,731,346	4,504,325	84,295,845	552,627	13,462,621	Total business-type activities
\$148,878,263	\$143,147,070	\$167,852,396	\$215,736,273	\$227,750,219	Total primary program
					Change in Net Position
(9,226,390)	(16,915,176)	(69,835,068)	65,640,887	38,868,660	Governmental activities
25,417,343	15,986,238	101,269,952	(524,748)	8,387,727	Business-type activities
\$16,190,953	(\$928,938)	\$31,434,884	\$65,116,139	\$47,256,387	Total primary government

City of Oxnard, California

SCHEDULE III Fund Balances of Governmental Funds Last Ten Fiscal Years (modified accrual basis of accounting)

			Fiscal Year		
	2014	2015	2016	2017	2018
General Fund					
Unreserved	\$ 4,620,492	\$ -	\$ -	\$ -	\$ -
Nonspendable	-	-	-	-	-
Restricted	-	-	550,000	835,296	10,558,537
Committed	-	-	-	-	12,662,261
Assigned	18,409,417	11,315,859	15,879,092	23,283,091	-
Unassigned	13,916,093	13,459,906	17,858,980	22,150,403	17,241,635
Total general fund	<u>\$ 36,946,002</u>	<u>\$ 24,775,765</u>	<u>\$ 34,288,072</u>	<u>\$ 46,268,790</u>	<u>\$ 40,462,433</u>
All other governmental funds					
Nonspendable	\$ -	\$ -	\$ 104,736	\$ -	\$ -
Restricted	49,083,954	74,810,464	69,258,707	80,403,821	73,585,992
Committed	-	-	-	-	-
Assigned	5,498,036	9,639,955	10,007,525	12,774,735	17,563,011
Unassigned	(2,062,158)	(1,493,635)	(290,834)	(762,744)	-
Total all other governmental funds	<u>\$ 52,519,832</u>	<u>\$ 82,956,784</u>	<u>\$ 79,080,134</u>	<u>\$ 92,415,812</u>	<u>\$ 91,149,003</u>

Source: Finance Department, City of Oxnard

City of Oxnard, California

SCHEDULE III (Continued) Fund Balances of Governmental Funds Last Ten Fiscal Years (modified accrual basis of accounting)

			Fiscal Year			
2018	2019	2020	2021	2022	2023	
General Fund						
\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	Unreserved
-	-	-	-	3,589,072	399,520	Nonspendable
10,558,537	10,527,983	-	-	-	-	Restricted
12,662,261	22,560,234	33,066,407	22,896,490	19,056,388	43,631,177	Committed
-	282,831	-	-	-	27,812,072	Assigned
17,241,635	8,863,430	5,274,097	(3,048,424)	35,350,299	19,387,541	Unassigned
<u>\$ 40,462,433</u>	<u>\$ 42,234,478</u>	<u>\$ 38,340,504</u>	<u>\$ 19,848,066</u>	<u>\$ 57,995,759</u>	<u>\$ 91,230,310</u>	Total general fund
All other governmental funds						
\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,891	Nonspendable
73,585,992	83,901,661	105,403,013	111,307,894	108,729,988	113,608,179	Restricted
-	-	-	-	-	1,107,742	Committed
17,563,011	13,794,611	1,720,384	1,608,910	22,010,958	-	Assigned
-	-	(380,746)	(146,682)	(163,391)	-	Unassigned
<u>\$ 91,149,003</u>	<u>\$ 97,696,272</u>	<u>\$ 106,742,651</u>	<u>\$ 112,770,122</u>	<u>\$ 130,577,555</u>	<u>\$ 114,719,812</u>	Total all other governmental funds

City of Oxnard, California

SCHEDULE IV Changes in Fund Balances of Governmental Funds Last Ten Fiscal Years (modified accrual basis of accounting)

	Fiscal Year				
	2014	2015	2016	2017	2018
Revenues					
Taxes	\$106,888,431	\$113,864,415	\$119,746,722	\$119,850,919	\$126,648,408
Licenses and permits	3,628,916	3,092,838	3,402,217	4,222,838	3,306,324
Intergovernmental	40,960,669	41,721,395	21,455,873	20,224,399	20,440,135
Growth and development fees	3,512,534	3,442,898	4,452,750	7,100,771	2,342,768
Charges for services	9,617,645	11,144,204	25,471,392	23,969,821	20,570,129
Fines and forfeitures	699,521	765,857	1,413,718	2,537,822	2,438,772
Interest	1,165,783	657,609	657,140	1,949,489	1,271,263
Special assessments	8,022,913	7,993,871	9,613,886	8,367,032	9,018,025
Contributions from property owners	-	-	-	-	-
Rental income	-	-	-	-	-
Investment income	-	-	-	-	-
Miscellaneous	6,803,374	11,233,279	4,987,667	8,588,557	6,339,266
Total revenues	181,299,786	193,916,366	191,201,365	196,811,648	192,375,090
Expenditures					
General government	9,926,024	13,384,549	11,368,350	13,360,687	17,769,349
Public safety	93,875,139	95,777,400	93,676,090	94,676,659	102,770,375
Transportation	9,495,806	9,228,976	7,325,513	8,314,157	12,346,380
Public works	-	-	-	-	-
Community development	15,495,566	12,680,862	12,268,857	16,462,067	15,832,210
Culture, leisure and libraries	22,745,632	28,845,257	26,937,798	27,534,000	30,504,647
Housing	-	-	-	-	-
Capital outlay	13,983,543	13,835,114	20,898,762	8,737,398	16,767,682
Debt Service:					
Principal	3,764,935	6,884,661	6,641,368	5,573,572	5,278,145
Interest	2,776,612	4,225,920	4,530,126	4,932,901	9,276,932
Total expenditures	172,063,257	184,862,739	183,646,864	179,591,441	210,545,720
Excess of revenues over (under) expenditures	9,236,529	9,053,627	7,554,501	17,220,207	(18,170,630)
Other Financing Sources (Uses)					
Proceeds from financing sources	-	-	-	-	-
Payment to escrow agent	-	-	-	-	(27,785,000.00)
Proceeds from sale of bonds	363,387	23,765,460	-	-	37,608,582.00
Cost of issuance	-	(3,764,693)	-	-	-
Bond discount	-	-	-	-	-
Issuance of debt	-	-	-	-	-
Inception of subscription-based IT arrangements	-	-	-	-	-
Transfer from fiduciary funds	-	-	-	-	-
Transfer to fiduciary funds	-	-	-	-	-
Transfers in	5,570,120	14,594,844	10,964,565	9,632,647	13,140,181
Transfers out	(7,777,583)	(19,495,238)	(11,125,968)	(9,957,565)	(13,639,820)
Total other financing sources (uses)	(1,844,076)	15,100,373	(161,403)	(324,918)	9,323,943
Special items:					
Bond proceeds from Successor Agency	-	-	-	-	9,896,298
Total special items	-	-	-	-	9,896,298
Net change in fund balances	\$7,392,453	\$24,154,000	\$7,393,098	\$16,895,289	\$1,049,611
Debt service as a percentage of noncapital expenditure:	4.14%	6.50%	6.47%	6.44%	6.10%

Source: Finance Department, City of Oxnard

City of Oxnard, California

SCHEDULE IV (Continued) Changes in Fund Balances of Governmental Funds Last Ten Fiscal Years (modified accrual basis of accounting)

		Fiscal Year			
2019	2020	2021	2022	2023	
					Revenues
\$135,051,078	\$133,565,036	\$164,801,682	\$ 213,904,450	\$ 225,315,167	Taxes
4,057,972	3,852,280	5,019,520	3,702,771	5,381,448	Licenses and permits
24,527,753	25,312,025	34,738,885	55,129,611	46,187,436	Intergovernmental
6,310,510	2,313,182	12,036,333	4,104,875	4,168,962	Growth and development fees
21,302,912	23,772,644	19,339,452	17,539,473	13,116,597	Charges for services
2,693,405	3,381,758	1,924,384	2,613,509	2,816,558	Fines and forfeitures
5,293,976	3,049,270	968,386	1,055,755	-	Interest
9,142,871	8,223,416	7,461,211	6,932,860	6,503,861	Special assessments
-	-	-	-	-	Contributions from property owners
-	-	-	-	-	Rental income
-	-	-	-	757,515	Investment income
10,982,388	5,615,546	6,580,337	(3,942,474)	6,014,880	Miscellaneous
219,362,865	209,085,157	252,870,190	301,040,830	310,262,424	Total revenues
					Expenditures
17,566,664	21,597,780	16,558,258	21,533,767	21,926,995	General government
103,505,038	111,150,931	113,774,066	120,317,318	131,043,033	Public safety
10,808,214	11,198,258	11,093,922	12,787,453	-	Transportation
-	-	-	-	39,132,067	Public works
19,402,262	17,755,657	23,840,597	20,096,478	12,802,525	Community development
32,696,129	31,859,562	28,871,680	35,483,009	16,779,191	Culture, leisure and libraries
-	-	-	-	10,170,206	Housing
16,277,911	8,992,813	23,579,052	28,092,448	44,919,399	Capital outlay
					Debt Service:
6,121,274	5,952,119	5,835,030	6,542,675	7,269,096	Principal
4,957,649	5,714,456	4,086,553	3,873,096	3,861,642	Interest
211,335,141	214,221,576	227,639,158	248,726,244	287,904,154	Total expenditures
8,027,724	(5,136,419)	25,231,032	52,314,586	22,358,270	Excess of revenues over (under) expenditures
					Other Financing Sources (Uses)
-	-	-	3,300,000.00	-	Proceeds from financing sources
-	(39,695,000)	-	-	-	Payment to escrow agent
-	44,520,000	-	-	-	Proceeds from sale of bonds
-	(983,059)	-	-	-	Cost of issuance
-	2,875,507	-	-	-	Bond discount/premium
-	-	-	-	5,320,000	Issuance of debt
-	-	-	-	1,869,621	Inception of subscription-based IT arrangements
-	-	-	-	91,621	Transfer from fiduciary funds
-	-	-	-	(2,446,040)	Transfer to fiduciary funds
12,370,626	13,668,887	9,801,455	36,942,178	56,404,284	Transfers in
(12,096,584)	(13,494,482)	(47,288,541)	(36,601,638)	(66,220,948)	Transfers out
274,042	6,891,853	(37,487,086)	3,640,540	(4,981,462)	Total other financing sources (uses)
					Special items:
-	1,514,213	-	-	-	Bond proceeds from Successor Agency
-	1,514,213	-	-	-	Total special items
\$8,301,766	\$3,269,647	(\$12,256,054)	\$55,955,126	\$17,376,808	Net change in fund balances
5.68%	5.52%	4.50%	4.53%	4.49%	Debt service as a percentage of noncapital expenditures

City of Oxnard, California

SCHEDULE V Governmental Activities Tax Revenues by Source Last Ten Fiscal Years (modified accrual basis of accounting)

Fiscal Year	Property Tax	Sales Tax	Business License	Transient Occupancy Tax	Franchise Tax	Deed Transfer Tax	Penalties and Interest	Total
2014	54,783,756	38,287,439	5,125,801	4,239,111	3,775,233	519,093	157,998	106,888,431
2015	58,633,590	40,624,737	5,104,859	4,649,292	4,093,435	758,502	142,127	114,006,542
2016	61,571,660	43,163,411	5,422,499	5,044,231	3,854,116	690,805	225,990	119,972,712
2017	60,802,119	44,092,707	5,348,086	5,174,427	3,703,971	729,609	228,899	120,079,818
2018	67,165,834	43,418,876	5,570,488	5,749,572	3,925,374	818,322	313,847	126,962,313
2019	70,227,546	48,518,650	6,167,475	5,557,470	3,887,252	692,685	361,488	135,412,566
2020	72,208,270	46,320,415	6,087,060	4,302,491	3,972,958	673,842	406,774	133,971,810
2021	81,447,932	67,923,383	5,964,716	4,267,463	4,287,254	910,934	201,657	165,003,339
2022	78,630,717	118,083,508	6,537,644	5,117,229	4,557,215	978,170	92,544	213,997,027
2023	78,849,939	128,533,831	6,677,266	5,271,128	5,303,433	679,570	294,969	225,610,136

City of Oxnard, California

SCHEDULE VI Assessed Value and Estimated Value of Taxable Property Last Ten Fiscal Years

<u>Fiscal Year</u>	<u>Land</u>	<u>Improvements</u>	<u>Personal Property</u>	<u>Less: Tax Exempt Real Property</u>	<u>Total Taxable Assessed Value</u>	<u>Total Direct Tax Rate</u>	<u>Estimated Actual Taxable Value</u>	<u>as a Percentage of Actual Value</u>
2014	6,551,413,092	9,120,556,468	638,670,637	694,237,291	15,616,402,906	1.25544%	15,616,402,906	100.00%
2015	7,084,272,773	9,694,262,028	631,218,103	708,835,243	16,700,917,661	1.25556%	16,700,917,661	100.00%
2016	7,653,508,229	10,068,135,286	652,518,246	753,110,464	17,621,051,297	1.24681%	17,621,051,297	100.00%
2017	8,130,791,365	10,403,624,296	664,679,543	784,759,788	18,414,335,416	1.22712%	18,414,335,416	100.00%
2018	8,649,933,708	10,789,755,377	656,241,587	840,285,136	19,255,645,536	1.24657%	19,255,645,536	100.00%
2019	9,158,364,520	11,207,158,483	695,095,800	896,108,612	20,164,510,191	1.24170%	20,164,510,191	100.00%
2020	9,521,436,348	11,777,652,006	754,828,010	971,603,310	21,082,313,054	1.23896%	21,082,313,054	100.00%
2021	10,007,398,417	12,192,935,872	784,723,628	1,041,411,917	21,943,646,000	1.24885%	21,943,646,000	100.00%
2022	10,517,196,744	12,768,851,940	840,576,868	1,138,267,594	22,988,357,958	1.22788%	22,988,357,958	100.00%
2023	11,253,772,579	13,475,931,442	991,305,490	1,123,639,089	24,597,370,422	1.20824%	24,597,370,422	100.00%

NOTE: The County does not provide the breakdown of residential and commercial assessed values to the cities. The City also no longer has the information available for the residential and commercial assessed values. The personal property amounts include assessed values for boats and aircraft.

Source: County of Ventura, Office of the Auditor-Controller
Finance Department, City of Oxnard; HDL Coren & Cone

City of Oxnard, California

SCHEDULE VII Direct and Overlapping Property Tax Rates Last Ten Fiscal Years

Fiscal Year	City Direct Rates			Overlapping Rates			Total Direct & Overlapping Rates
	Basic (1)	Debt Service (2)	Total Direct (3)	School Districts (4)	Water Districts (5)	Total Overlapping	
2014	1.00000%	0.25544% *	1.25544%	0.14140%	0.00350%	0.14490%	1.40034%
2015	1.00000%	0.25556% *	1.25556%	0.12060%	0.00350%	0.12410%	1.37966%
2016	1.00000%	0.24681% *	1.24681%	0.12640%	0.00350%	0.12990%	1.37671%
2017	1.00000%	0.22712% *	1.22712%	0.13840%	0.00350%	0.14190%	1.36902%
2018	1.00000%	0.24657%	1.24657%	0.29110%	0.00350%	0.29460%	1.54117%
2019	1.00000%	0.24170%	1.24170%	0.33120%	0.00350% *	0.33470%	1.57640%
2020	1.00000%	0.23896%	1.23896%	0.36440%	0.00350%	0.36790%	1.60686%
2021	1.00000%	0.24885%	1.24885%	0.38390%	0.00350%	0.38740%	1.63625%
2022	1.00000%	0.22788%	1.22788%	0.34750%	0.00350%	0.35100%	1.57888%
2023	1.00000%	0.20824%	1.20824%	0.31080%	0.00350%	0.31430%	1.52254%

NOTE:

(1) The passage of Proposition 13 on June 6, 1978 established a maximum countywide levy of 1% of market value or \$1.00 per \$100 of assessed value.

(2) Total Direct Rate is the weighted average of all individual direct rates applied by the Entity preparing the statistical section and excludes revenues derived from aircraft. Beginning in 2013/14 the Total Direct Rate no longer includes revenue generated from the former redevelopment tax rates. Challenges to recognized enforceable obligations are assumed to have been resolved during 2012/13. For report purposes, residual revenue is assumed to have been distributed to the City in the same proportion as General Fund Revenue.

(3) Total Direct rate as presented is the Basic Levy plus Direct Debt Service

(4) The school district rates are for applicable school districts

(5) Overlapping rate for Metropolitan Water District and Calleguas Muni Water Annex#16. Reflects actual overlapping rate.

Not all overlapping rates apply to all city property owners.

* Correction of prior year data

Source: County of Ventura, Office of the Auditor-Controller
HdL, Coren & Cone

City of Oxnard, California

SCHEDULE VIII Principal Property Taxpayers Current Year and Nine Years Ago

Taxpayer	2023			2014		
	Taxable Assessed Value	Rank	Percentage of Total Taxable Assessed Value	Taxable Assessed Value	Rank	Percentage of Total Taxable Assessed Value
Amazon Com Services LLC	325,387,098	1	1.32%	-		0.00%
Procter & Gamble Paper Products	320,092,851	2	1.29%	318,375,847	1	2.02%
Essex Arbors LP	234,675,633	3	0.95%	202,081,727	2	1.28%
SOCMI LLC	141,047,874	4	0.57%	116,023,164	3	0.74%
Serenade Apts Properties Owners LLC	119,489,675	5	0.48%	-		0.00%
Arctic Cold Oxnard LLC	105,089,778	6	0.43%			0.00%
G-I IX Esplanade Property LP	99,840,587	7	0.40%	-		0.00%
HAAS Automation Inc	99,232,500	8	0.40%	78,059,700	7	0.50%
2060 Zocolo LLC	95,673,601	9	0.39%	-		0.00%
New-Indy Oxnard LLC	87,249,117	10	0.35%	69,467,684	9	0.44%
Genon Energy West LP - Ormond Beach	-			112,900,000	4	0.72%
Capri of KW Serenade LLC	-			84,691,280	5	0.54%
Prime Peninsula LP	-			84,540,000	6	0.54%
BG Terminal California LLC	-			74,726,336	8	0.47%
Duesenberg Investment Company	-			68,471,332	10	0.43%
Other taxpayers	23,091,413,961		93.41%	14,545,411,612		92.32%
Totals	24,719,192,675		100.00%	15,754,748,682		100.00%

Source: HdL Coren & Cone

^ Pending appeals on parcels.

City of Oxnard, California

SCHEDULE IX Property Tax Levies and Collections Last Ten Fiscal Years

Year Ended June 30	Total Tax Levy for Fiscal Year (1) *	Collected within the Fiscal Year of the Levy		Collections in Subsequent Years (1)	Total Collections to Date	
		Amount (2)	* Percentage of Levy		Amount	Percentage of Levy
2014	55,460,847	54,486,910	98.24%	456,769	54,943,679	99.07%
2015	59,303,044	57,509,993	96.98%	910,819	58,420,812	98.51%
2016	62,235,867	61,750,178	99.22%	350,407	62,100,585	99.78%
2017	61,751,329	58,086,482	94.07%	1,523,414	59,609,896	96.53%
2018	68,260,701	65,473,266	95.92%	903,482	66,376,748	97.24%
2019	71,278,856	68,423,547	95.99%	1,290,711	69,714,258	97.80%
2020	73,286,724	71,206,672	97.16%	1,175,364	72,382,036	98.77%
2021	82,559,732	77,736,649	94.16%	1,714,499	79,451,148	96.23%
2022	79,699,659	75,775,563	95.08%	1,323,291	77,098,854	96.74%
2023	79,897,712	76,312,644	95.51%	1,932,252	78,244,896	97.93%

Source: (1) Finance Department, City of Oxnard

(2) County of Ventura

Correction * Property tax levy and collection amounts include secured and unsecured property taxes, property tax in lieu of vehicle license fee (VLF), public safety property tax and distributions from the Redevelopment Property Tax Trust Fund (RPTTF), and exclude special assessments

City of Oxnard, California

SCHEDULE X Ratios of Outstanding Debt by Type Last Ten Fiscal Years

Fiscal Year	C	E	G	I	K	M	N	O	Q
	Revenue Bonds	Certificates of Participation	Tax Allocation Bonds	Capital Leases	Revenue Bonds	Capital Leases	Notes Payable	Total Primary Government	(1) Percentage of Personal Income
2014	32,453,939	24,755,000	-	9,943,968	347,340,473	2,093,846	-	416,587,226	10.252%
2015	71,026,930	24,064,918	-	22,159,563	316,333,774	1,546,350	-	435,131,535	10.581%
2016	66,823,533	23,464,945	-	21,558,130	305,514,850	1,034,692	-	418,396,150	10.043%
2017	64,280,135	22,839,973	-	19,873,684	295,626,652	552,843	-	403,173,287	9.716%
2018	66,940,356	22,180,000	-	22,695,103	285,697,297	5,073,701	-	402,586,457	9.318%
2019	63,984,454	21,460,000	-	20,060,511	278,192,906	4,590,375	-	388,288,246	8.434%
2020	89,363,537	-	-	17,827,064	267,148,736	4,117,507	-	378,456,844	7.957%
2021	85,260,598	-	-	15,801,116	273,910,375	3,655,774	269,710	378,897,573	7.599%
2022	80,944,184	-	-	16,655,461	264,335,753	11,872,159	269,710	374,077,267	7.295%
2023	76,357,669	-	-	19,532,353	252,596,306	9,963,927	269,710	358,719,965	6.688%

NOTE: (1) Details regarding the City's outstanding debt can be found in the notes to the financial statements.
(2) Demographic and Economic Statistics for personal income and population data HDL Coren & Cone
* Corrected to use 2018 Per Capita not 2017 per HDL Coren & Cone

Source: Finance Department, City of Oxnard
HDL Coren & Cone

City of Oxnard, California

SCHEDULE XI Direct and Overlapping Governmental Activities Debt As of June 30, 2022

<u>Governmental Unit</u>	<u>Debt Outstanding</u>	<u>Estimated Percentage Applicable</u>	<u>Estimated Share of Overlapping Debt</u>
City of Oxnard Overlapping Tax Debt (1):			
Metropolitan Water District	19,215,000	0.673%	129,317
Ventura County Community College District	244,336,692	15.167%	37,058,546
Oxnard Union High School District	436,477,016	46.449%	202,739,209
Oxnard School District	340,058,816	92.667%	315,122,303
Rio School District	99,552,895	86.163%	85,777,761
Rio School District Community Facilities District No 1	63,015,000	100.000%	63,015,000
Hueneme School District	59,045,506	42.393%	25,031,161
Ocean View School District	9,228,362	40.595%	3,746,254
City of Oxnard Community Facilities District 1	6,180,000	100.000%	6,180,000
City of Oxnard Community Facilities District 3	21,425,000	100.000%	21,425,000
City of Oxnard Boulevard Highway Communities Facilities District	4,340,000	100.000%	4,340,000
City of Oxnard - Rice Avenue/Hwy 101 Assessment District 2001-1	7,060,000	100.000%	7,060,000
City of Oxnard - Oxnard Blvd/Hwy 101 Assessment District 2000-1	1,315,000	100.000%	1,315,000
California Statewide Community Development Authority District No. 2018-1	15,625,000	100.000%	15,625,000
California Statewide Community Development Authority 1915 Act Bond	110,074	100.000%	110,074
Ventura County General Fund Obligations	289,520,000	15.163%	43,899,918
Ventura County Superintendent of Schools - Certificates of Participation	7,350,000	15.163%	1,114,481
Oxnard Union High School District - Certificates of Participation	58,308,222	46.449%	27,083,586
Ocean View School District - Certificates of Participation	4,545,000	40.595%	1,845,043
Oxnard School District - Certificates of Participation	7,820,000	92.667%	7,246,559
Rio School District - Certificates of Participation	970,000	86.163%	835,781
Successor Agency - Tax Increment Debt	25,370,000	99.831%	25,327,112
Subtotal - Overlapping Debt	1,720,867,583		896,027,104
City of Oxnard Direct Debt (2):			
City of Oxnard Lease Revenue Bonds			58,773,055
City of Oxnard Gas Tax Revenue Bonds			17,584,614
City of Oxnard Lease Purchase Agreements			10,764,076
City of Oxnard Capital Lease Obligations			8,768,277
Subtotal - Direct Debt			95,890,022
Total Direct and Overlapping Debt			991,917,126

Notes:

- (1) The percentage of overlapping debt applicable to the City is estimated using assessed property values. Applicable percentages were estimated by determining the portion of the overlapping District's assessed value that is within the boundaries of the City divided by the District's total taxable assessed value.
- (2) Excludes debt for business-type activities

Source: California Municipal Statistics, Inc.

City of Oxnard, California

SCHEDULE XII Legal Debt Margin Information Last Ten Fiscal Years (in thousands)

	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Debt limit	\$ 2,427,380	\$ 2,593,772	\$ 2,643,158	\$ 2,762,153	\$ 2,888,347	\$ 3,024,677	\$ 3,162,347	\$ 3,291,547	\$ 3,448,254	\$ 3,689,606
Total net debt applicable to limit	-	-	-	-	-	-	-	-	-	-
Legal debt margin	\$ 2,427,380	\$ 2,593,772	\$ 2,643,158	\$ 2,762,153	\$ 2,888,347	\$ 3,024,677	\$ 3,162,347	\$ 3,291,547	\$ 3,448,254	\$ 3,689,606
Total net debt applicable to the limit as a percentage of debt limit	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Legal Debt Margin Calculation for Fiscal Year 2023

Assessed value	\$ 19,213,312,687
Add back: exempt real property	5,384,057,735
Total assessed value	<u>\$ 24,597,370,422</u>
Debt limit (15% of total assessed value)	\$ 3,689,605,563
Debt applicable to limit:	
General obligation bonds	328,953,975
Less: assets in debt service funds for principal payments	
Revenue bonds - governmental activities	(76,357,669)
Revenue bonds - business-type activities	(252,596,306)
Tax allocation bonds-community development commission	-
Certificates of participation	-
Total net debt applicable limit	<u>-</u>
Legal debt margin	<u>\$ 3,689,605,563</u>

Source: County of Ventura
Finance Department, City of Oxnard

City of Oxnard, California

SCHEDULE XIII Pledged Revenue Coverage Last Ten Fiscal Years

Fiscal Year	Water Revenue Bonds**					
	Gross Revenues ***	Less: Operating Expenses	Net Available Revenues	Debt Service Principal	Interest***	Coverage
2014	53,964,322	35,057,157	18,907,165	3,858,359	10,838,887	1.29
2015	48,512,000	35,981,736	12,530,264	4,017,916	8,602,946	0.99
2016	47,725,068	36,729,222	10,995,846	4,144,638	8,371,561	0.88
2017	50,683,078	37,479,875	13,203,203	4,290,245	8,253,814	1.05
2018	62,750,295	37,781,641	24,968,654	4,474,671	8,960,800	1.86
2019	61,615,626	37,586,305	24,029,321	3,457,368	7,318,141	2.23
2020	61,222,220	39,746,165	21,476,055	4,726,028	7,036,758	1.83
2021	60,368,949	42,772,873	17,596,076	3,393,227	7,120,495	1.67
2022	57,841,672	44,167,359	13,674,313	3,551,317	7,469,013	1.24
2023	58,021,091	43,813,695	14,207,396	5,362,121	7,186,097	1.13

Fiscal Year	Environmental Resources Revenue Bonds					
	Gross Revenues	Less: Operating Expenses	Net Available Revenues	Debt Service Principal	Interest Expense	Coverage
2014	44,670,034	36,624,969	8,045,065	2,681,421	752,146	2.34
2015	43,578,972	35,911,447	7,667,525	2,813,015	254,592	2.50
2016	41,677,411	36,427,580	5,249,831	1,930,153	250,937	2.41
2017*	-	-	-	-	-	-
2018*	-	-	-	-	-	-
2019*	-	-	-	-	-	-
2020*	-	-	-	-	-	-
2021*	-	-	-	-	-	-
2022*	-	-	-	-	-	-
2023*	-	-	-	-	-	-

Note: The above gross revenues include operating revenues (excluding connection fees) and investment
The above operating expenses do not include depreciation, debt service and infrastructure use fee
payments

The City's bond obligations are secured by the pledge of net system revenues

* There were no pledged revenues during this year

** Correction of previously reported amounts

*** For Water Revenue Bonds, BAB subsidy is excluded from gross revenues and net against interest
expense starting 2015

Source: Finance Department, City of Oxnard

City of Oxnard, California

SCHEDULE XIII (Continued)

Pledged Revenue Coverage Last Ten Fiscal Years

Wastewater Revenue Bonds**

Gross Revenues	Less: Operating Expenses	Net Available Revenues	Debt Service Principal	Interest Expense	Coverage	Fiscal Year
30,274,729	17,888,757	12,385,972	4,316,967	6,738,688	1.12	2014
31,973,678	20,731,227	11,242,451	4,193,581	6,586,349	1.04	2015
30,204,121	19,472,569	10,731,552	4,302,474	4,731,254	1.19	2016
37,100,396	18,374,360	18,726,036	4,353,176	4,643,123	2.08	2017
40,284,050	19,768,048	20,516,002	4,481,192	4,963,668	2.17	2018
43,701,872	16,722,471	26,979,401	4,433,905	4,293,796	3.09	2019
44,268,629	21,522,952	22,745,677	4,661,097	4,119,088	2.59	2020
48,284,979	21,738,622	26,546,357	4,795,000	4,636,250	2.81	2021
46,356,596	23,918,496	22,438,100	5,035,000	4,396,500	2.38	2022
47,264,371	23,620,616	23,643,755	5,285,000	4,144,750	2.51	2023

Oxnard Housing Authority Revenue Bonds

Gross Revenues	Less: Operating Expenses	Net Available Revenues	Debt Service Principal	Interest	Coverage	Fiscal Year
26,172,885	24,332,827	1,840,058	270,000	168,169	4.20	2014
23,119,454	22,346,820	772,634	280,000	160,170	1.76	2015
23,119,454	22,587,321	532,133	295,000	149,436	1.20	2016
26,476,702	25,300,241	1,176,461	310,000	132,968	2.66	2017
27,712,683	27,085,179	627,504	320,000	130,683	1.39	2018
27,767,016	26,848,409	918,607	335,000	114,345	2.04	2019
29,554,251	29,171,840	382,411	335,000	97,905	0.88	2020
31,100,628	29,995,087	1,105,541	370,000	77,345	2.47	2021
29,752,261	28,735,630	1,016,631	390,000	59,164	2.26	2022
34,790,336	33,573,245	1,217,091	410,000	41,375	2.70	2023

City of Oxnard, California

SCHEDULE XIV Demographic and Economic Statistics Last Ten Fiscal Years

Fiscal Year	(1) Population	(2) Personal Income (in thous)	(2) Per Capita Income	(2) Median Age	(3) School Enrollment	(4) Unemployment Rate
2014	203,645	4,063,329	19,953	30.3	39,418	8.6%
2015	206,148	4,112,210	20,210	30.5	39,266	5.8%
2016	206,997	4,166,242	20,127	30.7	39,425	6.2%
2017	207,772	4,149,665	19,972	30.8	41,338	5.6%
2018	206,499	4,320,613	20,923	31.4	39,461	5.1%
2019	209,879	4,603,746	21,935	31.7	39,578	4.8%
2020	206,352	4,756,228	23,049	32.1	39,525	7.7%*
2021	204,675	4,986,380	24,362	32.3	37,761	9.3%
2022	200,050	5,128,178	25,634	32.8	35,227	4.70%
2023	197,477	5,363,539	27,160	33.1	34,105	4.50%

Sources:

- (1) California Department of Finance, Demographic Research Unit. - Provided by HdL Coren & Cone
- (2) Demographic and Economic Statistics for personal income and population data provided by HdL Coren &
- (3) Oxnard School Districts (Elementary) and Oxnard Union High School District, Oxnard College
- (4) California Employment Development Department - Provided by HdL Coren & Cone

* Calculated based on monthly unemployment data from California EDD for the fiscal year. The rate at the end of the fiscal year was 11.8%.

City of Oxnard, California

SCHEDULE XV Principal Employers Current Year and Nine Years Ago

Employer	2023		2014	
	Number of Employees	Percent of Total Employment	Number of Employees	Percent of Total Employment
Reiter	3,728	3.82%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Amazon	2,000	2.05%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
City of Oxnard	1,683	1.72%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Oxnard Union High School	1,614	1.65%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
HAAS Automation	1,501	1.54%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
St. John's Regional Medical Center	1,413	1.45%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Oxnard School District	934	0.96%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
ColourPop	581	0.59%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Rio School District	599	0.61%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Oxnard College	572	0.59%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Subtotal	14,625	14.97%		
Rest of Oxnard	78,775	80.62%	DATA NOT AVAILABLE	DATA NOT AVAILABLE
Total	93,400	95.58%	DATA NOT AVAILABLE	DATA NOT AVAILABLE

Source: EDD & Oxnard Businesses

City of Oxnard, California

SCHEDULE XVI Operating Indicators by Function Last Ten Fiscal Years

Function	2014	2015	2016	2017	2018
Police					
Physical arrests	6,169	7,388	7,063	6,641	6,495
Parking violations	25,130	26,033	32,288	32,273	25,663
Traffic violations	17,775	18,596	15,845	16,935	18,745
Fire					
Number of calls answered	14,770	15,560	17,678	17,974	25,005
Inspections	3,588	3,030	2,296	1,463	3,710
Highways and streets					
Potholes repaired	13,500	13,000	12,500	12,500	12,500
Sanitation					
Total number of customers	44,677	44,944	45,266	45,537	45,773
Refuse collected (tons/day)	582	605	608	626	645
Recyclables collected (tons/day)	101	98	101	104	125
Culture and recreation					
Community center admissions	22,980	35,857	28,896	26,343	26,196
Water					
Total number of customers	41,319	41,437	41,635	42,301	42,041
Average daily consumption (gallons/day)	24,041,322	21,014,055	21,701,750	22,130,222	22,444,527
Wastewater					
Total number of customers	38,971	39,210	39,374	39,580	39,735
Average daily sewerage treatment (gallons/day)	19,700,000	19,700,000	19,700,000	18,800,000	19,080,000

Source: Various departments, City of Oxnard

* Starting fiscal year 2019, the City began using a new method calculating the number of potholes repaired. The new method is based on the total quantity of materials used for the year and average quantity of materials used per pothole.

^ Includes total admissions for Palm Vista Senior Center which opened in 2019.

** Decrease due to staffing decrease and Covid-19 limitations

^^ Senior Center Admissions - 34,096; Youth Centers Admissions - 16,866

City of Oxnard, California

SCHEDULE XVI (Continued)
Operating Indicators by Function
Last Ten Fiscal Years

2019	2020	2021	2022	2023	Function
					Police
6,997	6,018	3,298	3,337	3,602	Physical arrests
36,159	21,709	14,221	29,848	42,762	Parking violations
17,804	14,231	11,025	15,743	10,216	Traffic violations
					Fire
28,528	30,391	27,424	26,331	22,334	Number of calls answered
3,107	1,817	4,866	3,128	3,300	Inspections
					Highways and streets
2,000*	1,300**	1,400	596	4,499	Potholes repaired
					Sanitation
46,043	46,576	35,218	39,040	37,113	Total number of customers
706	733	741	716	682	Refuse collected (tons/day)
131	144	154	157	172	Recyclables collected (tons/day)
					Culture and recreation
40,760^	34,707^^	0^^	63,917^^	50,962	Community center admissions
					Water
42,122	42,804	42,958	43,069	43,127	Total number of customers
20,691,499	20,099,230	21,773,583	21,494,262	18,540,946	Average daily consumption
					Wastewater
39,808	39,962	40,177	38,650	40,291	Total number of customers
19,700,000	17,300,000	18,000,000	16,410,000	16,290,000	Average daily sewerage treatment

City of Oxnard, California

SCHEDULE XVII Capital Assets by Function Last Ten Fiscal Years

Function	2014	2015	2016	2017	2018
Public safety					
Police					
Stations	1	1	1	1	1
Police vehicles	268	311	233	236	236
Fire					
Stations	7	8	8	8	8
Fire Trucks	16	17	17	17	17
Hydrants ^	5,500	5,500	5,500	5,551	5,551
Sanitation					
Collection trucks	51	50	52	52	50
Highways and streets					
Streets (miles)	400	400	432	432	451
City-owned streetlights	974	972	980	1,025	1,025
SCE-owned streetlights ^^	10,192	10,225	10,225	10,240	10,242
Culture and recreation					
Parks acreage	550	555	561	561	561
Parks	58	59	62	61	61
Ball diamonds	37	37	22	27	29
Basketball courts	47	48	25	30	30
Craft/Activity buildings	10	10	2	2	2
Gymnasium	2	2	2	2	2
Swimming pools	1	1	1	1	1
Tennis courts	30	30	25	33	32
Community centers	3	3	2	3	3
Library					
Number of books	325,906	318,644	316,646	333,016	366,051
Number of microfilms	8,729	8,729	5,885	8,323	7,822
Number of audiotapes	20,423	19,618	18,902	10,922	13,003
Number of videotapes	15,025	14,167	14,259	-	-
Number of DVD *	-	-	-	19,249	17,947
Number of CD-ROMS (data disk)	447	16	3,072	-	-
Water					
Water mains (miles)	600	600	600	624	624
Maximum daily treatment capacity	18,600,000	18,600,000	18,600,000	18,600,000 #	18,600,000
Sewer					
Miles of sewer lines	430	430	430	430	430

Source: Various departments, City of Oxnard

^ Total includes only City-owned fire hydrants

* Transitioned from video tapes to DVD's

^^ Totals provided by Southern California Edison with accompanying location map for each light

** Decrease in Acreage due to expired contract with County of Ventura to maintain beach front areas

^^^ Decrease due to update to GIS system adjusting for mains owned by water districts previously listed as City owned

*** Decrease due to abandoned sewer lines

City of Oxnard, California

SCHEDULE XVII (Continued) Capital Assets by Function Last Ten Fiscal Years

2019	2020	2021	2022	2023	Function
					Public safety
					Police
1	1	1	1	1	Stations
240	242	224	211	205	Police vehicles
					Fire
8	8	8	8	8	Stations
17	17	17	3	17	Fire Trucks
5,676	5,678	5,690	6,279	5,782	Hydrants
					Sanitation
56	56	52	57	71	Collection trucks
					Highways and streets
452	484.5	400	455	439	Streets (miles)
1,025	1,025	972	607	607	City-owned streetlights
10,242	9,950	10,225	9,800	9,800	SCE-owned streetlights
					Culture and recreation
539	438**	438	438	438	Parks acreage
56	53	53	53	53	Parks
30	30	30	30	30	Ball diamonds
30	30	30	30	30	Basketball courts
2	2	2	2	2	Craft/Activity buildings
2	2	2	2	2	Gymnasium
1	1	1	1	1	Swimming pools
32	32	32	32	32	Tennis courts
3	3	3	3	3	Community centers
					Library
411,482	334,016	331,204	271,862	307,003	Number of books
8,377	8,377	21,700	41,947	41,947	Number of microfilms
20,528	8,996	8,634	8,271	7,886	Number of audiobooks
-	-	134	50	50	Number of videotapes
21,162	17,522	17,009	16,358	16,337	Number of DVD
-	-	111	111	200	Number of CD-ROMS (data disk)
					Water
563^^	563	525	527	531	Water mains (miles)
18,600,000	18,600,000	18,600,000	18,600,000	18,600,000	Maximum daily treatment capacity
					Sewer
430	405***	406	406	406	Miles of sewer lines

City of Oxnard, California

SCHEDULE XVIII Full-Time Equivalent City Government Employees by Function Last Ten Fiscal Years

Function	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
General government	230	230	228	224	288	279	250.5	253.4	302	295
Public Safety										
Police										
Officers	249	252	243	252	268	258	258	274	271	240
Civilians	159	157	151	110	114	117	115	84	87	122
Fire										
Firefighters & officers	110	125	125	125	150	123	124	123	121	144
Civilians	3	3	3	3	4	8	7	10	13	18
Transportation	44	43	25	16	27	39	39	23.5	10	32
Community development	58	59	50	52	57	58	62	62	63	66
Culture, Leisure, and Libraries	83	81	67	55	95	92.5	79	79.3	108	121
Utilities										
Water	50	50	52	53	56	52	60	76.3	78	80
Wastewater	79	80	81	81	74	73	73.5	76.8	79	92
Environmental Resources	80	118	113	118	175	172	173	195	199	197
Housing	82	81	80	81	70	71	71	71	69	65
Total	1,226	1,278	1,218	1,171	1,378	1,342.5	1,312	1,328.3	1400	1472

Note: The numbers above represent authorized positions by the City Council.

Source: Per. Adopted Budget Budget Personnel Schedule for FY2022-23 Revised FTE Count



AUDIT REPORT FOR FYE

JUNE 30, 2023

City Council Meeting | March 19, 2024

AGENDA

Independent Auditor’s Opinion	2
Significant Audit Matters	3
ACFR Highlights	7
Revenues – General Fund Group	13
Expenditures – General Fund Group	14
Budget vs Actual – General Fund Group	15

INDEPENDENT AUDITOR'S OPINION

***“Clean Opinion”
for June 30, 2023
see pages 1 – 4 of ACFR***

SIGNIFICANT AUDIT MATTERS*

- Significant Accounting Policies

- GASB 96, Subscription-based Information Technology Arrangements (SBITA)
- In FY 2022, GASB 87, Leases

- Significant Accounting Estimates

- Allowances for doubtful accounts
- Pension & OPEB
- Self-insurance claims payable
- Depreciation expense and accumulated depreciation

* Refer to Eadie and Payne letter to City Council dated February 23, 2024

SIGNIFICANT AUDIT MATTERS*

- Significant Disclosures
 - Cash and investments (Note II.A.)
 - Long-term debt (Note II.H.)
 - Deficit fund balances (Note II.K.)
 - Prior period adjustments (Note II.L.)
 - Pension & OPEB related (Note III.)
 - Commitments & contingencies (Note V.A.)
 - Risks & uncertainties (Note V.B.)

* Refer to Eadie and Payne letter to City Council dated February 23, 2024

SIGNIFICANT AUDIT MATTERS*

- Audit Adjustments
 - Ventura County Investment Pool: adjustment for accrued interest and fair value adjustment by \$943,000 across all City funds.
 - ISF Intangible assets, net of accumulated amortization, and related subscription liability: increase by \$1.0 million and \$0.9 million respectively.
 - Prior period adjustment for pension and OPEB: increase governmental activities net position by \$14.5 million, decreased business-type activities net position by \$3.5 million for water fund, \$1.7 million for waste water fund, \$9.3 million for environmental resources fund.
 - OPEB and pension balances for FY 2023: net decrease by \$5.5 million.
 - Prior period adjustment for deferred revenue not posted in FY 2021 : decrease governmental activities net position by \$6.9 million.

* Refer to Eadie and Payne letter to City Council dated February 23, 2024

SIGNIFICANT AUDIT MATTERS*

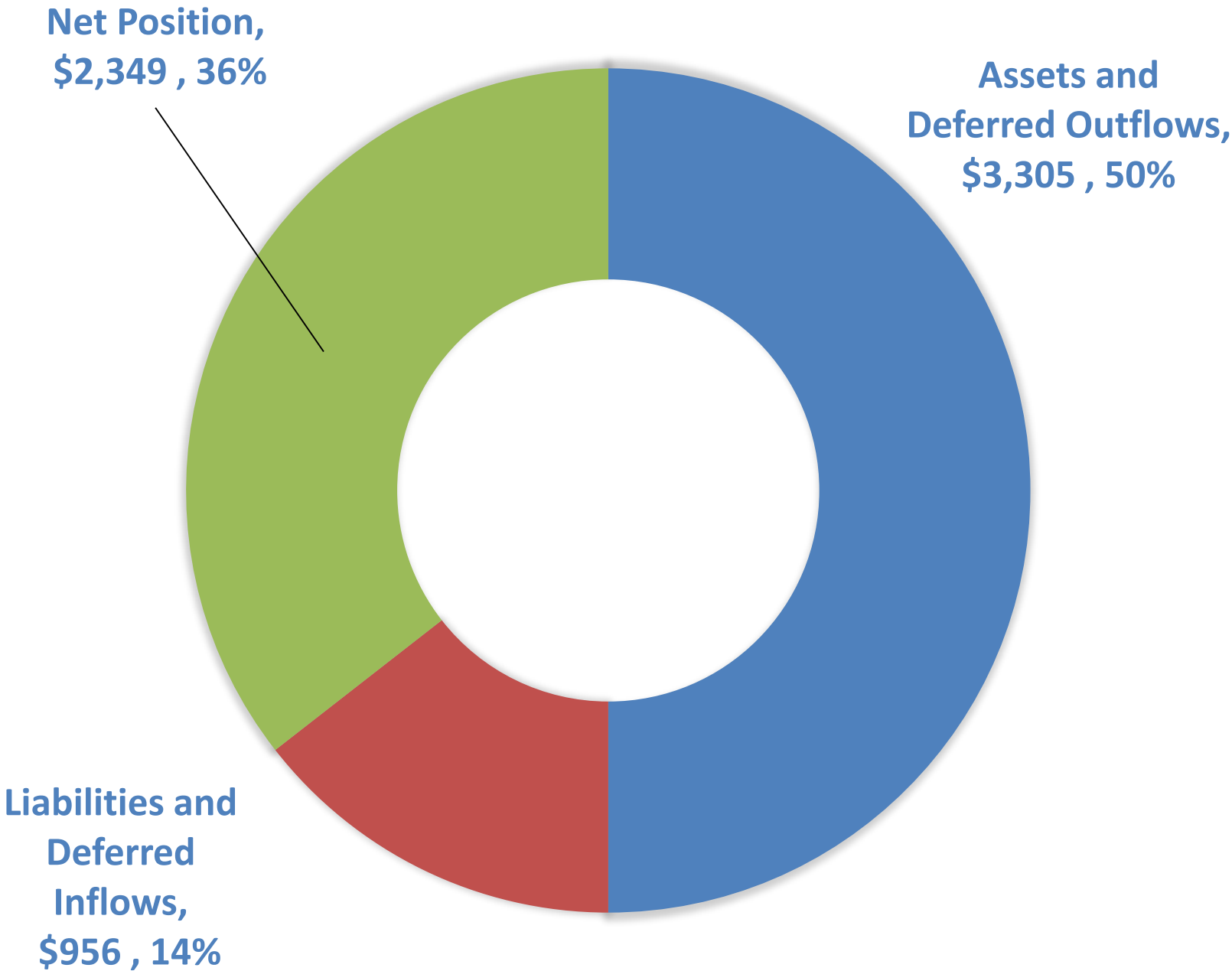
- Significant risks
- Challenges encountered during audit
 - ERP implementation
- No disagreements with management
- Internal control report – tentative end of March 2024

* Refer to Eadie and Payne letter to City Council dated February 23, 2024

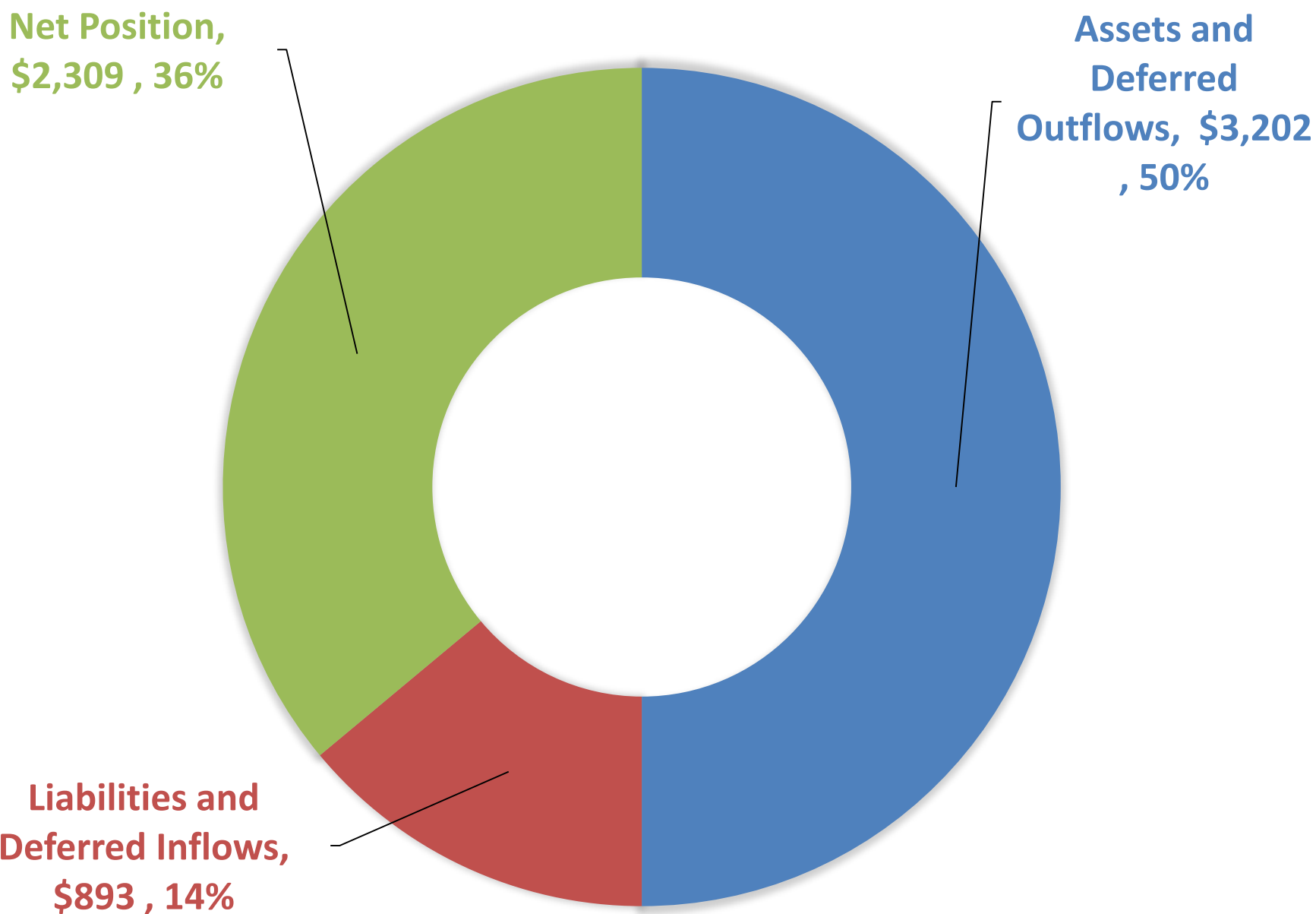
ACFR HIGHLIGHTS

Net Position (\$ millions)

2023

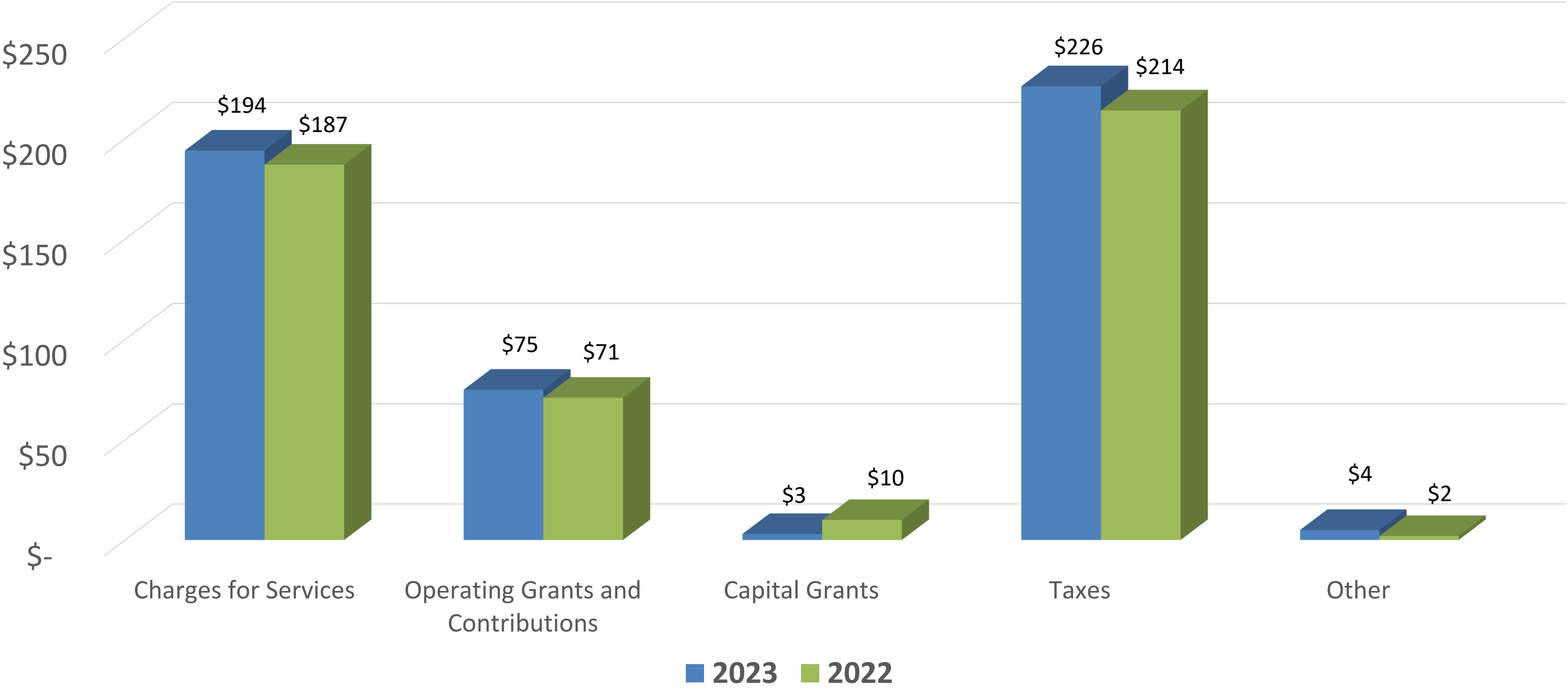


2022



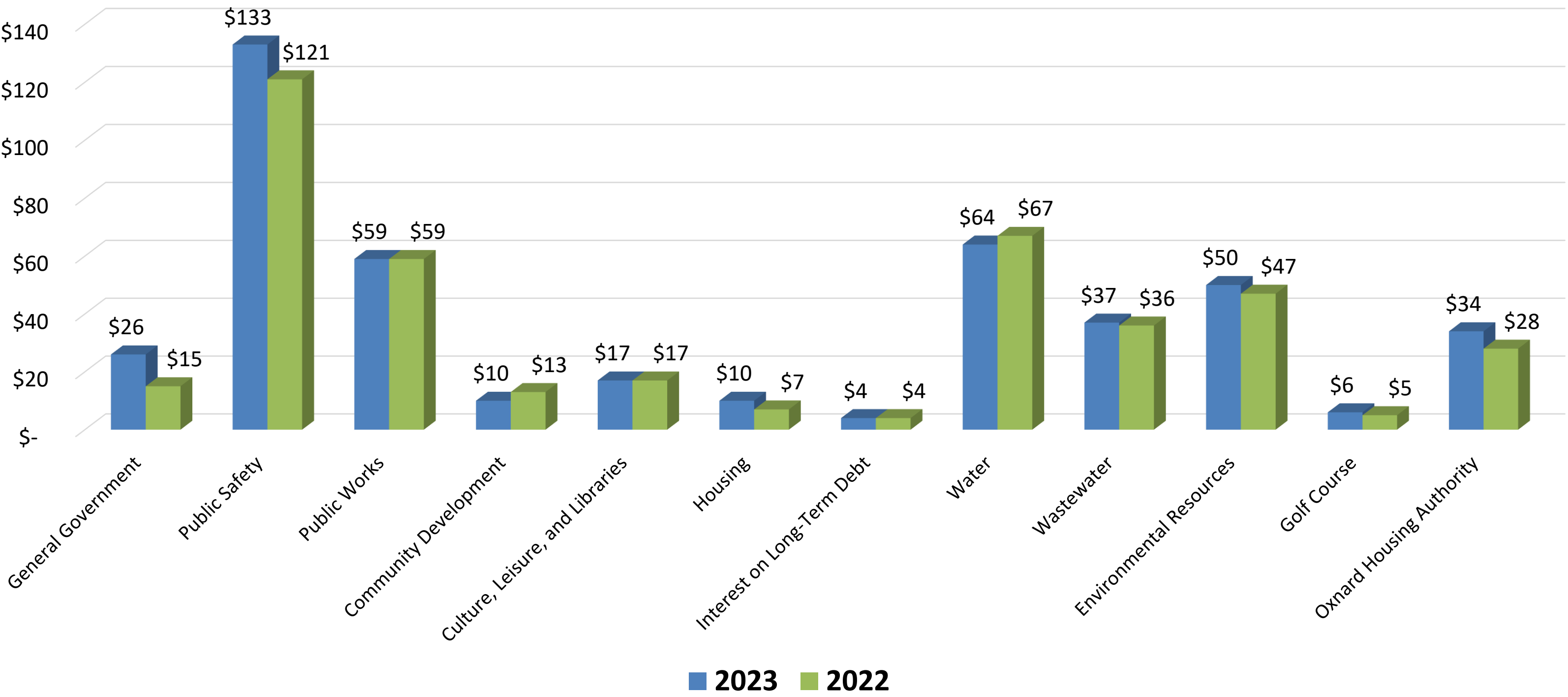
ACFR HIGHLIGHTS

Revenues (\$ millions)



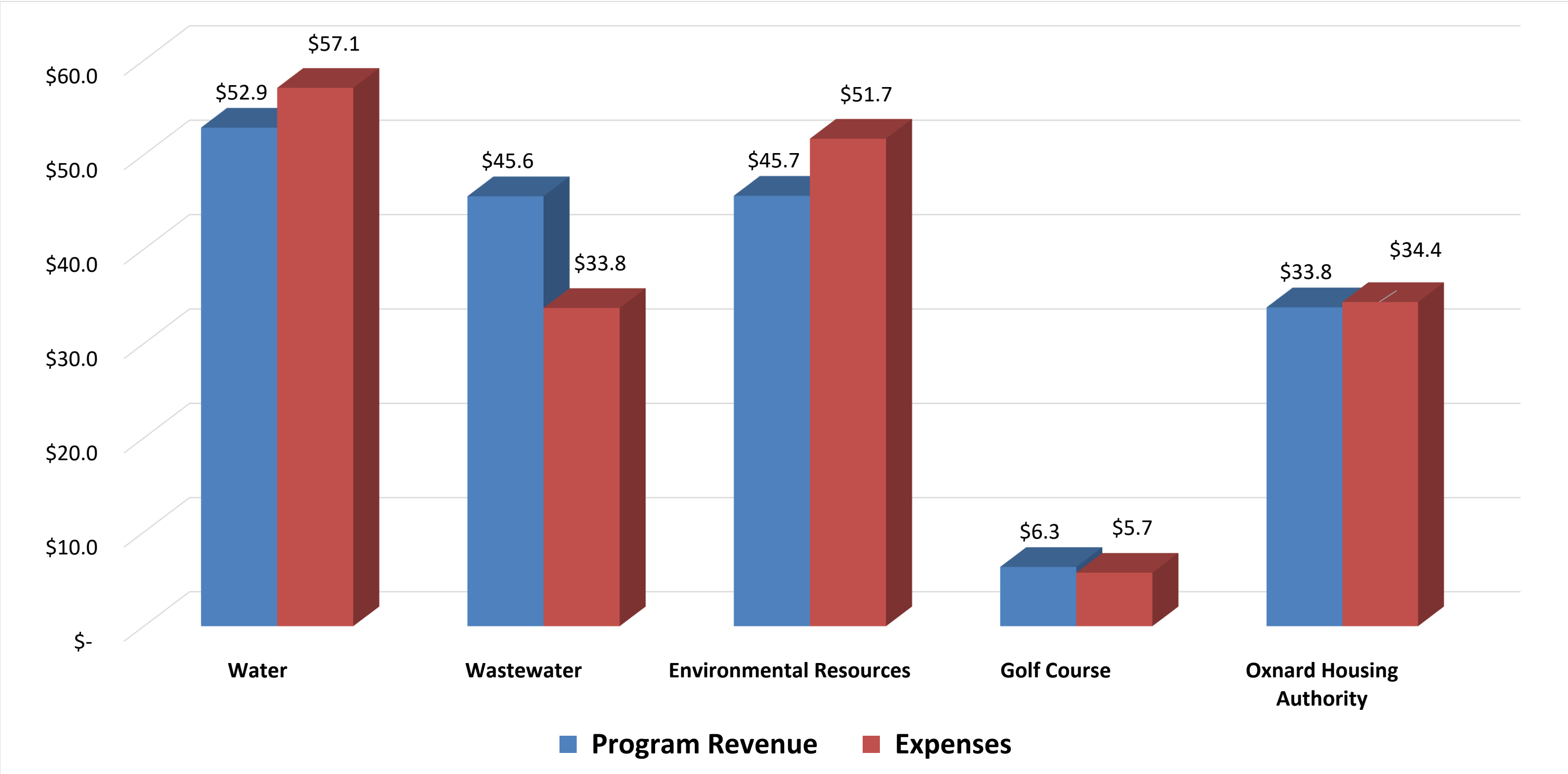
ACFR HIGHLIGHTS

Expenses (\$ millions)



ACFR HIGHLIGHTS

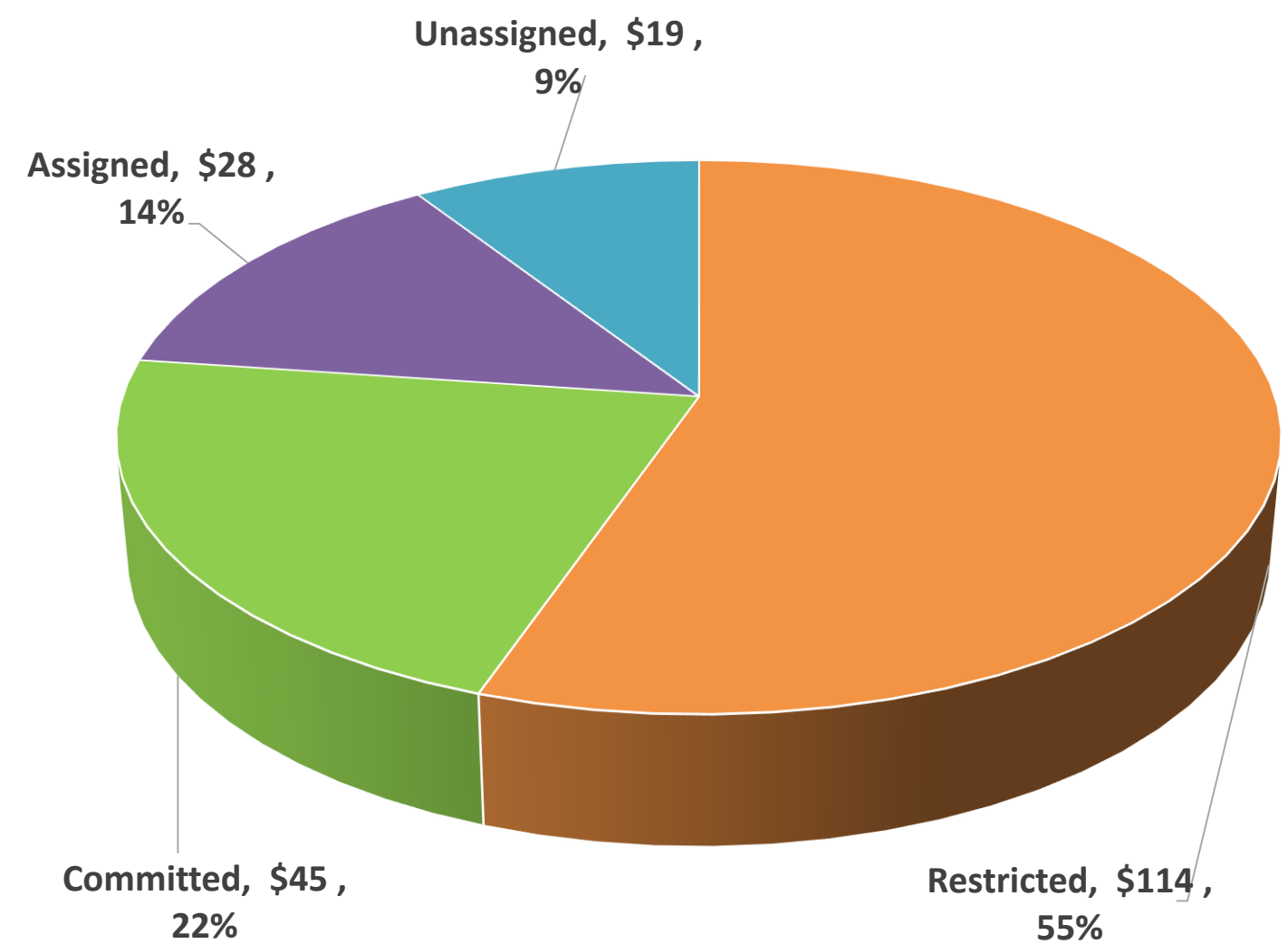
Revenues and Expenses Business-Type Activities (\$ millions)



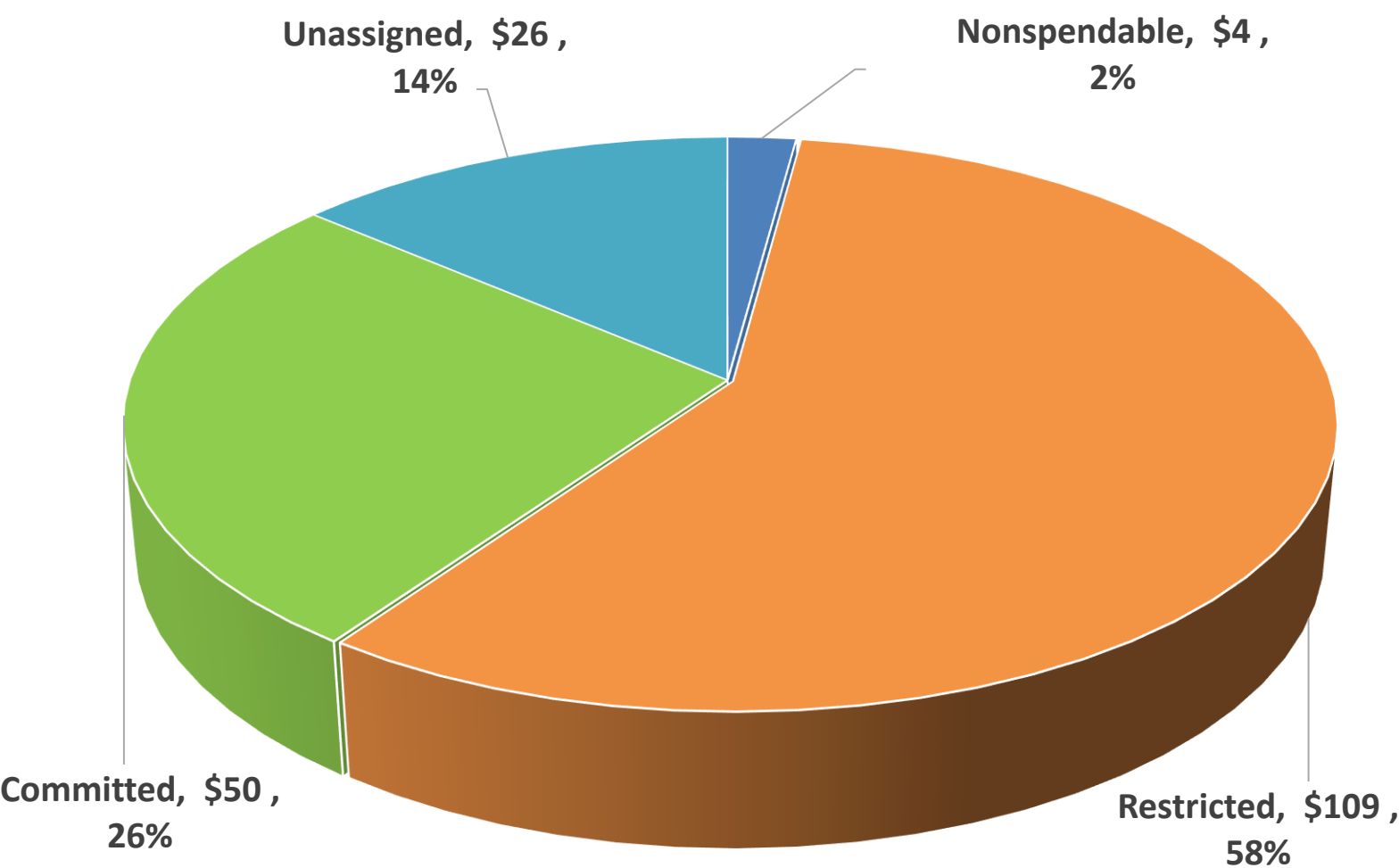
ACFR HIGHLIGHTS

Governmental Funds Fund Balance (\$ millions)

2023 – Total \$206

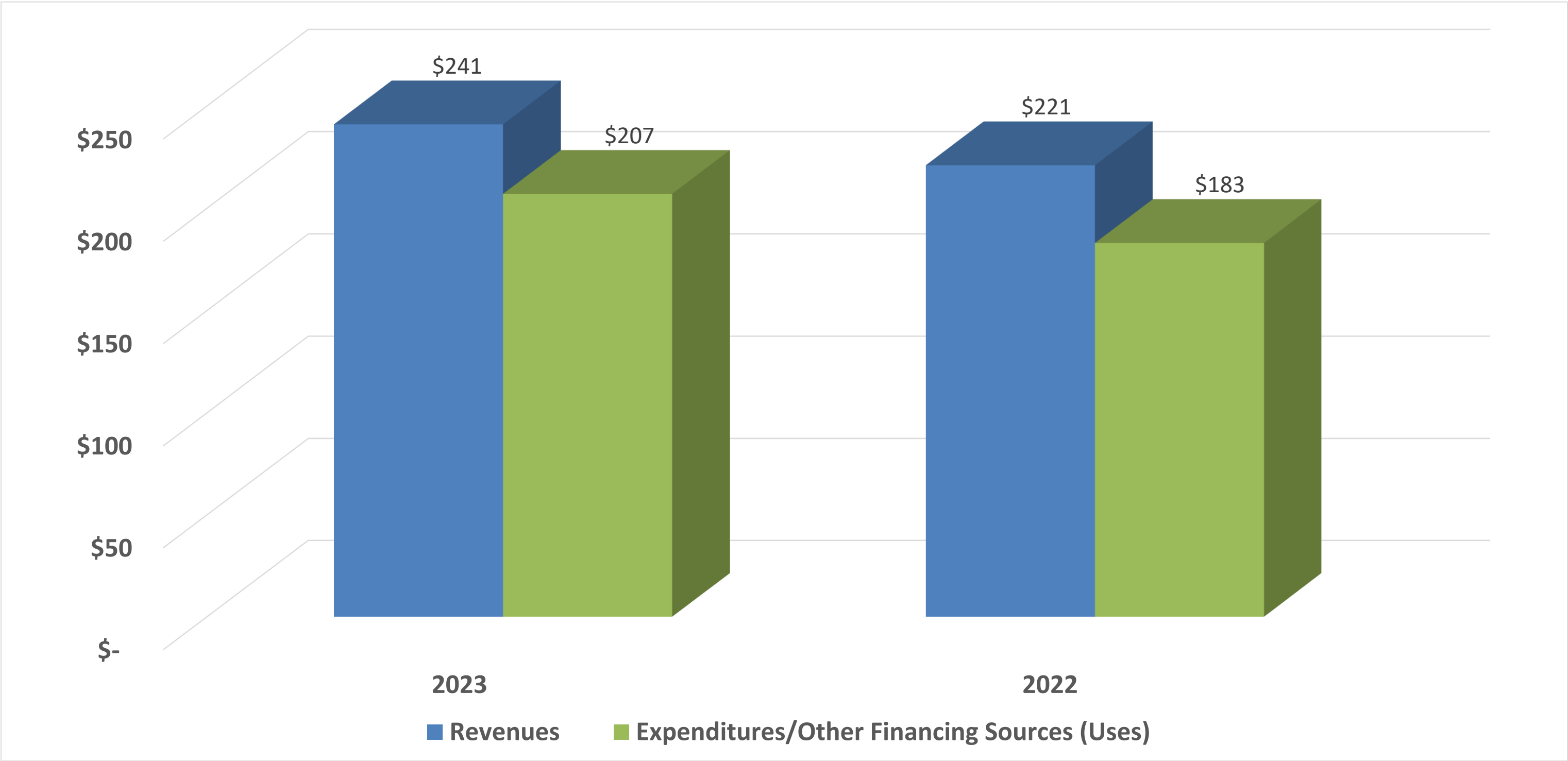


2022 – Total \$189



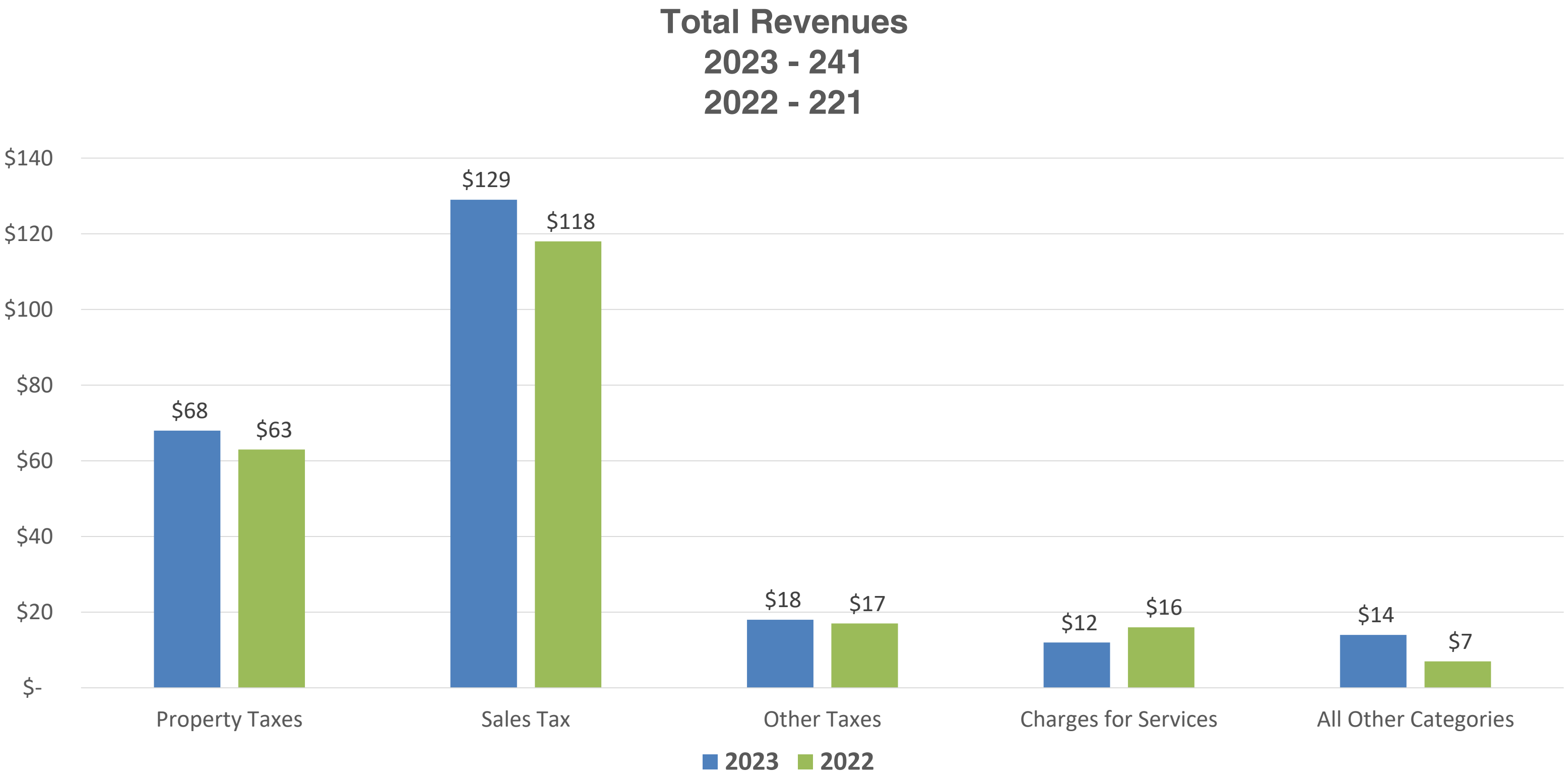
ACFR HIGHLIGHTS General Fund Group (\$ millions)

Revenues & Expenditures/Other Financing Sources (Uses)



REVENUES

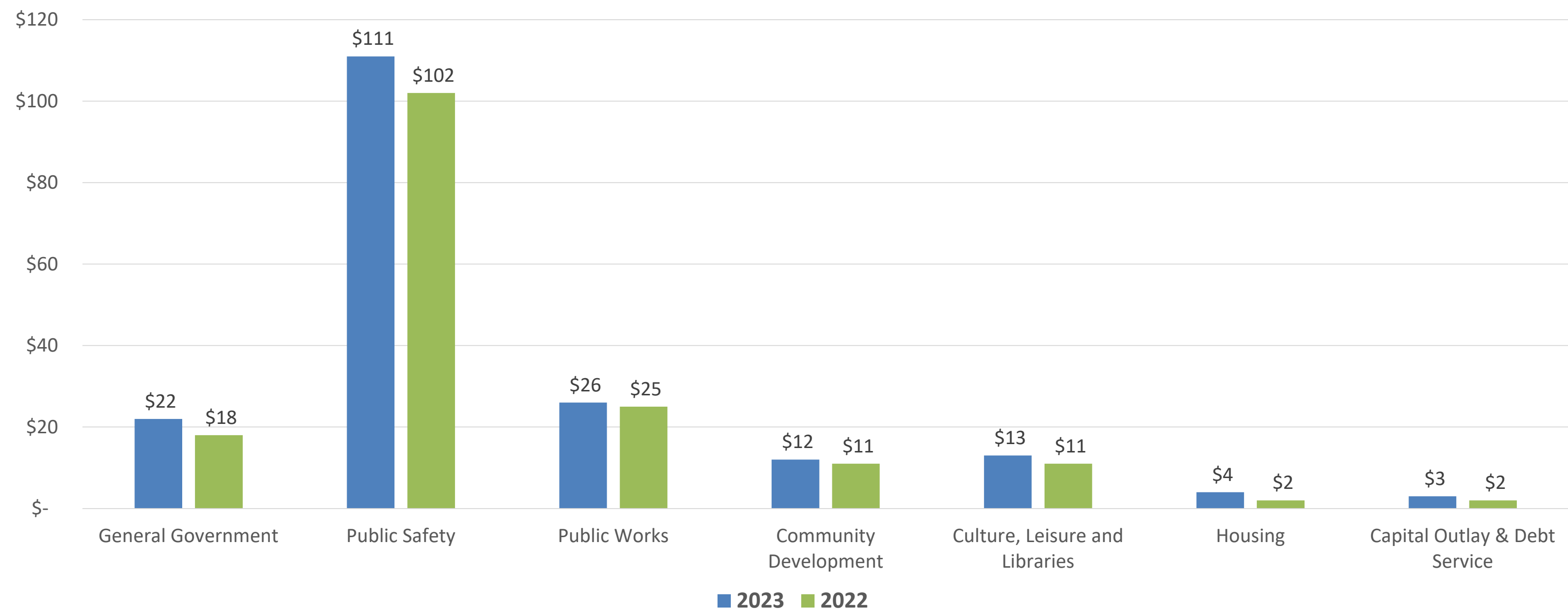
General Fund Group (\$ millions)



EXPENDITURES

General Fund Group (\$ millions)

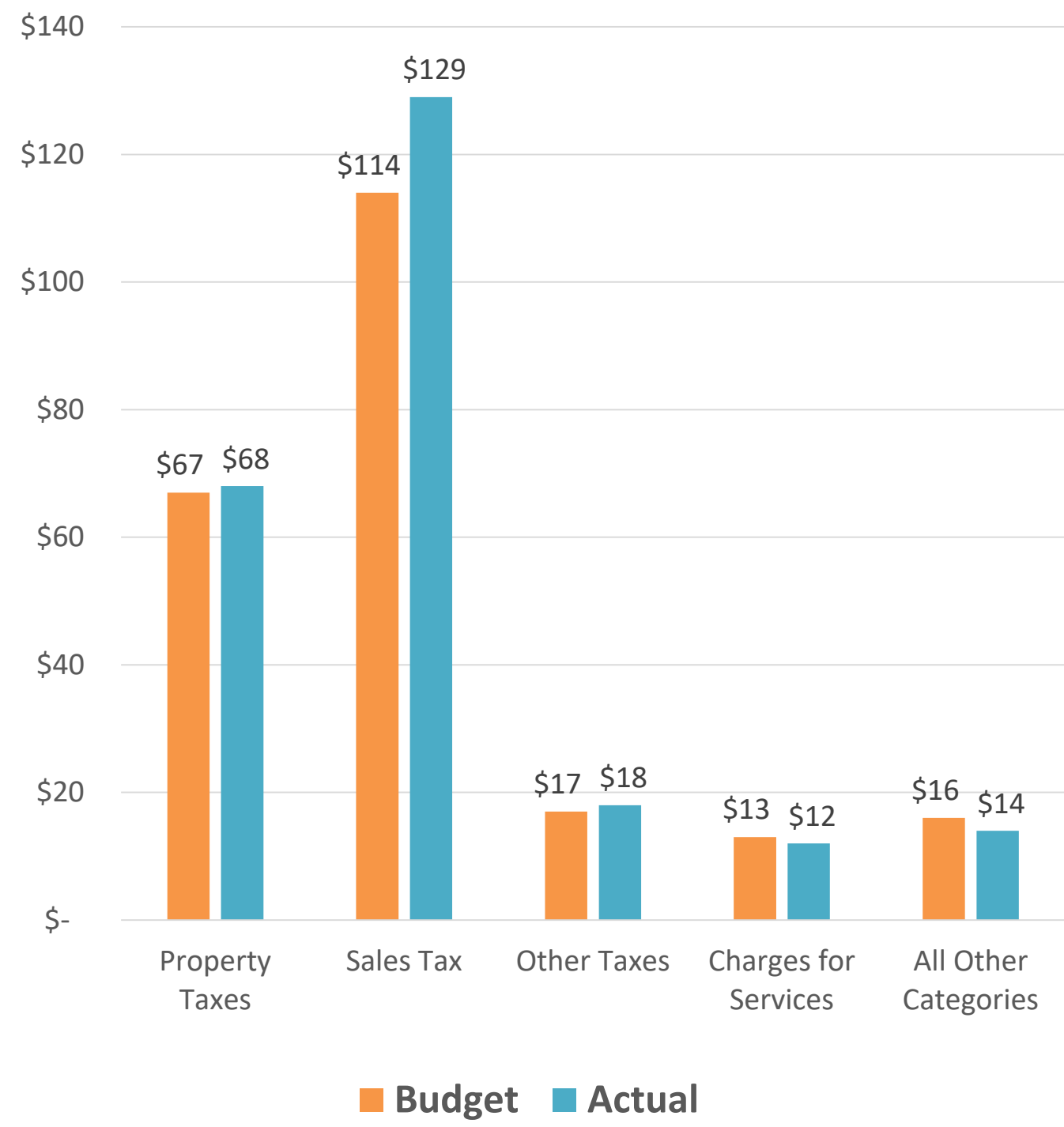
Total Expenditures
2023 - \$191
2022 - \$171



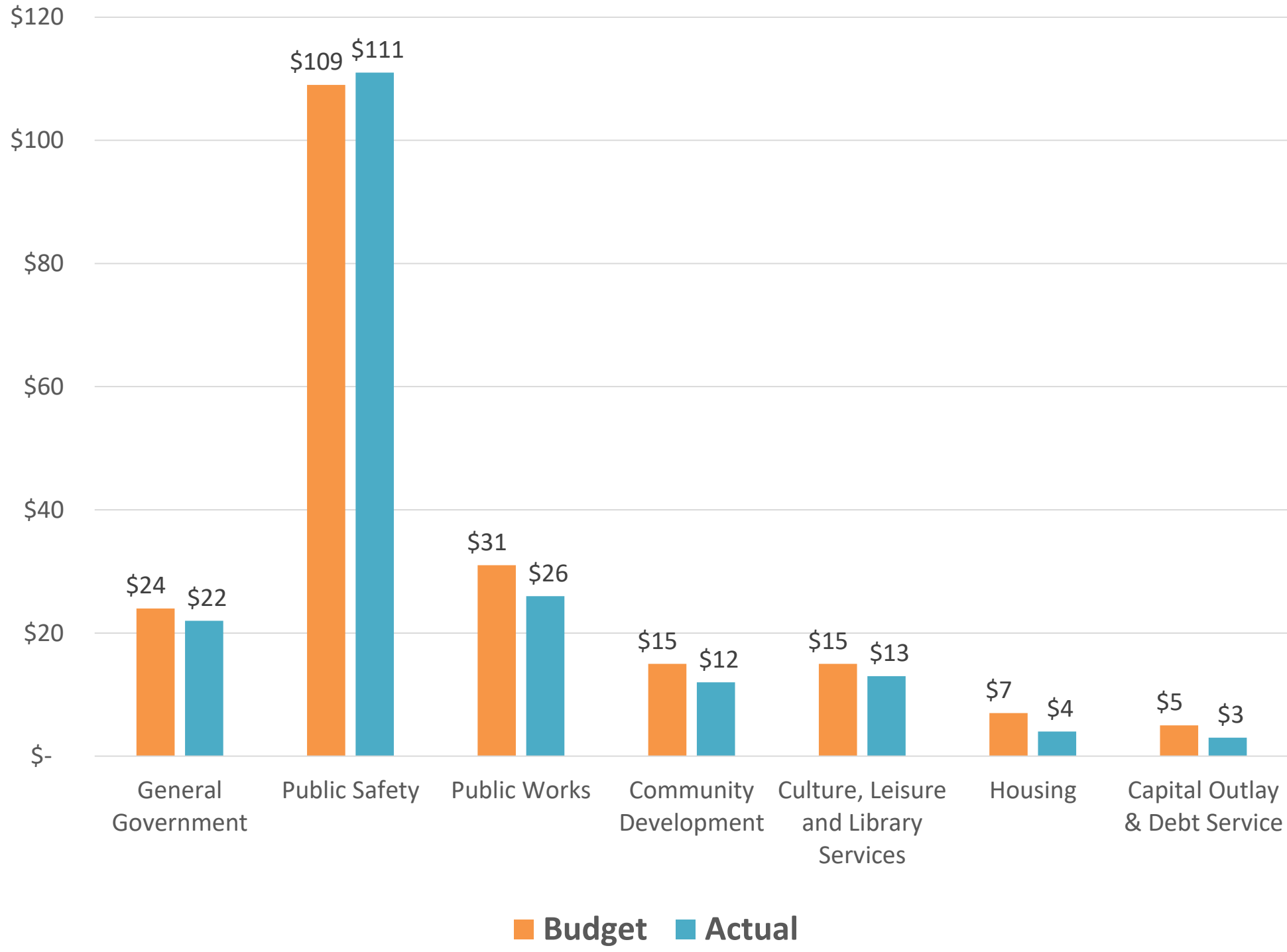
BUDGET VS ACTUAL

General Fund Group (\$ millions)

Revenues



Expenditures





THANK YOU

Eden C. Casareno
ecasareno@eadiepaynellp.com
909.809.7662





CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.2

DATE: March 19, 2024

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: General Fund Reimbursement of Service Charges to Special Districts. (10 minutes)

RECOMMENDATION

That the City Council approve and authorize the City Manager to approve a transfer in the amount of \$168,247.67 for reimbursement of sidewalk service charges, including interest, to 21 Landscape Maintenance Districts (Fund 120) from the General Fund (101), with the corresponding budget appropriation adjustments.

(Public Works and Transportation Committee approved 3-0 on February 27, 2024.)

Please click the following link to view the required Measure M pre-recorded presentation video: https://youtu.be/Ne_CcN3B1IU

BACKGROUND

The Special Districts Division ("Division") of the Public Works Department is responsible for the maintenance, operation, and administration of the City's 50 Special Districts, including bonded and non-bonded Community Facilities Districts ("CFDs"), Landscape Maintenance Districts ("LMDs"), Waterways Maintenance Assessment Districts ("WADs"), and a Maintenance Assessment District ("MAD-4"). Each of these Special Districts has specific individual authorized services and improvements, including, but not limited to: park landscapes, open spaces, parkways, medians, other public improvements, and recreation and park amenities such as picnic shelters, restrooms, arbors, and fountains. Properties within each District are assessed an annual parcel charge for the purposes of maintaining the improvements and other authorized services within their District.

At the end of 2017, the Streets Division implemented a concrete grinding program to preserve and improve City infrastructure. On August 15, 2019, the City issued a Request for Proposal for a three-year on-call sidewalk, curb, gutter, and concrete grinding service. The City contracted with BPR, Inc. ("BPR") for these services. The contract required BPR to provide a job report that identifies the street names and the locations where the work has been performed. City staff was responsible for inspecting the contractor's work and then processing the invoices for the completed work. There are several districts that contain both sidewalks that are identified as authorized improvements and sidewalks that are not identified as authorized improvements within the borders of the district, pursuant to the City Council-approved Consolidated Engineer's Report. For example, LMD-28 is only responsible for the sidewalk maintenance along Bahia Dr between Gonzales Rd and Grande St, Entrada Dr between Gonzales Rd and Caliente Wy, and the southside of Caliente Wy between Bahia Dr and Corto. All other sidewalks within the boundaries of LMD-28, as identified in the Consolidated Engineer's Report, are maintained by the Streets Division, using non-assessment funding.

In September 2023, a resident brought to the Division's attention that there may have been charges for sidewalk displacement grinding services in LMD-28 that were charged to the LMD instead of charged to non-assessment funding. After reviewing the completed sidewalk maintenance records for LMD-28, the inaccurate charges were confirmed by Special District staff. Thereafter, staff began reviewing the sidewalk maintenance records for all of the other districts. This resulted in a confirmation of inaccurate maintenance charges to twenty additional LMDs for sidewalk maintenance

that should have been charged to non-assessment funds.

It should be noted that out of an abundance of caution, staff from the City Manager's office, as an independent reviewer from the Special Districts staff, has been asked to do a review of all of the authorized services and improvements for any similar inaccuracies that may have occurred in each district during the past six fiscal years. A separate staff report of this review will be provided to the City Council with recommended adjustments, including earned interest calculated by the Finance Department.

DISCUSSION

Special Districts staff has completed the review of each LMDs authorized sidewalk services and improvements. The following Landscape Maintenance Districts have authorized sidewalk improvements:

LMD-27 (Rose Island)	LMD-42 (Cantabria / Coronado)
LMD-28 (Harborside)	LMD-43 (Greenbelt)
LMD-30 (Haas Automation)	LMD-46 (Daily Ranch)
LMD-31 (Rancho De La Rosa)	LMD-47 (Sycamore Place)
LMD-32 (Oak Park)	LMD-49 (Cameron Ranch)
LMD-33 (El Paseo)	LMD-50 (PV Senior Housing)
LMD-34 (Sunrise Point / Sunset Cove)	LMD-51 (Pfeiler)
LMD-36 (Villa Santa Cruz / Villa Carmel)	LMD-52 (Wingfield)
LMD-37 (Pacific Breeze)	LMD-53 (Huff Court)
LMD-38 (Aldea Del Mar)	LMD-54 (Meadow Crest)
LMD-39 (El Sueno Promesa)	LMD-55 (Wingfield West)
LMD-39 (DR Horton)	LMD-58 (Westwind)
LMD-40 (Cantada)	LMD-60 (Artisan)
LMD-41 (Pacific Cove)	

Staff reviewed the invoices and job reports for sidewalk work that was performed from FY 2017-18 through FY 2021-22. There were no contractor-provided sidewalk services before 2017 or after 2022 for the Special Districts Division. Staff then cross-referenced the LMDs' authorized service locations against the locations where these services were provided. A total of 17 invoices that identified 7,850 locations were reviewed. A large majority of these locations were outside of the LMDs authorized service locations, but were still within the LMD boundaries. In LMDs 30, 31, 41, 49, 50, and 55, there were no findings of unauthorized service charges that would necessitate reimbursement.

The Special Districts staff members who processed a majority of the invoices for the sidewalk services from FY 2019-20 through FY 2021-22, are no longer employed with the City. Therefore, the current staff are unable to verify the processes and quality control that were used during the time frame of the charges. Therefore, out of an abundance of caution, an additional review of past services is underway to identify any inaccurate service charges that are not consistent with the consolidated Engineer's Report. Upon completion of the audit for all of these services that were provided for each district, the Special Districts Division will submit a separate staff report to the City Council that includes all findings and any recommended corrective actions.

The recommended corrective action for the sidewalk maintenance charges for the LMDs is a reimbursement, with earned interest, for 21 LMDs in the total amount of \$168,247.67 for sidewalk service charges that were performed outside of the Districts' responsibility.

STRATEGIC PRIORITIES

This agenda item is a routine operational item or does not relate to the five strategic priorities adopted by City Council on March 16, 2021.

FINANCIAL IMPACT

The total cost of this reimbursement is \$168,247.67, which includes the reimbursement of the service charges, plus earned interest. The reimbursement will come from anticipated General Fund salary savings in the Public Works Department, to be transferred into each assessment District's fund. Details of the reimbursement and interest are included in Attachment 3 and detailed below. Depending on FY 23/24 actual expenditures, the reimbursement may also come from any unspent operating budget in the Streets Division, which has Measure O assigned for sidewalk repairs (this option was added to the staff report after this item was discussed at the PWTC meeting on 2/27/24).

The interest reimbursement adjustment was calculated taking into consideration the date that the payment was posted to the general ledger and to adjust the average cash balance for each district. Using the updated average cash balance, the interest allocation was recalculated for each district. The difference between the revised interest allocation and the original interest allocation is the proposed interest reimbursement.

Fund	District	Service Charges Reimbursement	Interest Reimbursement	Total Reimbursement
22703801	LMD 27	\$ 2,499.00	\$ 65.55	\$ 2,564.55
22803801	LMD 28	\$ 12,985.00	\$ 411.84	\$ 13,396.84
23203801	LMD 32	\$ 3,846.50	\$ 128.32	\$ 3,974.82
23303801	LMD 33	\$ 4,679.50	\$ 153.16	\$ 4,832.66
23403801	LMD 34	\$ 10,020.50	\$ 377.89	\$ 10,398.39
23603801	LMD 36	\$ 19,600.00	\$ 624.53	\$ 20,224.53
23703801	LMD 37	\$ 2,499.00	\$ 65.58	\$ 2,564.58
23803801	LMD 38	\$ 20,531.00	\$ 651.54	\$ 21,182.54
23903801	LMD 39 - ESP	\$ 3,315.71	\$ 125.03	\$ 3,440.74
23913801	LMD 39 - DR	\$ 1,143.29	\$ 43.12	\$ 1,186.41
24003801	LMD 40	\$ 10,780.00	\$ 366.63	\$ 11,146.63
24203801	LMD 42	\$ 17,983.00	\$ 631.26	\$ 18,614.26
24303801	LMD 43	\$ 12,250.00	\$ 457.39	\$ 12,707.39
24603801	LMD 46	\$ 6,002.50	\$ 11.35	\$ 6,013.85
24703801	LMD 47	\$ 2,205.00	\$ 16.24	\$ 2,221.24
25103801	LMD 51	\$ 14,945.00	\$ 346.95	\$ 15,291.95
25203801	LMD 52	\$ 11,441.50	\$ 214.06	\$ 11,655.56
25303801	LMD 53	\$ 980.00	\$ 22.96	\$ 1,002.96
25403801	LMD 54	\$ 1,494.50	\$ 33.91	\$ 1,528.41
25803801	LMD 58	\$ 2,499.00	\$ 28.33	\$ 2,527.33
26003801	LMD 60	\$ 1,715.00	\$ 57.03	\$ 1,772.03
TOTAL		\$ 163,415.00	\$ 4,832.67	\$ 168,247.67

Each District will receive the reimbursement in their individual reserve accounts and these reimbursements will also be identified in the Consolidated Engineer's Report as part of the FY 2024-25 budget process.

COMMITTEE OUTCOME

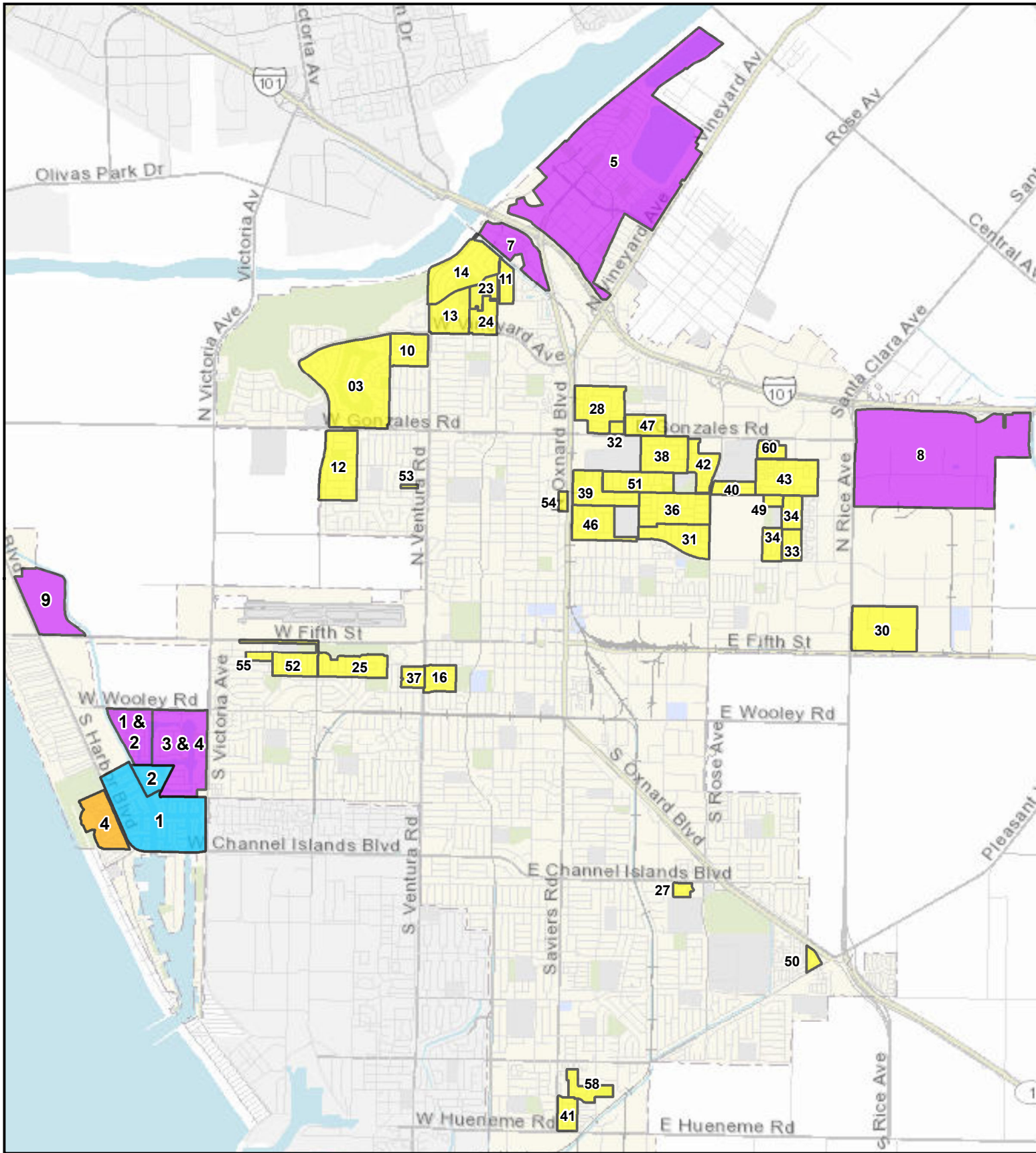
The Public Works and Transportation Committee approved 3-0 on February 27, 2024 to approve the staff recommendation and to forward the item for Council approval.

Prepared by: Steve Howlett, Assistant Public Works Director, Eric Storrie, Special Districts Manager, Javier Chagoyen-Lazaro, Chief Financial Officer

ATTACHMENTS

1. City of Oxnard Special Districts Map
2. City of Oxnard Special Districts List
3. Reimbursement Breakdown Per Special District
4. Presentation

CITY OF OXNARD SPECIAL DISTRICTS



- | | |
|---|--|
| Maintenance Assessment District (District No.) | Community Facilities District (District No.) |
| Waterway Assessment District (Zone No.) | Landscape Maintenance District (District No.) |

CITY OF OXNARD – SPECIAL DISTRICTS

LANDSCAPE MAINTENANCE DISTRICT * COMMUNITY FACILITIES DISTRICTS
WATERWAYS ASSESSMENT DISTRICTS * MAINTENANCE ASSESSMENT DISTRICTS

COMMUNITY FACILITIES DISTRICTS	
1 & 2 – Westport at Mandalay Bay	7 – Wagon Wheel
3 & 4 – Seabridge at Mandalay Bay	8 – Sakioka Farms
5 – Riverpark	9 – Northshore at Mandalay Bay

LANDSCAPE MAINTENANCE DISTRICTS	
3 – Riverridge	37 – Pacific Cove
10 – Country Club	38 – Aldea Del Mar
11 – St Tropez	39 – El Sueno Promesa
12 – Standard Pacific	40 – Cantada
13 – Le Village	41 – Pacific Cove
14 – California Cove	42 – Cantabria/Coronado
16 – California Lighthouse	43 – Greenbelt
23 – Greystone	46 – Daily Ranch
24 – Vineyards	47 – Sycamore Place
25 – The Pointe	49 – Cameron Ranch
27 – Rose Island	50 – PV Senior Housing
28 – Harborside	51 – Pfeiler
30 – Haas Automation	52 – Wingfield
31 – Rancho De La Rosa	53 – Huff Court
32 – Oak Park	54 – Meadowcrest
33 – El Paseo	55 – Wingfield West
34 – Sunrise Pt/Sunset Cove	58 – Westwind
36 – Villa Santa Cruz	60 – Artisan

MAINTENANCE ASSESSMENT DISTRICT
4 – Mandalay Beach/Colony

WATERWAYS ASSESSEMENT DISTRICTS	
Zone 1 – Mandalay Bay Zone	2 – Harbour Island

General Fund Reimbursement to LMDs for Sidewalk Charges, Plus Interest				
Fund	District	Service Charges Reimbursement	Interest Reimbursement	Total Reimbursement
22703801	LMD 27	\$ 2,499.00	\$ 65.55	\$ 2,564.55
22803801	LMD 28	\$ 12,985.00	\$ 411.84	\$ 13,396.84
23203801	LMD 32	\$ 3,846.50	\$ 128.32	\$ 3,974.82
23303801	LMD 33	\$ 4,679.50	\$ 153.16	\$ 4,832.66
23403801	LMD 34	\$ 10,020.50	\$ 377.89	\$ 10,398.39
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23803801	LMD 38	\$ 20,531.00	\$ 651.54	\$ 21,182.54
23903801	LMD 39 - ESP	\$ 3,315.71	\$ 125.03	\$ 3,440.74
23913801	LMD 39 - DR	\$ 1,143.29	\$ 43.12	\$ 1,186.41
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24703801	LMD 47	\$ 2,205.00	\$ 16.24	\$ 2,221.24
25103801	LMD 51	\$ 14,945.00	\$ 346.95	\$ 15,291.95
25203801	LMD 52	\$ 11,441.50	\$ 214.06	\$ 11,655.56
25303801	LMD 53	\$ 980.00	\$ 22.96	\$ 1,002.96
25403801	LMD 54	\$ 1,494.50	\$ 33.91	\$ 1,528.41
25803801	LMD 58	\$ 2,499.00	\$ 28.33	\$ 2,527.33
26003801	LMD 60	\$ 1,715.00	\$ 57.03	\$ 1,772.03
TOTAL		\$ 163,415.00	\$ 4,832.67	\$ 168,247.67

General Fund Reimbursement of Service Charges to Special Districts

Public Works & Transportation Committee, February 27, 2024

City Council, March 19, 2024

Eric L. Storrie
Special Districts Manager

That the Public Works and Transportation Committee recommend that the City Council approve and authorize the City Manager to approve a transfer in the amount of \$168,247.67 for reimbursement of sidewalk service charges, including interest, to 21 Landscape Maintenance Districts (Fund 120) from the General Fund (101), with the corresponding budget appropriation adjustments.

That the City Council approve and authorize the City Manager to approve a transfer in the amount of \$168,247.67 for reimbursement of sidewalk service charges, including interest, to 21 Landscape Maintenance Districts (Fund 120) from the General Fund (101), with the corresponding budget appropriation adjustments.

oxnard.org/specialdistricts

- 702

- The Special Districts Division of the Public Works Department is responsible for the maintenance, operation, and administration of the City's 50 Special Districts, including bonded and non-bonded Community Facilities Districts, Landscape Maintenance Districts, Waterways Maintenance Assessment Districts, and a Maintenance Assessment District.
- Each of these Special Districts have specific individual authorized services and improvements, including but not limited to: park landscapes, open spaces, parkways, medians, other public improvements, and recreation and park amenities such as picnic shelters, restrooms, arbors, and fountains.

- Properties within each District are assessed an annual parcel charge for the purposes of maintaining the improvements and other authorized services within their District.
- At the end of 2017, the Streets Division implemented a concrete grinding program to preserve and improve City infrastructure.
- On August 15, 2019, the City issued a Request for Proposal for a three-year on-call sidewalk, curb, gutter, and concrete grinding service.
- The City contracted with BPR, Inc. for these services.

- The contract required BPR to provide a job report that identifies the street names and the locations where the work has been performed.
- City staff was responsible for inspecting the contractor's work and then processing the invoices for the completed work.
- There are several districts that contain both sidewalks that are identified as authorized improvements and sidewalks that are not identified as authorized improvements within the borders of the district, pursuant to the City Council-approved Consolidated Engineer's Report.

- For example, LMD-28 is only responsible for the sidewalk maintenance along Bahia Dr between Gonzales Rd and Grande St, Entrada Dr between Gonzales Rd and Caliente Wy, and the southside of Caliente Wy between Bahia Dr and Corto.
- All other sidewalks within the boundaries of LMD-28, as identified in the Consolidated Engineer's Report, are maintained by the Streets Division, using non-assessment funding.

- In September 2023, a resident brought to the Division's attention that there may have been charges for sidewalk displacement grinding services in LMD-28 that were charged to the LMD instead of charged to non-assessment funding.
- After reviewing the completed sidewalk maintenance records for LMD-28, the inaccurate charges were confirmed by Special District staff.
- Thereafter, staff began reviewing the sidewalk maintenance records for all of the other districts.

- This resulted in a confirmation of inaccurate maintenance charges to twenty additional LMDs for sidewalk maintenance that should have been charged to non-assessment funds.
- It should be noted that out of an abundance of caution, staff from the City Manager's office, as an independent reviewer from the Special Districts staff, has been asked to do a review of all of the authorized services and improvements for any similar inaccuracies that may have occurred in each district during the past six fiscal years.
- A separate staff report of this review will be provided to the City Council with recommended adjustments, including earned interest calculated by the Finance Department.

- Special Districts staff has completed the review of each LMDs authorized sidewalk services and improvements.
- The following Landscape Maintenance Districts have authorized sidewalk improvements:

LMD No. 27 (Rose Island)	LMD No. 42 (Cantabria & Coronado)
LMD No. 28 (Harborside)	LMD No. 43 (Greenbelt)
LMD No. 30 (Haas Automation)	LMD No. 46 (Daily Ranch)
LMD No. 31 (Rancho De La Rosa)	LMD No. 47 (Sycamore Place)
LMD No. 32 (Oak Park)	LMD No. 49 (Cameron Ranch)
LMD No. 33 (El Paseo)	LMD No. 50 (Pleasant Valley Senior Housing)
LMD No. 34 (Sunrise Pointe & Sunset Cove)	LMD No. 51 (Pfeiler)
LMD No. 36 (Villa Santa Cruz & Villa Carmel)	LMD No. 52 (Wingfield)
LMD No. 37 (Pacific Breeze)	LMD No. 53 (Huff Court)
LMD No. 38 (Aldea Del Mar)	LMD No. 54 (Meadowcrest Villas)
LMD No. 39 (Promesa & Sueno)	LMD No. 55 (Wingfield West)
LMD No. 39 (DR Horton)	LMD No. 58 (Westwind)
LMD No. 40 (Cantada)	LMD No. 60 (Artisan)
LMD No. 41 (Pacific Cove)	

- Staff reviewed the invoices and job reports for sidewalk work that was performed from FY 2017-18 through FY 2021-22
- There were no contractor-provided sidewalk services before 2017 or after 2022 for the Special Districts Division.
- Staff then cross-referenced the LMDs' authorized service locations against the locations where these services were provided.
- A total of 17 invoices that identified 7,850 locations were reviewed.

- A large majority of these locations were outside of the LMDs authorized service locations, but were still within the LMD boundaries.
- In LMDs 30, 31, 41, 49, 50, and 55, there were no findings of unauthorized service charges that would necessitate reimbursement.
- The Special Districts staff members, who processed a majority of the invoices for the sidewalk services from FY 2019-20 through FY 2021-22, are no longer employed with the City.

- Therefore, the current staff are unable to verify the processes and quality control that were used during the time frame of the charges.
- Therefore, out of an abundance of caution, an additional review of past services is underway to identify any inaccurate service charges that are not consistent with the consolidated Engineer's Report.
- Upon completion of the audit for all of these services that were provided for each district, the Special Districts Division will submit a separate staff report to the City Council that includes all findings and any recommended corrective actions.

- The recommended corrective action for the sidewalk maintenance charges for the LMDs is a reimbursement, with earned interest, for 21 LMDs in the total amount of \$168,247.67 for sidewalk service charges that were performed outside of the Districts' responsibility.

- The total cost of this reimbursement is \$168,247.67, which includes the reimbursement of the service charges, plus earned interest.
- The reimbursement will come from anticipated General Fund salary savings in the Public Works Department, to be transferred into each assessment District's fund.
- Depending on FY 23/24 actual expenditures, the reimbursement may also come from any unspent operating budget in the Streets Division, which has Measure O assigned for sidewalk repairs (this option was added to the staff report after this item was discussed at the PWTC meeting on 2/27/24).

- The interest reimbursement adjustment was calculated taking into consideration the date that the payment was posted to the general ledger to adjust the average cash balance for each district.
- Using the updated average cash balance, the interest allocation was recalculated for each district.
- The difference between the revised interest allocation and the original interest allocation is the proposed interest reimbursement.

- Each District will receive the reimbursement in their individual reserve accounts and these reimbursements will also be identified in the Consolidated Engineer's Report as part of the FY 2024-25 budget process.



QUESTIONS



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.3

DATE: March 19, 2024

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: Purchase Order to McLaughlin Waste Equipment, Inc. for Metal Refuse Containers for the Environmental Resources Division. (10 minutes)

RECOMMENDATION

That the City Council authorize the Mayor to execute a Purchase Order with McLaughlin Waste Equipment, Inc. for an amount not to exceed \$2,500,000 for a three-year term ending June 30, 2027, for metal refuse containers.

(Public Works and Transportation Committee approved 3-0 on February 27, 2024)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/8vzd7gs0j7Y>

BACKGROUND

The City of Oxnard Environmental Resources Division (ER) provides commercial refuse and recycling services to businesses and multi-family units throughout the City of Oxnard. The State of California Assembly Bill 341 (AB341), Assembly Bill 1826 (AB1826), and Senate Bill 1383 (SB1383) mandate that businesses and multi-family (units of five 5 or more) that generate more than four cubic yards of refuse per week must participate in recycling and organics services.

To provide weekly uninterrupted refuse and recycling collection to approximately 16,500 commercial and multi-family dwelling units serviced with approximately 10,500 metal containers, a sufficient inventory of containers must be maintained for container exchanges requested by the customer due for repair or replacement. Additionally, metal containers are needed to complete residential customer orders for special collections of bulky items, construction and demolition projects, and commercial and multi-family developments.

Each year the ER provides an annual operating budget for container repair parts and replacement. Containers that cannot be repaired are replaced and sold for scrap value. Planned replacement varies from year to year depending on the inventory of container types and sizes, customer usage and demand, commercial development, and economic growth.

DISCUSSION

The purchase of new metal refuse containers will allow for the replacement of aged refuse containers beyond repair, and maintain efficient customer service. ER plans to purchase various containers as follows:

CONTAINER TYPE	QTY
1-cubic yard	80

2-cubic yard	30
3-cubic yard	40
4-cubic yard	30
10-cubic yard	5
10-cubic yard w/radius bottom	5
13.4-cubic yard	5
20-cubic yard	5
30-cubic yard	5
40-cubic yard	5

On November 17, 2023, the City's Purchasing Division issued a Request for Bid (RFB) ER 24-37 to solicit bids for metal refuse containers. The bid document was posted on the City's website and PublicPurchase.com, a website where the City's solicitations are publicly posted. A total of 19 vendors received the bid notification via the www.publicpurchase.com website.

Among the bids received, McLaughlin Waste Equipment (McLaughlin) provided the only qualified bid response before the deadline of December 14, 2023. City staff has confirmed that McLaughlin meets all required City contractual provisions, including possession of a City business tax certificate, insurance certificates and corporate filing with the California Secretary of State. Based upon the available information and research performed to date, staff believes that McLaughlin is capable of satisfactorily providing these goods. Thus, staff recommends that the City Council award this Purchase Order to McLaughlin.

McLaughlin will supply containers within 30 days after receipt of the order. The pricing offered by McLaughlin includes the cost of shipping.

STRATEGIC PRIORITIES

This agenda item supports the Infrastructure and Natural Resources strategy. The purpose of the Infrastructure and Natural Resources strategy is to preserve and improve our roads, utilities, parks, trees, water supply and natural resources through effective planning, prioritization, and an equitable and efficient use of available funding.

FINANCIAL IMPACT

The total cost of the purchase order with McLaughlin Waste Equipment will not exceed \$2,500,000 for three years. The estimated spending plan for FY 2024-25 is \$520,000 and will be requested in the Environmental Resources Enterprise Fund (631) Proposed Budget during the budget process. The funding for subsequent fiscal years will be requested during the annual budget process.

Estimated Spending Plan					
Program – Fund	Account Number	FY 2024-25	FY 2025-26	FY 2026-27	TOTAL

Environmental Resources Operating Fund – Commercial Collection	6313633- 52400	\$235,000	\$255,000	\$285,000	\$766,298
Environmental Resources Operating Fund – Industrial Collection	6313634- 56040	\$285,000	\$315,000	\$345,000	\$939,825
SUBTOTAL		\$520,000	\$570,000	\$630,000	\$1,720,000
As-needed Inventory Control (Damaged, Fire, Useful life)	Various	\$260,000	\$260,000	\$260,000	\$780,000
TOTAL		\$780,000	\$830,000	\$890,000	\$2,500,000

COMMITTEE OUTCOME

The Public Works and Transportation Committee approved 3-0 on February 27, 2024 to approve the staff recommendation and to forward the item for Council approval.

Prepared by: Brian Yanez, Assistant Public Works Director, Eric Zetz, Division Manager

ATTACHMENTS

1. Mclaughlin Rate Sheet
2. Presentation

McLaughlin Waste Equipment, Inc.
RATE SHEET

Metal Refuse 1.0 1.5-yard, 2-yard, 3-yard and 4-yard containers

Item No. 1

1.0 Cubic Yard Front Loader Flat Top w/12 Gauge Bottom Single Wall with Dura Flex Plastic Lid. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.			
Front Height	Back Height	Width	Length
28"	28"	24"	72"
Quantity	1 – 399 (1st Tier)	400 – 699 (2nd Tier)	700 – 1,000 (3rd Tier)
Unit Cost without Polyurea Coating	\$ 761	\$ 761	\$ 761
Item No. 1a			
Same specification as Item No. 1 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment			
Unit Cost with Polyurea Coating	\$ 1,111	\$ 1,111	\$ 1,111
Other Costs such as Delivery and Handling Fee (List): All included above			

Item No. 2

1.5 Cubic Yard Front Loader Pitch Top w/12 Gauge Bottom Single Wall with Dura Flex Plastic Lid. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.			
Front Height	Back Height	Width	Length
29.5"	34.5"	29.5"	72"
Quantity	1 – 399 (1st Tier)	400 – 699 (2nd Tier)	700 – 1,000 (3rd Tier)
Unit Cost without Polyurea Coating	\$ 781	\$ 781	\$ 781
Item No. 2a			
Same specification as Item No. 2 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment			
Unit Cost with Polyurea Coating	\$ 1,149	\$ 1,149	\$ 1,149
Other Costs such as Delivery and Handling Fee (List): All included above			

McLaughlin Waste Equipment, Inc.
RATE SHEET

Item No. 3

2 Cubic Yard Front Loader Pitch Top w/12 Gauge Bottom Single Wall with Dura Flex Plastic Lid. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.

Front Height	Back Height	Width	Length
42"	54"	34.5"	81"
Quantity	1 – 399 (1 st Tier)	400 – 699 (2 nd Tier)	700 – 1,000 (3 rd Tier)
Unit Cost without Polyurea Coating	\$ 864	\$ 864	\$ 864

Item No. 3a

Same specifications as Item No. 3 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment

Unit Cost with Polyurea Coating	\$ 1,269	\$ 1,269	\$ 1,269
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Other Costs such as Delivery and Handling Fee (List):
All included above

Item No. 4

3 Cubic Yard Front Loader Pitch Top w/12 Gauge Bottom Single Wall with Dura Flex Plastic Lid. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.

Front Height	Back Height	Width	Length
41.5"	50.5"	41.5"	72"
Quantity	1 – 399 (1 st Tier)	400 – 699 (2 nd Tier)	700 – 1,000 (3 rd Tier)
Unit Cost without Polyurea Coating	\$ 972	\$ 972	\$ 972

Item No. 4a

Same specifications as Item No. 4 but includes polyurea coating on inside walls of container for organic and liquid waste containment

Unit Cost with Polyurea Coating	\$ 1,399	\$ 1,399	\$ 1,399
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Other Costs such as Delivery and Handling Fee (List):
All included above

McLaughlin Waste Equipment, Inc.
RATE SHEET

Item No. 5

4 Cubic Yard Front Loader Pitch Top w/12 Gauge Bottom Single Wall with Dura Flex Plastic Lid. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.			
Front Height	Back Height	Width	Length
54"	66"	50.5"	81"
Quantity	1 – 399 (1st Tier)	400 – 699 (2nd Tier)	700 – 1,000 (3rd Tier)
Unit Cost without Polyurea Coating	\$ 1,112	\$ 1,112	\$ 1,112
Item No. 5a			
Same specifications as Item No. 5 but includes polyurea coating on inside walls of container for organic and liquid waste containment			
Unit Cost with Polyurea Coating	\$ 1,620	\$ 1,620	\$ 1,620
Other Costs such as Delivery and Handling Fee (List): All included above			

CITY OF OXNARD (In 3 ½" Letters)

ENVIRONMENTAL RESOURCES DIVISION (In 3 ½" Letters)

Ph. 385-8060 (In 1" Numerals)

- For Blue Color Recycle Containers the word "RECYCLE" shall be stenciled on the front side of the container above the pockets. Use minimum 3 ½" letters, in black paint.
- For Green Color Green Waste Containers the words "GREEN WASTE" shall be stenciled on the front side of the container above the pockets. Use minimum 3 ½" letters, in black paint.
- For Brown Color Organic Waste Containers the words "ORGANIC WASTE" shall be stenciled on the front side of the container above the pockets. Use minimum 3 ½" letters, in black paint.
- Label each container with a waste stream specific sticker (refuse, recycle, green waste, organic waste) that uses text and/or images to explain which materials are allowed and prohibited in the container.
- ID Weld – Container sequence numbers will be issued within ten days from the date of order. The numbers are to be three inches high, raised weld bead on the right hand pocket facing the container.

McLaughlin Waste Equipment, Inc.
RATE SHEET

- 16" in-seam weld. ID weld should include a dash mark after the container ID number that designates the container size of 2.

Container sequence numbers will be issued within ten days from date of order. The numbers are to be three inches high, raised weld bead on the right hand pocket facing the container.

**Metal Refuse 10 -Yard, 10-Yard Bottom Radius, 13.4-Yard, 20-Yard
Sealed/Enclosed, 30-Yard, 40-Yard, and 40-Yard Sealed/Enclosed Containers**

Item No. 6

10 Cubic Yard Roll-off Container. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.

Type	Height	Length	Width
Open Top Drop Body	24" overall w/wheels 39"	18' 18' 10" OD 17' 8" ID	96" OD 90" ID
Quantity	1 – 14 (1 st Tier)	15 – 29 (2 nd Tier)	30 – 50 (3 rd Tier)
Unit Cost without Polyurea Coating	\$ 6,029	\$ 6,029	\$ 6,029

Item No. 6a

Same specification as Item No. 6 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment

Unit Cost with Polyurea Coating	\$ 8,179	\$ 8,179	\$ 8,179
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Item No. 6b

McLaughlin Waste Equipment, Inc.
RATE SHEET

10 Cubic Yard Rectangular or Radius Bottom Roll-off Container			
Type	Height	Length	Width
Open Top Drop Body	24" overall w/wheels 39"	18' 18' 10" OD 17' 8" ID	96" OD 90" ID
Unit Cost without Polyurea Coating	\$ 6,029	\$ 6,029	\$ 6,029
Other Costs such as Delivery and Handling Fee (List):			
All included above			

Item No. 7

13.4 Cubic Yard Roll-off Container. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.			
Type	Height	Length	Width
Open Top Drop Body	36" overall w/wheels 75"	16' 16' 10" OD 15' 8" ID	96" OD 90" ID
Quantity	1 – 14 (1st Tier)	15 – 29 (2nd Tier)	30 – 50 (3rd Tier)
Unit Cost without Polyurea Coating	\$ 6,651	\$ 6,651	\$ 6,651
Item No. 7a			
Same specification as Item No. 7 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment			
Unit Cost with Polyurea Coating	\$ 8,802	\$ 8,802	\$ 8,802
Other Costs such as Delivery and Handling Fee (List):			
All included above			

McLaughlin Waste Equipment, Inc.
RATE SHEET

Item No. 8

20 Cubic Yard Sealed Roll-off Container. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color as requested by the City.

Type	Height	Length	Width
Low Profile Rolling Roof Round Bottom Drop Body	48" overall w/wheels 56"	16'	4'
Quantity	1 – 14 (1 st Tier)	15 – 29 (2 nd Tier)	30 – 60 (3 rd Tier)
Unit Cost without Polyurea Coating	\$ 9,320	\$ 9,320	\$ 9,320

Item No. 8a

Same specification as Item No. 8 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment

Unit Cost with Polyurea Coating	\$ 11,850	\$ 11,850	\$ 11,850
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Other Costs such as Delivery and Handling Fee (List):
All included above

Item No. 9

30 Cubic Yard Roll-off Container. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown or any other color as requested by the City.

Type	Height	Length	Width
Open Top Drop Body	72" overall w/wheels 87"	18' 18' 10" OD 17' 8" ID	96" OD 90" ID

Quantity	1 – 9 (1 st Tier)	10 – 19 (2 nd Tier)	20 – 30 (3 rd Tier)
Unit Cost without Polyurea Coating	\$ 7,241	\$ 7,241	\$ 7,241

Item No. 9a

Same specification as Item No. 9 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment

Unit Cost with Polyurea Coating	\$ 10,221	\$ 10,221	\$ 10,221
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Other Costs such as Delivery and Handling Fee (List):
All included above

McLaughlin Waste Equipment, Inc.
RATE SHEET

Item No. 10

40 Cubic Yard Roll-off Container. Refuse Gray Color, Recycle Blue Color, Green Waste Green Color or Organic Waste Brown Color or any other color as requested by the City.

Type	Height	Length	Width
Open Top Drop Body	78" overall w/wheels 93"	22' 22' 10" OD 21' 8" ID	96" OD 90" ID
Quantity	1 – 19 (1 st Tier)	20 – 39 (2 nd Tier)	40 – 75 (3 rd Tier)
Unit Cost without Polyurea Coating	\$ 8,416	\$ 8,416	\$ 8,416

Item No. 10a

Same specification as Item No. 10 but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment.

Unit Cost with Polyurea Coating	\$ 11,706	\$ 11,706	\$ 11,706
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Item No. 10b

40 Cubic Yard Sealed/Enclosed Roll-off Container - Same specification as Item No. 10a but includes polyurea coating on inside walls and bottom of container for organic and liquid waste containment

Type	Height	Length	Width
Low Profile Rolling Roof Standard Drop Body	90" including door, 78" without door, over all w/wheels 100"	22'	6.5'
Unit Cost without Polyurea Coating	\$ 12,045	\$ 12,045	\$ 12,045

Item No. 10c

Same specification as Item 10b but includes polyurea coating on inside walls and bottom for organic and liquid waste containment

Unit Cost with Polyurea Coating	\$ 15,335	\$ 15,335	\$ 15,335
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Other Costs such as Delivery and Handling Fee (List):
All included above

Unit cost for 2nd Tier shall apply when the City has completed its purchase of the quantity in the 1st Tier. Unit cost for the 3rd Tier shall apply when the City has completed its purchase of the quantity in the 2nd Tier.

Purchase Order to McLaughlin Waste Equipment, Inc. for Purchase of Metal Refuse Containers

Public Works and Transportation Committee
February 27, 2024

City Council
March 19, 2024

Eric Zetz
Environmental Resources Division Manager

RECOMMENDATION

FOR PUBLIC WORKS AND TRANSPORTATION COMMITTEE:

That the Public Works and Transportation Committee recommend that the City Council authorize the Mayor to execute a Purchase Order with McLaughlin Waste Equipment, Inc. for an amount not to exceed \$2,500,000 for a three-year term ending June 30, 2027, for metal refuse containers.

FOR CITY CITY COUNCIL:

That the City Council authorize the Mayor to execute a Purchase Order with McLaughlin Waste Equipment, Inc. for an amount not to exceed \$2,500,000 for a three-year term ending June 30, 2027, for metal refuse containers.

BACKGROUND

- Environmental Resources Division (ER) provides weekly uninterrupted refuse and recycling collection to approximately 16,500 commercial and multi-family dwelling units with approximately 10,500 metal containers
- Sufficient inventory must be maintained for container exchanges requested by customers due for repair or replacement
- Additionally, customers request metal refuse containers for special collections of bulky waste, construction and demolition projects, commercial and multifamily developments

- State mandate AB 341 requires commercial recycling of businesses and multi-family (units of 5 or more) generating more than 4-cubic yards of refuse per week
- State mandate AB 1826 requires commercial organics recycling of businesses and multi-family (units of 5 or more) generating more than 4-cubic yards of refuse per week

BACKGROUND - TYPES AND SIZES OF METAL REFUSE CONTAINERS



13.4 Cubic Yard



30 Cubic Yard



40 Cubic Yard



DISCUSSION

- Request for Bid (RFB) issued on November 17, 2023
- Posted on City website and www.publicpurchase.com
- 19 vendors received bid notification
- One firm responded with a qualified bid proposal:
 - McLaughlin Waste Equipment, LLC. (McLaughlin)

Purchase Plan

Container Type	Number of Units
1 Cubic Yard Container	80
2 Cubic Yard Container	30
3 Cubic Yard Container	40
4 Cubic Yard Container	30
10 Cubic Yard Container	5
10 Cubic Yard Container Radius Bottom	5
13.4 Cubic Yard Container	5
20 Cubic Yard Container	5
30 Cubic Yard Container	5
40 Cubic Yard Container	5

FINANCIAL IMPACT

- Total cost of the purchase order with McLaughlin Waste Equipment will not exceed \$2,500,000 for a term of three years
- Spending plan for FY 2024-25 is \$520,000 and funds will be requested in the Environmental Resources Fund (631) FY 204-25 Proposed Budget during the budget process
- Future funding for subsequent fiscal years will be requested during the annual budget process.

QUESTIONS?



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.4

DATE: March 19, 2024

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: Authorization to Accept a State Grant for \$9.5M to fund Electrical Improvements for the Oxnard Wastewater Treatment Plant Reliability Improvement Project PW 20-102. (10 minutes)

RECOMMENDATION

That the City Council:

1. Accept a grant from the California State Water Resource Control Board in the amount of \$9.5 million for the Oxnard Wastewater Treatment Plant Reliability Project; and
2. Authorize the City Manager to execute grant agreements; the Finance Director or designee to submit financial reports and grant claims and approve budget appropriations for the use of grant funds; and the Director of Public Works or designee to submit non-financial reports.

(This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/FMowJ4MAF-g>

BACKGROUND

In June 2018, the Governor's office approved the State of California budget, which included the allocation of \$9.5 million toward the City of Oxnard's aging Wastewater Treatment Plant. Assemblymember Jacqui Irwin led the efforts to allocate the funding, supported by Senator Hannah Beth Jackson, Assemblymember Monique Limon, and Oxnard city staff. In June 2023, a three-year extension for the funding was granted by the State in the annual budget. The funding was allocated to the new Main Electrical Building portion of the OWTP Reliability Project.

Capital Improvement Program (CIP) Reference:

Project No. C2201 - Oxnard Wastewater Treatment Plant Reliability Improvements Project - CIP 2022-2027 - Adopted May 3, 2022 – Pages 106, 111, 112, 116, 117, & 118.

DISCUSSION

As of February 2023, the OWTP Reliability Project is 30 percent complete, with anticipated final completion in September 2025. The grant-funded Main Electrical Building's primary structure is nearing completion, the bulk of the electrical equipment has been delivered to the OWTP, and the underground work is progressing. City staff and the contractor have navigated technical field conditions and utility coordination, overcoming multiple obstacles. As the project continues, the City is eligible for reimbursement up to the full value of the grant, slightly over 15% of the total project cost.

STRATEGIC PRIORITIES

This agenda item supports the Infrastructure and Natural Resources strategy. The purpose of the Infrastructure and Natural Resources strategy is to preserve and improve our roads, utilities, parks, trees, water supply and natural resources through effective planning, prioritization, and an equitable and efficient use of available funding.

FINANCIAL IMPACT

The awarded amount of \$9.5 Million shall be used to cover Project costs related to Oxnard Wastewater Treatment Plant Reliability Improvements Project (No. C2201). A new appropriation is required in the amount of \$9.5 million in State Fund (210) to properly record the revenue and expenditures for this grant award (Project No. C2201). There is no local match required.

COMMITTEE OUTCOME

This item did not originate in Committee as this is a capital improvement project specifically listed in the City's Capital Improvement Program budget, which was previously approved by the City Council.

Prepared by: Timothy Beaman, Supervising Civil Engineer, Luis Ortega, Management Analyst

ATTACHMENTS

1. Presentation

Authorization to Accept a State Grant for \$9.5M to fund Electrical Improvements for the Oxnard Wastewater Treatment Plant Reliability Improvement Project PW 20-102

City Council
March 19, 2024

Tim Beaman, PE
Supervising Civil Engineer

That the City Council:

1. Accept a grant from California State Water Resource Control Board in the amount of \$9.5 Million for the Oxnard Wastewater Treatment Plant Reliability Project; and
2. Authorize the City Manager to execute grant agreements; the Finance Director or designee to submit financial reports and grant claims and approve budget appropriations for the use of grant funds; and the Director of Public Works or designee to submit non-financial reports.

2018

\$9.5M grant for the City of Oxnard Wastewater Treatment Plant Electrical Improvements approved as part of the State Budget

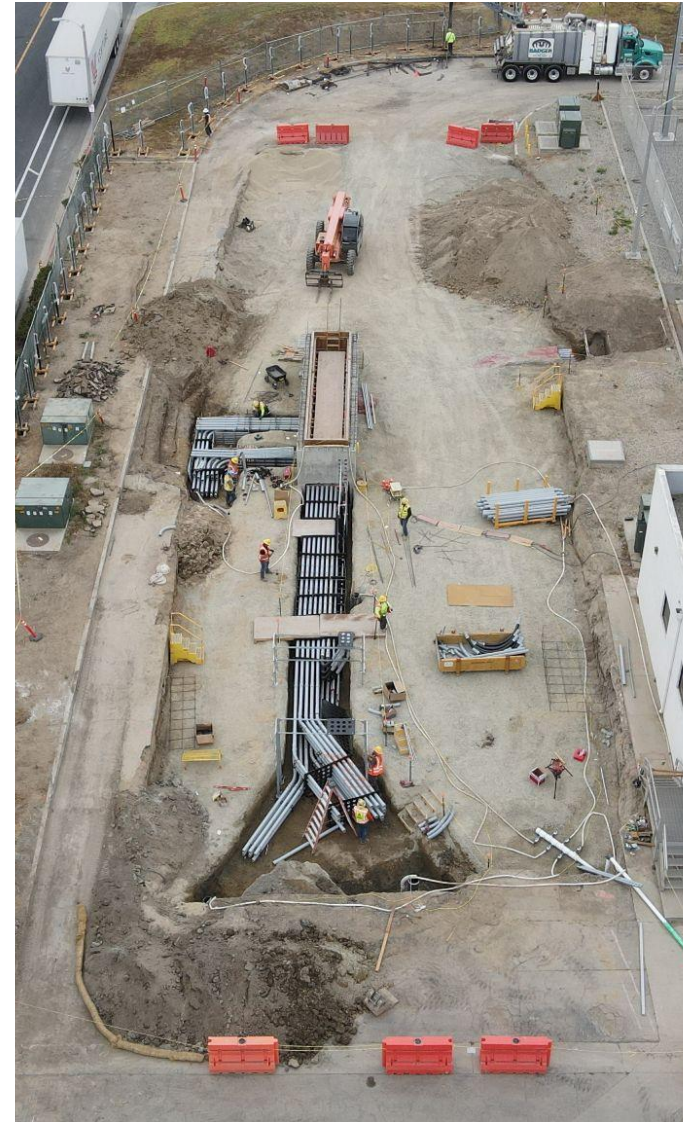
2023

Three year grant extension approved by the State

OWTP Reliability Project awarded

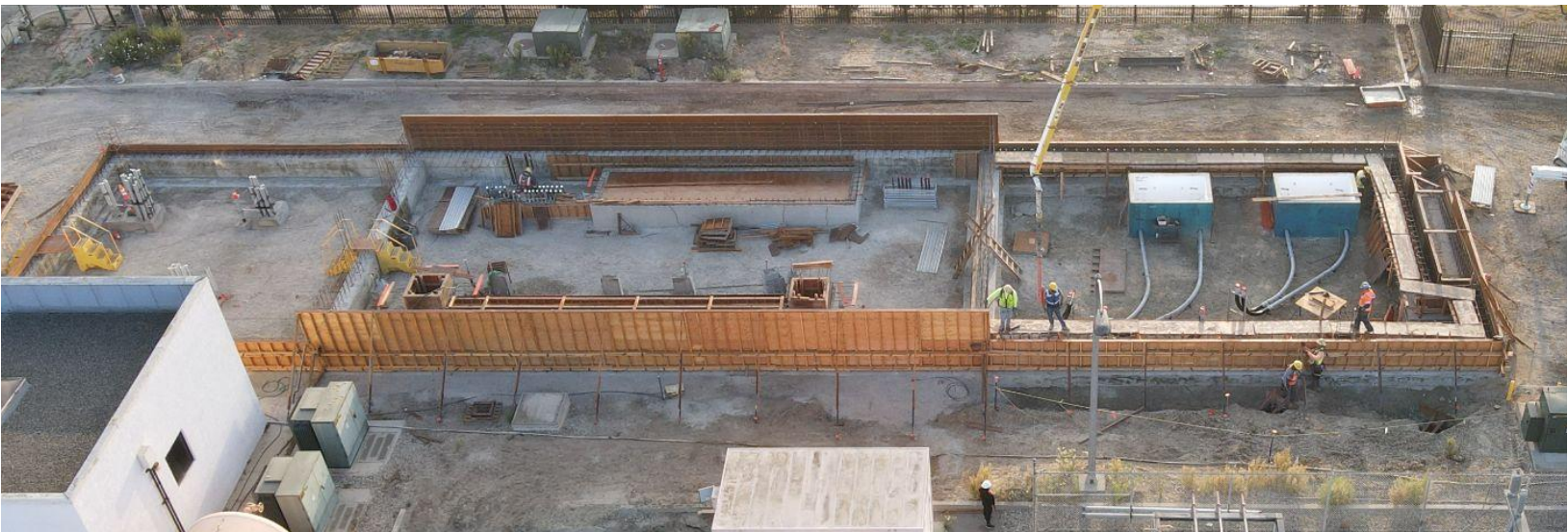


Demolition of previous existing storage building



- OWTP Reliability Project is 30 percent complete
- Main Electrical Building
 - Primary Structure
 - Underground Conduit
 - Site Civil Improvements
 - Equipment Delivered





- As work has progressed invoices have been paid by the Wastewater Division Capital Fund (612)
- Grant reimbursement is now available



- The awarded amount of \$9.5 Million shall be used to cover Project costs related to CIP Project C2201
- A new appropriation is required in the amount of \$9.5 in State Fund (210) to properly record the revenue and expenditures for this grant award
- There is no local match required





QUESTIONS



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.5

DATE: March 19, 2024

TO: City Council

FROM: Michael Wolfe, Public Works Director, (805) 385-8055, michael.wolfe@oxnard.org

SUBJECT: Emergency On-Call Services Performed by Toro Enterprises, Inc. to Protect Properties and City Infrastructure Located Along the Coastal Area of Oxnard Beach. (10 minutes)

RECOMMENDATION

That the City Council approve and authorize the Mayor to execute a one-time payment with Toro Enterprises, Inc. in the amount of \$23,478.39 for emergency on-call services performed on December 29, 2023, and December 30, 2023.

(This item did not originate in Committee.)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/PEGkGK4zUR4>

BACKGROUND

Government Code section 8630 and Chapter 6 of the Oxnard City Code ("OCC") empower the City Manager of the City of Oxnard ("City"), acting in the capacity of the Director of Emergency Services ("Director"), to proclaim the existence or threatened existence of a local emergency when the City is affected or likely to be affected by a public calamity and the City Council is not in session.

On December 21, 2023, the City was inundated with a series of rainstorms that the Los Angeles Times described as "a once-in-a-millennium rainfall." The National Weather Service estimated that approximately 1.36 billion gallons of water dropped on Oxnard in a 60-minute period in the early morning of December 21, which caused flooding throughout the City. In addition to the rainstorms, a high-tide warning with possible coastal flooding was issued for December 29-31, 2023.

As a result, the Director proclaimed the existence of a "Local Emergency" on December 27, 2023, with an "Emergency Proclamation" (Attachment 1). The City Council then ratified the Emergency Proclamation on January 2, 2024 (Attachment 2).

DISCUSSION

Per the January 2, 2024 ratification of Resolution 15,758 proclaiming the existence of a Local Emergency, the Director of Emergency Services shall:

D. To the extent permitted by law, City goods and services procurement requirements are stayed during this local emergency, as necessary to procure and respond to the local emergency, and the Director of Emergency Services is empowered to exercise all powers granted by any local, state, or federal emergency laws, rules, and regulations, and such procurements made by the Director of Emergency Services are hereby ratified; further, the Director of Emergency Services is authorized to appropriate and expend any City funds deemed necessary by the Director of Emergency Services in writing, including unappropriated City reserve funds, to exercise any of said emergency powers or procurement

powers, and to transfer such funds to corresponding City budget line items or categories; and

E. To the extent permitted by law, this proclamation of a local emergency is intended to satisfy any local, state, or federal law, or regulation requiring such a proclamation as a prerequisite or requirement for mutual assistance, reimbursement or other cooperation with any other local, state, or federal entity; this proclamation of local emergency also hereby activates any and all existing City emergency plans, and authorizes the Director of Emergency Services to amend such plans in writing as necessary; and

F. The Director of Emergency Services, as delegated by the City Council pursuant to Chapter 6 of the City Code, may promulgate orders and regulations necessary to provide for the protection of life and property

The Public Works Department currently has multiple agreements with Toro Enterprises, Inc. (“Toro”) that include street and alley paving and an on-call services agreement for the Water and Waste Water Divisions. The on-call agreement is for scheduled and non-scheduled, or unplanned repair and maintenance of facilities and infrastructure.

After receiving notice of the high tide warning and potential flooding, staff met with representatives from Toro on December 29, 2023, to discuss building a sand berm to protect the residential properties and City infrastructure along Oxnard Beach. During that on-site meeting, staff and the contractor witnessed the tide wash over the beach and flood Mandalay Beach Road at Fifth Street. The City Manager (Director of Emergency Services) was immediately contacted and staff instructed Toro to begin building the sand berm based on the time and material prices contained within the current on-call Agreement.

The Toro invoice, for the two days of work that was required to build the berm, was held pending a decision on when the berm would be removed. At that time, it was the intention of City staff to have Toro remove the berm and submit the invoices for both the construction and removal at the same time. However, after a few months, County Fire offered to remove the berm at no charge to the City in exchange for a coordinated effort for the entire length of the berm that included the beaches for the City of Ventura, the County of Ventura, and the State. County Fire began removing the sand berms on March 4, 2024, with the intention of having all of the berms removed by March 15, 2024.

Therefore, staff is now recommending the invoice be approved for payment for the construction of the berm by Toro in the amount of \$23,478.39.

STRATEGIC PRIORITIES

This agenda item is a routine operational item or does not relate to the five strategic priorities adopted by City Council on March 16, 2021.

FINANCIAL IMPACT

The cost of this one-time on-call emergency service with Toro Enterprises, Inc. Is \$23,478.39. Funding is available in the General Fund (1013301-53200) Parks Operations budget.

COMMITTEE OUTCOME

This item did not originate in Committee.

Prepared by: Steve Howlett, Assistant Public Works Director

ATTACHMENTS

1. Resolution 23.02
2. Resolution 15,758
3. Presentation

CITY OF OXNARD

RESOLUTION NO. 23-02

**RESOLUTION OF THE DIRECTOR OF EMERGENCY SERVICES
OF THE CITY OF OXNARD PROCLAIMING THE EXISTENCE
OF A LOCAL EMERGENCY**

WHEREAS, Chapter 6 of the Oxnard City Code empowers the City Manager of the City of Oxnard (“City”), acting in the capacity of the Director of Emergency Services, to proclaim the existence or threatened existence of a local emergency when the City is affected or likely to be affected by a public calamity and the City Council is not in session; and

WHEREAS, the Director of Emergency Services does hereby find:

The severe rainstorm that commenced the week of December 18, 2023 produced more than 6 inches of rainfall in Oxnard, 4.5 inches of which fell between 1:00 a.m. and 3:00 a.m. the morning of December 21; and

The National Weather Service reported rainfall to be as much as 3.18 inches in one hour, which is the highest rate recorded for the area for at least 100 years; and

The torrential rain caused extensive street flooding as well as flood damage to many homes, businesses, and vehicles within the city and neighboring jurisdictions and the unincorporated areas of Ventura County; and

Beginning on December 20, 2023, City staff dedicated resources to respond within the city and to assist other agencies in responding to the severe rainstorm throughout the County, including making available additional emergency response units and specialty-trained swift water rescue teams, managing road closures and flooding issues, and activating the City’s Emergency Operations Center at an Enhanced Operations/Monitoring level to assist in coordination and information sharing, both within the city and throughout Ventura County; and

The aforesaid conditions of extreme peril warrant and necessitate the proclamation of the existence of a local emergency within the city; and

The City Council of the City of Oxnard is not in session (and cannot immediately be called into session).

NOW, THEREFORE, IT IS HEREBY PROCLAIMED

Section 1. That a Local Emergency now exists throughout the city.

Section 2. The conditions and powers granted during this Local Emergency shall continue for no more than seven (7) days unless this Proclamation is ratified by a Resolution of the City Council pursuant to Government Code Section 8630.

IT IS FURTHER PROCLAIMED AND ORDERED that during the existence of the Local Emergency the powers, functions, and duties of the Office of Emergency Services and Disaster Council of the City shall be those prescribed by state law, and by the ordinances, resolutions and other adopted policies of the City.

Dated: 12/27/2023 By: 
Alexander Nguyen
City Manager/Director of Emergency
Services
City of Oxnard

ATTEST:

APPROVED AS TO FORM:


City Clerk


City Attorney

**CITY COUNCIL OF THE CITY OF OXNARD
RESOLUTION NO. 15,758**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF OXNARD RATIFYING
THE PROCLAMATION OF THE DIRECTOR OF EMERGENCY SERVICES OF THE
CITY OF OXNARD AND PROCLAIMING THE EXISTENCE OF A LOCAL
EMERGENCY DUE TO SEVERE STORMS**

WHEREAS, Government Code section 8630 and Chapter 6 of the Oxnard City Code empower the City Manager of the City of Oxnard ("City"), acting in the capacity of the Director of Emergency Services, to proclaim the existence or threatened existence of a local emergency when the City is affected or likely to be affected by a public calamity and the City Council is not in session; and

WHEREAS, a severe rainstorm that commenced the week of December 18, 2023 produced more than 6 inches of rainfall in the Oxnard, 4.5 inches of which fell between 1:00 a.m. and 3:00 a.m. the morning of December 21; and

WHEREAS, the National Weather Service reported rainfall to be as much as 3.18 inches in one hour, which is the highest rate recorded for the area for at least 100 years; and

WHEREAS, the torrential rain caused extensive street flooding as well as flood damage to many homes, businesses, and vehicles within the city and neighboring jurisdictions and the unincorporated areas of Ventura County ("County"); and

WHEREAS, beginning on December 20, 2023, City staff dedicated resources to respond within the city and to assist other agencies in responding to the severe rainstorm throughout the County, including making available additional emergency response units and specialty-trained swift water rescue teams, managing road closures and flooding issues, and activating the City's Emergency Operations Center at an Enhanced Operations/Monitoring level to assist in coordination and information sharing, both within the city and throughout the County; and

WHEREAS, on December 27, 2023, the Director of Emergency Services proclaimed the existence of a local emergency due to severe storms; and

WHEREAS, pursuant to Government Code Section 8630, the conditions and powers granted by the proclamation continue for seven (7) days unless ratified by a resolution of the City Council.

NOW, THEREFORE, IT IS HEREBY PROCLAIMED AND ORDERED:

A. The City Council hereby proclaims the existence of a local state of emergency in the city, pursuant to California Government Code Section 8630 and all other applicable laws, and the Director of Emergency Services' December 27, 2023 proclamation attached to this resolution as **Exhibit A** and incorporated into this resolution by this reference is hereby ratified; and

B. The local emergency shall be deemed to continue and exist until it is terminated by proclamation of the City Council of the City of Oxnard; and

C. During the existence of the local emergency, the powers, functions and duties of the

D. To the extent permitted by law, City goods and services procurement requirements are stayed during this local emergency, as necessary to procure and respond to the local emergency, and the Director of Emergency Services is empowered to exercise all powers granted by any local, state or federal emergency laws, rules and regulations, and such procurements made by the Director of Emergency Services are hereby ratified; further, the Director of Emergency Services is authorized to appropriate and expend any City funds deemed necessary by the Director of Emergency Services in writing, including unappropriated City reserve funds, to exercise any of said emergency powers or procurement powers, and to transfer such funds to corresponding City budget line items or categories; and

F. The Director of Emergency Services, as delegated by the City Council pursuant to Chapter 6 of the City Code, may promulgate orders and regulations necessary to provide for the protection of life and property; and

[illegible]

EXHIBIT A
[December 27, 2023 Director of Emergency Services Proclamation]

CITY OF OXNARD

RESOLUTION NO. 23-02

**RESOLUTION OF THE DIRECTOR OF EMERGENCY SERVICES
OF THE CITY OF OXNARD PROCLAIMING THE EXISTENCE
OF A LOCAL EMERGENCY**

WHEREAS, Chapter 6 of the Oxnard City Code empowers the City Manager of the City of Oxnard ("City"), acting in the capacity of the Director of Emergency Services, to proclaim the existence or threatened existence of a local emergency when the City is affected or likely to be affected by a public calamity and the City Council is not in session; and

WHEREAS, the Director of Emergency Services does hereby find:

The severe rainstorm that commenced the week of December 18, 2023 produced more than 6 inches of rainfall in Oxnard, 4.5 inches of which fell between 1:00 a.m. and 3:00 a.m. the morning of December 21; and

The National Weather Service reported rainfall to be as much as 3.18 inches in one hour, which is the highest rate recorded for the area for at least 100 years; and

The torrential rain caused extensive street flooding as well as flood damage to many homes, businesses, and vehicles within the city and neighboring jurisdictions and the unincorporated areas of Ventura County; and

Beginning on December 20, 2023, City staff dedicated resources to respond within the city and to assist other agencies in responding to the severe rainstorm throughout the County, including making available additional emergency response units and specialty-trained swift water rescue teams, managing road closures and flooding issues, and activating the City's Emergency Operations Center at an Enhanced Operations/Monitoring level to assist in coordination and information sharing, both within the city and throughout Ventura County; and

The aforesaid conditions of extreme peril warrant and necessitate the proclamation of the existence of a local emergency within the city; and

The City Council of the City of Oxnard is not in session (and cannot immediately be called into session).

NOW, THEREFORE, IT IS HEREBY PROCLAIMED

Section 1. That a Local Emergency now exists throughout the city.

Section 2. The conditions and powers granted during this Local Emergency shall continue for no more than seven (7) days unless this Proclamation is ratified by a Resolution of the City Council pursuant to Government Code Section 8630.

IT IS FURTHER PROCLAIMED AND ORDERED that during the existence of the Local Emergency the powers, functions, and duties of the Office of Emergency Services and Disaster Council of the City shall be those prescribed by state law, and by the ordinances, resolutions and other adopted policies of the City.

Dated: 12/27/2023 By: 
Alexander Nguyen
City Manager/Director of Emergency
Services
City of Oxnard

ATTEST:

APPROVED AS TO FORM:


City Clerk


City Attorney

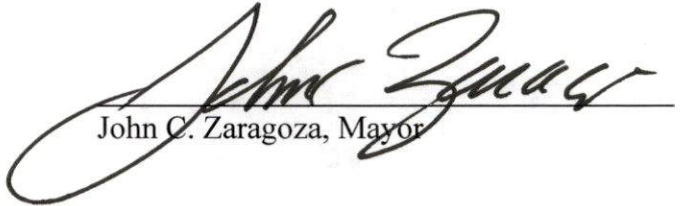
PASSED AND ADOPTED THIS 2nd day of January 2024, by the following vote:

AYES: Councilmembers Basua, MacDonald, Madrigal, Perello, Teran,
Valenzuela Zavala and Zaragoza

NOES: None.

ABSENT: None.

ABSTAIN: None.


John C. Zaragoza, Mayor

ATTEST:


Rose Chaparro, City Clerk

APPROVED AS TO FORM:


Stephen M. Fischer, City Attorney 1/2/2024

Emergency On-Call Services Performed by Toro Enterprises, Inc. to Protect Properties and City Infrastructure located along the coastal area of Oxnard Beach

City Council

March 19, 2024

Steve Howlett, Assistant Director
Public Works Department

RECOMMENDATION

That the City Council approve and authorize the Mayor to execute a one-time payment with Toro Enterprises, Inc. in the amount of \$23,478.39 for emergency on-call services performed on December 29, 2023, and December 30, 2023.



BACKGROUND

- Government Code section 8630 and Chapter 6 of the Oxnard City Code empowers the City Manager of the City of Oxnard, acting in the capacity of the Director of Emergency Services ("Director"), to proclaim the existence, or threatened existence, of a local emergency when the City is affected, or likely to be affected, by a public calamity and the City Council is not in session.
- On December 21, 2023, the City was inundated with a series of rainstorms that the Los Angeles Times described as "a once-in-a-millennium rainfall." In addition to the rainstorms, a high-tide warning with possible coastal flooding was issued for December 29-31, 2023.
- As a result, the Director proclaimed the existence of a "Local Emergency" on December 27, 2023 with an "Emergency Proclamation" (Attachment 1). The City Council then ratified the Emergency Proclamation on January 2, 2024 (Attachment 2).

DISCUSSION

- Per the January 2, 2024 ratification of Resolution 15,758 proclaiming the existence of a Local Emergency, the Director of Emergency Services shall:
 - D. To the extent permitted by law, City goods and services procurement requirements are stayed during this local emergency, as necessary to procure and respond to the local emergency, and the Director of Emergency Services is empowered to exercise all powers granted by any local, state, or federal emergency laws, rules, and regulations, and such procurements made by the Director of Emergency Services are hereby ratified; further, the Director of Emergency Services is authorized to appropriate and expend any City funds deemed necessary by the Director of Emergency Services in writing, including unappropriated City reserve funds, to exercise any of said emergency powers or procurement powers, and to transfer such funds to corresponding City budget line items or categories; and

DISCUSSION

- E. To the extent permitted by law, this proclamation of a local emergency is intended to satisfy any local, state, or federal law, or regulation requiring such a proclamation as a prerequisite or requirement for mutual assistance, reimbursement or other cooperation with any other local, state, or federal entity; this proclamation of local emergency also hereby activates any and all existing City emergency plans, and authorizes the Director of Emergency Services to amend such plans in writing as necessary; and
- F. The Director of Emergency Services, as delegated by the City Council pursuant to Chapter 6 of the City Code, may promulgate orders and regulations necessary to provide for the protection of life and property

DISCUSSION

- The Public Works Department currently has multiple agreements with Toro Enterprises, Inc. (“Toro”) that include street and alley paving and an on-call services agreement for the Water and Waste Water Divisions. The on-call agreement is for scheduled and non-scheduled, or unplanned repair and maintenance of facilities and infrastructure.
- After receiving notice of the high tide warning and potential flooding, staff met with representatives from Toro on December 29, 2023 to discuss building a sand berm to protect the residential properties and City infrastructure along Oxnard Beach. During that on-site meeting, staff and the contractor witnessed the tide wash over the beach and flood Mandalay Beach Road at Fifth Street. The City Manager (Director of Emergency Services) was immediately contacted and staff instructed Toro to begin building the sand berm based on the time and material prices contained within the current on-call Agreement.

DISCUSSION

- The Toro invoice, for the two days of work that was required to build the berm, was held pending a decision on when the berm would be removed.
- At that time, it was the intention of City staff to have Toro remove the berm and submit the invoices for both the construction and removal at the same time. However, after a few months, County Fire offered to remove the berm at no charge to the City in exchange for a coordinated effort for the entire length of the berm that included the beaches for the City of Ventura, the County of Ventura, and the State. County Fire began removing the sand berms on March 4, 2024, with the intention of having all of the berms removed by March 15, 2024.
- Therefore, staff is now recommending the invoice be approved for payment for the construction of the berm by Toro in the amount of \$23,478.39

FINANCIAL IMPACT

The cost of this one-time on-call emergency service with Toro Enterprises, Inc. Is \$23,478.39. Funding is available in the General Fund (1013301-53200) Parks Operations budget.





QUESTIONS



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.6

DATE: March 19, 2024

TO: City Council

FROM: Jeff Pengilley, Community Development Director, (805) 385-8208, jeff.pengilley@oxnard.org

SUBJECT: City of Oxnard 2023 General Plan Annual Progress Report. (10 minutes)

RECOMMENDATION

That the Oxnard City Council receive and file the City of Oxnard 2023 General Plan and Housing Element Annual Progress Report.

(This item did not originate in Committee)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/s4ls4Uarphg>

BACKGROUND

Government Code section 65400(a)(2) mandates the preparation and filing of an annual report with the legislative body regarding the status of a city's or county General Plan. The General Plan Annual Progress Report and Housing Element Annual Progress Report, must then be submitted to the Governor's Office of Planning and Research (OPR) and the State Department of Housing and Community Development (HCD) by April 1st of each year.

This City Council staff report consists of two separate reports, the General Plan Annual Progress Report (Attachment 1) and the Housing Element Annual Progress Report (Attachment 1, Exhibit 1):

1. The General Plan Annual Progress Report is a text based report without any standard form or format dictated by OPR or HCD.
2. The Housing Element Annual Progress Report (Attachment 1, Exhibit 1) is prepared using standardized spreadsheet-based forms provided by HCD. Staff does not have the ability to change the format or readability of this document. As the Housing Element is one of the required elements of the Oxnard General Plan, the General Plan Annual Progress Report includes a summary of the data included within the Housing Element Annual Progress Report.

The 2023 Annual Progress Report, which looks at the progress towards the goals, policies and programs of the 2021-2029 6th-Cycle Amended Housing Element ("Housing Element"), must be presented to the City Council for its review and acceptance, as a consent or discussion item on a regular meeting agenda. In accordance with these requirements, this report is being presented to the City Council and the public to provide information regarding the implementation of the General Plan within the City of Oxnard.

DISCUSSION

The City of Oxnard 2023 General Plan Annual Progress Report and Housing Element Annual Progress Report provide an overview of the City's General Plan and Zoning Ordinance Amendments that are currently in process or were completed

in 2023, as well as an inventory of the 2030 General Plan Amendment history.

In 2023, there were three pending General Plan Amendments, but there were no adopted General Plan Amendments. Exhibit 1 of Attachment 1 presents a summary of all nineteen (19) adopted and three (3) pending General Plan Amendments since the adoption of the 2030 General Plan. The General Plan as amended through 2023 complies with the General Plan Guidelines.

The Oxnard City Code was amended on November 7, 2023 to implement Programs 6, 9, 22, 27, and 32 of the Housing Element and to implement State housing laws. These seven (7) ordinances affected regulations regarding: Accessory Dwelling Units (ADUs) parking; Density Bonus requirements; Supportive Housing and Low Barrier Navigation Center zoning; Emergency Shelter standards and parking; Single Room Occupancy zoning, standards and parking; Farmworker Housing zoning; Residential Care Facility zoning and parking; and objective standards for Reasonable Accommodation.

The 2023 General Plan Annual Progress Report highlights some of the key long range projects such as the pending Inclusionary Housing Ordinance update; the Local Coastal Plan Update, and Implementation of the Climate Action and Adaptation Plan. It also highlights major development projects including: the Sakioka Specific Plan, RiverPark Specific Plan Amendments; the Village Development project; and Lockwood 1, 2, and 3.

In addition, as required by State law, the General Plan Annual Progress Report includes a discussion regarding the City's progress in meeting its share of the regional housing needs and effort to promote housing development. This includes statistics on ADU's and residential Planning and Building permit data. In summary, in 2023 Planning approved 254 units, building issued permits for 641 units and issued 372 certificates of occupancy for residential units.

A summary of housing development activity by affordability category is provided in the General Plan Annual Progress Report Table 3 of Attachment 1. In summary, in 2023 the City permitted the following units in these income categories:

- Very Low Income (50% of Area Median Income - AMI) - 38 units
- Low Income (80% AMI) - 173 units
- Moderate Income (120% AMI) - 46 units
- Above Moderate Income (+120% AMI) - 384 units
- Total Units in 2023 - 641 units

The 641 units produced in 2023, bring the total units to date for this housing cycle to 995, or 13.17% of our RHNA allocation. During the remaining years of this cycle an additional 7,554 units are needed to hit the City's allocation. A detailed compilation of housing development activity is included in the Housing Element Annual Progress Report (Attachment 1, Exhibit 1).

STRATEGIC PRIORITIES

This agenda item is state-mandated and is a routine operational item and does not relate to the four strategic plans adopted by City Council on March 16, 2021.

FINANCIAL IMPACT

There is no fiscal impact associated with this staff report.

COMMITTEE OUTCOME

This item did not originate in Committee as it is a routine operational item. Pursuant to State law this item is to be presented to the public and City Council annually, as an informational item for discussion only. No deliberation or decisions are necessary, and therefore committee review is not warranted.

Prepared by: Kathleen Mallory, Planning & Sustainability Manager, Joe Pearson II, AICP, Planning & Environmental Services Manager

ATTACHMENTS

1. Draft 2023 City of Oxnard General Plan Annual Progress Report
2. Presentation

City of Oxnard

2023 General Plan Annual Progress Report



Prepared by:

Community Development Department – Planning Division

214 S. C Street

Oxnard, CA 93030

Submitted to the City of Oxnard - City Council, March 19, 2024

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Introduction

Government Code Section 65400 requires the City to annually prepare a report regarding the status of the City's 2030 General Plan (General Plan) and progress in its implementation (General Plan Progress Report) and provide the General Plan Progress Report to the Office of Planning and Research (OPR) and the Department of Housing and Community Development (HCD). The General Plan Progress Report provides for the annual review of the General Plan to assess the level of implementation and effectiveness of the General Plan as a guide for orderly growth and development, preservation and conservation of open space land and natural resources, and the efficient expenditure of public funds. This General Plan Progress Report summarizes the General Plan implementation progress for 2023. The General Plan Progress Report also provides information regarding the City's progress in meeting its share of regional housing needs.

Pursuant to State law, this report must be submitted to the City Council, the State Office of Planning and Research (OPR) and HCD by April 1st of each year. The Annual Progress Report includes the following elements:

1. Overview of General Plan background and status, including a summary of recently completed and active General Plan Amendments;
2. Summary of recently completed and active Zoning Text amendments, and Long Range Planning Projects currently being implemented;
3. Summary of 2023 Active Development Projects of Interest; and
4. Review of the City's progress in meeting the regional housing need allocation objectives.

Overview of General Plan Background and Amendments

The General Plan is the City's official policy that sets out a vision to guide future development in the City. Local governments are required to keep their General Plans current and internally consistent, and City actions must be consistent with this plan. Although General Plans must be kept current, there is no specific requirement that a local government update the General Plan on a prescribed timeline, with the exception of the Housing Element, which is formally required to be updated every eight years. As detailed below, the City's 2021-2029 Amended Housing Element was adopted on October 4, 2022 ("Housing Element"). The Oxnard General Plan was adopted in October 2011. Specifically, Chapter 9 "Implementation" of the General Plan lays out the implementation goals and policies of the General Plan. As outlined in Exhibit 2 many of the goals and policies will remain ongoing until 2030. Additionally, specific to housing, Chapter G of the Housing Element outlines the goals, policies, and programs that will guide the City's efforts in meeting the Regional Housing Needs Assessment (RHNA) and related current and future housing needs. The 2023 Annual Report will look at the progress towards the goals, policies and programs of the Housing Element. Each Housing Element program and its implementation status are summarized in Exhibit 2 (HCD Table D).

General Plan Amendments in 2023

During 2023, the City did not approve any General Plan Amendments. Exhibit 1, attached to this report, summarizes the General Plan Amendments since adoption of the City's 2030 General Plan.

General Plan Amendments in Process in 2023

Teal Club Specific Plan Project (Planning and Zoning Permit No. 15-620-03), Located in area bounded by Doris Avenue on the north, Patterson Road on the west, Teal Club Road on the south, and Ventura Road on the east: This proposed Specific Plan includes a request for 990 residential units of varying density, single-family, townhomes, condominium, and apartment units; 24 acres, community park; 8 acres public/semi public use; 4 acres of mixed use, retail, commercial; 10 acres of Business/Research Park. This project also includes the adoption of a Teal Club Specific Plan (TCSP) and Pre-Zoning that would allow for the annexation of a 174.6-acre collection of seven agricultural parcels (known locally as “Teal Club”) to the City. Additional parcels south of Teal Club Road would also be annexed into the City, and include a mix of vacant land and residential development with ancillary vehicle storage and shop uses. The City prepared and released a Draft Environmental Impact Report (EIR) for the TCSP. A 60-day public comment period was provided. City staff is currently finalizing the Specific Plan and Development Agreement documents and preparing the project for public hearings in 2024.

Maulhardt/Stiles NECSP Sub-Neighborhood Plan (Planning and Zoning Permit No. 21-620-04), Located at the Northeastern Corner of Rose Ave and Camino Del Sol (APN: 214-0-020-615; & 625): The project proposes to create a Sub-Neighborhood Plan under the Northeast Community Specific Plan that would create the framework for a future development of approximately 500-950 residential units and approximately 40,000 square feet commercial development on the 56 acres project site. The proposed Tentative Tract Map proposes to create 14 master lots. The project will also provide for approximately 11.05 acres of improved park areas, including a 5.4 acre expansion of East Village Park.

Rio Del Valle Campus Expansion Master Plan (Planning and Zoning Permit No. 23-620-02), Located at 3100 North Rose Avenue (APN: 144-0-110-445 & -650): The project consists of a southern expansion area and improvements to the existing campus. The southern expansion area will accommodate classroom and athletic field expansions and a new transportation and parking facility, relocated from a previous antiquated facility on Vineyard Avenue. Together, with the existing campus area, the expansion area will constitute the new campus of Rio Del Valle Middle School. In order to allow for the proposed expansion the existing campus and southern expansion area must be annexed into the City of Oxnard, which requires the General Plan Amendment to add the property to the 2030 General Plan.

Summary of Recently Completed Zoning Text Amendments

Ordinance Amendments

Zoning Code Amendments to Implement 6th Cycle Housing Element (Planning & Zoning Permit No. 23-580-03): On November 7, 2023 the City Council adopted seven (7) ordinances to implement the City of Oxnard Housing Element Programs 6, 9, 22, 27 and 32. These programs called for specific updates to the City's zoning code and Downtown Plan to comply with current state housing laws and address

identified constraints to residential development. Key amendments to the City's Zoning Code and Downtown Plan included updates to ADU parking, Density Bonus requirements, Supportive Housing and Low Barrier Navigation Center zoning; Emergency Shelter standards and parking, Single Room Occupancy zoning, standards and parking; Farmworker Housing zoning, Residential Care Facility zoning and parking, objective standards for Reasonable Accommodation, and other ordinance modifications to streamline, clarify and simplify permitting requirements to comply with State regulatory requirements and laws. Detail on the approved revisions are outlined in the City Council packet [City Council Meeting \(1st Tue\) • City of Oxnard Meeting Agendas • CivicClerk](#). After the ordinance second reading on December 5, 2023, the zoning text amendments became effective on January 4th, 2024.

Summary of Long Range Projects Currently Being Implemented

Inclusionary Housing Ordinance Update (Planning & Zoning Permit No. 23-580-01): The City has been in the process of updating the City's existing inclusionary housing ordinance to implement Program 10 of the City's Housing Element. The existing ordinance requires that for-sale development provide 10% of dwelling units as affordable to low income households, and rental development provide at least 5% of dwelling units as affordable for very low income households and 5% as affordable to lower income households. In 2022, staff conducted community outreach to obtain input from affordable housing advocates, developers, and business organizations on potential changes to the City's existing Inclusionary Housing Ordinance. In November 2022 a City Council appointed Committee known as the Community Services, Public Safety, Housing & Development Committee ("Committee") provided direction regarding key policy questions on the Inclusionary Housing Ordinance update. Based on community input and Committee direction, staff prepared and published a draft update to the Inclusionary Housing Ordinance for public review in January 2023. The Inclusionary Housing Ordinance Update was reviewed by the Planning Commission on April 6, 2023 and the Commission recommended substantial revisions to the draft ordinance; some of these changes were in conflict with prior Committee direction.

In working on the ordinance update and in reviewing community input, confirming state regulations necessitated requesting an opinion from the State of California Attorney General on how local inclusionary units may be calculated in projects that are also seeking to utilize State Density Bonus as a means to secure additional density for a residential development project. Specifically, due to conflicting government code language the City asked for clarification if the local inclusionary housing requirements may be applied to the complete number of units in a development, including units created as a result of density bonus allowed pursuant to state law. The draft Inclusionary ordinance has been placed on hold while the City waits for a response from the Attorney General.

Local Coastal Program (LCP) Update

The California Coastal Act requires that the City prepare and implement Local Coastal Programs (LCPs) to protect natural and man-made coastal resources and maximize public access to the shorelines in conformance with the Coastal Act. The LCP identifies adaptation strategies that address rising threats and concerns of climate change, such as sea level rise (SLR), and guides development in the coastal zone with policy directives for future development and protection of coastal resources.

The City's work on the LCP was paused for a few years due to California Coastal Commission (CCC) actions and policy approaches. In 2023, the City joined Smart Coast California, a consortium of coastal

communities promoting local coastal policy solutions. This organization has helped fuel and secure CCC support for cities to develop policies and approaches unique to their jurisdiction. For this reason, as well as CCC and HCD mandates and laws, the City initiated work on the LCP update in 2023.

In late 2023, the City issued a Request for Proposal (RFP) for a comprehensive update to the LCP, which is reflective of updates to the City's Coastal Land Use Plan (LUP) as well as the Local Implementation Plan (LIP). A comprehensive update to the LCP will help the City secure CCC approval while: (1) supporting the community's vision for the future; (2) addressing the City's specific coastal hazard risks; (3) conforming with the Coastal Act policies as approved by the City Council; (4) generally following policy directions in the CCC's updated SLR Policy Guidance, with consideration to the outcome of the City's SLR model and analysis as appropriate for the City of Oxnard; and (5) providing the City with more adaptation strategies to reduce impacts from rising concerns of climate change and hazardous events. The update is anticipated to take three (3) years to complete.

City of Oxnard Climate Action and Adaptation Plan (CAAP)

On December 7, 2022, the City Council approved the City's first CAAP (Resolution No. 15,652). A CAAP is a plan for reducing Greenhouse Gases (GHGs) that contribute to global climate change, and for adapting to the anticipated effects of climate change. The CAAP had two primary objectives:

1. To reduce GHG emissions through the implementation of reduction measures to meet or exceed reduction targets mandated by the State of California via Senate Bill 32 (SB 32), which calls for a statewide reduction of GHG emissions to 40 percent below 1990 levels by 2030; and
2. To enhance the community's resilience to a changing climate by addressing the vulnerabilities and risks that are expected to occur as a result of those impacts.

The CAAP was also developed to comply with the 2030 General Plan, Sustainable Communities Chapter, Goal 1: Supporting and Participating in Global Warming and Climate Change Adaptation analysis and programs.

One of the primary ways to achieve the CAAP goals is to continue securing 100% green energy from the city's energy provider, the Clean Power Alliance (CPA).

In June 2022, the City Council authorized the City Manager to enter into a Memorandum of Understanding (MOU) with the Clean Power Alliance (CPA) to participate in the CPA Power Ready Program for the City-owned building located at 214 South C Street (Service Center). Staff's work to implement the CAAP continued through 2023 through working with the CPA to design the solar and battery storage system for emergency and resilience purposes. Staff also participated in CPA Energy Planning & Resource Committee meetings and Council members participated in CPA Board of Director meetings to continue helping to shape renewable energy policy for the City to achieve CAAP goals.

Summary of 2023 Active Developments of Interest

In addition to the continued progress of the larger developments in the City, such as the Wagon Wheel project and Riverpark developments, and on Housing Element Additive Zone sites in 2023. Also, throughout the City there was an increase in the demand for Accessory Dwelling Units. As each of these

are identified in the General Plan, a brief summary of the progress made in each area in 2023 has been provided below.

Sakioka Farm Specific Plan

On June 16, 2020, City Council to approved a Development Agreement between the City of Oxnard, Sakioka Farms and AMS Craig, LLC; and a Tentative Tract Map, which subdivided the 430 acre area within the Sakioka Farms Business Park Specific Plan into 129 lots with new roads and sidewalks, stormwater management, landscape, and associated utilities. In addition to the Amazon and Artic Cold Storage Developments which are completed and operational, a third development was approved. In 2023, the City approved a proposal to construct four industrial buildings totalling 778,720 square feet and related site improvements on four separate lots totalling 40.87 acre site. Altogether, the recent and approved development in the Sakioka areas total over 2.8 million square feet of development, furthering the goals of the General Plan that aim to promote economic development through job creation and cold storage projects.

RiverPark Specific Plan Amendment (RPSP)

In September 2002, the City Council approved the entitlement permits for the RPSP to guide future development on the 702-acre project site. The original RPSP allowed for the phased development of 2,805 dwelling units and 2,485,000 square feet of commercial development (including approximately 221,000 square feet of existing office development, prior to the adoption of the RPSP). The original RPSP was developed following the design principles of the New Urbanism and Smart Growth movements, which emphasized the importance of mixed land uses in close proximity, communities scaled for pedestrian walkability; limiting the need for automobile usage; higher density development, and the importance of physical design. Several subsequent approvals and amendments have taken place since the 2002 approval. In 2020, the applicant team submitted a request for a amendment to the RPSP, that would allow for the development of up to 1,025 additional residential units under the RPSP, in exchange for a reduction of up to approximately 562,457 square feet of non-residential development potential in accordance with specified development scenarios. The Project was approved by the City Council on March 15, 2022. The first project resulting from this approval was the 333-unit Fore Apartments at RiverPark project, which was approved by the Planning Commission on July 21, 2022. This Project has started construction. A second project proposed 280 units, referred to as “The Pointe”, was submitted in 2023 and is currently moving through the entitlement process.

Village Specific Plan (a.k.a. “Wagon Wheel “)

In January 2009, the Oxnard City Council approved the entitlement permits for the Village Specific Plan to guide future development on the 62-acre project site. The Village Specific Plan provides infrastructure plans, guidelines, standards, and regulations for up to 1,500 dwelling units, 50,300 square feet of commercial development, and related roads, parking, and transit parking. The Village Specific Plan has been developed over time, with completion of the Village Family Apartment (120-units affordable to lower income households) in 2016, Wagon Wheel Junction (mixed-use 219-units and 15,990 sf. commercial) in 2019, and various lots within Oxford Flats (144-unit condominium), Park Place (111-unit condominiums) and Mayfair (88-unit condominiums) in 2020 through present. In 2023 continued

construction of Town Square (mixed use 448-unit residential with 80 of the units restricted for moderate income and 34,310 sf of commercial).

Housing Element Additive Zone Sites, Lockwood Development

To accommodate affordable housing on sites identified in Supplement 1 of the Housing Element, the City amended the zone on approximately 63 parcels to include an affordable housing additive zone (-AHP and -AHD) on top of the existing zoning to allow development of 30 dwelling units per acre. The new additive zones have proven to be successful and several housing projects have been approved under the program. For example, on Housing Element Site 1 the Lockwood Senior Apartments (173 lower-income units) and the Lockwood 2 Senior Apartment (168 lower-income units) were under construction in 2023, and the Lockwood 3 Apartments (234 units) is proposed. On Housing Element Site 3, which was an underutilized auto dealership site, a 142-unit development was approved in 2023 and will provide 5 units affordable to very low income households and 18 units affordable to low income households.

Accessory Dwelling Units

Permitting activity for accessory dwelling units (ADU) has increased significantly. State law revisions in 2017 and 2019 led to revisions to the development standards for these units in 2019 and 2020. The Ordinance Amendments, in compliance with State law, made it easier to obtain permits for ADUs by reducing fees and parking requirements, allowing for multiple ADUs on each lot, and creating an expedited process for converting existing permitted space (e.g., in a garage or detached accessory structure) into an accessory dwelling unit. The number of ADUs permitted in 2020 was more than double the number of permits issued in 2019, with further increases in 2021 and 2022. In 2023, 87 ADUs received planning permit approvals/entitlements, 178 were issued a building permit, and 67 units were constructed and occupied. The Housing Element projects the development of 283 ADUs during the 2021-2029 Housing Element Cycle. As a result of the City's updates to the ADU ordinance and ongoing City promotion of ADUs, the Planning Department entitled 425 ADUs in 2022 and 2023, and 253 ADUs were issued building permits in 2022 and 2023. Therefore, the City is on track to meet the Housing Element ADU projection of 283 units as early as 2024, and could significantly exceed that projection by the end of the Housing Element cycle on October 15, 2029.

Table 1
Annual ADU Statistics (Units)

Year	Planning Applications Received	Entitlements Granted	Building Permit Applications Received	Building Permits Issued	Final Inspection/CO
2013	0	0	0	0	0
2014	1	0	0	0	0
2015	0	1	0	0	0
2016	0	0	1	0	0
2017	17	2	3	0	0
2018	44	25	20	4	1
2019	50	55	30	13	3

2020	129	123	111	27	9
2021	176	157	106	63	14
2022	327	338	335	75	61
2023	110*	111*	167	191	73
Total	811	855	773	373	161

*In April 2023, in order to help streamline the ADU permitting process, Planning no longer required separate planning application and entitlements.

A Review Of The City's Progress In Meeting The Regional Housing Need Allocation (RHNA) Objectives

State law requires all regional councils of government to determine the existing and projected housing need for its region. The City of Oxnard is in the region covered by the Southern California Association of Governments (SCAG). For each Housing Element planning cycle, SCAG is required to determine the share of the regional housing that needs to be allocated to each city and unincorporated county areas within the SCAG region. This is called the Regional Housing Need Assessment (RHNA) allocation. The City of Oxnard's Housing Element was adopted by the Oxnard City Council on October 4, 2022 and certified by the State of California, Department of Housing and Community Development (HCD) on October 25, 2022.

The City prepares an Annual Progress Report that documents achievements in meeting the objectives of the City's Housing Element. This Annual Progress Report provides HCD with an update on building activity during the Housing Element cycle and progress made to implement approved Housing Element programs. This year's Annual Progress Report includes housing data for the 2023 calendar year. It also includes the status of adopted Housing Element programs. The Annual Progress Report is submitted using a template provided by HCD. These forms are provided in Exhibit 2 (HCD Tables A, A2, B, and D).

Planning and Building Permit Activity in 2023

As shown in Table 3 below, planning entitlements were granted for a total of 254 residential units in 2023. Accessory dwelling units made up 87 of these units, with the remaining units resulting from multifamily developments, two single family subdivisions, and two single family developments.

Building permits were issued for a total of 641 residential units in 2023. Of these units, 333 were units located within the Riverpark. The remainder were located throughout the City and included multifamily units, Single Family Dwellings, and Accessory Dwelling Units. A Certificate of Occupancy was granted for a total of 372 dwelling units in 2023.

Table 2
Planning and Building Permit Activity in 2023

	Planning Entitlements	Building Permits	Certificates of Occupancy
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Single Family Dwellings	2	7	4
Multi Family/Apartments	142	443	295
Accessory Dwelling Units	110	191	73
Total	254	641	372

Methodology and Reporting Requirement for Determining Affordability

To categorize the affordability of housing units that were issued building permits, both median household income and the cost of the new housing units are taken into account. According to the U.S. Department of Housing and Urban Development (HUD), the annual median family income for a family of four in Ventura County in 2023 was \$123,500. Affordable housing is generally defined as housing on which the occupant is paying no more than 30 percent of gross income for housing costs, including utilities. Based on this, the maximum monthly affordable housing payment for a family of four making median income would be \$3,088.

City of Oxnard's Progress in Meeting Housing Need

A summary of development activity by affordability category is provided in Table 4 below, as compared against the assigned RHNA targets for the 2021-2029 6th Cycle Housing Element. A total of 8,549 dwelling units were allocated to the City of Oxnard for 2021 to 2029. In 2023, 641 units were issued building permits. Approximately, forty (40) percent of the units issued building permits in 2023 met the criteria for placement in the lower-income and moderate income household categories while the remaining dwelling units (60%) were categorized as dwellings affordable to above moderate households.

Table 3
Regional Housing Needs Allocation Progress
Permitted Units Issued by Affordability

Income Level	RHNA Allocation by Income Level	2021 (Partial)	2022	2023	2024	2025	2026	2027	2028	2029	Total Units to Date (all years)	Total Remaining RHNA by Income Level	Percent Completed
Very Low	1,840	4	101	38							143	1,735	8.24%
Low	1,071	7	78	173							258	986	26.17%
Moderate	1,538	15	3	46							64	1,520	4.21%
Above Moderate	4,100	58	88	384							530	3,954	13.40%
Total RHNA	8,549												
Total Units		84	270	641							995	7,554	

														13.17%
--	--	--	--	--	--	--	--	--	--	--	--	--	--	--------

Conclusion

This General Plan Annual Progress Report has been prepared in compliance with Government Code Section 65400. The Report is to be provided to the Oxnard City Council at a public meeting on March 19, 2024, and it will be submitted to the Office of Planning and Research and the Department of Housing and Community Development by April 1, 2024.

Exhibits

- 1. General Plan Amendments (As of December 31, 2023)
- 2. 2023 City of Oxnard Housing Element Annual Report/HCD Excel Table

Exhibit 1

General Plan Amendments (As of December 31, 2023)

Amendment Number	Adoption Date	City Case No.	General Plan Amendment Project Name	GPA Adopting Reso. No.
1	10/11/2011	10-620-01	Adoption of 2030 General Plan	14,118
2	6/12/2012	10-620-02	GPA for 2006-2014 Housing Element	14,236
3	7/9/2013	13-620-01	GPA/Amend Housing Element AAHOP	14,424
4	9/9/2014	14-620-01	Change of Land Use Designation from Industrial Business and Research Park to Commercial General	14,684
5	12/9/2014	14-620-02	Repeal Las Cortas Specific Plan	14,708
6	1/26/2016	15-620-02	Change of Land Use Designation from Industrial Light to Industrial Limited	14,890
7	6/7/2016	16-620-01	Update Sustainability, Infrastructure, and Hazards Goals and Policies	14,925
8	12/13/2016	16-620-03	Creation of Urban Village Process	14,981
9	12/13/2016	16-620-02	Creation of new Community Development Goals to address the SB 244 provisions for the Nyeland Acres Community	14,983
10	12/13/2016	15-620-04	Adoption of 2013-2021 Housing Element	14,982
11	10/10/2017	17-620-02	Mid Cycle 2013-2021 Housing Element Update	15,060
12	12/12/2017	17-620-03	Designation of Annexed School Property as SCH	15,074

13	1/30/2018	17-620-04	Costco Fuel Station	15,086
14	7/16/2019	18-620-01	Adoption of Downtown Code (replace the existing "Central Business District" land use designation with two new designations, "Downtown" and "Downtown Edge" and update references to these land use designations within the general plan.)	15,252
15	2/4/2020	17-620-01	Rio Urbana Housing Project (approved Subject to LAFCO)	15,299/15,315
16	10/5/2021	21-620-02 and 21-620-03	Adoption of 2021-2029 Housing Element and text and map changes to the General Plan Land Use Element	15,490
17	4/19/2022	20-620-02	Change of Land Use Designation from Industrial Business and Research Park to Residential Medium	15,564
18	10/4/2022	21-620-03	Adoption of 2021-2029 Amended Housing Element	15,635
19	12/7/2022	22-620-01	Amendment to Safety Element of General Plan	15, 653

Please Start Here

General Information	
Jurisdiction Name	Oxnard
Reporting Calendar Year	2023
Contact Information	
First Name	Jeff
Last Name	Pengilley
Title	Community Development Director
Email	jeff.pengilley@oxnard.org
Phone	8053858208
Mailing Address	
Street Address	214 South C Street
City	Oxnard
Zipcode	93030

Optional: Click here to import last year's data. This is best used when the workbook is new and empty. You will be prompted to pick an old workbook to import from. Project and program data will be copied exactly how it was entered in last year's form and must be updated. If a project is no longer has any reportable activity, you may delete the project by selecting a cell in the row and typing ctrl + d.

[Click here to download APR Instructions](#)

Click here to add rows to a table. If you add too many rows, you may select a cell in the row you wish to remove and type ctrl + d.

v_2_15_24

Optional: This runs a macro which checks to ensure all required fields are filled out. The macro will create two files saved in the same directory this APR file is saved in. One file will be a copy of the APR with highlighted cells which require information. The other file will be list of the problematic cells, along with a description of the nature of the error.

Optional: Save before running. This copies data on Table A2, and creates another workbook with the table split across 4 tabs, each of which can fit onto a single page for easier printing. Running this macro will remove the comments on the column headers, which contain the instructions. Do not save the APR file after running in order to preserve comments once it is reopened.

Optional: This macro identifies dates entered that occurred outside of the reporting year. RHNA credit is only given for building permits issued during the reporting year.

Link to the online system: <https://apr.hcd.ca.gov/APR/login.do>

Toggles formatting that turns cells green/yellow/red based on data validation rules.

Submittal Instructions

Please save your file as Jurisdictionname2023 (no spaces). Example: the city of San Luis Obispo would save their file as SanLuisObispo2023

Housing Element Annual Progress Reports (APRs) forms and tables must be submitted to HCD and the Governor's Office of Planning and Research (OPR) on or before April 1 of each year for the prior calendar year; submit separate reports directly to both HCD and OPR pursuant to Government Code section 65400. There are two options for submitting APRs:

1. Online Annual Progress Reporting System - Please see the link to the online system to the left. This allows you to upload the completed APR form into directly into HCD's database limiting the risk of errors. If you would like to use the online system, email APR@hcd.ca.gov and HCD will send you the login information for your jurisdiction. *Please note: Using the online system only provides the information to HCD. The APR must still be submitted to OPR. Their email address is opr.apr@opr.ca.gov.*

2. Email - If you prefer to submit via email, you can complete the excel Annual Progress Report forms and submit to HCD at APR@hcd.ca.gov and to OPR at opr.apr@opr.ca.gov. Please send the Excel workbook, not a scanned or PDF copy of the tables.

Jurisdiction	Oxnard	
Reporting Year	2023	(Jan. 1 - Dec. 31)
Housing Element Planning Period	6th Cycle	10/15/2021 - 10/15/2029

Building Permits Issued by Affordability Summary		
Income Level		Current Year
Very Low	Deed Restricted	16
	Non-Deed Restricted	22
Low	Deed Restricted	74
	Non-Deed Restricted	99
Moderate	Deed Restricted	17
	Non-Deed Restricted	29
Above Moderate		384
Total Units		641

Note: Units serving extremely low-income households are included in the very low-income permitted units totals

Units by Structure Type	Entitled	Permitted	Completed
Single-family Attached	0	49	24
Single-family Detached	2	7	4
2 to 4 units per structure	4	2	33
5+ units per structure	138	392	238
Accessory Dwelling Unit	110	191	73
Mobile/Manufactured Home	0	0	0
Total	254	641	372

Infill Housing Developments and Infill Units Permitted	# of Projects	Units
Indicated as Infill	212	641
Not Indicated as Infill	0	0

Housing Applications Summary	
Total Housing Applications Submitted:	81
Number of Proposed Units in All Applications Received:	532
Total Housing Units Approved:	0
Total Housing Units Disapproved:	0

Use of SB 35 Streamlining Provisions - Applications	
Number of SB 35 Streamlining Applications	0
Number of SB 35 Streamlining Applications Approved	0

Units Constructed - SB 35 Streamlining Permits			
Income	Rental	Ownership	Total
Very Low	0	0	0
Low	0	0	0
Moderate	0	0	0
Above Moderate	0	0	0
Total	0	0	0

Streamlining Provisions Used - Permitted Units	# of Projects	Units
SB 9 (2021) - Duplex in SF Zone	0	0
SB 9 (2021) - Residential Lot Split	0	0
AB 2011 (2022)	0	0
SB 6 (2022)	0	0
SB 35 (2017)	0	0

Ministerial and Discretionary Applications	# of Applications	Units
Ministerial	78	248
Discretionary	3	284

Density Bonus Applications and Units Permitted	
Number of Applications Submitted Requesting a Density Bonus	3
Number of Units in Applications Submitted Requesting a Density Bonus	421
Number of Projects Permitted with a Density Bonus	1
Number of Units in Projects Permitted with a Density Bonus	58

Housing Element Programs Implemented and Sites Rezoned	Count
Programs Implemented	42
Sites Rezoned to Accommodate the RHNA	0

Jurisdiction	Oxnard	
Reporting Year	2023	(Jan. 1 - Dec. 31)
Planning Period	6th Cycle	10/15/2021 - 10/15/2029

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

Note: "+" indicates an optional field
Cells in grey contain auto-calculation formulas

Table A
Housing Development Applications Submitted

Project Identifier					Unit Types		Date Application Submitted	Proposed Units - Affordability by Household Incomes								Total Approved Units by Project	Total Disapproved Units by Project	Streamlining	Density Bonus Law Applications		Application Status	Project Type	Notes
1					2	3	4	5							6	7	8	9	10		11	12	13
Prior APN*	Current APN	Street Address	Project Name*	Local Jurisdiction Tracking ID	Unit Category (SFA,SFD,2 to 4,5+,ADU,MH)	Tenure R=Renter O=Owner	Date Application Submitted (see instructions)	Very Low-Income Deed Restricted	Very Low-Income Non Deed Restricted	Low-Income Deed Restricted	Low-Income Non Deed Restricted	Moderate-Income Deed Restricted	Moderate-Income Non Deed Restricted	Above Moderate-Income	Total PROPOSED Units by Project	Total APPROVED Units by project	Total DISAPPROVED Units by Project	Please select streamlining provision/s the application was submitted pursuant to.	Did the housing development application seek incentives or concessions pursuant to Government Code section 65915?	Were incentives or concessions requested pursuant to Government Code section 65915 approved?	Please indicate the status of the application.	Is the project considered a ministerial project or discretionary project?	Notes*
Summary Row: Start Data Entry Below								16	2	32	79	0	29	374	532	0	0						
	200-0-062-125	1301 Kingswood Way		23-131-01	ADU	R	1/3/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	200-0-062-125	1301 Kingswood Way		23-131-02	ADU	R	1/3/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	201-0-152-010	205 Lupita Street		23-131-03	ADU	R	1/9/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	221-0-201-075	3811 Terrace Drive		23-131-04	ADU	R	1/10/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	221-0-201-075	3811 Terace Drive		23-131-05	ADU	R	1/10/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	183-0-292-115	2414 E El Cajon Way		23-131-06	ADU	R	1/12/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	213-0-090-295	2152 Lockwood St		23-131-07	ADU	R	1/12/2023				15				15			NONE	No	N/A	Approved	Ministerial	
	213-0-090-205	2001 Outlet Center Dr		23-131-08	ADU	R	1/12/2023				20				20			NONE	No	N/A	Approved	Ministerial	
	204-0-161-130	637 E Iris St		23-131-09	ADU	R	1/17/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	205-0-341-075	4127 S J St		23-131-10	ADU	R	1/19/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	205-0-132-175	4717 S A ST		23-131-11	ADU	R	1/25/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	203-0-061-110	501 Wolff ST		23-131-12	ADU	R	1/26/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	203-0-201-115	1150 W Fir St		23-131-13	ADU	R	1/30/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	200-0-191-140	637 W Robert Ave		23-131-14	ADU	R	1/30/2023		1						1			NONE	No	N/A	Approved	Ministerial	
	172-0-120-305	500 E Dollie St		23-131-15	ADU	R	1/30/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	203-0-011-450	1239 S M St		23-131-16	ADU	R	1/31/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	142-0-295-025	1903 Snow Ave		23-131-17	ADU	R	2/2/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	203-0-080-230	211 West Hill ST		23-131-18	ADU	R	2/2/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	201-0-061-050	409 N McKinley ST		23-131-19	ADU	R	2/2/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	203-0-080-230	211 West Hill ST		23-131-20	ADU	R	2/2/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	203-0-316-285	1708 S E St		23-131-21	ADU	R	2/7/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	205-0-234-035	930 Palomar Way		23-131-22	ADU	R	2/8/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	219-0-376-185	525 De Anza Way		23-131-23	ADU	R	2/8/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	203-0-242-185	931 W Iris St		23-131-24	ADU	R	2/9/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	205-0-454-105	500 Van Ness Ave		23-131-25	ADU	R	2/9/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	220-0-102-045	2450 Alexander ST		23-131-26	ADU	R	2/14/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	205-0-032-175	1130 Redwood St		23-131-27	ADU	R	2/15/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	205-0-032-175	1130 Redwood St		23-131-28	ADU	R	2/15/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	203-0-092-220	1313 W Cedar St		23-131-29	ADU	R	2/15/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	203-0-092-220	1313 W Cedar St		23-131-30	ADU	R	2/15/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	204-0-112-220	371 E Guava St		23-131-31	ADU	R	2/21/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	202-0-134-170	659 S D St		23-131-32	ADU	R	2/21/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	221-0-026-325	4610 Terrace Ave		23-131-33	ADU	R	2/21/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	221-0-026-305	4630 Terrace Ave		23-131-34	ADU	R	2/21/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	205-0-192-035	2625 S N ST		23-131-35	ADU	R	2/21/2023				1				1			NONE	No	N/A	Approved	Ministerial	
	224-0-033-085	4500 Phoenix Drive		23-131-36	ADU	R	2/21/2023							1	1			NONE	No	N/A	Approved	Ministerial	

	224-0-033-085	4500 Phoenix Drive		23-131-37	ADU	R	2/21/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	205-0-222-085	3410 S J St		23-131-38	ADU	R	2/28/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	200-0-323-160	324 Palm Dr		23-131-39	ADU	R	2/22/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	204-0-232-195	623 Poplar St		23-131-40	ADU	R	2/22/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	181-0-094-045	1700 Firethorne Pl		23-131-41	ADU	R	2/22/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	201-0-114-230	144 S Hayes Ave		23-131-42	ADU	R	3/2/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	181-0-081-055	2100 Oneida Pl		23-131-43	ADU	R	3/2/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	200-0-143-260	523 Devonshire Dr		23-131-44	ADU	R	3/2/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	200-0-143-260	523 Devonshire Dr		23-131-45	ADU	R	3/2/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	222-0-112-095	5305 S Saviers Rd		23-131-46	ADU	R	3/7/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	201-0-153-120	130 Lupita St		23-131-47	ADU	R	3/7/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	222-0-112-105	5309 S Saviers Rd		23-131-48	ADU	R	3/7/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	222-0-112-115	5313 S Saviers Rd		23-131-49	ADU	R	3/7/2023						1		1			NONE	No	N/A	Approved	Ministerial	
	205-0-074-235	3014 S C St		23-131-50	ADU	R	3/7/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	204-0-072-150	242 E Ash St		23-131-51	ADU	R	3/14/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	200-0-172-290	1009 Doris Ave		23-131-52	ADU	R	3/14/2023			1					1			NONE	No	N/A	Approved	Ministerial	
	205-0-243-185	4230 S A St		23-131-53	ADU	R	3/16/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	201-0-063-240	400 N. BONITA ST		23-131-54	ADU	R	3/21/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	203-0-143-095	1419 W. Elm St		23-131-55	ADU	R	3/22/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	203-0-143-095	1419 W. Elm St		23-131-56	ADU	R	3/22/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	220-0-052-125	2450 Trinity Pl		23-131-57	ADU	R	3/22/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	220-0-052-125	2450 Trinity Pl		23-131-58	ADU	R	3/22/2023								1	1		NONE	No	N/A	Approved	Ministerial	
	200-0-323-080	305 Magnolia Ave		23-131-59	ADU	R	3/22/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	205-0-132-195	4726 S B St		23-131-60	ADU	R	3/24/2023								1	1		NONE	No	N/A	Approved	Ministerial	
	201-0-312-155	1467 Morris St		23-131-61	ADU	R	3/29/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	201-0-312-155	1467 Morris St		23-131-62	ADU	R	3/29/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	142-0-142-045	2211 Isabella St		23-131-63	ADU	R	4/4/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	202-0-071-150	210 S. F. St.		23-131-64	ADU	R	4/5/2023								1	1		NONE	No	N/A	Approved	Ministerial	
	186-0-094-055	2120 El Cajon Way		23-131-65	ADU	R	4/6/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	202-0-052-130	128 S C St		23-131-66	ADU	R	4/7/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	202-0-052-130	128 S C St		23-131-67	ADU	R	4/7/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	222-0-095-075	620 Cuesta Del Mar		23-131-68	ADU	R	4/10/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	200-0-283-170	300 N J St		23-131-69	ADU	R	4/11/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	225-0-034-095	3201 Merced Pl		23-131-70	ADU	R	4/11/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	220-0-051-045	2451 Trinity Pl		23-131-71	ADU	R	4/11/2023								1	1		NONE	No	N/A	Approved	Ministerial	
	203-0-022-200	1158 S M St		23-131-72	ADU	R	4/11/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	142-0-152-055	2271 Mono St		23-131-73	ADU	R	4/12/2023								1	1		NONE	No	N/A	Approved	Ministerial	
	142-0-122-065	1320 Sabrina St		23-131-75	ADU	R	4/18/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	202-0-063-080	452 S. H St		23-131-76	ADU	R	4/20/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	200-0-074-245	551 Kentwood Dr.		23-131-77	ADU	R	4/24/2023					1			1			NONE	No	N/A	Approved	Ministerial	
	202-0-045-100	417 W. First St		21-131-79	ADU	R	1/12/2023							1	1			NONE	No	N/A	Approved	Ministerial	
	213-0-090-275	2151 Lockwood Street		20-500-04	5+	R	7/31/2023			8		30			197	235		NONE	Yes	Yes	Pending	Discretionary	
	225-0-014-275, -265, and -250	2300 East Pleasant Valley Road		23-500-10	5+	R	11/8/2023			3		2			43	48		NONE	Yes	Yes	Pending	Discretionary	
	222-0-051-050	412 Howell Road		23-200-07	SFD	O	5/23/2023							1	1			NONE	No	No	Approved	Discretionary	
	200-0-100-020	1345 N. Oxnard Boulevard		21-200-11	5+	R	7/12/2023			5		18			115	138		NONE	Yes	Yes	Approved	Ministerial	

Jurisdiction	Ontario
Reporting Year	2023
Planning Period	2023 (Jan 1 - Dec 31)
City Code	5558801, 5558802

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

Note: "-" indicates an optional field
Cells in grey contain auto-calculation formulas

Table A2 Annual Building Activity Report Summary - New Construction, Entitled, Permits and Completed Units																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
Project Identifier				Unit Types		Affordability by Household Incomes - Completed Entitlement										Affordability by Household Incomes - Building Permits										Affordability by Household Incomes - Certificates of Occupancy										Streamlining	Infill	Housing with Financial Assistance and/or Deed Restrictions		Housing without Financial Assistance or Deed Restrictions	Term of Affordability or Deed Restriction	Demolished/Destroyed Units			Density Bonus				Notes																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
Prior APN	Current APN	Street Address	Project Name	Local Jurisdiction Tracking ID	Unit Category (per 415.45(a)(1)(A))	Residential/Commercial	Very Low-Income Deed Restricted	Very Low-Income Non-Deed Restricted	Low-Income Deed Restricted	Low-Income Non-Deed Restricted	Moderate-Income Deed Restricted	Moderate-Income Non-Deed Restricted	Above Moderate-Income	Entitlement Date Agreement	# of Units Issued	Very Low-Income Deed Restricted	Very Low-Income Non-Deed Restricted	Low-Income Deed Restricted	Low-Income Non-Deed Restricted	Moderate-Income Deed Restricted	Moderate-Income Non-Deed Restricted	Above Moderate-Income	Building Permits Data Issued	# of Units Issued	Very Low-Income Deed Restricted	Very Low-Income Non-Deed Restricted	Low-Income Deed Restricted	Low-Income Non-Deed Restricted	Moderate-Income Deed Restricted	Moderate-Income Non-Deed Restricted	Above Moderate-Income	Certificates of Occupancy or other forms of readiness (see instructions) Data Issued	# of Units Issued	How many of the units were Extremely Low Income?	Please select the streamlining or fast-track project was APPROVED pursuant to (may select multiple)	INR Units?	Assistance Programs for Each Development (may select multiple - see instructions)	Deed Restriction Type (may select multiple - see instructions)	For units affordable without restrictions, explain how the locality achieved the units affordable (see instructions)	Term of Affordability or Deed Restriction (years) (if affordable in perpetuity enter "100Y")	Number of Demolished/Destroyed Units	Demolished or Destroyed Units	Demolished/Destroyed Units	Total Density Bonus Applied to the Project (Percentage Increase in Total Allowable Units or Total Maximum Allowable Residential Gross Floor Area)	Number of Other Incentives, Concessions, Waivers, or Other Modifications Given to the Project (Excluding Parking Waivers or Parking Reductions)	List the Incentives, Concessions, Waivers, and Modifications (Excluding Parking Waivers or Parking Reductions)	Did the project receive a reduction or waiver of parking standards? (Y/N)	Notes																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
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	200-0-045-100	417 W. First St		21-13-179	ADU	R						1	1/12/2023		284																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										</

Jurisdiction	Oxnard	
Reporting Year	2023	(Jan. 1 - Dec. 31)
Planning Period	6th Cycle	10/15/2021 - 10/15/2029

ANNUAL ELEMENT PROGRESS REPORT Housing Element Implementation

This table is auto-populated once you enter your jurisdiction name and current year data. Past year information comes from previous APRs.
Please contact HCD if your data is different than the material supplied here

Table B														
Regional Housing Needs Allocation Progress														
Permitted Units Issued by Affordability														
		1		2									3	4
Income Level		RHNA Allocation by Income Level	Projection Period - 06/30/2021-10/14/2021	2021	2022	2023	2024	2025	2026	2027	2028	2029	Total Units to Date (all years)	Total Remaining RHNA by Income Level
Very Low	Deed Restricted	1,840	-	-	89	16	-	-	-	-	-	-	143	1,697
	Non-Deed Restricted		2	2	12	22	-	-	-	-	-	-		
Low	Deed Restricted	1,071	-	-	52	74	-	-	-	-	-	-	258	813
	Non-Deed Restricted		4	3	26	99	-	-	-	-	-	-		
Moderate	Deed Restricted	1,538	-	-	-	17	-	-	-	-	-	-	64	1,474
	Non-Deed Restricted		9	6	3	29	-	-	-	-	-	-		
Above Moderate		4,100	43	15	88	384	-	-	-	-	-	-	530	3,570
Total RHNA		8,549												
Total Units			58	26	270	641	-	-	-	-	-	-	995	7,554
Progress toward extremely low-income housing need, as determined pursuant to Government Code 65583(a)(1).														
		5											6	7
		Extremely low-income Need		2021	2022	2023	2024	2025	2026	2027	2028	2029	Total Units to Date	Total Units Remaining
Extremely Low-Income Units*		920		-	-	-	-	-	-	-	-	-	-	920

*Extremely low-income housing need determined pursuant to Government Code 65583(a)(1). Value in Section 5 is default value, assumed to be half of the very low-income RHNA. May be overwritten.

Please Note: Table B does not currently contain data from Table F or Table F2 for prior years. You may login to the APR system to see Table B that contains this data.

Note: units serving extremely low-income households are included in the very low-income RHNA progress and must be reported as very low-income units in section 7 of Table A2. They must also be reported in the extremely low-income category (section 13) in Table A2 to be counted as progress toward meeting the extremely low-income housing need determined pursuant to Government Code 65583(a)(1).

Please note: For the last year of the 5th cycle, Table B will only include units that were permitted during the portion of the year that was in the 5th cycle. For the first year of the 6th cycle, Table B will only include units that were permitted since the start of the planning period. Projection Period units are in a separate column.

Please note: The APR form can only display data for one planning period. To view progress for a different planning period, you may login to HCD's online APR system, or contact HCD staff at apr@hcd.ca.gov.

VLI Deed Restricted
VLI Non Deed Restricted
LI Deed Restricted
LI Non Deed Restricted
MI Deed Restricted
MI Non Deed Restricted
Above Mod Income

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

Jurisdiction	Oxnard		
Reporting Year	2023	(Jan. 1 - Dec. 31)	
Table D			
Program Implementation Status pursuant to GC Section 65583			
Housing Programs Progress Report			
Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element.			
1	2	3	4
Name of Program	Objective	Timeframe in H.E	Status of Program Implementation
Program 1: Code Compliance Program	Ensure compliance with City codes, with a focus on substandard housing, which includes garage conversions and unpermitted additions. 300 cases per month.	Ongoing	Program 1 is an ongoing program with no specific objective for calendar year 2023. In 2023, the Code Compliance Division had a staff of eight field officers and one manager who carried an average of over 400 cases per month. Code Compliance officers work with neighborhood advisory groups and respond to complaints to identify violations, and then direct owners to appropriate City departments to achieve compliance. The types of cases related to residential properties are as follows: substandard housing, property maintenance, zoning violations, weed abatement, inoperable vehicles, and encroachments. The Housing Department serves as a liaison for Code Compliance in mobile home parks under HCD jurisdiction. Community Development also implements this program through the permit review process for additions and/or new development. On every discretionary permit, standard a condition of approval require maintenance of property and removal of graffiti within a minimum of five calendar days. Code Compliance inspectors meet regularly with Community Development building inspector field staff and the City Attorney’s Office to coordinate and prioritize the Code Compliance workload. Most cases referred involve home improvements completed without permits (replacement windows, water heaters, etc.), substandard housing including conversion of garages or internal subdivisions to create illegal living quarters that violate Building, Housing, Health and Safety, and Zoning Codes.
Program 2: Citywide Homeowner Repair Program	Provide loans to rehabilitate 3 very lowand 3 low-income homes annually, as funding allows.	Seek funding annually	In program year 2023, the Citywide Homeowner Repair Program was not implemented. At this time there does not appear to be a high demand for the program, additionally the City has not been able to find an outside service provider that has the capacity to provide this service on behalf of the City. The City will continue to reevalute the need for the program and a service provider that can administer the program on behalf of the City on an annual basis.
Program 3: No Net Loss Monitoring	Maintain sufficient sites to meet the RHNA throughout the planning period	Assess whether sufficient sites remain annually and continue to maintain sufficient sites at all income categories throughout the planning period.	Program 3 is an ongoing program with annual assessment of sufficient Housing Element site to meet the City’s RHNA obligations. As of the end of 2023, there is remaining land to meet the remaining capacity needs for ___ extremely low to low income units. Approximately ___ units have been entitled in 2023 on ___ Housing Element sites (Sites 1, 3, ____) at densities projected in Supplement 1 the City of Oxnard’s certified 2021-2029 Housing Element. As of January 2024 over _____ lower income units are presently in the plancheck process or under construction. The City continues, to review Accessory Dwelling Units (ADU) permitting activity as an alternative source of lower income units to satisfy the remaining capacity need for extremely low to low income units. A total of 87 ADU applications were entiled in 2023, and 178 ADUs were issued building permits in 2023. Based on the number of ADU permits filed, continued strong public interest and ongoing City promotion of ADUs, the Planning Manager anticipates the City will meet the Housing Element ADU projection of 283 ADUs as early as 2024, and could significant exceed that projection by the end of the Housing Element Cycle on October 15, 2029. Based on surveys and information provided by a sample of ADU applicants, most of the ADU are being offered for rents that qualify as very low to lower income units. As a result, these units can be counted towards the remaining RHNA lower income unit need. With the Program 3 lower income need being met by the Housing Element sites and ADUs the program goal has been completed.
Program 4: Urban Village Program	Implement the 2030 General Plan Urban Village Program. Accomodate at least 372 lower income units in Urban Village areas identified in Supplement 1 to increase access to higher opportunity areas, prioritizing construction of affordable units	At the time projects subject to the Urban Village Program are approved.	Program 4 is an ongoing program with no specific objective for calendar year 2023. The City approved the Riverpark Specific Plan Amendment in 2022; Riverpark includes 1,025 residential units, of which 118 are affordable to lower income households. The City is also processing two specific plans that also fall under Urban Village program. 1) The Teal Club Specific Plan (88.4 acres), which includes 990 units in a range of affordability and densities, including 662 attached residential units at densities between 18 and 30 du/ac, of which 148 units will be affordable to lower income households; and 2) The Northeast Community Specific Plan Specific Plan amendment (Maulhardt 44.2 acres), which includes 530 units, including 172 attached single-family units and 358 apartments, of which 106 will be restricted as affordable to lower income households. The Teal Club EIR was circulated for public review in December 2022 and the City anticipates completion by the end of 2024. The Northeast Community Specific Plan is anticipated to be complete in Summer 2025. The Urban Village policies are intended to provide and promote a pedestrian orientation to reduce trips and vehicle miles traveled in order to reduce greenhouse gas emissions. Development of the Urban Villages affirmatively furthers fair housing by increasing access to housing in moderate and higher resource areas by reducing constraints on development of affordable residential units and expanding where they can be built. Within these areas, as stipulated in the 2030 General Plan Land Use Element a minimum of 15 percent of the Urban Village housing would be affordable to lower and moderate income households.

Program 5: Parcel Assemblage or Lot Consolidation Program	Support State legislation that enables site assembly through creation of a taxincrement supported program or district	Implement as feasible during the planning period if State legislation and/or programs enable a tax-increment or similar program that leads to funding for site assembly.	Program 5 is an ongoing program with no specific objective for calendar year 2023. Program 5 was updated in the City’s 2021-2029 Housing element ("Housing Element") to include waive of parcel assemblage/lot consolidation fees for targeted Housing Element sites with contiguous parcels less than 0.5 acres. In 2023 no developers requested this waiver. No further progress in this program was achieved as no state-level program was initiated. Community Development will continue to monitor enabling legislation and seek funding.
Program 6: Zoning Code Amendments	Revise Zoning Code to comply with recently adopted state law.	End of Fiscal Year 2022-2023 for Chapter 16 amendments; Chapter 17 (Coastal Zoning) amendments once the City updates its Local Coastal Plan in Fiscal Year 2027-2028.	Program 6 is a program with implementation during fiscal year 2022-2023. In November 2023 the City Council approved seven ordinances to update Chapter 16 Zoning Code of the Oxnard City Code (OCC) pursuant to Housing Element Programs 6 (Zoning Code Amendments), 9 (Farmworker Housing), 22 (Special Needs Groups), 27 (Parking Standards) and 32 (Senate Bill 35) in the Housing Element to comply with current state housing laws and address identified constraints to residential development. These updates included code updates to OCC requirements on Accessory Dwelling Units (ADUs) parking, Density Bonus requirements; zoning for farmworker housing, supportive housing, and low-barrier navigation centers; SROs zoning, standards and parking; residential care facilities zoning and parking, emergency shelter standards and parking, objective standards for reasonable accommodation, and other modifications to clarify permitting requirements and reduce barriers to consturction. After the ordinance second reading on December 5, 2023, the zoning text amendments became effective on January 4th, 2024. In October 2021 the City Council had also approved five ordinances for zoning code and zoning map amendments to accomodate RHNA for the Housing Element. These updates have resulted in additional development opportunities and projects approved citywide. In 2023, the Lockwood Senior Apartments (173 lower-income units) and the Lockwood 2 Senior Apartment (168 lower-income units) were under construction on BRP AHD zoned parcels, and a 234-unit apartment complex has also been proposed on an adjacent BRP zoned parcel. A 142-unit apartment project has also been approved under the new Site Plan Review (SPR) ministerial process for development on a C2 AHP zoned parcel. Five of the units in this development will be affordable to very low income households and 18 units will be affordable to low income households In July of 2020 and 2023, the City updated ADU regulations to be consistent with state ADU legislation. These regulations are promoting construction of
Program 7: Housing Permitting Process Review	Review and streamline permitting process, ADUs, and manufactured housing.	Annually and as project applications are submitted.	Program 7 is an ongoing program with annual assessment of the permitting process for unnecessary and/or duplicative regulations and ways to streamline the process. In 2023, the City updated the City permitting regulations or procedures involving manufactured housing and ADUs, which is scheduled for completion 2023 under Program 6.
Program 8: SOAR Affordable Housing Exemption Study	Develop guidelines for the possible use of the affordable housing exemption in the SOAR ordinance.	During the planning period, if needed.	Program 8 is ongoing in terms of the continuous evaluation of the need for a SOAR affordable housing exemption throughout the housing cycle. As documented in the 2021-2029 6-Cycle Housing Element, SOAR has not been an impediment to development of affordable housing. Affordable housing is being developed and Housing Element sites are available without need to use the 20-acre per year Oxnard SOAR affordable housing exemption. This program will likely not be needed through the end of this RHNA planning period.
Program 9: Farmworker Housing Program	Review and pursue farmworker housing opportunities. Complete amendments to the OCC to comply with state law regarding Employee (farmworker) Housing.	Prepare brochure by Fiscal Year 2022-2023; Amend Zoning Code to fully comply with Employee Housing Act by Fiscal Year 2023-2024; continue to participate with House Farmworkers stakeholders and their projects and meet with the group at least twice per year	Program 9 is an ongoing program. The 150-unit Cypress Place at Garden City development will be constucted in two phases and will be affordable multifamily rental housing. Phase 1 includes 90 units and a recreation center for tenants, 43 units within Phase 1 are designated for farmworkers. Phase 1 of the project secured all needed funding and began construction in the March 2023 and is estimated to be completed in April 2025. Dolores Huerta Gardens is a 58 unit affordable multifamily rental development that began construcion in May 2023 and will include 6 units designated for farmworkers. The project is estiamted to be compelted in the first quarter of 2025.
Program 10: Inclusionary Housing Program	Produce eighteen lower income units per year on average on-site. in-lieu fees lead to an average of two lower income units per year.	Update and codify inclusionary regulations by 2023; Monitor annually and ongoing	In 2021 City staff began discussions for updating the Inclusionary Ordiance and began community outreach in 2022 to get community feedback on proposed changes to the City's existing inclusionary Housing Ordinance. In 2022, the Casa De Carmen Permenant Supportive Housing (PSH) Project, inclusive of 55 affordable PSH rental units and one manager's unit, began construction, the development will include 6 inclusionary housing units targeting households with annual incomes at 30% AMI. This project is expected to be completed in the first quarter of 2025. The Central Terrace Project, an 87 unit affordable rental housing development, inclusive of one manager's unit, also began construcion in 2022. The project recieved \$1,250,000 in Affordable Housing in-lieu funds from the City in the form of a gap-financing loan and will include 9 inclusionary units targeting households with incomes at 30%, 50% and 60% AMI. This project is expected to be completed in Spring of 2024.
Program 11: Homeownership Assistance Citywide	Assist a total of four households annually. At least half of the households originating from low resource areas and/or areas designated as racially or ethnically concentrated areas of poverty in Oxnard.	Develop and disseminate marketing materials for by June 2023, update on an annual basis; Ongoing	During 2023, the City executed an agreement with the Ventura County Communty Development Corporation to administered the City's homeownership downpayment assistance program. In 2023 VCCDC was not able to issue any loans due to a lack of affodable units for sale.

Program 12: Mortgage Credit Certificate (MCC)	Continue working with the Ventura County Consortium to distribute funding and work toward Oxnard residents using at least six Mortgage Credit Certificates per year. Work with the Ventura County Consortium to request that they outreach to at least twenty-four households in the areas designated as low resource and/or RE/CAPs through marketing efforts, so at least half of applicants are from low resource areas and/or areas designated as racially or ethnically concentrated areas of poverty in Oxnard.	Develop and disseminate marketing materials by June 2023, update on an annual basis; Ongoing and as firsttime homebuyers' applications are pursued	CDLAC is no longer funding the program therefore, no MCCs were issued in 2023. Ventirua County will apply for funding of this program should the program receive funding again.
Program 13: Financial and Regulatory Assistance	Continuing to provide financial and regulatory assistance.	Continue to pursue funding annually	In 2021 the Central Terrace Apartments, an 87 unit affordable rental development, applied for AHSC funding and was awarded AHSC funding in 2022 as part of their 2021 application as well as all other funding necessary to develop the project. As part of that funding the City will also receive additional pass-through grant funding for the development of the City's Fourth Street Mobility Improvement Project, one of the City's CIP projects, and build other Downtown Oxnard transporation amenities as part of the City's Downtown Oxnard Transit Amenities project. Construction on this project began in summer 2022. The City of Oxnard applied for its 2021 PLHA allocation in 2022 and was awarded \$1,981,457 in 2023 as part of that applicaiton.
Program 14: Shelter Development Program	Continue to work to provide shelter and services to address the homeless need.	Amend Chapter 17 (Coastal Zoning Code) to fully address SB 2 requirements for transitional and supportive housing after updating the City's Local Coastal Plan by the end of Fiscal Year 2027-2028. The City will comply with SB 2 requirements for transitional and supportive housing outside of the Coastal zone by the end of Fiscal Year 2023-24	The Navigation Center at Case De Carment will include a 110 emergency homeless shelter, office space for leasing and supprotive servicves providers. The navigation center will be located on the ground floor of the Casa De Carmen mixed use developepment project which will also include 56 supprotive housing units (inclusive of 1 unrestricted manager's unit). The navigation center is estimated to be completed in the first quarter of 2025. The property address us 241 W. Second Street in downtown Oxnard.
Program 15: Homeless Assistance	Continue to implement programs providing services, and emergency shelter to homeless persons.	Ongoing through planning period.	In 2023, Oxnard Navigation Center (ONC) operated by Mercy House, provided shelter and services to homeless residents. For the first six months of the year, the shelter operated out of two locations at a reduced capacity of 80 (full capacity is 110) in order to provide safer conditions for preventing the spread of COVID-19. In June, the shelter was able to expand back to its full capacity of 110. The ONC provides beds, showers, laundry, meals and other essential services. The ONC also provides case management to create and work through an individualized housing plan with each client. In 2023, the Encampment Response Funding (ERF) program launched. This program utilizes motel rooms and non-congregate shelter and case management services to people who have been relocated from encampment sites within the City of Oxnard, with the goal of placing its clients into permanent housing. Street outreach services continued throughout the calendar year through the Salvation Army Street Outreach team, and through September 30 with the Ventura County Health Care Agency encampment response project. The street outreach teams engage with people who are experiencing homelessness to provide practical assistance, housing navigation, employment assistance, health navigation and crisis intervention. Gabriel's House/ The Kingdom Center operates a transitional living shelter for homeless women and children in a city-owned facility on South Rose Ave. Turning Point Foundation serves 10 homeless residents from the City of Oxnard through the Our Place Safe Haven shelter. The 'One Stop' is a drop in service run by the Ventura County Health Care Agency. This service provides health care, behavioral health services, case management, and practical assistance to homeless people. In 2023, the One Stop operated at the Salvation Army office in Oxnard.
Program 16: At-Risk Household Assistance	Continue to monitor the 431 assisted units, and if any become at risk, work with property owners to develop a strategy to maintain any at-risk as affordable.	Ongoing communication with owners, service providers, and eligible potential purchasers; work with owners of deed-restricted units on an ongoing basis, in particular at the time of change of ownership.	Per AB 1521, the City continues to monitor the list of all dwellings in Oxnard that are subsidized by government funding or low-income housing developed through local regulations or incentives. Should the property owners indicate the desire to convert properties City will provide technical assitance to aid properties owners in extending the property's term of affordability, and if possible, based on available funding, consider providing financial assistance to ensure long-term affordability. Pursuant to state law, owners of deed-restricted affordable projects, including those through local inclusionary zoning requirements, granted a density bonus or other incentives, are required to provide notice to all prospective tenants, existing tenants, and the City within three years of the scheduled expiration of rental restrictions for any projects losing the restrictions after January 1, 2021. Owners shall also refer tenants of at-risk units to educational resources regarding tenant rights and conversion procedures and information regarding Section 8 rent subsidies and any other affordable housing opportunities in the City.

Program 17: Fostering Self-Sufficiency	Support non-profit organizations to assist an average of 276 households annually, with Oxnard residents comprising a minimum of 80 percent of those assisted, with a program goal of 100 percent.	Annually and ongoing.	Program 17 is an ongoing program with an annual objective of assisting 90 households. During 2023, 26 Public Housing and 44 Section 8 low-income families participated in the Oxnard Housing Authority's (OHA) Family Self-Sufficiency program. The FSS program focuses on assisting families in reaching milestones such as: improving their credit, enrolling in higher education, improving budgeting skills, and remaining off welfare assistance. The OHA works in collaboration with the Ventura County Credit Union and the Housing Authority of the City of San Buenaventura to offer money management workshops. The OHA also partnered with the Oxnard Adult School to offer ESL Classes and Digital Literacy courses for program participants. The OHA launched a scholarship program and has awarded scholarships to youth who are pursuing a higher education and live in Public Housing or their household is part of the Section 8 program. College preparation workshops are also offered in partnership with Oxnard College and California State University Channel Islands.
Program 18: Section 8 Assistance Program	Continue to participate in the Section 8 Assistance program. Increase voucher utilization by 95% seek to raise the payment standard as needed to align with private rental markets. Expand landlord outreach.	Ongoing. Increase voucher utilization; raise payment standards; expand landlord outreach. Provide annual training to landlords starting in June 2023.	The Oxnard Housing Authority (OHA) continues to participate in the Section 8 program, an average of 1,600 vouchers were in use in 2023. In addition, the OHA was awarded 46 new vouchers under the Emergency Housing Voucher program which have all been issued to eligible families. The payment standards (maximum rent for units under the program) was increased 110% of the fair market rents published by the Department of Housing and Urban Development. The expansion of landlord engagement efforts is underway.
Program 19: Affirmatively Furthering Fair Housing	Continue to implement and advertise the program in cooperation with the Housing Rights Center to achieve the objectives of AB 686 and comply with state fair housing law. Quantified objectives for specific actions are included in the action description.	Fair housing services are ongoing; Create plan by Fiscal Year 2022-2023 and implement on an ongoing basis. Timeframes for individual actions are included in the action description.	The City of Oxnard remains under contract with The Housing Rights Center for administration of the Fair Housing Program
Program 20: Energy Conservation	Increase public awareness and information on energy conservation opportunities and assistance programs for new and existing residential units, and comply with state energy conservation requirements.	Advertise programs and implement project-related standards as feasible when development applications are received.	Program 20 is an ongoing program with no specific objective for 2023. In 2022 the City of Oxnard approved a Climate Action and Adaptation Plan (CAAP). The CAAP builds on the City's successes of implementing the City's 2030 General Plan and recommits to furthering the City's sustainability goals and policies. A primary goal of the CAAP is to improve climate and resilience citywide with particular attention to disadvantaged community areas. The City continues to post and distribute information on currently available weatherization and energy conservation programs to residents and property owners through annual mailings in City utility billings and distributed program information to community organizations and at municipal offices; Community Development continued to enforce state requirements, including Title 24 of the California Code of Regulations, for energy conservation in new residential projects and encouraged residential developers to employ additional energy conservation measures for the siting of buildings, landscaping, and solar access; and Community Development continued to encourage development of affordable housing units that utilize passive or active energy saving features (e.g. solar panels, efficient appliances, and efficient building materials).
Program 21: Reasonable Accommodation	Continue to implement reasonable accommodation ordinance for disabled persons.	Review requests for reasonable accommodation as they are received.	Program 21 is an ongoing program. As discussed under Program 6, in October 2023 the City amended OCC Section 16-501.5 (Reasonable Accommodation Findings) to only include objective considerations in compliance with the federal Fair Housing Amendment Act.
Program 22: Special Needs Groups	Work to identify funding and assist in addressing the housing needs of special needs groups.	Identify funding opportunities annually and/or as funding becomes available.	Program 22 is an ongoing program with an annual objective of assisting 90 households. The Oxnard Housing Authority (OHA) adopted a homeless set aside program which allows a total of 15 housing choice vouchers (very-low income) to be set aside each OHA fiscal year for applicants who meet the definition of homelessness. During calendar year 2023, a total of 120 applicants were issued a homeless set aside voucher. In addition, the OHA awarded 40 project based vouchers for the Casa Aliento project and 35 project based vouchers for the Central Terrace Project. Both projects are scheduled to be completed in 2024 and target population is individuals who meet the definition of homeless and non-elderly disabled/homeless.
Program 23: Mobile Home Park Conservation Ordinance	Clarify the mobile home park condominium conversion process based on recent case law.	Annually.	In 2023, the actions identified have not occurred. A review of recent case law pertaining to conversions of mobile home parks to condominium ownership to determine if there is a need to modify the mobile home conversion code and/or administrative procedures for mobile home park conversions has not been completed by the City Attorney's office.
Program 24: Annexation Areas	Allow additional capacity to meet the City's RHNA.	Annexation of Rio Urbana in Fiscal Year 2021-2022.	The Rio Urbana satisfies a portion of the City's RHNA allocation, including 17 lower income units, 3 moderate and 147 above moderate units. The project was approved by the City of Oxnard in 2020, and Annexation was approved by the County of Ventura, Local Agency Formation Commission (LAFCO) in December 2021. Therefore this portion of the program has been fulfilled.
Program 25: Large Households	Assist in the development of larger units to accommodate large households	As project applications are submitted	The 150-unit Cypress Place at Garden City development will be constructed in two phases and will be affordable multifamily rental housing. Phase 1 includes 90 units and a recreation center for tenants, 27 units within Phase 1 are three bedroom units that will accommodate larger families. Phase 1 of the project secured all needed funding and began construction in the March 2023 and is estimated to be completed in April 2025. Phase 2 is still in the process of securing financing. However, once constructed the project will include 21 three bedroom units. The 58-unit Dolores Huerta Gardens project, estimated to be completed in the first quarter of 2025 will include 18 three bedroom units.

Program 26: Extremely Low-Income Households	Comply with AB 2634. to accommodate at least 600 extremely low-income units (which represents 2/3rd of the City's Extremely Low Income RHNA) in areas designated as moderate or higher resource.	Beginning in Fiscal Year 2022-2023, as part of the City's Annual Housing Element workshop, meet with developers to Look for opportunities to develop or facilitate extremely low-income units. provide assistance to support development on a project-by-project basis throughout the planning period and on an annual basis.	In 2022, the Casa De Carmen Permanent Supportive Housing (PSH) Project, inclusive of 55 affordable PSH rental units and one manager's unit, began construction, the development will target formally homeless households with annual incomes at 30% AMI. Construction is estimated to be completed in 2025. The Central Terrace Project, an 87 unit affordable rental housing development, inclusive of one manager's unit, also began construction in 2022. The project received \$1,250,000 in Affordable Housing in-lieu funds from the City in the form of a gap-financing loan and will be targeting households with incomes at 30%, 50% and 60% AMI. Construction is estimated to be completed in 2024. In 2023, the Dolores Huerta Gardens project began construction. It is expected to be completed in the first quarter of 2025 and will include 23 units designated for extremely low income households.
Program 27: Review of Parking Standards	Continue to review alternative parking requirements in all zoning districts.	Amend parking requirements by Fiscal Year 2023-2024 and monitor and report every two years.	Program 27 is an ongoing program with a reporting requirement every two years. In 2020, the City approved an ADU zone text amendment that included clarification of parking requirements consistent with State law. As discussed under Program 6, the City is in the process of updating Chapter 16 Zoning Code of the Oxnard City Code (OCC), including further update to ADU requirements to recognize current State law that no replacement parking spaces are required if using the garage for an ADU. Pursuant to the Fair Housing Act, the City is also clarify parking requirements for the facilities for elderly, such as independent living, assisted living, memory care as residential uses rather than health care facilities. Consistent with Program 6, the Zoning Code updates are scheduled to be complete in 2023. Multifamily projects are generally reducing guest parking, utilizing tandem parking, and using more surface parking as the projects qualify for Density Bonus parking standards by complying with the City's Inclusionary Housing Ordinance. The City will continue to allow reduced parking requirements for senior and affordable housing projects with approval of a parking study as well as shared parking when uses with different peaking characteristics (such as offices and apartments) are combined in a single structure. The City will also monitor the impacts of these parking studies and report every two years on any constraints identified in their annual Housing Element report to HCD (beginning in the 2023 APR). Planning developed the new Downtown Code approved in 2019 with reduced parking requirements for residential uses in the downtown and reductions for studio and one-bedroom apartments, as a result of a review city parking requirements. The City has not establish a fixed parking requirements for congregate living facilities, community care facilities and small residential care facilities for disabled person yet, nor explore the feasibility of an ordinance that would prohibit the long-term storage of cars in Program 28 is an ongoing program with no specific objective for 2023.
Program 28: Large Site Program	Assist with large site development	As project applications are submitted.	In April 2020 the City of Oxnard approved the Rio Urbana project for annexation and development of 167 mixed-income units a 10.24 acre parcel. Ventura County LAFCO approved the annexation of the Rio Urbana project site in December 2021. The City's 2021-2029 Housing Element identifies three additional sites that qualify as Large Sites under this program, including a 13.94 acre parcel near Pleasant Valley Road and Oxnard Boulevard, the 44.21 acre Northeast Community/East Village Specific Plan site, and the 88.4 acre Teal Club Specific Plan site. The City will continue to facilitate mixed-income housing in future new growth areas of the city through development agreements and other mechanisms.
Program 29: City Owned Sites and Developer Assistance	Promote development of affordable housing projects on City-owned sites and assist potential developers with projects. Accommodate 193 units affordable to lower income households on city-owned properties in low resource areas.	Beginning in FY 2022/-2023, as part of the City's Annual Housing Element workshop, outreach to the community on uses for surplus land, Promote awareness of availability of City-owned sites and assist applicants on an ongoing basis; disseminate information on technical assistance annually.	In 2021 the City executed a Disposition and Development Agreement with Community Development Partners (CDP) and the Housing Trust Fund Ventura County (HTF) for development of the Navigation Center at Casa De Carmen formally known as the Homeless Solutions Center and the 2nd and B Project, to be located on a City owned property at 241 W. 2nd Street in Downtown Oxnard. In 2022 the City-owned property was donated to the HTF and the project began construction . The Casa De Carmen and the Navigation Center at Casa De Carmen will include a 110 emergency homeless shelter, office space for leasing and supportive services providers, and 56 supportive housing units (inclusive of 1 unrestricted manager's unit). Construction is expected to be completed in the first quarter of 2025.
Program 30: Downtown Infrastructure and Financing Study	Ensure sufficient infrastructure for housing in Downtown.	Complete in Fiscal Year 2023-2024	Program 30 does not include specific objectives for 2023. In 2019 the City of Oxnard approved a Downtown Development Code that was projected to result in approximately 2,300 more housing units in the downtown area. The City is conducting a utility capacity study to analyze the capacity and infrastructure upgrade needs for water/wastewater, stormwater, recycled water, refuse, and as needed a parking analysis/inventory to support the new housing units. The study is planned to be complete in Fiscal Year 2023-2024.
Program 31: Oxnard Boulevard Corridor, High Quality Transit Corridor Sites Selection and Analysis	Identifying parcels suitable and feasible for housing development opportunities within the Oxnard Boulevard Corridor and develop an implementation and financing approach to fund street improvements within the Corridor.	Complete in Fiscal Year 2023-2024	Program 31 does not include specific objectives for 2023. In 2016 the City completed a \$250,000 study on transforming a 7.2 mile Oxnard Boulevard corridor into complete streets suitable for transit that would serve new medium- and high-density transit-oriented mixed-use and affordable housing development. Program 31 adds Saviers Road to the study area and identifies parcels suitable and feasible for multi-family housing development opportunities. Gold Coast Transit is planning to expand service in Oxnard's High Quality Transit Corridor along Oxnard Boulevard sometime in the future. The study results and a report is planned to be complete in Fiscal Year 2023-2024
Program 32: Senate Bill 35 Procedure or Policy	Streamline affordable housing projects.	Ord complete in Fiscal Year 2021-2022; Dec.2023	In October 2021 the City adopted five ordinances for zoning code amendments to implement the City of Oxnard 2021-2029 Housing Element. These amendments included a new ministerial Site Plan Review (SPR) processes for by-right projects. As discussed under Program 6, the City is in the process of updating Chapter 16 Zoning Code of the Oxnard City Code (OCC), including objective development standards for multi-family development. Consistent with Program 6, the Zoning Code updates are scheduled to be complete by Dec. 2023.

Program 33: Allow Housing in the Business Research Park (BRP) Zone	Evaluate opportunities for housing in select BRP zoned locations and amend the zoning code to allow housing. Conduct outreach to property owners and community starting in 2023.	Fiscal Year 2023-2024	Program 33 does not have specific objectives for 2023. In October 2021 the City adopted five ordinances for zoning code amendments to implement the City of Oxnard 2021-2029 Housing Element. These amendments included creation of new Affordable Housing additive zones and regulations (AHP and AHD) and an update to Business and Research Park (BRP) zone to allow residential development at a density of 30 dwelling units per acre in parcels zoned with the AHP or AHD additive zone. These zoning amendments accommodated approval of two projects with a total of 341 units affordable lower income households on two BRP AHD zoned parcels. Program 33 also looks at the establishment of a BRP overlay zone that would provide property owners within the BRP zone with additional options for development of residential units with development standards specific to land use compatibility within the BRP zone. In 2021, in conjunction with preparation of the City's 2021-2029 Housing Element, staff received letters of support for a BRP overlay zone from four property owners of BRP zoned sites located south of Hwy. 101 and east of Rice Avenue. Opportunities for a BRP overlay zone will be evaluated in Fiscal Year 2023-2024.
Program 34: Pre-Approved Accessory Dwelling Units (ADUs)	Support approval and development of ADUs. Utilizing pre-approved ADU plans will reduce overall development costs and streamline approvals. Accommodate at least 320 ADUs to increase housing choices and mobility to higher resource areas.	By Fiscal Year 2023-2024	Program 34 does not have specific objectives for 2023. The Ventura County Association of Governments (VCOG) is preparing ADU plans that can be pre-approved to streamline the ADU building permit approval process and lower development costs for ADUs. City Building Official will evaluate the plan provisions and determine how and if they can be utilized in the permitting of affordable housing in the City. Provided the VCOG plans are available to the City, the City Building Official will review the VCOG ADU plans by Fiscal Year 2024-2025.
Program 35: ADU Monitoring Program	Support approval and development of ADUs.	Assess ADU approval progress at the time of Annual Report submittal and Implement No Net Loss in Program 3 if ADU numbers are not tracking with projections in Chapter F of the Housing Element.	Program 35 is an ongoing program. The City monitors the number and affordability of ADUs as part of the City's Housing Element Annual Report. The City has had great success with its ADU program and has greatly exceeded HCD recommended ADU assumption guidelines ("ADU Safe harbor justification"). A total of 327 ADU applications were filed in 2022, and 330 received planning entitlements. Additionally, 67 ADUs received building permits in 2022. The City's adopted 2021-2029 Housing Element projected 283 ADUs to be constructed in the 8-year planning period. Based on ADU entitlement and construction trends, the City will meet this figure before 2029. The City will continue to promote the City's successful ADU program, through the City's website, which contains options to build ADUs and ADU resources.
Program 36: ADU Promotion Program	Support approval and development of ADUs.	Maintain ADU webpage on an ongoing basis, place examples of ADUs on webpage, develop list of resources, and coordinate with ADU development and financing community by Fiscal Year 2023-2024.	Program 36 is an ongoing program with no specific objective for 2022. The City will continue to encourage and publicize the accessory dwelling program on the City's website to increase public awareness and promote the construction of ADUs. The ADU webpage provides answers to frequently asked questions (FAQs), steps to walk someone through the application process, a matrix to help a potential applicant decide which type of ADU to develop, and application worksheets for each of the seven types of ADUs allowed in the City. https://www.oxnard.org/city-department/community-development/adu-info/
Program 37: Loss of Affordable Units	Maintain the number of existing affordable units.	Ongoing, the replacement requirement will be implemented immediately and applied as applications on identified sites are received and processed.	Program 37 is an ongoing program. In accordance with Government Code Section 65583.2(g), the City also will continue to require replacement housing units subject to the requirements of Government Code Section 65915 (c)(3) on sites identified in the Housing Element site inventory when any new development (residential, mixed-use, or non-residential) occurs on a site that has been occupied by or restricted for the use of lower-income households at any time during the previous five years. No development in 2023 has been subject to this requirement.
Program 38: Institutional Land Use Housing Opportunity Program	Evaluate opportunities for housing on institutional properties and amend the zoning code to allow housing.	Amend zoning by Fiscal Year 2024/2025	Program 38 does not have specific objectives for 2023. The City will evaluate a program to allow institutional properties (properties owned by organizations having a social, educational, or religious purpose such as a school, church, hospital, club or lodge) to build housing affordable to lower income households and/or homeless shelters on their property as part of their mission/community outreach and in conjunction with their existing development or use on their property. The program is planned to be complete in Fiscal Year 2024-2025.
Program 39: Special Needs Housing – Farmworker Housing Study	Achieve a greater understanding of farmworker needs and tailor or develop programs to meet those needs.	Completion by Fiscal Year 2024-2025	Program 39 does not have specific objectives for 2023. The City will (1) work with the County of Ventura, advocacy groups, and agricultural organizations to plan, seek funding through grants or other sources for the implementation of a Ventura County's countywide survey of farmworkers, employers, and housing providers to further define housing conditions, needs and barriers to farmworker housing; and (2) utilize the survey results to develop targeted programs and strategies to address the identified housing needs of farmworkers and to support agricultural businesses with a stable and healthy workforce. The program is planned to be complete in Fiscal Year 2024-2025.
Program 40: Collaboration with the Housing Trust Fund Ventura County	Support development of affordable housing units.	Ongoing, beginning in Fiscal Year 2022-2023	The City's Housing Department Director is a member of the Housing Trust Fund Ventura County Board and continues to seek out opportunities for the City to collaborate with the Housing Trust Fund Ventura County for the development of affordable housing. In 2022 the City donated a City owned property located at 241 W Second Street to the Housing Trust Fund Ventura County for the development of the Case De Carment project which will include 56 affordable rental units, inclusive of one unrestricted Manager's unit, a 110 bed emergency overnight shelter, and office space for supportive services providers and leasing. The project went into construction in 2022 and is expected to be completed in the first quarter of 2025.
Program 41: Annual Housing Element Workshop	Check in regularly with the community on housing needs and programs.	Hold workshop during the first quarter of the year annually starting in Fiscal Year 2021-2022	Program 41 is ongoing. The City's Housing Element was completed and approved in October 2022. Due to the limited time between Housing Element adoption and Spring 2023, this program was not implemented in 2023. The annual Housing Element workshop is scheduled for Spring 2024. As part of the process of preparing this report, the City will hold an annual Housing Element workshop to update the community on progress towards implementing the Housing Element. Outreach includes community based organizations with the intention of reaching all segments of the population.

Program 42: ADU Construction Cost Assistance and Accelerator Program	Maintain affordability of ADUs	Establish program by the end of Fiscal Year 2022-2023	Based on a review of existing ADU applications submitted to the Community Development Department, it appears that there is a large number of homeowners that have submitted applications to build ADUs on their property. Therefore, there is not a need to financially incentivise ADU constuction at this time.
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Jurisdiction	Oxnard	
Reporting Period	2023	(Jan. 1 - Dec. 31)
Planning Period	6th Cycle	10/15/2021 - 10/15/2029

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

Note: "+" indicates an optional field

Cells in grey contain auto-calculation formulas

Table F									
Units Rehabilitated, Preserved and Acquired for Alternative Adequate Sites pursuant to Government Code section 65583.1(c)									
Please note this table is optional: The jurisdiction can use this table to report units that have been substantially rehabilitated, converted from non-affordable to affordable by acquisition, and preserved, including mobilehome park preservation, consistent with the standards set forth in Government Code section 65583.1, subdivision (c). Please note, motel, hotel, hostel rooms or other structures that are converted from non-residential to residential units pursuant to Government Code section 65583.1(c)(1)(D) are considered net-new housing units and must be reported in Table A2 and not reported in Table F.									
Activity Type	Units that Do Not Count Towards RHNA ⁺ Listed for Informational Purposes Only				Units that Count Towards RHNA ⁺ Note - Because the statutory requirements severely limit what can be counted, please contact HCD at apr@hcd.ca.gov and we will unlock the form which enable you to populate these fields.				The description should adequately document how each unit complies with subsection (c) of Government Code Section 65583.1 ⁺ . For detailed reporting requirements, see the chcklist here :
	Extremely Low-Income ⁺	Very Low-Income ⁺	Low-Income ⁺	TOTAL UNITS ⁺	Extremely Low-Income ⁺	Very Low-Income ⁺	Low-Income ⁺	TOTAL UNITS ⁺	
Rehabilitation Activity									
Preservation of Units At-Risk									
Acquisition of Units									
Mobilehome Park Preservation									
Total Units by Income									

Jurisdiction	Oxnard	
Reporting Period	2023	(Jan. 1 - Dec. 31)
Planning Period	6th Cycle	10/15/2021 - 10/15/2029

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

Note: "+" indicates an optional field

Cells in grey contain auto-calculation formulas

Table F2																	
Above Moderate Income Units Converted to Moderate Income Pursuant to Government Code section 65400.2																	
For up to 25 percent of a jurisdiction's moderate-income regional housing need allocation, the planning agency may include the number of units in an existing multifamily building that were converted to deed-restricted rental housing for moderate-income households by the imposition of affordability covenants and restrictions for the unit. Before adding information to this table, please ensure housing developments meet the requirements described in Government Code 65400.2(b).																	
Project Identifier					Unit Types		Affordability by Household Incomes After Conversion								Units credited toward Moderate Income RHNA		Notes
1					2	3	4								5		6
Prior APN*	Current APN	Street Address	Project Name*	Local Jurisdiction Tracking ID	Unit Category (2 to 4,5+)	Tenure R=Renter	Very Low-Income Deed Restricted	Very Low-Income Non Deed Restricted	Low- Income Deed Restricted	Low- Income Non Deed Restricted	Moderate- Income Deed Restricted	Moderate- Income Non Deed Restricted	Above Moderate-Income	Total Moderate Income Units Converted from Above Moderate	Date Converted	Notes	
Summary Row: Start Data Entry Below							0	0	0	0	0	0	0	0			
														</			

Jurisdiction	Oxnard	
Reporting Period	2023	(Jan. 1 - Dec. 31)
Planning Period	6th Cycle	10/15/2021 - 10/15/2029

NOTE: This table must only be filled out if the housing element sites inventory contains a site which is or was owned by the reporting jurisdiction, and has been sold, leased, or otherwise disposed of during the reporting year.

Note: "+" indicates an optional field
Cells in grey contain auto-calculation formulas

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

[illegible]

Jurisdiction	Oxnard	NOTE: This table must contain an inventory of ALL surplus/excess lands the reporting jurisdiction owns	Note: "+" indicates an optional field Cells in grey contain auto-calculation formulas
Reporting Period	2023		

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

For Ventura County jurisdictions, please format the APN's as follows:999-9-999-999

Table H						
Locally Owned Surplus Sites						
Parcel Identifier				Designation	Size	Notes
1	2	3	4	5	6	7
APN	Street Address/Intersection	Existing Use	Number of Units	Surplus Designation	Parcel Size (in acres)	Notes
Summary Row: Start Data Entry Below						
201021138, 201016013, 201021104,201021136, 201021122, 201021123, 201021114, 201021113, 201021111, 201016012, 201016011, 201016010, 201016009, 201016008, 201016007, 201021130, 201021115, 201021112	Bounded by E. Fourth St to the North, Fifth St. to the South, Oxnard Blvd to the West, and Meta St. to the East. Other parcels include 400 South Oxnard Boulevard, 127 Enterprise Street, 135 Enterprise Street, 147 Enterprise Street, 151 Enterprise Street, 157 Enterprise Street, 136 Enterprise Street, 135 East Fifth Street, 159 East Fifth Street	Other	0	Surplus Land	2.01	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010121,202010123, 202010137,202010135, 202010133,202010131, 202010129, 202010127, 202010125	Bounded by W. Fourth St to the North, Plaza Park to the South, S. C St. to the West, and S. B St. to the East.	Other	0	Surplus Land	0.47	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010120, 202010122, 202010143, 202010136, 202010140, 202010141	425 South B Street only parcel with an assigned address. All remaining parcels have no assigned address and are bounded by W. Fourth St to the North, Plaza Park to the South, S. C St. to the West, and S. B St. to the East, other parcel includes	Other	0	Surplus Land	0.43	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010524, 202010522, 202010520, 202010518	Bounded by Fifth St. to the North, W. Sixth St. to the South, S. B St. to the West, and S. A St. to the East	Other	0	Surplus Land	0.8	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010523, 202010521, 202010519, 202010517, 202010512	538 South B Street is the only parcel with assigned address. All other parcels have no assigned addresses and are bounded by Fifth St. to the North, W. Sixth St. to the South, S. B St. to the West, and S. A St. to the East, other parcel includes	Other	0	Surplus Land	0.15	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010321, 202010311, 202010322	318 West Fifth St.is the only parcel with assigned address. All other parcels have o assigned address and are bounded by Fifth St. to the North, W. Sixth St. to the South, S. C St. to the West, and S. B St. to the East	Other	0	Surplus Land	0.13	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010313	321 West Sixth Street	Other	0	Surplus Land	0.06	City issued notice of availability for these properties in May 2022
202010302, 202010318	Bounded by Fifth St. to the North, W. Sixth St. to the South, S. C St. to the West, and S. B St. to the East	Other	0	Surplus Land	0.18	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.

202010320, 202010314	Bounded by Fifth St. to the North, W. Sixth St. to the South, S. C St. to the West, and S. B St. to the East	Other	0	Surplus Land	0.19	City issued notice of availability for these properties in May 2022 and requested that all offers be made for all of the parcels listed here together.
202010440	Located on A Street between W. Fourth St. and W Fifth St.	Other	0	Surplus Land	0.18	City issued notice of availability for these properties in May 2022
202008519	418 West Fourth Street	Other	0	Surplus Land	0.18	City issued notice of availability for these properties in May 2022
132003301	Located on River Park Blvd., nearest cross street is E. Vineyard Ave.	Other	0	Surplus Land	1.4	City issued notice of availability for these properties in May 2022
2020054075, 2020054080	241 W Second Street	Vacant	0	Exempt Surplus Land	0.48	HCD issued the City a letter dated May 26, 2022 stating that HCD concluded that the property qualifies as exempt surplus land.

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Jurisdiction	Oxnard	
Reporting Period	2023	(Jan. 1 - Dec. 31)
Planning Period	6th Cycle	10/15/2021 - 10/15/2029

NOTE: STUDENT HOUSING WITH DENSITY BONUS ONLY. This table only needs to be completed if there were student housing projects WITH a density bonus approved pursuant to Government Code 65915(b)(1)(F)

Jurisdiction	Oxnard
Reporting Period	2023 (Jan. 1 - Dec. 31)
Planning Period	6th Cycle 10/15/2021 - 10/15/2029

ANNUAL ELEMENT PROGRESS REPORT

Table K
Tenant Preference Policy

Local governments are required to inform HCD about any local tenant preference ordinance the local government maintains when the jurisdiction submits their annual progress report on housing approvals and production, per Government Code 7061 (SB 649, 2022, Cortese). Effective January 1, 2023, local governments adopting a tenant preference are required to create a webpage on their internet website containing authorizing local ordinance and supporting materials, no more than 90 days after the ordinance becomes operational.

Does the Jurisdiction have a local tenant preference policy?		
If the jurisdiction has a local tenant preference policy, provide a link to the jurisdiction's webpage on their internet website containing authorizing local ordinance and supporting materials.		
Notes		

City of Oxnard

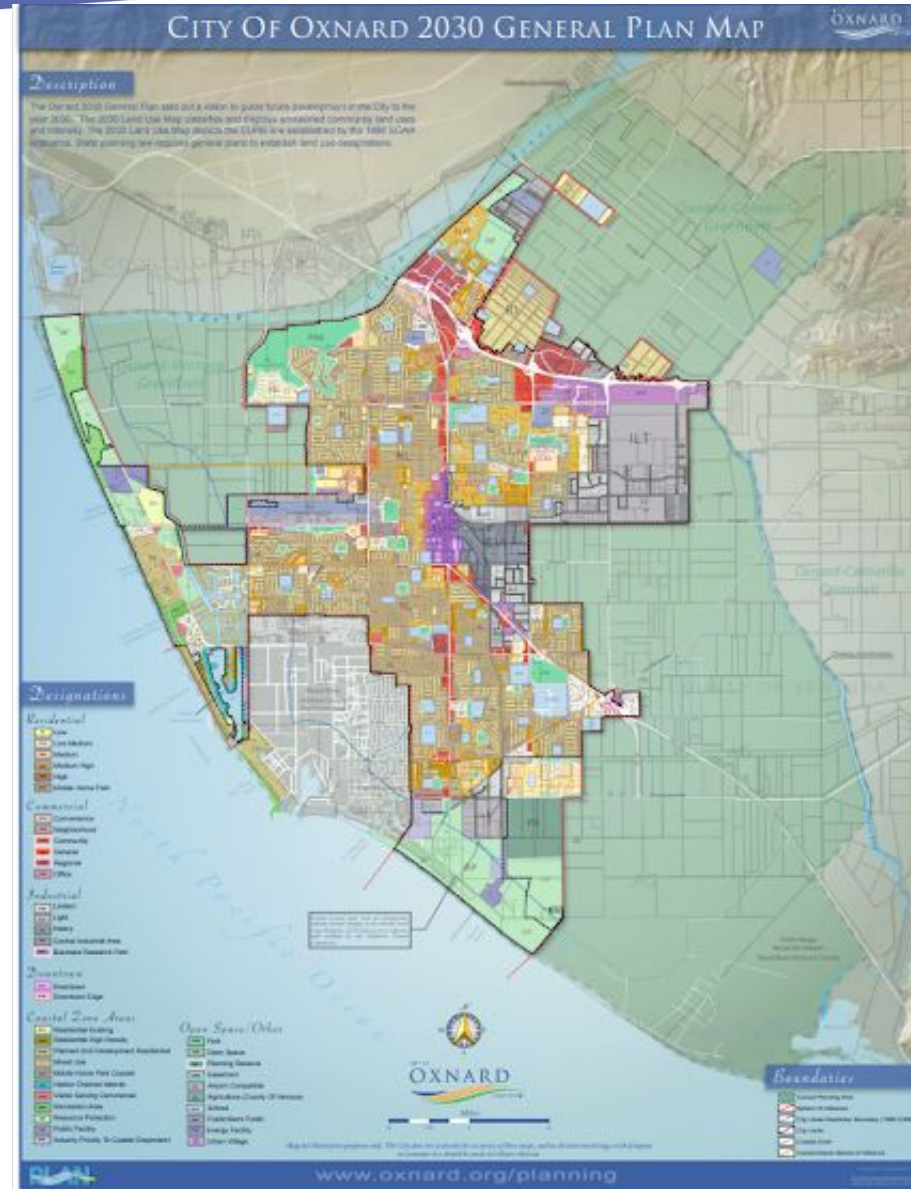
2023 General Plan and Housing Element Annual Progress Report

Kathleen Mallory, AICP, Planning & Sustainability Manager
Joe Pearson II, AICP, Planning & Environmental Services Manager
Community Development Department

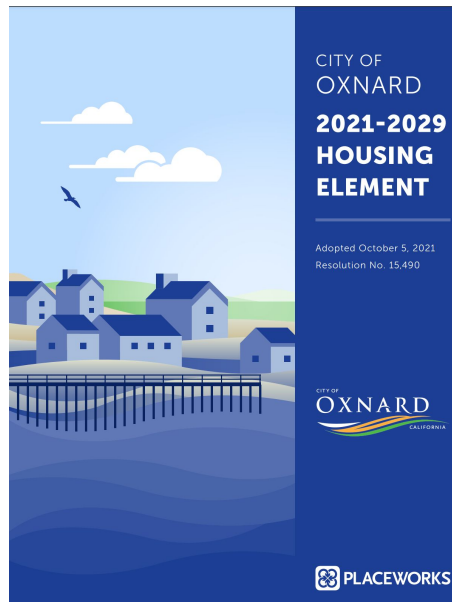
City Council
March 19, 2024

- Annual Progress reports are required each year pursuant to state law.
- Submitted to Governor's Office of Planning and Research, and the State Department of Housing and Community Development.
- Must be submitted by April 1st of each year.
- Purpose of Annual Reports:
 - Provide Status update for General Plan
 - Describe implementation progress
 - Document progress on Regional Housing Needs Allocation (RHNA)

- State law requires the preparation and adoption a comprehensive and long-range General Plan for its physical development.
- The General Plan provides a city with a consistent framework for land use and other decision-making.
- All City actions affecting physical development or the use of land must implement and be found consistent with the General Plan.



- During 2023, there were no General Plan Amendments. Since the adoption of the 2030 General Plan there have been 19 amendments.

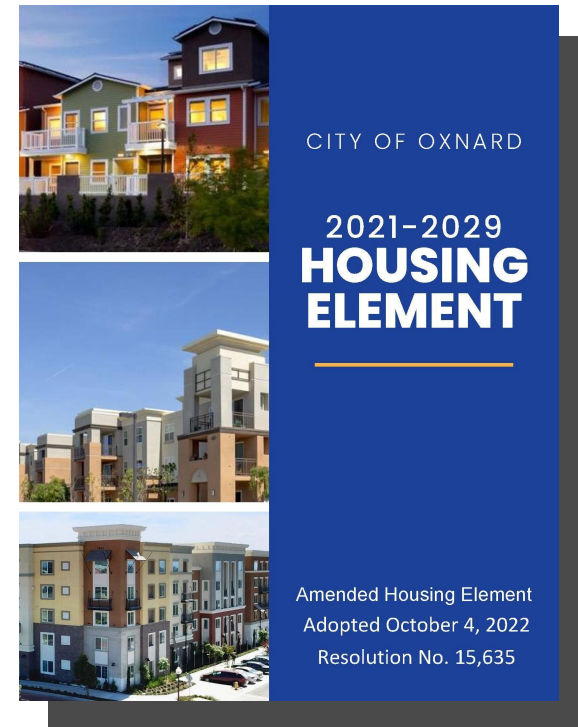


- **Teal Club Specific Plan Project (Planning and Zoning Permit No. 15-620-03), Located in area bounded by Doris Avenue on the north, Patterson Road on the west, Teal Club Road on the south, and Ventura Road on the east:**
 - Proposed Specific Plan includes a request for 990 residential units of varying density, 24 acres, community park; 8 acres public/semi public use; 4 acres of mixed use, retail, commercial; 10 acres of Business/Research Park.
 - Adoption of a Teal Club Specific Plan (TCSP) and Pre-Zoning would allow for the annexation of a 174.6-acre collection of seven agricultural parcels (known locally as “Teal Club”) to the City.
 - City staff is currently finalizing the Specific Plan and Development Agreement documents and preparing the project for public hearings in 2024.

- **Maulhardt/Stiles NECSP Sub-Neighborhood Plan (Planning and Zoning Permit No. 21-620-04), Located at the Northeastern Corner of Rose Ave and Camino Del Sol (APN: 214-0-020-615; & 625):**
 - Project proposes to create a Sub-Neighborhood Plan under the Northeast Community Specific Plan that would create the framework for a future development of approximately 500-950 residential units and approximately 40,000 square feet commercial development on the 56 acres project site.
 - Proposed Tentative Tract Map proposes to create 14 master lots.
 - Project will also provide for 11.05 acres of improved park areas, including a 5.4 acre expansion of East Village Park.

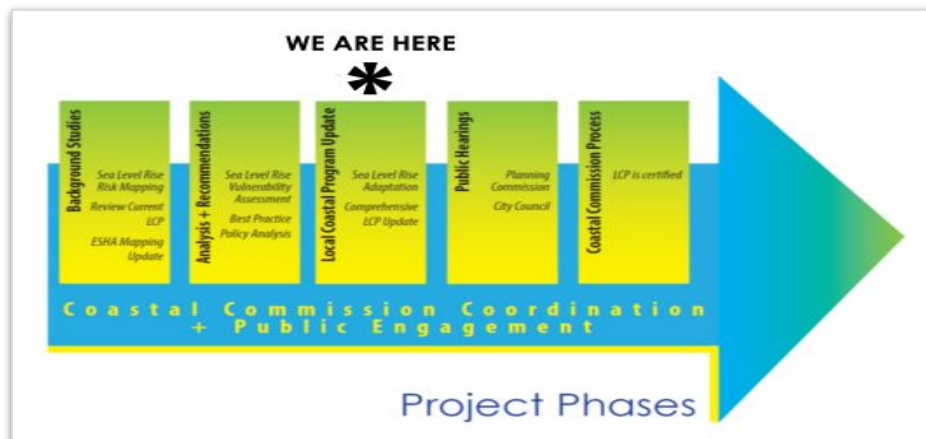
- **Rio Del Valle Campus Expansion Master Plan (Planning and Zoning Permit No. 23-620-02), Located at 3100 North Rose Avenue (APN: 144-0-110-445 & -650):**
 - The project consists of a southern expansion area and improvements to the existing campus. The southern expansion area will accommodate classroom and athletic field expansions and a new transportation and parking facility, relocated from a previous antiquated facility on Vineyard Avenue.
 - In order to allow for the proposed expansion the existing campus and southern expansion area must be annexed into the City of Oxnard, which requires the General Plan Amendment to add the property to the 2030 General Plan.

- On November 7, 2023 the City Council adopted seven (7) ordinances to implement the City of Oxnard Housing Element Programs related to:
 - Program 6 (Zoning Code Amendments),
 - Program 9 (Farmworker Housing),
 - Program 22 (Special Needs Groups),
 - Program 27 (Parking Standards), and
 - Program 32 (Senate Bill 35)

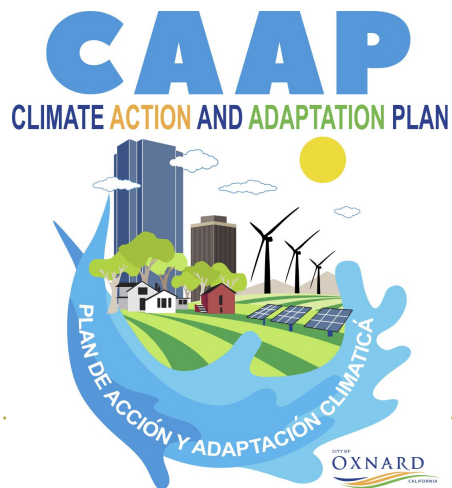


- **Inclusionary Housing Ordinance Update (PZ No. 23-580-01):**
 - In early 2022, staff launched community outreach program seeking input on potential changes to the City's existing Inclusionary Housing Ordinance.
 - November 22, 2022 - the Community Services, Public Safety, Housing & Development Committee provided direction regarding key policy questions on the Inclusionary Housing Ordinance.
 - The Inclusionary Housing Ordinance Update was reviewed by the Planning Commission on April 6, 2023 and the Commission recommended substantial revisions to the draft ordinance.
 - The City is seeking an opinion from the State of California Attorney General on how local inclusionary units may be calculated in projects that are also seeking to utilize State Density Bonus as a means to secure additional density for a residential development project.
 - The draft Inclusionary ordinance has been placed on hold while the City waits for a response from the Attorney General.

Local Coastal Plan Update

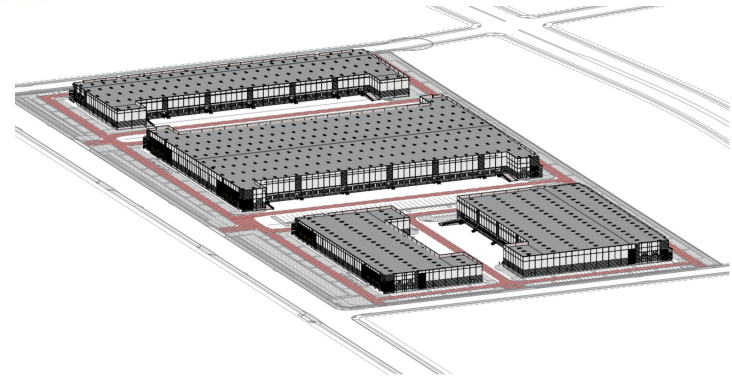


Climate Action & Adaptation Plan Implementation



101 Logistics Center Area (Plan Check)

Four industrial buildings totalling 778,720 square feet and related site improvements on four separate lots.



Arctic Cold Storage (Operational)

- 576,000 square feet
- Cold storage building and fruit processing facility



Amazon (Operational)

- 1,527,505 square feet
- Multi-story e-commerce storage and distribution center



Active Development - RiverPark Specific Plan Amendment (RPSP)

- In 2020, the applicant team submitted a request for an amendment to the RPSP, that would allow for the development of up to 1,025 additional residential units under the RPSP, in exchange for a reduction of up to approximately 562,457 square feet of non-residential development potential in accordance with specified development scenarios.
- The Project was approved by the City Council on March 15, 2022.
- The first project resulting from this approval was the 333-unit Fore Apartments at RiverPark project, which was approved by the Planning Commission on July 21, 2022. This Project has started construction.
- A second project proposed 280 units, referred to as “The Pointe”, was submitted in 2023 and is currently moving through the entitlement process.

The Village Specific Plan - Wagon Wheel

- In January 2009, City Council approved the entitlement permits for the Village Specific Plan to guide future development on the 62-acre project site -up to 1,500 dwelling units, 50,300 square feet of commercial development, and related roads, parking, and transit parking.
- Project has been successfully building out.
- In 2023 continued construction of Town Square (mixed use 448-unit residential with 80 of the units restricted for moderate income and 34,310 sf of commercial)

Lockwood 1, 2, and 3 Development Projects

- 2021 City adopted an affordable housing additive zone (AH-Permitted and AH-Discretionary)
- Lockwood 1 (173 lower income apt. unit) and 2 (168 lower income apt. uits) are under construction in 2023
- Lockwood 3 (234 apts. units) is proposed

Annual ADU Statistics

Year	Planning Applications Received	Entitlements Granted	Building Permit Applications Received	Building Permits Issued	Final Inspection/CO
2013	0	0	0	0	0
2014	1	0	0	0	0
2015	0	1	0	0	0
2016	0	0	1	0	0
2017	17	2	3	0	0
2018	44	25	20	4	1
2019	50	55	30	13	3
2020	129	123	111	27	9
2021	176	157	106	63	14
2022	327	338	335	75	61
2023	110*	111*	167	191	73
Total	811	855	773	373	161

*In April 2023, in order to help streamline the ADU permitting process, Planning no longer required separate planning application and entitlements.

Planning and Building Permit Activity in 2023

	Planning Entitlements	Building Permits	Certificates of Occupancy
Single Family Dwellings	2	7	4
Multi Family/Apartments	142	443	295
Accessory Dwelling Units	110	191	73
Total	254	641	372

Regional Housing Needs Allocation Progress

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<u>Income Level</u>	<u>RHNA Allocation by Income Level</u>	<u>2021 (Partial)</u>	<u>2022</u>	<u>2023</u>	<u>2024</u>	<u>2025</u>	<u>2026</u>	<u>2027</u>	<u>2028</u>	<u>2029</u>	<u>Total Units to Date (all years)</u>	<u>Total Remaining RHNA by Income Level</u>	<u>Percent Completed</u>
Very Low	1,840	4	101	38							143	1,735	8.24%
Low	1,071	7	78	173							258	986	26.17%
Moderate	1,538	15	3	46							64	1,520	4.21%
Above Moderate	4,100	58	88	384							530	3,954	13.40%
Total RHNA	8,549												
Total Units		84	270	641							995	7,554	13.17%

- **Total Units in 2023 - 641 units**
 - Very Low Income (50% of Area Median Income - AMI) - 38 units
 - Low Income (80% AMI) - 173 units
 - Moderate Income (120% AMI) - 46 units
 - Above Moderate Income (+120% AMI) - 384 units

That the Oxnard City Council receive and file the City of Oxnard 2023 General Plan and Housing Element Annual Progress Report.



End of Presentation



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.7

DATE: March 19, 2024

TO: City Council

FROM: Jeff Pengilley, Community Development Director, (805) 385-8208, jeff.pengilley@oxnard.org

SUBJECT: Consider an Ordinance Amending Provisions of Chapter 11 of the Oxnard City Code Pertaining to Cannabis Regulations. (15 minutes)

RECOMMENDATION

That the City Council:

Introduce an ordinance (by title only, waiving further reading) titled:

"AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD AMENDING PROVISIONS OF CHAPTER 11, ARTICLE XVII OF THE OXNARD CITY CODE PERTAINING TO CANNABIS REGULATIONS REGARDING CANNABIS EMPLOYEE PERMITS, CANNABIS AUDIT PROCESSES, HOURS OF OPERATIONS, TRANSFER OF OWNERSHIP, AND A DEFINITION CLARIFICATION."

(This item did not originate in Committee).

Please click the following link to view the required Measure M pre-recorded presentation video: https://youtu.be/ckwlxuicU_c

BACKGROUND

The Council has approved a series of ordinances to allow the following cannabis uses: retail dispensaries (16 licenses); manufacturing (8 licenses); distribution (3 licenses); and testing laboratories (1 license). Most recently, in October 2023, the City Council adopted an ordinance (Ordinance No. 3032) which established new cannabis cultivation regulations and amended Oxnard City Code (OCC) regulations pertaining to cannabis businesses. These amendments to Chapters 11 and 16 clarified the permit processes, updated language to align with State guidelines, and streamlined the renewal processes for cannabis businesses.

As a result of implementing the cannabis programs and discussions with city departments involved with cannabis permitting and compliance, as well as the cannabis operators, seven amendments have been identified to: streamline business operations; expand hours of operations by two hours; address the transferring of ownership; and clarify a definition. These amendments pertain to Chapter 11 of the OCC.

ANALYSIS/DISCUSSION

The amendments identified below would be applicable to all cannabis uses and are considered non-substantial or minor in nature. The proposed OCC amendments do not increase the permitted number of retail, manufacturing, distribution, or testing laboratory licenses. Legislative ordinance changes and the proposed ordinance can be found in Attachments 1 and 2, respectively.

A summary of the OCC amendments is as follows:

1. Allow cannabis operators to self-certify all employees after the initial Cannabis Employee Permit is issued (OCC Sec. 11-455(F)).

- The current OCC requires that all cannabis employees receive City approval of a Cannabis Employee Permit (CEP). Per OCC, this permit expires every 3 years, upon which time the operator must secure renewal of a CEP for each employee. The current cost of the CEP renewal is \$80 per employee.
- This amendment would remove the three-year expiration time period for a CEP provided an employee complies with all stipulated CEP OCC code requirements. The operator would submit an affidavit attesting compliance with OCC regulations to the City at the time of annual Commercial Cannabis Business Permit (CEBP) renewal, the operator will be responsible for.
- Not requiring a CEP renewal would save staff time and applicants time and money; this change would result in a modest cost savings to operators.

2. Allow cannabis applicants to submit a cannabis financial audit report every two years (OCC Sec. 11-476).

- The current OCC requires that all cannabis operators submit to the City financial audits on an annual basis, and pay their cannabis business tax quarterly. In November 2023, the City awarded a contract to Avenu Insights & Analytics, LLC. for cannabis financial auditing.
- This amendment allows for flexibility in the auditing frequency based on the discrepancy results of the audit. If the audit reveals a discrepancy of 5% or less of the reported annual gross receipts, then after the first year of a successful audit the operator will be required to submit a financial report to be audited 24 months after the most recent financial audit submitted for the prior 24-month reporting period. For example, a cannabis operator that passes a successful audit in 2023 would submit a financial audit in 2025 reflective of financial reporting associated with years 2024 and 2025. Not requiring an annual audit for those businesses with a discrepancy of 5% or less, would result in the operator not paying for the financial audit (which is \$7,500) on an annual basis. If a financial audit reveals a discrepancy of greater than 5% (e.g, 5.01% or greater) of the reported gross receipts, then the cannabis operator would be subject to annual financial auditing for the next two years.

3. Amend the Certified Public Accountant (CPA) requirement for financial audits (OCC Sec. 11-476).

- The current OCC requires operators to annually submit for City review and approval, a financial audit prepared by an independent certified public accountant.
- This amendment adds language that allows the City Manager to determine if the City requires the financial audit report to be prepared by a CPA firm. Through discussions with the City's recently hired cannabis audit firm (Avenu Insights & Analytics, LLC.), staff learned that in many other jurisdictions cannabis audits are not prepared by a CPA. Financial accounting best practices can still be secured through a non-CPA financial auditing firm and the City's audit requirements do not strictly necessitate the need for the audit report to be prepared by a CPA. The proposed amendment allows for more flexibility for cannabis operators without compromising financial accounting best practices.

4. Increase business hours of operation (OCC Sec. 11-485(L)).

- The current OCC allows the operating hours for cannabis retailers to be 9:00 a.m. to 9:00 p.m.
- This amendment would increase the operating hours to 8:00 a.m. to 10:00 p.m. The State of California, Department of Cannabis Control allows cannabis retailers to operate from 6:00 a.m. to 10:00 p.m. Retail cannabis operators have been conditioned to comply with the current OCC hour requirements. Therefore, cannabis retail operators who wish to increase their hours of operation, would need to apply for a major modification to their approved Special Use Permit (per OCC Sec. 16-561). The application would be subject to the review and approval by the Planning Commission and pay the discretionary permit fee of \$6,825.00.

Upon reviewing existing ordinance language and evaluating the renewal process, additional amendments were identified to clarify business ownership, and a definition as follows:

5. Clarify that an operator may change the form of business entity without applying to the City Manager if the new business entity is identical (OCC Sec. 11-468(D)).

- The current OCC states that an operator may change the form of business entity without applying to the City Manager provided that the membership of the new business entity is substantially similar to the original permit holder business entity (at least 60% of the membership is identical).
- This amendment would result in an operator being able to change the form of a business entity without applying to the City Manager provided that the membership and percentage of ownership of the new business entity are identical. This amendment is necessary to clarify the Council's original intent, when adopting Ordinance 3032, to ensure that a change in business entity type is efficiently processed when the change in business type does not result in a change in control of the business.

6. Clarify that a change of ownership shall not result in a change of control (OCC Sec. 11-468(A) & (G)).

- The current OCC states that a change of control is deemed to be the aggregate transfer of 40% or more of the original ownership of the permit.
- This amendment clarifies that the 40% threshold is based on the original ownership of the permit. The amendment further would require a permit holder to notify the City Manager within 10 days of any ownership change, regardless of whether or not that ownership change results in a "change of control."

7. Clarify the definition of 'Gross Receipts' (OCC Sec. 11-485(N)(9)).

- The current OCC states that an administrative ruling by the tax administrator is needed to determine that non-cannabis goods can be excluded from the definition of "Gross Receipts."
- This amendment would remove this statement to clarify that an administrative ruling is not necessary to determine whether or not non-cannabis goods shall be excluded from the definition of 'Gross Receipts'.

STRATEGIC PRIORITIES

This agenda item supports the Economic Development strategy. The purpose of the Economic Development strategy is to focus on the retention and expansion of Oxnard businesses by increasing the skills and employability of our local workforce, inviting new business investments, and target site-based redevelopment opportunities.

FINANCIAL IMPACT

Adoption of this new ordinance could enable the cannabis businesses to increase their hours of operation and could potentially lead to an increase in cannabis business tax revenue for the City.

COMMITTEE OUTCOME

This amendment is not a land use decision, therefore it did not require consideration by the Planning Commission.

The City Council set clear policy direction over the last two years at various City Council meetings regarding the subject ordinance. There are no substance changes to the ordinance, therefore this ordinance did not go back to the Community Services, Public Safety, Housing and Development Committee.

Prepared by: Kathleen Mallory, Planning & Sustainability Manager, Matthew Simpkins, Management Analyst

ATTACHMENTS

1. Proposed Ordinance in Legislative Format - Chapter 11.
2. Proposed OCC City Council Ordinance - Chapter 11
3. March 19, 2024 City Council Presentation

CITY COUNCIL OF THE CITY OF OXNARD

ORDINANCE NO.

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD AMENDING PROVISIONS OF CHAPTER 11, ARTICLE XVII OF THE OXNARD CITY CODE PERTAINING TO CANNABIS REGULATIONS REGARDING CANNABIS EMPLOYEE PERMITS, CANNABIS AUDIT PROCESSES, HOURS OF OPERATIONS, TRANSFER OF OWNERSHIP, AND A DEFINITION CLARIFICATION.

WHEREAS, the City Council has adopted Commercial Cannabis Regulations; and

WHEREAS, the City Council desires to modify the City's Commercial Cannabis Regulations to address the issues of transfer of ownership, hours of operation, employee permits and audit requirements.

NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF OXNARD DOES HEREBY ORDAIN AS FOLLOWS:

PART 1. Chapter 11, Article XVII, Sec. 11-455 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-455. CANNABIS EMPLOYEE PERMIT REQUIRED.

(F) An employee work permit shall be valid for a 36-month period and must be renewed every three years. Notwithstanding this requirement, an owner may self-certify, on a form provided by the city, that an employee complies with the requirements of this Section once the initial Cannabis Employee Permit has been obtained from the city. Renewal applications shall contain all the information required in subsection (C) above including the payment of a renewal application fee in an amount to be set by resolution of the city council.”

PART 2. Chapter 11, Article XVII, Sec. 11-468 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-468. TRANSFER OF CANNABIS BUSINESS PERMIT.

(A) The holder of a cannabis business permit shall not transfer ownership or control of the permit to another person unless and until the transferee obtains an amendment to the permit from the city manager or his or her designee stating that the transferee is now the permittee. Such an amendment may be obtained only if the transferee files an application with the city manager or his or her designee in accordance with all provisions of this article (as though the transferee were applying for an original cannabis business permit) accompanied by a transfer fee in an amount set by resolution of the city council (or if not set, shall be the same amount as the application fee), and the city manager or his or her designee determines, after hearing, in accordance with this section that the transferee passed the background check required for permittees and meets all other requirements of this article. The decision of the city manager is final. For the purposes of

this subsection (A), “control” shall be deemed to be the aggregate transfer of 40% or more of the original ownership of the permit and/or the change of control of the ownership as compared to original ownership of the permit. In addition, "control" shall mean any change in the local equity ownership interest in a business that obtained its commercial cannabis business permit as a local equity applicant

(B) Commercial cannabis business permits issued through the grant of a transfer by the city manager or his or her designee shall be valid for a period of one year beginning on the day the city manager or his or her designee approves the transfer of the permit. Before the transferee’s permit expires, the transferee shall apply for a renewal permit in the manner required by this article.

(C) Changes in ownership of a permittee business structure or a substantial change in the ownership of a permittee business entity (i.e changes that result in a cumulative change of more than 40% of the original ownership) must be approved by the city manager or his or her designee through the transfer process contained in subsection (A). Failure to comply with this provision is grounds for permit revocation.

(D) A permittee may change the form of business entity without applying to the city manager or his or her designee for a transfer of permit, provided that the membership and percentage of ownership of the new business entity are identical. ~~is substantially similar to original permit holder business entity (at least 60% of the membership is identical).~~ The permit holder shall notify the city manager in writing of the change within ten days of the change. Failure to comply with this provision is grounds for permit revocation.”

(E) No commercial cannabis business permit may be transferred when the city manager or his or her designee has notified the permittee that the permit has been or may be suspended or revoked.

(F) Any attempt to transfer a commercial cannabis business permit either directly or indirectly in violation of this section is hereby declared void, and such a purported transfer shall be deemed a ground for revocation of the permit.

(G) Notwithstanding any language in this section to the contrary, ~~no transfer of a local equity ownership interest in a business that obtained its commercial cannabis business permit as a local equity applicant shall be allowed if such proposed transfer would reduce the local equity interest in the commercial cannabis business to a level below that required for a local equity applicant~~ if a change of ownership does not constitute a change in "control" as defined in subsection (A), the permit holder still shall notify the city manager in writing of any change of ownership within ten days of the change. Failure to comply with this provision is grounds for permit revocation.

PART 3. Chapter 11, Article XVII, Sec. 11-476 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-476. RECORDS, ~~AND~~ RECORDKEEPING AND AUDITING.

(A) Each owner and operator of a commercial cannabis business shall maintain accurate books and records in an electronic format, detailing all of the revenues and expenses of the business, and all of its assets and liabilities. On no less than an annual basis (at or before the time of the

renewal of a commercial cannabis business permit issued pursuant to this article), or at any time upon reasonable request of the city, each commercial cannabis business shall file a sworn statement detailing the number of sales by the commercial cannabis business during the previous 12-month period (or shorter period based upon the timing of the request), provided on a per-month basis. The statement shall also include gross sales for each month, and all applicable taxes paid or due to be paid. ~~On an annual basis, each owner and operator shall submit to the city a financial audit of the business's operations conducted by an independent certified public accountant. Each permittee shall be subject to a regulatory compliance review and financial audit as determined by the city manager or his or her designee(s).~~

(B) On no less than an annual basis (at or before the time of the renewal of a commercial cannabis business permit issued pursuant to this article), each owner and operator shall submit to the city a financial audit of the business's operations. The audit shall be conducted by a certified public accountant if required by the city manager.

(C) Notwithstanding anything in subsection (B) to the contrary, if a financial audit required by this article reveals a discrepancy of 5% or less of the reported gross receipts, then thereafter the owner or operator shall submit a financial audit within 24 months of the most recent financial audit submitted for the prior 24-month reporting period.

(D) If a financial audit required by this section reveals a discrepancy of greater than 5% of the reported gross receipts, then thereafter the owner or operator shall be required to submit financial audits on an annual basis for the next two years. If both of those financial audits reveal a discrepancy of 5% or less, then the owner or operator shall be subject to the requirements of subsection (C). Otherwise, the owner or operator shall continue to be required to submit audits as required by subsection (B).

~~(B)~~(E) Each owner and operator of a commercial cannabis business shall maintain a current register of the names and the contact information (including the name, address, and telephone number) of anyone owning or holding an interest in the commercial cannabis business, and separately of all the officers, managers, employees, agents and volunteers currently employed or otherwise engaged by the commercial cannabis business. The register required by this paragraph shall be provided to the city manager or his or her designee(s) upon request.

~~(C)~~(F) All commercial cannabis businesses shall maintain an inventory control and reporting system that accurately documents the present location, amounts, and descriptions of all cannabis and cannabis products for all stages of the growing and production or manufacturing, laboratory testing and distribution processes. until purchase as set forth MAUCRSA.

~~(D)~~(G) Each commercial cannabis business shall allow the city to have access to the businesses books, records, accounts, together with any other data or documents relevant to its permitted commercial cannabis activities, for the purpose of conducting an audit or examination. Books, records, accounts, and any and all relevant data or documents will be produced no later than 24 hours after receipt of the city's request, unless otherwise stipulated by the city. The city may require the materials to be submitted in an electronic format that is compatible with the city's software and hardware."

PART 4. Chapter 11, Article XVII, Sec. 11-485 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-485. OPERATING REQUIREMENTS FOR RETAIL FACILITIES.

(L) Operating hours of the retailer license shall be limited to the hours of ~~8~~9:00 a.m. through ~~10~~9:00 p.m., seven days a week or a reduced duration as stipulated through the discretionary land use permit.”

PART 5. Chapter 11, Article XVI, Sec. 11-413 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-485. DEFINITIONS.

(N) GROSS RECEIPTS - Except as otherwise specifically provided, means, whether designated a sales price, royalty, rent, commission, dividend, or other designation, the total amount (including all receipts, cash, credits, services and property of any kind or nature) received or payable for sales of goods, wares or merchandise or for the performance of any act or service of any nature for which a charge is made or credit allowed (whether such service, act or employment is done as part of or in connection with the sale of goods, wares, merchandise or not), without any deduction therefrom on account of the cost of the property sold, the cost of materials used, labor or service costs, interest paid or payable, losses or any other expense whatsoever. However, the following shall be excluded from the definition of GROSS RECEIPTS:

- (1) Cash discounts where allowed and taken on sales;
- (2) Any tax required by law to be included in or added to the purchase price and collected from the consumer or purchaser;
- (3) Such part of the sale price of any property returned by purchasers to the seller as refunded by the seller by way of cash or credit allowances or return of refundable deposits previously included in gross receipts;
- (4) Receipts derived from the occasional sale of used, obsolete or surplus trade fixtures, machinery or other equipment used by the taxpayer in the regular course of the taxpayer’s business;
- (5) Cash value of sales, trades or transactions between departments or units of the same business;
- (6) Whenever there are included within the gross receipts amounts which reflect sales for which credit is extended and such amount proved uncollectible in a subsequent year, those amounts may be excluded from the gross receipts in the year they prove to be uncollectible; provided, however, if the whole or portion of such amounts excluded as uncollectible are subsequently collected, they shall be included in the amount of gross receipts for the period when they are recovered;

(7) Receipts of refundable deposits, except that such deposits when forfeited and taken into income of the business shall not be excluded when in excess of \$1;

(8) Amounts collected for others where the business is acting as an agent or trustee and to the extent that such amounts are paid to those for whom collected. These agents or trustees must provide the city's finance department with the names and the addresses of the others and the amounts paid to them. This exclusion shall not apply to any fees, percentages, or other payments retained by the agent or trustees;

(9) Retail sales of t-shirts, sweaters, hats, stickers, key chains, bags, books, posters, rolling papers, cannabis accessories such as pipes, pipe screens, vape pen batteries (without cannabis) or other personal tangible property that do not contain cannabis ~~which the tax administrator has excluded in writing by issuing an administrative ruling per section 11-423~~ shall not be subject to the cannabis business tax under this article. However, any business activities not subject to this article ~~as a result of the administrative ruling~~ shall be subject to the appropriate business tax provision of article I of chapter 11 or any other chapter or title as determined by the tax administrator. Non-cannabis goods are not exempt from sales tax."

PART 6. SEVERABILITY

If any section, subsection, subdivision, paragraph, sentence, clause or phrase, or portion of this Ordinance is, for any reason, held to be unconstitutional or invalid or ineffective by any court of competent jurisdiction, such decision shall not affect the validity or effectiveness of the remaining portions of this Ordinance or any part thereof. The City Council hereby declares that it would have adopted this Ordinance and each section, subsection, subdivision, paragraph, sentence, clause or phrase of this Ordinance irrespective of the fact that one or more sections, subsections, subdivisions, paragraphs, sentences, clauses or phrases be declared unconstitutional or invalid or ineffective. To this end, the provisions of this Ordinance are declared to be severable.

PART 7. Pursuant to Cal. Gov. Code Section 36933(c)(1), the City Attorney was designated to prepare, and the City Clerk published, a summary of this ordinance, and a certified copy of the ordinance was posted in the Office of the City Clerk a minimum of five days before the City Council's adoption of the ordinance.

PART 8. The City Clerk shall certify as to the adoption of this ordinance and shall cause the summary thereof to be published within fifteen (15) days of the adoption and shall post a certified copy of this ordinance, including the vote for and against the same, in the office of the City Clerk, in accordance with Government Code Section 36933.

CITY COUNCIL OF THE CITY OF OXNARD

ORDINANCE NO.

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF OXNARD AMENDING PROVISIONS OF CHAPTER 11, ARTICLE XVII OF THE OXNARD CITY CODE PERTAINING TO CANNABIS REGULATIONS REGARDING CANNABIS EMPLOYEE PERMITS, CANNABIS AUDIT PROCESSES, HOURS OF OPERATIONS, TRANSFER OF OWNERSHIP, AND A DEFINITION CLARIFICATION.

WHEREAS, the City Council has adopted Commercial Cannabis Regulations; and

WHEREAS, the City Council desires to modify the City's Commercial Cannabis Regulations to address the issues of transfer of ownership, hours of operation, employee permits and audit requirements.

NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF OXNARD DOES HEREBY ORDAIN AS FOLLOWS:

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(F) An employee work permit shall be valid for a 36-month period and must be renewed every three years. Notwithstanding this requirement, an owner may self-certify, on a form provided by the city, that an employee complies with the requirements of this Section once the initial Cannabis Employee Permit has been obtained from the city. Renewal applications shall contain all the information required in subsection (C) above including the payment of a renewal application fee in an amount to be set by resolution of the city council.”

PART 2. Chapter 11, Article XVII, Sec. 11-468 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-468. TRANSFER OF CANNABIS BUSINESS PERMIT.

(A) The holder of a cannabis business permit shall not transfer ownership or control of the permit to another person unless and until the transferee obtains an amendment to the permit from the city manager or his or her designee stating that the transferee is now the permittee. Such an amendment may be obtained only if the transferee files an application with the city manager or his or her designee in accordance with all provisions of this article (as though the transferee were applying for an original cannabis business permit) accompanied by a transfer fee in an amount set by resolution of the city council (or if not set, shall be the same amount as the application fee), and the city manager or his or her designee determines, after hearing, in accordance with this section that the transferee passed the background check required for permittees and meets all other requirements of this article. The decision of the city manager is final. For the purposes of

this subsection (A), “control” shall be deemed to be the aggregate transfer of 40% or more of the original ownership of the permit and/or the change of control of the ownership as compared to original ownership of the permit. In addition, "control" shall mean any change in the local equity ownership interest in a business that obtained its commercial cannabis business permit as a local equity applicant

(B) Commercial cannabis business permits issued through the grant of a transfer by the city manager or his or her designee shall be valid for a period of one year beginning on the day the city manager or his or her designee approves the transfer of the permit. Before the transferee’s permit expires, the transferee shall apply for a renewal permit in the manner required by this article.

(C) Changes in ownership of a permittee business structure or a substantial change in the ownership of a permittee business entity (i.e changes that result in a cumulative change of more than 40% of the original ownership) must be approved by the city manager or his or her designee through the transfer process contained in subsection (A). Failure to comply with this provision is grounds for permit revocation.

(D) A permittee may change the form of business entity without applying to the city manager or his or her designee for a transfer of permit, provided that the membership and percentage of ownership of the new business entity are identical. The permit holder shall notify the city manager in writing of the change within ten days of the change. Failure to comply with this provision is grounds for permit revocation.”

(E) No commercial cannabis business permit may be transferred when the city manager or his or her designee has notified the permittee that the permit has been or may be suspended or revoked.

(F) Any attempt to transfer a commercial cannabis business permit either directly or indirectly in violation of this section is hereby declared void, and such a purported transfer shall be deemed a ground for revocation of the permit.

(G) Notwithstanding any language in this section to the contrary, if a change of ownership does not constitute a change in "control" as defined in subsection (A), the permit holder still shall notify the city manager in writing of any change of ownership within ten days of the change. Failure to comply with this provision is grounds for permit revocation.

PART 3. Chapter 11, Article XVII, Sec. 11-476 of the Oxnard City Code is hereby amended as follows:

“SEC. 11-476. RECORDS, RECORDKEEPING AND AUDITING

(A) Each owner and operator of a commercial cannabis business shall maintain accurate books and records in an electronic format, detailing all of the revenues and expenses of the business, and all of its assets and liabilities. On no less than an annual basis (at or before the time of the renewal of a commercial cannabis business permit issued pursuant to this article), or at any time upon reasonable request of the city, each commercial cannabis business shall file a sworn statement detailing the number of sales by the commercial cannabis business during the previous 12-month period (or shorter period based upon the timing of the request), provided on a per-

month basis. The statement shall also include gross sales for each month, and all applicable taxes paid or due to be paid.

(B) On no less than an annual basis (at or before the time of the renewal of a commercial cannabis business permit issued pursuant to this article), each owner and operator shall submit to the city a financial audit of the business's operations. The audit shall be conducted by a certified public accountant if required by the city manager.

(C) Notwithstanding anything in subsection (B) to the contrary, if a financial audit required by this article reveals a discrepancy of 5% or less of the reported gross receipts, then thereafter the owner or operator shall submit a financial audit within 24 months of the most recent financial audit submitted for the prior 24-month reporting period.

(D) If a financial audit required by this section reveals a discrepancy of greater than 5% of the reported gross receipts, then thereafter the owner or operator shall be required to submit financial audits on an annual basis for the next two years. If both of those financial audits reveal a discrepancy of 5% or less, then the owner or operator shall be subject to the requirements of subsection (C). Otherwise, the owner or operator shall continue to be required to submit audits as required by subsection (B).

(E) Each owner and operator of a commercial cannabis business shall maintain a current register of the names and the contact information (including the name, address, and telephone number) of anyone owning or holding an interest in the commercial cannabis business, and separately of all the officers, managers, employees, agents and volunteers currently employed or otherwise engaged by the commercial cannabis business. The register required by this paragraph shall be provided to the city manager or his or her designee(s) upon request.

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PART 4. Chapter 11, Article XVII, Sec. 11-485 of the Oxnard City Code is hereby amended as follows:

"SEC. 11-485. OPERATING REQUIREMENTS FOR RETAIL FACILITIES.

(L) Operating hours of the retailer license shall be limited to the hours of 8:00 a.m. through 10:00 p.m., seven days a week or a reduced duration as stipulated through the discretionary land use permit."

PART 5. Chapter 11, Article XVI, Sec. 11-413 of the Oxnard City Code is hereby amended as follows:

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- (1) Cash discounts where allowed and taken on sales;
- (2) Any tax required by law to be included in or added to the purchase price and collected from the consumer or purchaser;
- (3) Such part of the sale price of any property returned by purchasers to the seller as refunded by the seller by way of cash or credit allowances or return of refundable deposits previously included in gross receipts;
- (4) Receipts derived from the occasional sale of used, obsolete or surplus trade fixtures, machinery or other equipment used by the taxpayer in the regular course of the taxpayer's business;
- (5) Cash value of sales, trades or transactions between departments or units of the same business;
- (6) Whenever there are included within the gross receipts amounts which reflect sales for which credit is extended and such amount proved uncollectible in a subsequent year, those amounts may be excluded from the gross receipts in the year they prove to be uncollectible; provided, however, if the whole or portion of such amounts excluded as uncollectible are subsequently collected, they shall be included in the amount of gross receipts for the period when they are recovered;
- (7) Receipts of refundable deposits, except that such deposits when forfeited and taken into income of the business shall not be excluded when in excess of \$1;
- (8) Amounts collected for others where the business is acting as an agent or trustee and to the extent that such amounts are paid to those for whom collected. These agents or trustees must provide the city's finance department with the names and the addresses of the others and the amounts paid to them. This exclusion shall not apply to any fees, percentages, or other payments retained by the agent or trustees;

(9) Retail sales of t-shirts, sweaters, hats, stickers, key chains, bags, books, posters, rolling papers, cannabis accessories such as pipes, pipe screens, vape pen batteries (without cannabis) or other personal tangible property that do not contain cannabis shall not be subject to the cannabis business tax under this article. However, any business activities not subject to this article shall be subject to the appropriate business tax provision of article I of chapter 11 or any other chapter or title as determined by the tax administrator. Non-cannabis goods are not exempt from sales tax.”

PART 6. SEVERABILITY

If any section, subsection, subdivision, paragraph, sentence, clause or phrase, or portion of this Ordinance is, for any reason, held to be unconstitutional or invalid or ineffective by any court of competent jurisdiction, such decision shall not affect the validity or effectiveness of the remaining portions of this Ordinance or any part thereof. The City Council hereby declares that it would have adopted this Ordinance and each section, subsection, subdivision, paragraph, sentence, clause or phrase of this Ordinance irrespective of the fact that one or more sections, subsections, subdivisions, paragraphs, sentences, clauses or phrases be declared unconstitutional or invalid or ineffective. To this end, the provisions of this Ordinance are declared to be severable.

PART 7. Pursuant to Cal. Gov. Code Section 36933(c)(1), the City Attorney was designated to prepare, and the City Clerk published, a summary of this ordinance, and a certified copy of the ordinance was posted in the Office of the City Clerk a minimum of five days before the City Council’s adoption of the ordinance.

PART 8. The City Clerk shall certify as to the adoption of this ordinance and shall cause the summary thereof to be published within fifteen (15) days of the adoption and shall post a certified copy of this ordinance, including the vote for and against the same, in the office of the City Clerk, in accordance with Government Code Section 36933.

[Remainder of Page Left Intentionally Blank]

PASSED AND ADOPTED this ____ day of _____ by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

John C. Zaragoza, Mayor

ATTEST:

Rose Chaparro, City Clerk

APPROVED AS TO FORM:

Stephen M. Fischer, City Attorney

Consider an Ordinance Amending Provisions of Chapter 11 of the Oxnard City Code Pertaining to Cannabis Regulations

Kathleen Mallory, Planning & Sustainability Manager
Community Development Department

City Council
March 19, 2024

- City Council has approved a series of ordinances to allow the following cannabis uses: retail dispensaries (16 licenses); manufacturing (8 licenses); distribution (3 licenses); and testing laboratories (1 license).
- In October 2023, the City Council adopted an ordinance which established new cannabis cultivation regulations and amended Oxnard City Code (OCC) regulations pertaining to cannabis businesses.
- These amendments to Chapters 11 and 16 clarified the permit processes, updated language to align with State guidelines, and streamlined the renewal processes for cannabis businesses.

- As a result of implementing the cannabis programs and discussions with city departments involved with cannabis permitting and compliance, as well as the cannabis operators, seven amendments have been identified.
 - streamline business operations
 - expand hours of operations by two hours
 - address the transferring of ownership
 - clarify a definition.
- These amendments pertain to Chapter 11 of the OCC.

- Proposed OCC amendments would be applicable to all cannabis uses, and do not increase the permitted number of retail, manufacturing, distribution, or testing laboratory licenses.

Summary of the OCC amendments are as follows:

- 1.) Allow cannabis operators to self-certify all employees after the initial Cannabis Employee Permit is issued (OCC Sec. 11-455(F)).
- 2.) Allow cannabis applicants to submit a cannabis financial audit report every two years (OCC Sec. 11-476).
- 3.) Amend the Certified Public Accountant (CPA) requirement for financial audits (OCC Sec. 11-476).
- 4.) Increase business hours of operation (OCC Sec. 11-485(L)).

- 5.) Clarify that an operator may change the form of business entity without applying to the City manager if the new business entity is identical (OCC Sec. 11-468(D)).
- 6.) Clarify that a change of ownership shall not result in a change of control (OCC Sec. 11-468(A) & (G)).
- 7.) Clarify the definition of 'Gross Receipts' (OCC Sec. 11-485(N)(9)).

Adoption of this new ordinance would enable the cannabis operators to increase their hours of operation and could potentially lead to an increase in cannabis business tax revenue for the City.

That the City Council:

Introduce an ordinance (by title only, waiving further reading) titled:

“An ordinance of the City Council of the City of Oxnard amending provisions of Chapter 11, Article XVII of the Oxnard City Code pertaining to cannabis regulations regarding cannabis employee permits, cannabis audit processes, hours of operation, transfer of ownership and a definition clarification.”



Questions?



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.8

DATE: March 19, 2024

TO: City Council and Oxnard Housing Authority

FROM: Emilio Ramirez, Housing Director, (805) 385-8094, emilio.ramirez@oxnard.org

SUBJECT: Acquisition of Real Property 3941 and 3951 Saviers Road improved with an eight-unit multi-family development. (10 minutes)

RECOMMENDATION

That the City Council:

1. Approve a grant to the Housing Authority of the City of Oxnard in amount not to exceed \$2,260,000 from the Community Development Block Grant fund for the acquisition of improved real property located at 3941 and 3951 Saviers Road plus transaction fees and related costs, subject to review and approval by the City Attorney;
2. Find that the recommended actions do not constitute a “project” subject to the California Environmental Quality Act pursuant to Section 21065 and Sections 15004(b)(4) and 15378 of the State California Environmental Quality Act Guidelines and, as such, no environmental document pursuant to California Environmental Quality Act is required;
3. Authorize the City Manager, and his designees, to appropriate \$2,260,000 of CDBG funds for the acquisition and related costs associated with the 3941 and 3951 Saviers Road transaction.

That the Board of Commissioners:

4. Accept a grant from the City of Oxnard in amount not to exceed \$2,260,000 to finance the acquisition, and related costs, of improved real property located at 3941 and 3951 Saviers Road and authorize the Executive Director to execute any and all documents necessary to complete the loan, subject to review and approval by the Housing Authority general counsel; and
5. Authorize the Housing Authority of the City of Oxnard to enter into a purchase and sale agreement for the acquisition of improved real property located at 3941 and 3951 Saviers Road in an amount not to exceed \$2,160,000, subject to an appraisal, and authorize the Executive Director or designee to execute any and all documents necessary to complete the acquisition, subject to review and approval by the Housing Authority general counsel.

(This item did not originate in Committee)

Please click the following link to view the required Measure M pre-recorded presentation video: https://youtu.be/QI_HV8QeZyU

BACKGROUND

The Community Development Block Grant program within the Housing Department has excess surplus funds in the amount of \$3,035,397.42. The City Council approved an amended Annual Actual Plan on December 19, 2023 allocating such excess surplus funds for the acquisition of real property. Staff received City Council authorization to negotiate to acquire improved real property located at 3941 and 3951 Saviers Road (“the Property”) in Closed Session on March 4, 2024 for provision of affordable housing. The Property is owned by Ronald Jenny and is improved with an eight-unit multi-family development on a parcel of approximately 12,42 square feet. The property is listed for sale by KW Commercial with an asking price of \$2,360,000.

DISCUSSION

The request is to acquire an existing eight-unit and fully occupied multi-family development with available City of Oxnard's Community Development Block Grant funds to expand the inventory of affordable housing in Oxnard. This is an eligible use of funds and is consistent with the mission of both the Community Development Block Grant and the Housing Authority of the City of Oxnard. The Housing Authority of the City of Oxnard will assume all leases. Staff seeks approval from the City Council to finance this acquisition plus transaction costs with a grant in the amount of \$2,260,000 from the City of Oxnard's Community Development Block Grant fund. If approved, the Property will be owned and operated by the Housing Authority; therefore, staff seeks approval from the Board of Commissioners to enter into a purchase and sale agreement for the acquisition of the Property, subject to due diligence including inspection and appraisal, for a purchase of not more than \$2,160,000.

ENVIRONMENTAL ANALYSIS

The proposed actions to appropriate funding and approve the acquisition and disposition of property do not constitute approval of any particular project. These recommended actions do not grant any vested development entitlements, do not bind any party or commit to any definite course of action, do not restrict the City from considering any feasible mitigation measures and alternatives, and do not restrict the City from denying a future project on either of the involved sites, and, therefore, do not constitute a project subject to the California Environmental Quality Act (CEQA) pursuant to Section 21065 of CEQA and Sections 15004(b)(4) and 15378 of the State CEQA Guidelines. As such, no environmental document pursuant to CEQA is required.

The undertaking of, disbursement of funding for, or approval of any physical improvements is fully contingent on compliance with CEQA. Furthermore, any future project at the Property would require an agreement with a yet-to-be identified development partner and would likely require approval of discretionary land use entitlements. It is anticipated that CEQA review will occur concurrent with such agreement or the processing of entitlement applications and must be completed prior to granting of any such entitlements.

STRATEGIC PRIORITIES

This agenda item supports the Quality of Life strategy. The purpose of the Quality of Life strategy is to restore and increase quality services and programs that enrich Oxnard's diverse community, promotes safe neighborhoods, encourages community engagement, and supports our residents in their efforts to improve their quality of life.

FINANCIAL IMPACT

There is sufficient FY23-24 CDBG available budget to fund the acquisition amount. The CDBG fund has an existing budget allocation to cover the project amount of \$2,260,000 from prior year HUD allocations, therefore there will be no new appropriations needed. However, there will be transfers of existing appropriations related to recaptured project balances within the CDBG fund.

For City of Oxnard Finance Use Only: CDBG expenditures (2018105-53600-G24xx to be created).

COMMITTEE OUTCOME

This item did not originate in Committee. Council provided authorization to negotiate at their March 4, 2024 meeting and approved the use of the CDBG funds for real estate transactions in support of affordable housing at their December 19, 2023 Council meeting.

Prepared by: Emilio Ramirez, Housing Director

ATTACHMENTS

1. Presentation

Acquisition of Real Property 3941 and 3951 Saviers Road improved with an eight-unit multi-family development

Emilio Ramirez, Director
Housing Department
City Council
March 19, 2024

Acquisition Site

2





1. The CDBG program has excess surplus funds of \$3,035,397.42.
2. City Council approved an amended Annual Actual Plan on December 19, 2023 allocating surplus funds for real property acquisition.
3. Staff received City Council authorization to negotiate acquisition of real property located at 3941 and 3951 Saviers Road in Closed Session on March 4, 2024 for provision of affordable housing.
4. The Property is owned by Ronald Jenny and is improved with an eight-unit multi-family development.
5. The property is listed for sale by KW Commercial with an asking price of \$2,360,000.

1. Staff seeks approval from the City Council to finance this acquisition plus transaction costs with a grant in the amount of \$2,260,000 from the City of Oxnard's Community Development Block Grant fund.
2. If approved, the Property will be owned and operated by the Housing Authority.
3. Staff seeks approval from the Board of Commissioners to enter into a purchase and sale agreement for the acquisition of the Property, subject to due diligence including inspection and appraisal, for a purchase of not more than \$2,160,000.

That the City Council:

1. Approve a grant to the Housing Authority of the City of Oxnard in amount not to exceed \$2,260,000 from the Community Development Block Grant fund for the acquisition of improved real property located at 3941 and 3951 Saviers Road plus transaction fees and related costs, subject to review and approval by the City Attorney; and
2. Find that the recommended actions do not constitute a “project” subject to the California Environmental Quality Act pursuant to Section 21065 and Sections 15004(b)(4) and 15378 of the State California Environmental Quality Act Guidelines and, as such, no environmental document pursuant to California Environmental Quality Act is required.
3. Authorize the City Manager, and his designees, to appropriate \$2,260,000 of CDBG funds for the acquisition and related costs associated with the 3941 and 3951 Saviers Road transaction.

That the Board of Commissioners:

1. Accept a grant from the City of Oxnard in amount not to exceed \$2,260,000 to finance the acquisition, and related costs, of improved real property located at 3941 and 3951 Saviers Road and authorize the Executive Director to execute any and all documents necessary to complete the loan, subject to review and approval by the Housing Authority general counsel; and
2. Authorize the Housing Authority of the City of Oxnard to enter into a purchase and sale agreement for the acquisition of improved real property located at 3941 and 3951 Saviers Road in an amount not to exceed \$2,160,000, subject to an appraisal, and authorize the Executive Director or designee to execute any and all documents necessary to complete the acquisition, subject to review and approval by the Housing Authority general counsel.



End of Presentation



CITY COUNCIL AGENDA REPORT

REPORTS AGENDA ITEM NO. N.9

DATE: March 19, 2024

TO: City Council

FROM: Emilio Ramirez, Housing Director, (805) 385-8094, emilio.ramirez@oxnard.org

SUBJECT: Acquisition of Vacant Real Property Designated as Assessor Parcel Number 201-0-213-150 located on Meta Street in Downtown Oxnard. (10 minutes)

RECOMMENDATION

That the City Council:

1. Approve a purchase and sale agreement for the acquisition of unimproved real property designated as Assessor Parcel Number 201-0-213-150 in the amount of \$90,000 and authorize the City Manager or designee to execute any and all documents necessary to complete the acquisition;
2. Find that the recommended actions do not constitute a "project" subject to the California Environmental Quality Act pursuant to Section 21065 and Sections 15004(b)(4) and 15378 of the State California Environmental Quality Act Guidelines and, as such, no environmental document pursuant to California Environmental Quality Act is required;
3. Approve and authorize a budget appropriation of \$90,000 from the Community Development Block Grant Fund to pay for the acquisition of real property designated as Assessor Parcel Number 201-0-213-150; and
4. Approve and authorize a budget appropriation of \$18,000 from the Community Development Block Grant available fund balance to pay for transaction fees and related costs necessary to complete the purchase of real property designated as Assessor Parcel Number 201-0-213-150;.

(This item did not originate in Committee)

Please click the following link to view the required Measure M pre-recorded presentation video: <https://youtu.be/1ROGA3uSFNY>

BACKGROUND

The Community Development Block Grant program within the Housing Department has excess surplus funds in the amount of \$3,035,397.42. The City Council approved an amended Annual Actual Plan on December 19, 2023 allocating such excess surplus funds for the acquisition of real property. The Oxnard City Council authorized staff to negotiate the acquisition of real property designated as Assessor Parcel Number 201-0-213-150 (Property) located on Meta Street between 5th and 6th Street in Downtown for future development of affordable housing. The Property is owned by the Alice C Flores Trust (Seller) and consists of an approximately 1,401 square foot vacant parcel of land. Staff procured an appraisal for the Property, which defined the current market value of the Property to be \$90,000.

DISCUSSION

Staff proposes to purchase the Property from the Seller for the appraised value of \$90,000 with the use of Community Development Block Grant (CDBG) funds. Additionally, staff proposes to use \$18,000 in CDBG funds to pay for transaction fees and related expenses necessary to complete the transaction. Upon the acquisition of the Property staff

proposes to assemble it with two adjacent unimproved properties fronting Meta Street that are currently owned by the Housing Authority of the City of Oxnard for future development of affordable housing.

ENVIRONMENTAL ANALYSIS

The proposed actions to appropriate funding and approve the acquisition and disposition of property do not constitute approval of any particular project. These recommended actions do not grant any vested development entitlements, do not bind any party or commit to any definite course of action, do not restrict the City from considering any feasible mitigation measures and alternatives, and do not restrict the City from denying a future project on either of the involved sites, and, therefore, do not constitute a project subject to the California Environmental Quality Act (CEQA) pursuant to Section 21065 of CEQA and Sections 15004(b)(4) and 15378 of the State CEQA Guidelines. As such, no environmental document pursuant to CEQA is required.

The undertaking of, disbursement of funding for, or approval of any physical improvements is fully contingent on compliance with CEQA. Furthermore, any future project at the Property would require an agreement with a yet-to-be identified development partner and would likely require approval of discretionary land use entitlements. It is anticipated that CEQA review will occur concurrent with such agreement or the processing of entitlement applications and must be completed prior to granting of any such entitlements.

STRATEGIC PRIORITIES

This agenda item supports the Quality of Life strategy. The purpose of the Quality of Life strategy is to restore and increase quality services and programs that enrich Oxnard's diverse community, promotes safe neighborhoods, encourages community engagement, and supports our residents in their efforts to improve their quality of life.

FINANCIAL IMPACT

The City of Oxnard will appropriate expenditures and recognize funding sources in the amount of \$108,000 to the CDBG fund.

For Finance Use Only: CDBG revenue (2018101-48020), CDBG expenditure (2018101-56000-C24xx to be created), Capital fund revenue (3018101-49300-C24xx), and Capital fund expenditures (3011001-59800-C24xx).

COMMITTEE OUTCOME

This item did not originate in Committee. Council provided authorization to negotiate at their March 4, 2024 meeting and approved the use of the CDBG funds for real estate transactions in support of affordable housing at their December 19, 2023 Council meeting.

Prepared by: Emilio Ramirez, Housing Director

ATTACHMENTS

1. Purchase and Sale Agreement
2. Presentation

STANDARD OFFER, AGREEMENT AND ESCROW INSTRUCTIONS FOR PURCHASE OF REAL ESTATE

(Vacant Land)

Dated: February 15, 2024

1. Buyer.

1.1 City of Oxnard, a municipal corporation, ("Buyer") hereby offers to purchase the real property, hereinafter described, from the owner thereof ("Seller") (collectively, the "Parties" or individually, a "Party"), through an escrow ("Escrow") to close 30 or -- days after the waiver or satisfaction of the Buyer's Contingencies, ("Expected Closing Date") to be held by Lawyers Title Insurance Corporation, attn: Shirley J. Franks ("Escrow Holder") whose address is 2571 Park View Court, Suite 241, Oxnard, CA 93036, Phone No. (805) 484-2701, Facsimile No. (818) 394-4820 upon the terms and conditions set forth in this agreement ("Agreement"). Buyer shall have the right to assign Buyer's rights hereunder, but any such assignment shall not relieve Buyer of Buyer's obligations herein unless Seller expressly releases Buyer.

1.2 The term "Date of Agreement" as used herein shall be the date when by execution and delivery (as defined in paragraph 20.2) of this document or a subsequent counteroffer thereto, Buyer and Seller have reached agreement in writing whereby Seller agrees to sell, and Buyer agrees to purchase, the Property upon terms accepted by both Parties.

2. Property.

2.1 The real property ("Property") that is the subject of this offer consists of (insert a brief physical description) A vacant parcel of land, consisting of approximately 1,401 SF of land is located in the County of Ventura, is commonly known as (street address, city, state, zip) Meta Street, Oxnard, CA 93030 and is legally described as: _____ (APN: 201-0-213-150).

2.2 If the legal description of the Property is not complete or is inaccurate, this Agreement shall not be invalid and the legal description shall be completed or corrected to meet the requirements of Lawyers Title Insurance Corporation ("Title Company"), which shall issue the title policy hereinafter described.

2.3 The Property includes, at no additional cost to Buyer, the permanent improvements thereon, including those items which pursuant to applicable law are a part of the property, as well as the following items, if any, owned by Seller and at present located on the Property: N/A (collectively, the "Improvements").

~~2.4 Except as provided in Paragraph 2.3, the Purchase Price does not include Seller's personal property, furniture and furnishings, and _____ all of which shall be removed by Seller prior to Closing.~~

3. Purchase Price.

3.1 The purchase price ("Purchase Price") to be paid by Buyer to Seller for the Property shall be ☒ \$90,000.00, or ☐ (complete only if purchase price will be determined based on a per unit cost instead of a fixed price) _____ per unit. The unit used to determine the Purchase Price shall be: ☐ lot ☐ acre ☐ square foot ☐ other _____ prorating areas of less than a full unit. The number of units shall be based on a calculation of total area of the Property as certified to the Parties by a licensed surveyor in accordance with paragraph 9.1(g). However, the following rights of way and other areas will be excluded from such calculation: _____. The Purchase Price shall be payable as follows:

(Strike any not applicable)

(a) Cash down payment, including the Deposit as defined in paragraph 4.3 (or if an all cash transaction, the Purchase Price):

\$90,000.00

~~(b) Amount of "New Loan" as defined in paragraph 5.1, if any:~~

~~(c) Buyer shall take title to the Property subject to and/or assume the following existing deed(s) of trust ("Existing Deed(s) of Trust") securing the existing promissory note(s) ("Existing Note(s))":~~

~~(i) An Existing Note ("First Note") with an unpaid principal balance as of the Closing of approximately:~~

~~Said First Note is payable at _____ per month, including interest at the rate of _____ % per annum until paid (and/or the entire unpaid balance is due on _____).~~

~~(ii) An Existing Note ("Second Note") with an unpaid principal balance as of the Closing of approximately:~~

~~Said Second Note is payable at _____ per month, including interest at the rate of _____ % per annum until paid (and/or the entire unpaid balance is due on _____).~~

~~(d) Buyer shall give Seller a deed of trust ("Purchase Money Deed of Trust") on the property, to secure the promissory note of Buyer to Seller described in paragraph 6 ("Purchase Money Note") in the amount of:~~

3.2 If Buyer is taking title to the Property subject to, or assuming, an Existing Deed of Trust and such deed of trust permits the beneficiary to demand payment of fees including, but not limited to, points, processing fees, and appraisal fees as a condition to the transfer of the Property, Buyer agrees to pay such fees up to a

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maximum of 1.5% of the unpaid principal balance of the applicable Existing Note.

4. Deposits.

4.1 ☐ Buyer has delivered to Broker a check in the sum of ---, payable to Escrow Holder, to be delivered by Broker to Escrow Holder within 2 or --- business days after both Parties have executed this Agreement and the executed Agreement has been delivered to Escrow Holder, or ☒ within 2 or --- business days after both Parties have executed this Agreement and the executed Agreement has been delivered to Escrow Holder Buyer shall deliver to Escrow Holder a check **or wire transfer** in the sum of \$9,000.00. If said check **or wire transfer** is not received by Escrow Holder within said time period then Seller may elect to unilaterally terminate this transaction by giving written notice of such election to Escrow Holder whereupon neither Party shall have any further liability to the other under this Agreement. Should Buyer and Seller not enter into an agreement for purchase and sale, Buyer's check or funds shall, upon request by Buyer, be promptly returned to Buyer.

4.2 Additional deposits:

(a) ~~Within 5 business days after the Date of Agreement, Buyer shall deposit with Escrow Holder the additional sum of --- to be applied to the Purchase Price at the Closing.~~

(b) ~~Within 5 business days after the contingencies discussed in paragraph 9.1 (a) through (m) are approved or waived, Buyer shall deposit with Escrow Holder the additional sum of --- to be applied to the Purchase Price at the Closing.~~

(c) ~~If an Additional Deposit is not received by Escrow Holder within the time period provided then Seller may notify Buyer, Escrow Holder, and Brokers, in writing that, unless the Additional Deposit is received by Escrow Holder within 2 business days following said notice, the Escrow shall be deemed terminated without further notice or instructions.~~

4.3 Escrow Holder shall deposit the funds deposited with it by Buyer pursuant to paragraphs 4.1 and 4.2 (collectively the "Deposit"), in a State or Federally chartered bank in an interest bearing account whose term is appropriate and consistent with the timing requirements of this transaction. The interest therefrom shall accrue to the benefit of Buyer, who hereby acknowledges that there may be penalties or interest forfeitures if the applicable instrument is redeemed prior to its specified maturity. **Buyer's Federal Tax Identification Number is ---**. NOTE: Such interest bearing account cannot be opened until Buyer's Federal Tax Identification Number is provided.

4.4 Notwithstanding the foregoing, within 5 days after Escrow Holder receives the monies described in paragraph 4.1 above, Escrow Holder shall release \$100 of said monies to Seller as and for independent consideration for Seller's execution of this Agreement and the granting of the contingency period to Buyer as herein provided. Such independent consideration is non-refundable to Buyer but shall be credited to the Purchase Price in the event that the purchase of the Property is completed.

4.5 Upon waiver of all of Buyer's contingencies the Deposit shall become non-refundable but applicable to the Purchase Price except in the event of a Seller breach, or in the event that the Escrow is terminated pursuant to the provisions of Paragraph 9.1(n) (Destruction, Damage or Loss) or 9.1(o) (Material Change).

~~5. Financing Contingency. (Strike if not applicable)~~

~~5.1 This offer is contingent upon Buyer obtaining from an insurance company, financial institution or other lender, a commitment to lend to Buyer a sum equal to at least --- % of the Purchase Price, on terms acceptable to Buyer. Such loan ("New Loan") shall be secured by a first deed of trust or mortgage on the Property. If this Agreement provides for Seller to carry back junior financing, then Seller shall have the right to approve the terms of the New Loan. Seller shall have 7 days from receipt of the commitment setting forth the proposed terms of the New Loan to approve or disapprove of such proposed terms. If Seller fails to notify Escrow Holder, in writing, of the disapproval within said 7 days it shall be conclusively presumed that Seller has approved the terms of the New Loan.~~

~~5.2 If Buyer shall fail to notify its Broker, Escrow Holder and Seller, in writing within --- days following the Date of Agreement, that the New Loan has not been obtained, it shall be conclusively presumed that Buyer has either obtained said New Loan or has waived this New Loan contingency.~~

~~5.3 If Buyer shall notify its Broker, Escrow Holder and Seller, in writing, within the time specified in paragraph 5.2 hereof, that Buyer has not obtained said New Loan, this Agreement shall be terminated, and Buyer shall be entitled to the prompt return of the Deposit, plus any interest earned thereon, less only Escrow Holder and Title Company cancellation fees and costs, which Buyer shall pay.~~

~~6. Seller Financing. (Purchase Money Note). (Strike if not applicable)~~

~~6.1 If Seller approves Buyer's financials (see paragraph 6.5) the Purchase Money Note shall provide for interest on unpaid principal at the rate of --- % per annum, with principal and interest paid as follows: ---. The Purchase Money Note and Purchase Money Deed of Trust shall be on the current forms commonly used by Escrow Holder, and be junior and subordinate only to the Existing Note(s) and/or the New Loan expressly called for by this Agreement.~~

~~6.2 The Purchase Money Note and/or the Purchase Money Deed of Trust shall contain provisions regarding the following (see also paragraph 10.3 (b)):~~

~~(a) Prepayment. Principal may be prepaid in whole or in part at any time without penalty, at the option of the Buyer.~~

~~(b) Late Charge. A late charge of 6% shall be payable with respect to any payment of principal, interest, or other charges, not made within 10 days after it is due.~~

~~(c) Due On Sale. In the event the Buyer sells or transfers title to the Property or any portion thereof, then the Seller may, at Seller's option, require the entire unpaid balance of said Note to be paid in full.~~

~~6.3 If the Purchase Money Deed of Trust is to be subordinate to other financing, Escrow Holder shall, at Buyer's expense prepare and record on Seller's behalf a request for notice of default and/or sale with regard to each mortgage or deed of trust to which it will be subordinate.~~

~~6.4 WARNING: CALIFORNIA LAW DOES NOT ALLOW DEFICIENCY JUDGEMENTS ON SELLER FINANCING. IF BUYER ULTIMATELY DEFAULTS ON THE LOAN, SELLER'S SOLE REMEDY IS TO FORECLOSE ON THE PROPERTY.~~

~~6.5 Seller's obligation to provide financing is contingent upon Seller's reasonable approval of Buyer's financial condition. Buyer to provide a current financial statement and copies of its Federal tax returns for the last 3 years to Seller within 10 days following the Date of Agreement. Seller has 10 days following receipt of such documentation to satisfy itself with regard to Buyer's financial condition and to notify Escrow Holder as to whether or not Buyer's financial condition is acceptable. If Seller fails to notify Escrow Holder, in writing, of the disapproval of this contingency within said time period, it shall be conclusively presumed that Seller has approved Buyer's financial condition. If Seller is not satisfied with Buyer's financial condition or if Buyer fails to deliver the required documentation then Seller may notify Escrow Holder in writing that Seller Financing will not be available, and Buyer shall have the option, within 10 days of the receipt of such notice, to either terminate this transaction or to purchase the Property without Seller financing. If Buyer fails to notify Escrow Holder within said time period of its election to terminate this transaction then Buyer shall be conclusively presumed to have elected to purchase the Property without Seller financing. If Buyer elects to terminate, Buyer's Deposit shall be refunded less Title Company and Escrow Holder cancellation fees and costs, all of which shall be Buyer's obligation.~~

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7. Real Estate Brokers.

7.1 Each Party acknowledges receiving a Disclosure Regarding Real Estate Agency Relationship, confirms and consents to the following agency relationships in this transaction with the following real estate broker(s) ("**Brokers**") and/or their agents ("Agent(s)"): _____

Seller's Brokerage Firm _____ License No. _____ is the broker of (check one): ☐ the Seller; or ☐ both the Buyer and Seller (dual agent).

Seller's Agent _____ License No. _____ is (check one): ☐ the Seller's Agent (salesperson or broker associate); or ☐ both the Seller's Agent and the Buyer's Agent (dual agent).

Buyer's Brokerage Firm CBRE, Inc. License No. 00409987 is the broker of (check one): ☒ the Buyer; or ☐ both the Buyer and Seller (dual agent).

Buyer's Agent Brian Hutcherson License No. 02075144 is (check one): ☒ the Buyer's Agent (salesperson or broker associate); or ☐ both the Buyer's Agent and the Seller's Agent (dual agent).

The Parties acknowledge that other than the Brokers and Agents listed above, there are no other brokers or agents representing the Parties or due any fees and/or commissions under this Agreement. Buyer shall use the services of Buyer's Broker exclusively in connection with any and all negotiations and offers with respect to the Property for a period of 1 year from the date inserted for reference purposes at the top of page 1.

7.2 Buyer and Seller each represent and warrant to the other that he/she/it has had no dealings with any person, firm, broker, agent or finder in connection with the negotiation of this Agreement and/or the consummation of the purchase and sale contemplated herein, other than the Brokers and Agents named in paragraph 7.1, and no broker, agent or other person, firm or entity, other than said Brokers and Agents is/are entitled to any commission or finder's fee in connection with this transaction as the result of any dealings or acts of such Party. Buyer and Seller do each hereby agree to indemnify, defend, protect and hold the other harmless from and against any costs, expenses or liability for compensation, commission or charges which may be claimed by any broker, agent, finder or other similar party, other than said named Brokers and Agents by reason of any dealings or act of the indemnifying Party.

8. Escrow and Closing.

8.1 Upon acceptance hereof by Seller, this Agreement, including any counteroffers incorporated herein by the Parties, shall constitute not only the agreement of purchase and sale between Buyer and Seller, but also instructions to Escrow Holder for the consummation of the Agreement through the Escrow. Escrow Holder shall not prepare any further escrow instructions restating or amending the Agreement unless specifically so instructed by the Parties or a Broker herein. Subject to the reasonable approval of the Parties, Escrow Holder may, however, include its standard general escrow provisions. In the event that there is any conflict between the provisions of the Agreement and the provisions of any additional escrow instructions the provisions of the Agreement shall prevail as to the Parties and the Escrow Holder.

8.2 As soon as practical after the receipt of this Agreement and any relevant counteroffers, Escrow Holder shall ascertain the Date of Agreement as defined in paragraphs 1.2 and 20.2 and advise the Parties and Brokers, in writing, of the date ascertained.

8.3 Escrow Holder is hereby authorized and instructed to conduct the Escrow in accordance with this Agreement, applicable law and custom and practice of the community in which Escrow Holder is located, including any reporting requirements of the Internal Revenue Code. In the event of a conflict between the law of the state where the Property is located and the law of the state where the Escrow Holder is located, the law of the state where the Property is located shall prevail.

8.4 Subject to satisfaction of the contingencies herein described, Escrow Holder shall close this escrow (the "**Closing**") by recording a general warranty deed (a grant deed in California) and the other documents required to be recorded, and by disbursing the funds and documents in accordance with this Agreement.

8.5 Buyer and Seller shall each pay one-half of the Escrow Holder's charges and Seller shall pay the usual recording fees and any required documentary transfer taxes. Seller shall pay the premium for a standard coverage owner's or joint protection policy of title insurance. (See also paragraph 11.)

8.6 Escrow Holder shall verify that all of Buyer's contingencies have been satisfied or waived prior to Closing. The matters contained in paragraphs 9.1 subparagraphs (b), (c), (d), (e), (g), (i), (n), and (o), 9.4, 12, 13, 14, 16, 18, 20, 21, 22, and 24 are, however, matters of agreement between the Parties only and are not instructions to Escrow Holder.

8.7 If this transaction is terminated for non-satisfaction and non-waiver of a Buyer's Contingency, as defined in Paragraph 9.2 or disapproval of any other matter subject to Buyer's approval, then neither of the Parties shall thereafter have any liability to the other under this Agreement, except to the extent of a breach of any affirmative covenant or warranty in this Agreement. In the event of such termination, Buyer shall, subject to the provisions of paragraph 8.10, be promptly refunded all funds deposited by Buyer with Escrow Holder, less only the \$100 provided for in paragraph 4.4 and the Title Company and Escrow Holder cancellation fees and costs, all of which shall be Buyer's obligation. If this transaction is terminated as a result of Seller's breach of this Agreement then Seller shall pay the Title Company and Escrow Holder cancellation fees and costs.

8.8 The Closing shall occur on the Expected Closing Date, or as soon thereafter as the Escrow is in condition for Closing; provided, however, that if the Closing does not occur by the Expected Closing Date and said Date is not extended by mutual instructions of the Parties, a Party not then in default under this Agreement may notify the other Party, Escrow Holder, and Brokers, in writing that, unless the Closing occurs within 5 business days following said notice, the Escrow shall be deemed terminated without further notice or instructions.

8.9 Except as otherwise provided herein, the termination of Escrow shall not relieve or release either Party from any obligation to pay Escrow Holder's fees and costs or constitute a waiver, release or discharge of any breach or default that has occurred in the performance of the obligations, agreements, covenants or warranties contained therein.

8.10 If this Escrow is terminated for any reason other than Seller's breach or default, then as a condition to the return of Buyer's deposit, Buyer shall within 5 days after written request deliver to Seller, at no charge, copies of all surveys, engineering studies, soil reports, maps, master plans, feasibility studies and other similar items prepared by or for Buyer that pertain to the Property.

9. Contingencies to Closing.

9.1 IF, BEFORE EXPIRATION OF THE APPLICABLE TIME, BUYER FAILS TO PROVIDE ESCROW HOLDER WRITTEN NOTICE OF BUYER'S DISAPPROVAL OF ANY OF BUYER'S CONTINGENCIES OR ANY OTHER MATTER THAT IS SUBJECT TO BUYER'S APPROVAL IN THIS AGREEMENT, THEN BUYER SHALL BE CONCLUSIVELY DEEMED TO HAVE SATISFIED SUCH BUYER'S CONTINGENCIES AND/OR APPROVED OF SUCH OTHER MATTERS. If a number of days is completed in any of the optional spaces in subparagraphs 9.1 (a) through (m), then such number shall apply and override the pre-printed number, even if the pre-printed number is not stricken. The Closing of this transaction is contingent upon the satisfaction or waiver of the following contingencies:

(a) *Disclosure.* Seller shall make to Buyer, through Escrow, all of the applicable disclosures required by law (See AIR CRE ("**AIR**") standard form entitled "**Seller's Mandatory Disclosure Statement**") and provide Buyer with a completed Property Information Sheet ("**Property Information Sheet**") concerning the Property, duly executed by or on behalf of Seller in the current form or equivalent to that published by the AIR within ~~10 or~~ 45 days following the Date of

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Agreement. Buyer has 10 days from the receipt of said disclosures to approve or disapprove the matters disclosed.

(b) *Physical Inspection.* Buyer has ~~10 or~~ 45 days following the receipt of the Property Information Sheet or the Date of Agreement, whichever is later, to satisfy itself with regard to the physical aspects and size of the Property.

(c) *Hazardous Substance Conditions Report.* Buyer has ~~30 or~~ 45 days following the receipt of the Property Information Sheet or the Date of Agreement, whichever is later, to satisfy itself with regard to the environmental aspects of the Property. Seller recommends that Buyer obtain a Hazardous Substance Conditions Report concerning the Property and relevant adjoining properties. Any such report shall be paid for by Buyer. A "**Hazardous Substance**" for purposes of this Agreement is defined as any substance whose nature and/or quantity of existence, use, manufacture, disposal or effect, render it subject to Federal, state or local regulation, investigation, remediation or removal as potentially injurious to public health or welfare. A "**Hazardous Substance Condition**" for purposes of this Agreement is defined as the existence on, under or relevantly adjacent to the Property of a Hazardous Substance that would require remediation and/or removal under applicable Federal, state or local law.

(d) *Soil Inspection.* Buyer has ~~30 or~~ 45 days following the receipt of the Property Information Sheet or the Date of Agreement, whichever is later, to satisfy itself with regard to the condition of the soils on the Property. Seller recommends that Buyer obtain a soil test report. Any such report shall be paid for by Buyer. Seller shall provide Buyer copies of any soils report that Seller may have within 10 days following the Date of Agreement.

(e) *Governmental Approvals.* Buyer has ~~30 or~~ 45 days following the Date of Agreement to satisfy itself with regard to approvals and permits from governmental agencies or departments which have or may have jurisdiction over the Property and which Buyer deems necessary or desirable in connection with its intended use of the Property, including, but not limited to, permits and approvals required with respect to zoning, planning, building and safety, fire, police, handicapped and Americans with Disabilities Act requirements, transportation and environmental matters.

NOTE: Past uses of the Property may no longer be allowed. In the event that the Property must be rezoned, it is Buyer's responsibility to obtain the rezoning from the appropriate government agencies. Seller shall sign all documents Buyer is required to file in connection with rezoning, conditional use permits and/or other development approvals.

(f) *Conditions of Title.* Escrow Holder shall cause a current commitment for title insurance ("**Title Commitment**") concerning the Property issued by the Title Company, as well as legible copies of all documents referred to in the Title Commitment ("**Underlying Documents**"), and a scaled and dimensioned plot showing the location of any easements to be delivered to Buyer within ~~10 or~~ 45 days following the Date of Agreement. Buyer has 10 days from the receipt of the Title Commitment, the Underlying Documents and the plot plan to satisfy itself with regard to the condition of title. The disapproval by Buyer of any monetary encumbrance, which by the terms of this Agreement is not to remain against the Property after the Closing, shall not be considered a failure of this contingency, as Seller shall have the obligation, at Seller's expense, to satisfy and remove such disapproved monetary encumbrance at or before the Closing.

(g) *Survey.* Buyer has ~~30 or~~ 45 days following the receipt of the Title Commitment and Underlying Documents to satisfy itself with regard to any ALTA title supplement based upon a survey prepared to American Land Title Association ("**ALTA**") standards for an owner's policy by a licensed surveyor, showing the legal description and boundary lines of the Property, any easements of record, and any improvements, poles, structures and things located within 10 feet of either side of the Property boundary lines. Any such survey shall be prepared at Buyer's direction and expense. If Buyer has obtained a survey and approved the ALTA title supplement, Buyer may elect within the period allowed for Buyer's approval of a survey to have an ALTA extended coverage owner's form of title policy, in which event Buyer shall pay any additional premium attributable thereto.

(h) *Existing Leases and Tenancy Statements.* Seller shall within 10 or _____ days following the Date of Agreement provide both Buyer and Escrow Holder with legible copies of all leases, subleases or rental arrangements (collectively, "**Existing Leases**") affecting the Property, and with a tenancy statement ("**Estoppel Certificate**") in the latest form or equivalent to that published by the AIR, executed by Seller and/or each tenant and subtenant of the Property. Seller shall use its best efforts to have each tenant complete and execute an Estoppel Certificate. If any tenant fails or refuses to provide an Estoppel Certificate then Seller shall complete and execute an Estoppel Certificate for that tenancy. Buyer has 10 days from the receipt of said Existing Leases and Estoppel Certificates to satisfy itself with regard to the Existing Leases and any other tenancy issues.

(i) *Owner's Association.* Seller shall within 10 or _____ days following the Date of Agreement provide Buyer with a statement and transfer package from any owner's association servicing the Property. Such transfer package shall at a minimum include: copies of the association's bylaws, articles of incorporation, current budget and financial statement. Buyer has 10 days from the receipt of such documents to satisfy itself with regard to the association.

(j) *Other Agreements.* Seller shall within 10 or _____ days following the Date of Agreement provide Buyer with legible copies of all other agreements ("**Other Agreements**") known to Seller that will affect the Property after Closing. Buyer has 10 days from the receipt of said Other Agreements to satisfy itself with regard to such Agreements.

~~(k) *Financing.* If paragraph 5 hereof dealing with a financing contingency has not been stricken, the satisfaction or waiver of such New Loan contingency.~~

~~(l) *Existing Notes.* If paragraph 3.1(c) has not been stricken, Seller shall within 10 or _____ days following the Date of Agreement provide Buyer with legible copies of the Existing Notes, Existing Deeds of Trust and related agreements (collectively, "**Loan Documents**") to which the Property will remain subject after the Closing. Escrow Holder shall promptly request from the holders of the Existing Notes a beneficiary statement ("**Beneficiary Statement**") confirming: (1) the amount of the unpaid principal balance, the current interest rate, and the date to which interest is paid, and (2) the nature and amount of any impounds held by the beneficiary in connection with such loan. Buyer has 10 or _____ days following the receipt of the Loan Documents and Beneficiary Statements to satisfy itself with regard to such financing. Buyer's obligation to close is conditioned upon Buyer being able to purchase the Property without acceleration or change in the terms of any Existing Notes or charges to Buyer except as otherwise provided in this Agreement or approved by Buyer, provided, however, Buyer shall pay the transfer fee referred to in paragraph 3.2 hereof. Likewise if Seller is to carry back a Purchase Money Note then Seller shall within 10 or _____ days following the Date of Agreement provide Buyer with a copy of the proposed Purchase Money Note and Purchase Money Deed of Trust. Buyer has 10 or _____ days from the receipt of such documents to satisfy itself with regard to the form and content thereof.~~

~~(m) *Personal Property.* In the event that any personal property is included in the Purchase Price, Buyer has 10 or _____ days following the Date of Agreement to satisfy itself with regard to the title condition of such personal property. Seller recommends that Buyer obtain a UCC-1 report. Any such report shall be paid for by Buyer. Seller shall provide Buyer copies of any liens or encumbrances affecting such personal property that it is aware of within 10 or _____ days following the Date of Agreement.~~

(n) *Destruction, Damage or Loss.* Subsequent to the Date of Agreement and prior to Closing there shall not have occurred a destruction of, or damage or loss to, the Property or any portion thereof, from any cause whatsoever, which would cost more than \$10,000.00 to repair or cure. If the cost of repair or cure is \$10,000.00 or less, Seller shall repair or cure the loss prior to the Closing. Buyer shall have the option, within 10 days after receipt of written notice of a loss costing more than \$10,000.00 to repair or cure, to either terminate this Agreement or to purchase the Property notwithstanding such loss, but without deduction or offset against the Purchase Price. If the cost to repair or cure is more than \$10,000.00, and Buyer does not elect to terminate this Agreement, Buyer shall be entitled to any insurance proceeds applicable to such loss. Unless otherwise notified in writing, Escrow Holder shall assume no such destruction, damage or loss has occurred prior

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to Closing.

(o) **Material Change.** Buyer shall have 10 days following receipt of written notice of a Material Change within which to satisfy itself with regard to such change. "**Material Change**" shall mean a substantial adverse change in the use, occupancy, tenants, title, or condition of the Property that occurs after the date of this offer and prior to the Closing. Unless otherwise notified in writing, Escrow Holder shall assume that no Material Change has occurred prior to the Closing.

(p) **Seller Performance.** The delivery of all documents and the due performance by Seller of each and every undertaking and agreement to be performed by Seller under this Agreement.

(q) **Brokerage Fee.** Payment at the Closing of such brokerage fee as is specified in this Agreement or later written instructions to Escrow Holder executed by Seller and Brokers ("**Brokerage Fee**"). It is agreed by the Parties and Escrow Holder that Brokers are a third party beneficiary of this Agreement insofar as the Brokerage Fee is concerned, and that no change shall be made with respect to the payment of the Brokerage Fee specified in this Agreement, without the written consent of Brokers.

9.2 The contingencies specified in subparagraphs 9.1(a) through (m) are for the benefit of, and may be waived by, Buyer, and are referred to collectively as "**Buyer's Contingencies**" and individually as a "**Buyer's Contingency**."

9.3 Buyer's timely and written disapproval or conditional approval of a Buyer's Contingency or any other matter that is subject to Buyer's approval in this Agreement shall constitute disapproval thereof ("**Disapproved Item(s)**"). Concurrent with notice of a Disapproved Item, Buyer may make a request to Seller regarding such Disapproved Item ("**Buyer's Request**"). If Buyer fails to make a timely and written Buyer's Request, then this Agreement shall terminate due to the non-satisfaction and non-waiver of a contingency. Seller may respond to a Buyer's Request within 10 days following Seller's receipt thereof ("**Seller's Response**"). Seller's acceptance of a Buyer's Request shall amend this Agreement accordingly. If Seller fails to provide a timely and written Seller's Response, then Seller's Response shall be deemed to be a rejection of Buyer's Request. Buyer may, within 10 days following the earlier of Buyer's receipt of a Seller's Response (which is not an acceptance of Buyer's Request) or the date of Seller's deemed rejection of a Buyer's Request ("**Buyer's Reply Period**"), reply to a Seller's Response ("**Buyer's Reply**") and elect to (i) terminate this Agreement due to the non-satisfaction and non-waiver of the applicable contingency, (ii) accept the Seller's Response in which event this Agreement shall be amended accordingly, or (iii) withdraw Buyer's Request and waive the Disapproved Item in which event Buyer shall accept the Property subject to the Disapproved Item. If Buyer fails to provide a timely and written Buyer's Reply, then Buyer shall be deemed to have elected to terminate this Agreement as of the end of the Buyer's Reply Period. The date Buyer accepts a Seller's Response or withdraws a Buyer's Request and waives a Disapproved Item shall be the date of Buyer's approval of the Disapproved Item. A Party shall provide to Escrow Holder copy of all notices of a Disapproved Item, Buyer's Request, Seller's Response and Buyer's Reply and Escrow Holder shall promptly provide copies thereof to the other Party. Unless the Parties in writing agree otherwise, if the Expected Closing Date is a specific calendar date and a Buyer's Reply Period expires after such specific calendar date, then notwithstanding paragraph 1.1, the Expected Closing Date shall be extended to be 3 business days after the earlier of the date Buyer withdraws a Buyer's Request and waives the applicable Disapproved Item or Buyer accepts the applicable Seller's Response.

9.4 The Parties acknowledge that extensive local, state and Federal legislation establish broad liability upon owners and/or users of real property for the investigation and remediation of Hazardous Substances. The determination of the existence of a Hazardous Substance Condition and the evaluation of the impact of such a condition are highly technical and beyond the expertise of Brokers. The Parties acknowledge that they have been advised by Brokers to consult their own technical and legal experts with respect to the possible presence of Hazardous Substances on the Property or adjoining properties, and Buyer and Seller are not relying upon any investigation by or statement of Brokers with respect thereto. The Parties hereby assume all responsibility for the impact of such Hazardous Substances upon their respective interests herein.

10. Documents Required at or Before Closing.

10.1 Five days prior to the Closing date Escrow Holder shall obtain an updated Title Commitment concerning the Property from the Title Company and provide copies thereof to each of the Parties.

10.2 Seller shall deliver to Escrow Holder in time for delivery to Buyer at the Closing:

- (a) Grant or general warranty deed, duly executed and in recordable form, conveying fee title to the Property to Buyer.
- (b) If applicable, the Beneficiary Statements concerning Existing Note(s).
- (c) If applicable, the Existing Leases and Other Agreements together with duly executed assignments thereof by Seller and Buyer. The assignment of Existing Leases shall be on the most recent Assignment and Assumption of Lessor's Interest in Lease form published by the AIR or its equivalent.
- (d) An affidavit executed by Seller to the effect that Seller is not a "foreign person" within the meaning of Internal Revenue Code Section 1445 or successor statutes. If Seller does not provide such affidavit in form reasonably satisfactory to Buyer at least 3 business days prior to the Closing, Escrow Holder shall at the Closing deduct from Seller's proceeds and remit to the Internal Revenue Service such sum as is required by applicable Federal law with respect to purchases from foreign sellers.
- (e) If the Property is located in California, an affidavit executed by Seller to the effect that Seller is not a "nonresident" within the meaning of California Revenue and Tax Code Section 18662 or successor statutes. If Seller does not provide such affidavit in form reasonably satisfactory to Buyer at least 3 business days prior to the Closing, Escrow Holder shall at the Closing deduct from Seller's proceeds and remit to the Franchise Tax Board such sum as is required by such statute.
- (f) If applicable, a bill of sale, duly executed, conveying title to any included personal property to Buyer.
- (g) If the Seller is a corporation, a duly executed corporate resolution authorizing the execution of this Agreement and the sale of the Property.

10.3 Buyer shall deliver to Seller through Escrow:

- (a) The cash portion of the Purchase Price and such additional sums as are required of Buyer under this Agreement shall be deposited by Buyer with Escrow Holder, by federal funds wire transfer, or any other method acceptable to Escrow Holder in immediately collectable funds, no later than 2:00 P.M. on the business day prior to the Expected Closing Date provided, however, that Buyer shall not be required to deposit such monies into Escrow if at the time set for the deposit of such monies Seller is in default or has indicated that it will not perform any of its obligations hereunder. Instead, in such circumstances in order to reserve its rights to proceed Buyer need only provide Escrow with evidence establishing that the required monies were available.
- (b) If a Purchase Money Note and Purchase Money Deed of Trust are called for by this Agreement, the duly executed originals of those documents, the Purchase Money Deed of Trust being in recordable form, together with evidence of fire insurance on the improvements in the amount of the full replacement cost naming Seller as a mortgage loss payee, and a real estate tax service contract (at Buyer's expense), assuring Seller of notice of the status of payment of real property taxes during the life of the Purchase Money Note.
- (c) The Assignment and Assumption of Lessor's Interest in Lease form specified in paragraph 10.2(c) above, duly executed by Buyer.
- (d) Assumptions duly executed by Buyer of the obligations of Seller that accrue after Closing under any Other Agreements.
- (e) If applicable, a written assumption duly executed by Buyer of the loan documents with respect to Existing Notes.
- (f) If the Buyer is a corporation, a duly executed corporate resolution authorizing the execution of this Agreement and the purchase of the Property.

10.4 At Closing, Escrow Holder shall cause to be issued to Buyer a standard coverage (or ALTA extended, if elected pursuant to 9.1(g)) owner's form policy of title insurance effective as of the Closing, issued by the Title Company in the full amount of the Purchase Price, insuring title to the Property vested in Buyer, subject only to

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the exceptions approved by Buyer. In the event there is a Purchase Money Deed of Trust in this transaction, the policy of title insurance shall be a joint protection policy insuring both Buyer and Seller.

IMPORTANT: IN A PURCHASE OR EXCHANGE OF REAL PROPERTY, IT MAY BE ADVISABLE TO OBTAIN TITLE INSURANCE IN CONNECTION WITH THE CLOSE OF ESCROW SINCE THERE MAY BE PRIOR RECORDED LIENS AND ENCUMBRANCES WHICH AFFECT YOUR INTEREST IN THE PROPERTY BEING ACQUIRED. A NEW POLICY OF TITLE INSURANCE SHOULD BE OBTAINED IN ORDER TO ENSURE YOUR INTEREST IN THE PROPERTY THAT YOU ARE ACQUIRING.

11. Prorations and Adjustments.

11.1 *Taxes.* Applicable real property taxes and special assessment bonds shall be prorated through Escrow as of the date of the Closing, based upon the latest tax bill available. The Parties agree to prorate as of the Closing any taxes assessed against the Property by supplemental bill levied by reason of events occurring prior to the Closing. Payment of the prorated amount shall be made promptly in cash upon receipt of a copy of any supplemental bill.

11.2 *Insurance.* **WARNING:** Any insurance which Seller may have maintained will terminate on the Closing. Buyer is advised to obtain appropriate insurance to cover the Property.

11.3 *Rentals, Interest and Expenses.* Scheduled rentals, interest on Existing Notes, utilities, and operating expenses shall be prorated as of the date of Closing. The Parties agree to promptly adjust between themselves outside of Escrow any rents received after the Closing.

11.4 *Security Deposit.* Security Deposits held by Seller shall be given to Buyer as a credit to the cash required of Buyer at the Closing.

11.5 *Post Closing Matters.* Any item to be prorated that is not determined or determinable at the Closing shall be promptly adjusted by the Parties by appropriate cash payment outside of the Escrow when the amount due is determined.

11.6 *Variations in Existing Note Balances.* In the event that Buyer is purchasing the Property subject to an Existing Deed of Trust(s), and in the event that a Beneficiary Statement as to the applicable Existing Note(s) discloses that the unpaid principal balance of such Existing Note(s) at the closing will be more or less than the amount set forth in paragraph 3.1(c) hereof ("**Existing Note Variation**"), then the Purchase Money Note(s) shall be reduced or increased by an amount equal to such Existing Note Variation. If there is to be no Purchase Money Note, the cash required at the Closing per paragraph 3.1(a) shall be reduced or increased by the amount of such Existing Note Variation.

11.7 *Variations in New Loan Balance.* In the event Buyer is obtaining a New Loan and the amount ultimately obtained exceeds the amount set forth in paragraph 5.1, then the amount of the Purchase Money Note, if any, shall be reduced by the amount of such excess.

11.8 *Owner's Association Fees.* Escrow Holder shall: (i) bring Seller's account with the association current and pay any delinquencies or transfer fees from Seller's proceeds, and (ii) pay any up front fees required by the association from Buyer's funds.

12. Representations and Warranties of Seller and Disclaimers.

12.1 Seller's warranties and representations shall survive the Closing and delivery of the deed for a period of 3 years, and any lawsuit or action based upon them must be commenced within such time period. Seller's warranties and representations are true, material and relied upon by Buyer and Brokers in all respects. Seller hereby makes the following warranties and representations to Buyer and Brokers:

(a) *Authority of Seller.* Seller is the owner of the Property and/or has the full right, power and authority to sell, convey and transfer the Property to Buyer as provided herein, and to perform Seller's obligations hereunder.

(b) *Maintenance During Escrow and Equipment Condition At Closing.* Except as otherwise provided in paragraph 9.1(n) hereof, Seller shall maintain the Property until the Closing in its present condition, ordinary wear and tear excepted.

(c) *Hazardous Substances/Storage Tanks.* Seller has no knowledge, except as otherwise disclosed to Buyer in writing, of the existence or prior existence on the Property of any Hazardous Substance, nor of the existence or prior existence of any above or below ground storage tank.

(d) *Compliance.* Except as otherwise disclosed in writing, Seller has no knowledge of any aspect or condition of the Property which violates applicable laws, rules, regulations, codes or covenants, conditions or restrictions, or of improvements or alterations made to the Property without a permit where one was required, or of any unfulfilled order or directive of any applicable governmental agency or casualty insurance company requiring any investigation, remediation, repair, maintenance or improvement be performed on the Property.

(e) *Changes in Agreements.* Prior to the Closing, Seller will not violate or modify any Existing Lease or Other Agreement, or create any new leases or other agreements affecting the Property, without Buyer's written approval, which approval will not be unreasonably withheld.

(f) *Possessory Rights.* Seller has no knowledge that anyone will, at the Closing, have any right to possession of the Property, except as disclosed by this Agreement or otherwise in writing to Buyer.

(g) *Mechanics' Liens.* There are no unsatisfied mechanics' or materialmen's lien rights concerning the Property.

(h) *Actions, Suits or Proceedings.* Seller has no knowledge of any actions, suits or proceedings pending or threatened before any commission, board, bureau, agency, arbitrator, court or tribunal that would affect the Property or the right to occupy or utilize same.

(i) *Notice of Changes.* Seller will promptly notify Buyer and Brokers in writing of any Material Change (see paragraph 9.1(o)) affecting the Property that becomes known to Seller prior to the Closing.

(j) *No Tenant Bankruptcy Proceedings.* Seller has no notice or knowledge that any tenant of the Property is the subject of a bankruptcy or insolvency proceeding.

(k) *No Seller Bankruptcy Proceedings.* Seller is not the subject of a bankruptcy, insolvency or probate proceeding.

(l) *Personal Property.* Seller has no knowledge that anyone will, at the Closing, have any right to possession of any personal property included in the Purchase Price nor knowledge of any liens or encumbrances affecting such personal property, except as disclosed by this Agreement or otherwise in writing to Buyer.

12.2 Buyer hereby acknowledges that, except as otherwise stated in this Agreement, Buyer is purchasing the Property in its existing condition and will, by the time called for herein, make or have waived all inspections of the Property Buyer believes are necessary to protect its own interest in, and its contemplated use of, the Property. The Parties acknowledge that, except as otherwise stated in this Agreement, no representations, inducements, promises, agreements, assurances, oral or written, concerning the Property, or any aspect of the occupational safety and health laws, Hazardous Substance laws, or any other act, ordinance or law, have been made by either Party or Brokers, or relied upon by either Party hereto.

12.3 In the event that Buyer learns that a Seller representation or warranty might be untrue prior to the Closing, and Buyer elects to purchase the Property anyway then, and in that event, Buyer waives any right that it may have to bring an action or proceeding against Seller or Brokers regarding said representation or warranty.

12.4 Any environmental reports, soils reports, surveys, feasibility studies, and other similar documents which were prepared by third party consultants and provided to Buyer by Seller or Seller's representatives, have been delivered as an accommodation to Buyer and without any representation or warranty as to the sufficiency, accuracy, completeness, and/or validity of said documents, all of which Buyer relies on at its own risk. Seller believes said documents to be accurate, but Buyer is advised to retain appropriate consultants to review said documents and investigate the Property.

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13. Possession.

Possession of the Property shall be given to Buyer at the Closing subject to the rights of tenants under Existing Leases.

14. Buyer's Entry.

At any time during the Escrow period, Buyer, and its agents and representatives, shall have the right at reasonable times and subject to rights of tenants, to enter upon the Property for the purpose of making inspections and tests specified in this Agreement. No destructive testing shall be conducted, however, without Seller's prior approval which shall not be unreasonably withheld. Following any such entry or work, unless otherwise directed in writing by Seller, Buyer shall return the Property to the condition it was in prior to such entry or work, including the recompact or removal of any disrupted soil or material as Seller may reasonably direct. All such inspections and tests and any other work conducted or materials furnished with respect to the Property by or for Buyer shall be paid for by Buyer as and when due and Buyer shall indemnify, defend, protect and hold harmless Seller and the Property of and from any and all claims, liabilities, losses, expenses (including reasonable attorneys' fees), damages, including those for injury to person or property, arising out of or relating to any such work or materials or the acts or omissions of Buyer, its agents or employees in connection therewith.

15. Further Documents and Assurances.

The Parties shall each, diligently and in good faith, undertake all actions and procedures reasonably required to place the Escrow in condition for Closing as and when required by this Agreement. The Parties agree to provide all further information, and to execute and deliver all further documents, reasonably required by Escrow Holder or the Title Company.

16. Attorneys' Fees.

If any Party or Broker brings an action or proceeding (including arbitration) involving the Property whether founded in tort, contract or equity, or to declare rights hereunder, the Prevailing Party (as hereafter defined) in any such proceeding, action, or appeal thereon, shall be entitled to reasonable attorneys' fees and costs. Such fees may be awarded in the same suit or recovered in a separate suit, whether or not such action or proceeding is pursued to decision or judgment. The term "Prevailing Party" shall include, without limitation, a Party or Broker who substantially obtains or defeats the relief sought, as the case may be, whether by compromise, settlement, judgment, or the abandonment by the other Party or Broker of its claim or defense. The attorneys' fees award shall not be computed in accordance with any court fee schedule, but shall be such as to fully reimburse all attorneys' fees reasonably incurred.

17. Prior Agreements/Amendments.

17.1 This Agreement supersedes any and all prior agreements between Seller and Buyer regarding the Property.

17.2 Amendments to this Agreement are effective only if made in writing and executed by Buyer and Seller.

18. Broker's Rights.

18.1 If this sale is not consummated due to the default of either the Buyer or Seller, the defaulting Party shall be liable to and shall pay to Brokers the Brokerage Fee that Brokers would have received had the sale been consummated. If Buyer is the defaulting party, payment of said Brokerage Fee is in addition to any obligation with respect to liquidated or other damages.

18.2 Upon the Closing, Brokers are authorized to publicize the facts of this transaction.

19. Notices.

19.1 Whenever any Party, Escrow Holder or Brokers herein shall desire to give or serve any notice, demand, request, approval, disapproval or other communication, each such communication shall be in writing and shall be delivered personally, by messenger, or by mail, postage prepaid, to the address set forth in this agreement or by facsimile transmission, electronic signature, digital signature, or email.

19.2 Service of any such communication shall be deemed made on the date of actual receipt if personally delivered, or transmitted by facsimile transmission, electronic signature, digital signature, or email. Any such communication sent by regular mail shall be deemed given 48 hours after the same is mailed.

Communications sent by United States Express Mail or overnight courier that guarantee next day delivery shall be deemed delivered 24 hours after delivery of the same to the Postal Service or courier. If such communication is received on a Saturday, Sunday or legal holiday, it shall be deemed received on the next business day.

19.3 Any Party or Broker hereto may from time to time, by notice in writing, designate a different address to which, or a different person or additional persons to whom, all communications are thereafter to be made.

20. Duration of Offer.

20.1 If this offer is not accepted by Seller on or before 5:00 P.M. according to the time standard applicable to the city of Oxnard on the date of

February 22, 2024, it shall be deemed automatically revoked.

20.2 The acceptance of this offer, or of any subsequent counteroffer hereto, that creates an agreement between the Parties as described in paragraph 1.2, shall be deemed made upon delivery to the other Party or either Broker herein of a duly executed writing unconditionally accepting the last outstanding offer or counteroffer.

21. LIQUIDATED DAMAGES. (This Liquidated Damages paragraph is applicable only if initialed by both Parties).

THE PARTIES AGREE THAT IT WOULD BE IMPRACTICABLE OR EXTREMELY DIFFICULT TO FIX, PRIOR TO SIGNING THIS AGREEMENT, THE ACTUAL DAMAGES WHICH WOULD BE SUFFERED BY SELLER IF BUYER FAILS TO PERFORM ITS OBLIGATIONS UNDER THIS AGREEMENT. THEREFORE, IF, AFTER THE SATISFACTION OR WAIVER OF ALL CONTINGENCIES PROVIDED FOR THE BUYER'S BENEFIT, BUYER BREACHES THIS AGREEMENT, SELLER SHALL BE ENTITLED TO LIQUIDATED DAMAGES IN THE AMOUNT OF _____. UPON PAYMENT OF SAID SUM TO SELLER, BUYER SHALL BE RELEASED FROM ANY FURTHER LIABILITY TO SELLER, AND ANY ESCROW CANCELLATION FEES AND TITLE COMPANY CHARGES SHALL BE PAID BY SELLER.

Buyer's Initials

Seller's Initials

22. ARBITRATION OF DISPUTES. (This Arbitration of Disputes paragraph is applicable only if initialed by both Parties.)

22.1 ANY CONTROVERSY AS TO WHETHER SELLER IS ENTITLED TO LIQUIDATED DAMAGES AND/OR BUYER IS ENTITLED TO THE RETURN OF THE DEPOSIT SHALL BE DETERMINED BY BINDING ARBITRATION ADMINISTERED BY THE JUDICIAL ARBITRATION & MEDIATION SERVICES, INC. ("JAMS") IN ACCORDANCE WITH ITS COMMERCIAL ARBITRATION RULES ("COMMERCIAL RULES"). ARBITRATION HEARINGS SHALL BE HELD IN THE COUNTY WHERE THE PROPERTY IS LOCATED.

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SUCH CONTROVERSY SHALL BE ARBITRATED BY A SINGLE ARBITRATOR, APPOINTED UNDER THE COMMERCIAL RULES WHO HAS HAD AT LEAST 5 YEARS OF EXPERIENCE IN THE TYPE OF REAL ESTATE THAT IS THE SUBJECT OF THIS AGREEMENT. THE ARBITRATOR SHALL HEAR AND DETERMINE SAID CONTROVERSY IN ACCORDANCE WITH APPLICABLE LAW OF THE JURISDICTION WHERE THE PROPERTY IS LOCATED, THE INTENTION OF THE PARTIES AS EXPRESSED IN THIS AGREEMENT AND ANY AMENDMENTS THERETO, AND UPON THE EVIDENCE PRODUCED AT AN ARBITRATION HEARING. PRE-ARBITRATION DISCOVERY SHALL BE PERMITTED IN ACCORDANCE WITH THE COMMERCIAL RULES OR STATE LAW APPLICABLE TO ARBITRATION PROCEEDINGS. THE ARBITRATOR SHALL RENDER AN AWARD WITHIN 30 DAYS AFTER THE CONCLUSION OF THE HEARING, WHICH MAY INCLUDE ATTORNEYS' FEES AND COSTS TO THE PREVAILING PARTY PER PARAGRAPH 16 HEREOF AND SHALL BE ACCOMPANIED BY A REASONED OPINION. THE FAILURE OR REFUSAL OF A PARTY TO PAY SUCH PARTY'S REQUIRED SHARE OF THE DEPOSITS FOR ARBITRATOR COMPENSATION OR ADMINISTRATIVE CHARGES SHALL CONSTITUTE A WAIVER BY SUCH PARTY TO PRESENT EVIDENCE OR CROSS-EXAMINE WITNESSES, BUT SUCH WAIVER SHALL NOT ALLOW FOR A DEFAULT JUDGMENT AGAINST THE NON-PAYING PARTY IN THE ABSENCE OF EVIDENCE AND LEGAL ARGUMENT AS THE ARBITRATOR MAY REQUIRE FOR MAKING AN AWARD. JUDGMENT MAY BE ENTERED ON THE AWARD IN ANY COURT OF COMPETENT JURISDICTION NOTWITHSTANDING THE FAILURE OF A PARTY DULY NOTIFIED OF THE ARBITRATION HEARING TO APPEAR THEREAT.

22.2 BUYER'S RESORT TO OR PARTICIPATION IN SUCH ARBITRATION PROCEEDINGS SHALL NOT BAR SUIT IN A COURT OF COMPETENT JURISDICTION BY THE BUYER FOR DAMAGES AND/OR SPECIFIC PERFORMANCE UNLESS AND UNTIL THE ARBITRATION RESULTS IN AN AWARD TO THE SELLER OF LIQUIDATED DAMAGES, IN WHICH EVENT SUCH AWARD SHALL ACT AS A BAR AGAINST ANY ACTION BY BUYER FOR DAMAGES AND/OR SPECIFIC PERFORMANCE.

22.3 NOTICE: BY INITIALING IN THE SPACE BELOW YOU ARE AGREEING TO HAVE ANY DISPUTE ARISING OUT OF THE MATTERS INCLUDED IN THE "ARBITRATION OF DISPUTES" PROVISION DECIDED BY NEUTRAL ARBITRATION AS PROVIDED BY CALIFORNIA LAW AND YOU ARE GIVING UP ANY RIGHTS YOU MIGHT POSSESS TO HAVE THE DISPUTE LITIGATED IN A COURT OR JURY TRIAL. BY INITIALING IN THE SPACE BELOW YOU ARE GIVING UP YOUR JUDICIAL RIGHTS TO DISCOVERY AND APPEAL, UNLESS SUCH RIGHTS ARE SPECIFICALLY INCLUDED IN THE "ARBITRATION OF DISPUTES" PROVISION. IF YOU REFUSE TO SUBMIT TO ARBITRATION AFTER AGREEING TO THIS PROVISION, YOU MAY BE COMPELLED TO ARBITRATE UNDER THE AUTHORITY OF THE CALIFORNIA CODE OF CIVIL PROCEDURE. YOUR AGREEMENT TO THIS ARBITRATION PROVISION IS VOLUNTARY.

WE HAVE READ AND UNDERSTAND THE FOREGOING AND AGREE TO SUBMIT DISPUTES ARISING OUT OF THE MATTERS INCLUDED IN THE "ARBITRATION OF DISPUTES" PROVISION TO NEUTRAL ARBITRATION.

Buyer's Initials

Seller's Initials

23. Miscellaneous.

23.1 **Binding Effect.** Buyer and Seller both acknowledge that they have carefully read and reviewed this Agreement and each term and provision contained herein. In addition, this Agreement shall be binding on the Parties without regard to whether or not paragraphs 21 and 22 are initialed by both of the Parties. Paragraphs 21 and 22 are each incorporated into this Agreement only if initialed by both Parties at the time that the Agreement is executed. Signatures to this Agreement accomplished by means of electronic signature or similar technology shall be legal and binding.

23.2 **Applicable Law.** This Agreement shall be governed by, and paragraph 22.3 is amended to refer to, the laws of the state in which the Property is located. Any litigation or arbitration between the Parties hereto concerning this Agreement shall be initiated in the county in which the Property is located.

23.3 **Time of Essence.** Time is of the essence of this Agreement.

23.4 **Counterparts.** This Agreement may be executed by Buyer and Seller in counterparts, each of which shall be deemed an original, and all of which together shall constitute one and the same instrument. Escrow Holder, after verifying that the counterparts are identical except for the signatures, is authorized and instructed to combine the signed signature pages on one of the counterparts, which shall then constitute the Agreement.

23.5 **Waiver of Jury Trial. THE PARTIES HEREBY WAIVE THEIR RESPECTIVE RIGHTS TO TRIAL BY JURY IN ANY ACTION OR PROCEEDING INVOLVING THE PROPERTY OR ARISING OUT OF THIS AGREEMENT.**

23.6 **Conflict.** Any conflict between the printed provisions of this Agreement and the typewritten or handwritten provisions shall be controlled by the typewritten or handwritten provisions. **Seller and Buyer must initial any and all handwritten provisions.**

23.7 **1031 Exchange.** Both Seller and Buyer agree to cooperate with each other in the event that either or both wish to participate in a 1031 exchange. Any party initiating an exchange shall bear all costs of such exchange. The cooperating Party shall not have any liability (special or otherwise) for damages to the exchanging Party in the event that the sale is delayed and/or that the sale otherwise fails to qualify as a 1031 exchange.

23.8 **Days.** Unless otherwise specifically indicated to the contrary, the word "days" as used in this Agreement shall mean and refer to calendar days.

24. Disclosures Regarding The Nature of a Real Estate Agency Relationship.

24.1 The Parties and Brokers agree that their relationship(s) shall be governed by the principles set forth in the applicable sections of the California Civil Code, as summarized in paragraph 24.2.

24.2 When entering into a discussion with a real estate agent regarding a real estate transaction, a Buyer or Seller should from the outset understand what type of agency relationship or representation it has with the agent or agents in the transaction. Buyer and Seller acknowledge being advised by the Brokers in this transaction, as follows:

(a) **Seller's Agent.** A Seller's agent under a listing agreement with the Seller acts as the agent for the Seller only. A Seller's agent or subagent has the following affirmative obligations: (1) *To the Seller:* A fiduciary duty of utmost care, integrity, honesty, and loyalty in dealings with the Seller. (2) *To the Buyer and the Seller:* a. Diligent exercise of reasonable skills and care in performance of the agent's duties. b. A duty of honest and fair dealing and good faith. c. A duty to disclose all facts known to the agent materially affecting the value or desirability of the property that are not known to, or within the diligent attention and observation of, the Parties. An agent is not obligated to reveal to either Party any confidential information obtained from the other Party which does not involve the affirmative duties set forth above.

(b) **Buyer's Agent.** A selling agent can, with a Buyer's consent, agree to act as agent for the Buyer only. In these situations, the agent is not the Seller's agent, even if by agreement the agent may receive compensation for services rendered, either in full or in part from the Seller. An agent acting only for a Buyer has the following affirmative obligations. (1) *To the Buyer:* A fiduciary duty of utmost care, integrity, honesty, and loyalty in dealings with the Buyer. (2) *To the Buyer and the Seller:* a. Diligent exercise of reasonable skills and care in performance of the agent's duties. b. A duty of honest and fair dealing and good faith. c. A duty to disclose all facts known to the agent materially affecting the value or desirability of the property that are not known to, or within the diligent attention and observation of, the Parties. An agent is not obligated to reveal to either Party any confidential information obtained from the other Party which does not involve the affirmative duties set forth above.

(c) **Agent Representing Both Seller and Buyer.** A real estate agent, either acting directly or through one or more associate licensees, can legally be the

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agent of both the Seller and the Buyer in a transaction, but only with the knowledge and consent of both the Seller and the Buyer. (1) In a dual agency situation, the agent has the following affirmative obligations to both the Seller and the Buyer: a. A fiduciary duty of utmost care, integrity, honesty and loyalty in the dealings with either Seller or the Buyer. b. Other duties to the Seller and the Buyer as stated above in their respective sections (a) or (b) of this paragraph 24.2. (2) In representing both Seller and Buyer, the agent may not, without the express permission of the respective Party, disclose to the other Party confidential information, including, but not limited to, facts relating to either Buyer's or Seller's financial position, motivations, bargaining position, or other personal information that may impact price, including Seller's willingness to accept a price less than the listing price or Buyer's willingness to pay a price greater than the price offered. (3) The above duties of the agent in a real estate transaction do not relieve a Seller or Buyer from the responsibility to protect their own interests. Buyer and Seller should carefully read all agreements to assure that they adequately express their understanding of the transaction. A real estate agent is a person qualified to advise about real estate. If legal or tax advice is desired, consult a competent professional. Buyer has the duty to exercise reasonable care to protect Buyer, including as to those facts about the Property which are known to Buyer or within Buyer's diligent attention and observation. Both Seller and Buyer should strongly consider obtaining tax advice from a competent professional because the federal and state tax consequences of a transaction can be complex and subject to change.

(d) *Further Disclosures.* Throughout this transaction Buyer and Seller may receive more than one disclosure, depending upon the number of agents assisting in the transaction. Buyer and Seller should each read its contents each time it is presented, considering the relationship between them and the real estate agent in this transaction and that disclosure. Buyer and Seller each acknowledge receipt of a disclosure of the possibility of multiple representation by the Broker representing that principal. This disclosure may be part of a listing agreement, buyer representation agreement or separate document. Buyer understands that Broker representing Buyer may also represent other potential buyers, who may consider, make offers on or ultimately acquire the Property. Seller understands that Broker representing Seller may also represent other sellers with competing properties that may be of interest to this Buyer. Brokers have no responsibility with respect to any default or breach hereof by either Party. The Parties agree that no lawsuit or other legal proceeding involving any breach of duty, error or omission relating to this transaction may be brought against Broker more than one year after the Date of Agreement and that the liability (including court costs and attorneys' fees), of any Broker with respect to any breach of duty, error or omission relating to this Agreement shall not exceed the fee received by such Broker pursuant to this Agreement; provided, however, that the foregoing limitation on each Broker's liability shall not be applicable to any gross negligence or willful misconduct of such Broker.

24.3 *Confidential Information.* Buyer and Seller agree to identify to Brokers as "Confidential" any communication or information given Brokers that is considered by such Party to be confidential.

25. Construction of Agreement. In construing this Agreement, all headings and titles are for the convenience of the Parties only and shall not be considered a part of this Agreement. Whenever required by the context, the singular shall include the plural and vice versa. This Agreement shall not be construed as if prepared by one of the Parties, but rather according to its fair meaning as a whole, as if both Parties had prepared it.

26. Additional Provisions.

Additional provisions of this offer, if any, are as follows or are attached hereto by an addendum or addenda consisting of paragraphs A through . (If there are no additional provisions write "NONE".)

A. City of Oxnard and Oxnard City Council approval of Agreement within forty-five (45) days from opening of escrow

ATTENTION: NO REPRESENTATION OR RECOMMENDATION IS MADE BY AIR CRE OR BY ANY BROKER AS TO THE LEGAL SUFFICIENCY, LEGAL EFFECT, OR TAX CONSEQUENCES OF THIS AGREEMENT OR THE TRANSACTION TO WHICH IT RELATES. THE PARTIES ARE URGED TO:

- 1. SEEK ADVICE OF COUNSEL AS TO THE LEGAL AND TAX CONSEQUENCES OF THIS AGREEMENT.**
- 2. RETAIN APPROPRIATE CONSULTANTS TO REVIEW AND INVESTIGATE THE CONDITION OF THE PROPERTY. SAID INVESTIGATION SHOULD INCLUDE BUT NOT BE LIMITED TO: THE POSSIBLE PRESENCE OF HAZARDOUS SUBSTANCES, THE ZONING OF THE PROPERTY, THE INTEGRITY AND CONDITION OF ANY STRUCTURES AND OPERATING SYSTEMS, AND THE SUITABILITY OF THE PROPERTY FOR BUYER'S INTENDED USE.**

WARNING: IF THE PROPERTY IS LOCATED IN A STATE OTHER THAN CALIFORNIA, CERTAIN PROVISIONS OF THIS AGREEMENT MAY NEED TO BE REVISED TO COMPLY WITH THE LAWS OF THE STATE IN WHICH THE PROPERTY IS LOCATED.

NOTE:

- 1. THIS FORM IS NOT FOR USE IN CONNECTION WITH THE SALE OF RESIDENTIAL PROPERTY.**
- 2. IF EITHER PARTY IS A CORPORATION, IT IS RECOMMENDED THAT THIS AGREEMENT BE SIGNED BY TWO CORPORATE OFFICERS.**

The undersigned Buyer offers and agrees to buy the Property on the terms and conditions stated and acknowledges receipt of a copy hereof.

BROKER

CBRE, Inc.

Attn: Brian Hutcherson

Title: Western Regional Manager / Senior Vice President

Address: 4301 La Jolla Village Drive, Suite 3000, San Diego, CA 92122

Phone: 858-546-2639

Fax: _____

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Date: _____

BUYER

City of Oxnard, a municipal corporation

By: _____

Name Printed: Alexander Nguyen

Title: City Manager

Phone: _____

Fax: _____

Email: _____

By: _____

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Email: brian.hutcherson@cbre.com
Federal ID No.: 95-2743174
Broker DRE License #: 00409987
Agent DRE License #: 02075144

Name Printed: _____
Title: _____
Phone: _____
Fax: _____
Email: _____

Address: 300 West Third Street, 4th Floor,
Oxnard, CA 93030
Federal ID No.: _____

27. Acceptance.

27.1 Seller accepts the foregoing offer to purchase the Property and hereby agrees to sell the Property to Buyer on the terms and conditions therein specified.

27.2 In consideration of real estate brokerage service rendered by Brokers, Seller agrees to pay Brokers a real estate Brokerage Fee in a sum equal to 3 % of the Purchase Price to be divided between the Brokers ~~as follows: Seller's Broker _____ % and Buyer's Broker _____ %. This Agreement shall serve as an irrevocable instruction to Escrow Holder to pay such Brokerage Fee to Brokers out of the proceeds accruing to the account of Seller at the Closing.~~

27.3 Seller acknowledges receipt of a copy hereof and authorizes Brokers to deliver a signed copy to Buyer.

NOTE: A PROPERTY INFORMATION SHEET IS REQUIRED TO BE DELIVERED TO BUYER BY SELLER UNDER THIS AGREEMENT.

BROKER

Attn: _____
Title: _____
Address: _____
Phone: _____
Fax: _____
Email: _____
Federal ID No.: _____
Broker DRE License #: _____
Agent's DRE License #: _____

Date: _____

SELLER

Alice C Flores Trust
By: _____
Name Printed: Dr. Harmony Crutcher
Title: Trustee
Phone: _____
Fax: _____
Email: _____
By: _____
Name Printed: _____
Title: _____
Phone: _____
Fax: _____
Email: _____
Address: _____
Federal ID No.: _____

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Acquisition of Real Property designated as Assessor Parcel Number 201-0-213- 150 located on Meta Street in Downtown Oxnard

Emilio Ramirez, Director
Housing Department
City Council
March 19, 2024



1. The Community Development Block Grant program within the Housing Department has excess surplus funds in the amount of \$3,035,397.42.
2. The Oxnard City Council authorized staff to negotiate the acquisition of real property located on Meta Street between 5th and 6th Street in Downtown for future development of affordable housing.
3. The Property is owned by the Alice C Flores Trust and consists of an approximately 1,401 square foot vacant parcel of land.
4. Staff proposes to purchase the Property from the Seller for the appraised value of \$90,000 plus transaction fees of \$18,000 using CDBG funds.
5. Upon the acquisition of the Property staff proposes to assemble it with two adjacent unimproved properties fronting Meta Street that are currently owned by the Housing Authority of the City of Oxnard for future development of affordable housing.

1. Approve a purchase and sale agreement for the acquisition of unimproved real property designated as Assessor Parcel Number 201-0-213-150 in the amount of \$90,000 and authorize the City Manager or designee to execute any and all documents necessary to complete the acquisition;
2. Find that the recommended actions do not constitute a “project” subject to the California Environmental Quality Act pursuant to Section 21065 and Sections 15004(b)(4) and 15378 of the State California Environmental Quality Act Guidelines and, as such, no environmental document pursuant to California Environmental Quality Act is required;
3. Approve and authorize a budget appropriation of \$90,000 from the Community Development Block Grant Fund to pay for the acquisition of real property designated as Assessor Parcel Number 201-0-213-150; and
4. Approve and authorize a budget appropriation of \$18,000 from the Community Development Block Grant available fund balance to pay for transaction fees and related costs necessary to complete the purchase of real property designated as Assessor Parcel Number 201-0-213-150.



End of Presentation